

Critical Relaxed Stable Matchings with Two-Sided Ties

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joint work with Meghana Nasre (IITM) and Prajakta Nimbhorkar(CMI)

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(Chennai Mathematical Institute, Chennai)

Sept 22, 2023

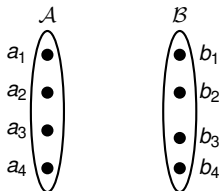
Problem Setup

Stable Marriage Problem with Ties and Critical Agents

■ A bipartite graph $G = (\mathcal{A} \cup \mathcal{B}, E)$

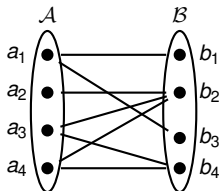
■ Vertex set $\mathcal{A} \cup \mathcal{B}$: $\mathcal{A} = \{a_1, \dots, a_{n_1}\}$

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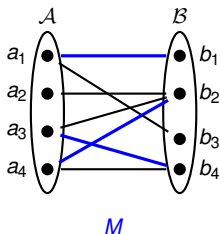
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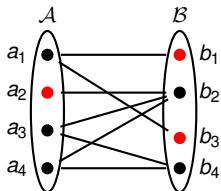
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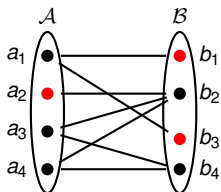
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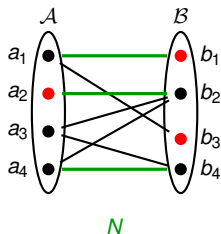
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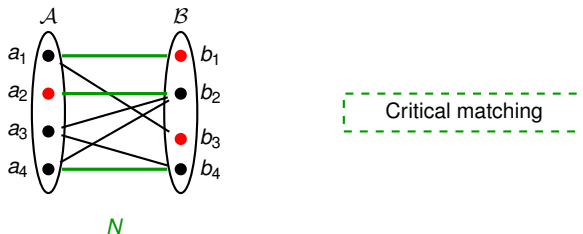
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N matches two critical nodes a_2 and b_1

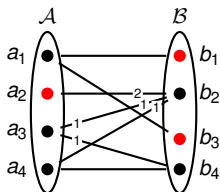
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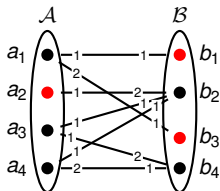
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a_3 and a_4 are in a **tie** at **rank 1** for b_2

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Optimality Notions

Optimality Notion

- Stability (without critical nodes)

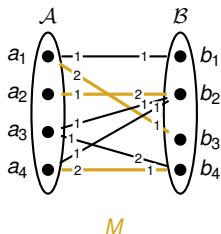
[Gale and Shapley, 1962]

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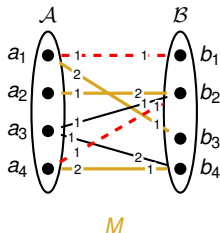


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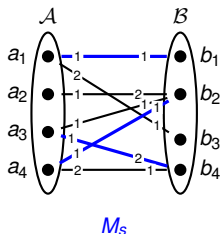
(a_1, b_1) and (a_4, b_2) are blocking pairs

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- A matching M is **stable** if no vertex-pair blocks it



Stable matching

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- Stability (without critical nodes)
 - Stable matching **always** exists

[Gale and Shapley, 1962]

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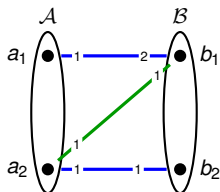
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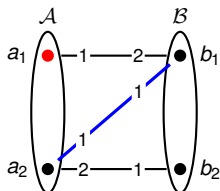
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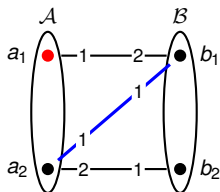
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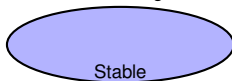
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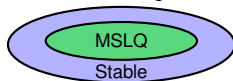
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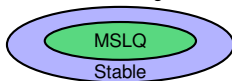
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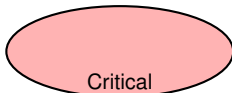
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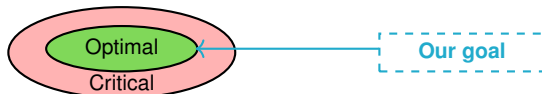
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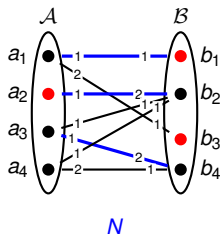
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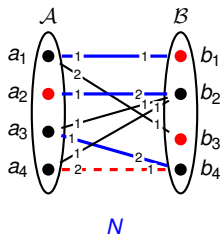


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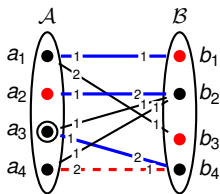


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(a_4, b_4) is a **blocking pair** w.r.t. N

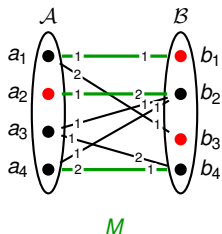
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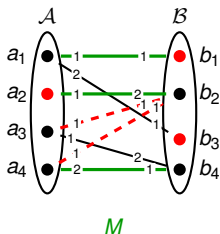
N is not an RSM

(a_4, b_4) is not justified

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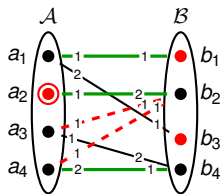


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(a_3, b_2) and (a_4, b_2) are blocking pairs

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Both blocking pairs are **justified** by b_2

M is an RSM

Our goal

- Compute a matching that is **critical** and **relaxed stable**

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- Computing max-size critical Relaxed Stable Matching (RSM) is **NP-hard**

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- Does a critical relaxed stable matching always exist?

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Observation

- Computing max-size critical Relaxed Stable Matching (RSM) is **NP-hard**
 - **Ignore** critical nodes
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- Does a critical relaxed stable matching always exist?
- Can it be efficiently computed?

Our goal

- Compute a matching that is **critical** and **relaxed stable**

Observation

- Computing max-size critical Relaxed Stable Matching (RSM) is **NP-hard**
 - **Ignore** critical nodes
 - Problem is **same** as computing max-size stable matching

- Does a critical relaxed stable matching always exist?
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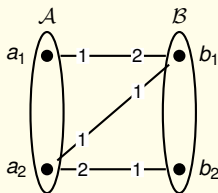
- Does a critical relaxed stable matching always exist? ✓
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Background

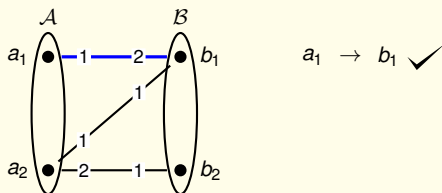
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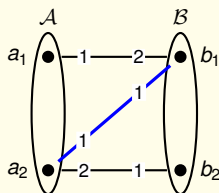


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b_1 was **unmatched** and hence **accepts** a_2 's proposal

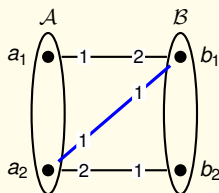
- No critical nodes and no ties
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$a_1 \rightarrow b_1$ X
 $a_2 \rightarrow b_1$ ✓

b_1 prefers a_2 over a_1

- No critical nodes and no ties
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$a_1 \rightarrow b_1$ X

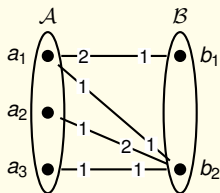
$a_2 \rightarrow b_1$ ✓

All $a \in \mathcal{A}$ are either **matched** or **exhausted** their preference list

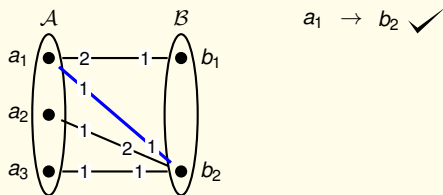
- No critical nodes and no ties
- Well-known **linear-time** algorithm for stable matching
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- Algorithm outputs a stable matching M

Ties in Preference Lists

- Tied lists on the receiving (B) side and strict list on the proposing (A) side

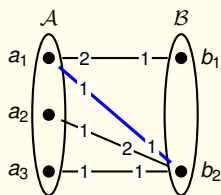


- Tied lists on the receiving (\mathcal{B}) side and strict list on the proposing (\mathcal{A}) side
- Execute Gale-Shapley algorithm



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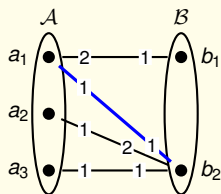


$a_1 \rightarrow b_2 \checkmark$

$a_2 \rightarrow b_2 \times$

b_2 rejects a_2 's proposal as a_2 is not better than a_1 for b_2

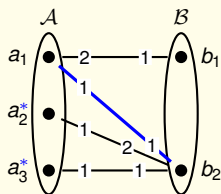
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$a_1 \rightarrow b_2 \checkmark$
 $a_2 \rightarrow b_2 \times$
 $a_3 \rightarrow b_2 \times$

b_2 rejects a_3 's proposal as a_3 is not better than a_1 for b_2

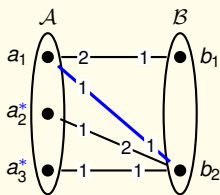
- Tied lists on the receiving (\mathcal{B}) side and strict list on the proposing (\mathcal{A}) side
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- Unmatched vertices on \mathcal{A} -side gets one more chance to propose with a '*' status



$a_1 \rightarrow b_2$ ✓
 $a_2 \rightarrow b_2$ X
 $a_3 \rightarrow b_2$ X

a_2 and a_3 get '*' status as they exhausted their preference lists

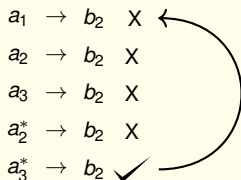
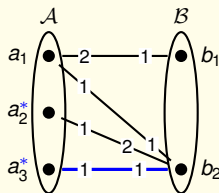
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$a_1 \rightarrow b_2$ ✓
 $a_2 \rightarrow b_2$ X
 $a_3 \rightarrow b_2$ X
 $a_2^* \rightarrow b_2$ X

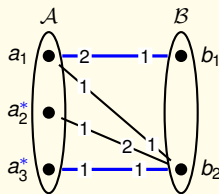
b_2 rejects a_2^* 's proposal as a_2^* is not better than a_1 for b_2

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b_2 accepts a_3^* 's proposal and rejects a_1 as a_3^* is better than a_1 for b_2

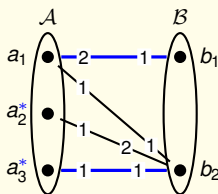
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$a_1 \rightarrow b_2$ X
 $a_2 \rightarrow b_2$ X
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- $\frac{3}{2}$ -approximation algorithm of the max-size stable matching



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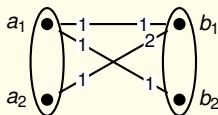
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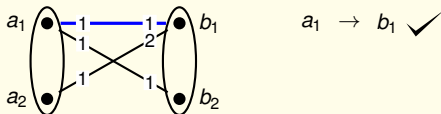
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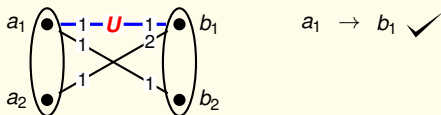
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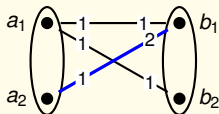


(a_1, b_1) is **uncertain**

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a_1 marks b_1

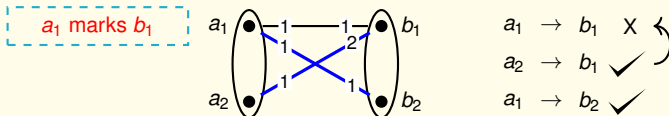


$a_1 \rightarrow b_1$ ✗
 $a_2 \rightarrow b_1$ ✓

b_1 **rejects** a_1 and accepts a_2 's proposal as (a_1, b_1) was **uncertain**

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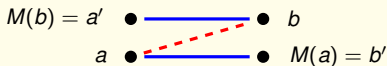
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- a must be matched: If not, a must have proposed to b at least twice
 - $b \in \mathcal{B}$ cannot be in an uncertain proposal after receiving its second proposal
 - $M(b)$ is not worse than a – contradiction

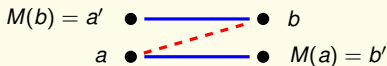
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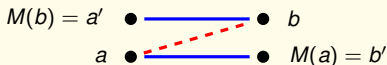
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 - (a, b) was uncertain: a proposed b again before proposing to $M(a)$



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 - Output matching M is a **stable** matching
 - **$\frac{1}{\sqrt{2}}$ -approximation** of maximum size stable matching

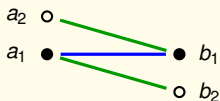
$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

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- Suppose such 3-length augmenting path exists

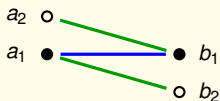


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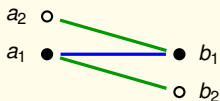
b_2 is unmatched in M



$\frac{3}{2}$ -approximation of maximum size stable matching M^*

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b_2 is unmatched in M

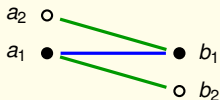
No proposal sent to b_2

a_1 without * status

$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2



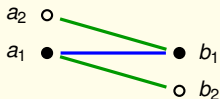
No proposal sent to b_2

a_1 without * status

$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2
 - Suppose not then b_1 and b_2 are tied



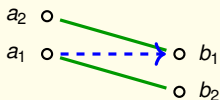
No proposal sent to b_2

a_1 without * status

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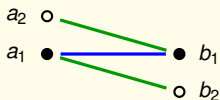
No proposal sent to b_2

a_1 without * status

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No proposal sent to b_2

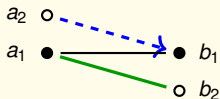
a_1 without * status

(a_1, b_1) is uncertain

$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2
 - Suppose not then b_1 and b_2 are tied
 - b_1 received other proposals



No proposal sent to b_2

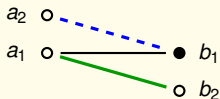
a_1 without * status

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No proposal sent to b_2

a_1 without * status

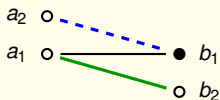
(a_1, b_1) is uncertain

b_1 rejected a_1

$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2
 - Suppose not then b_1 and b_2 are tied
 - b_1 received other proposals
 - a_1 must propose b_2 before proposing to b_1



No proposal sent to b_2

a_1 without * status

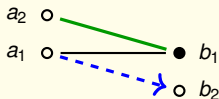
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 - b_1 received other proposals
 - a_1 must propose b_2 before proposing to b_1



No proposal sent to b_2

a_1 without * status

(a_1, b_1) is uncertain

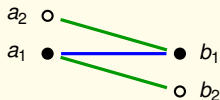
b_1 rejected a_1

a_1 proposed b_2

$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

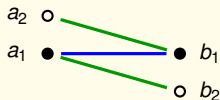
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Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2
- **Claim 2:** b_1 prefers a_1 over a_2
 - **Observation:** $b \in \mathcal{B}$ is not part of an uncertain proposal after receiving its second proposal

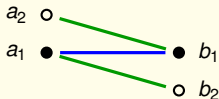


$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

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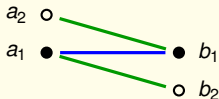
b_1 received ≥ 3 proposals



$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2
- **Claim 2:** b_1 prefers a_1 over a_2
 - **Observation:** $b \in \mathcal{B}$ is not part of an uncertain proposal after receiving its second proposal



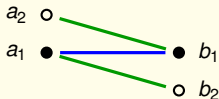
b_1 received ≥ 3 proposals

a_1 did not get * status

$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2
- **Claim 2:** b_1 prefers a_1 over a_2
 - **Observation:** $b \in \mathcal{B}$ is not part of an uncertain proposal after receiving its second proposal



b_1 received ≥ 3 proposals

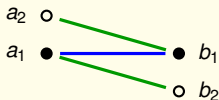
a_1 did not get * status

b_1 rejected a_2^* but not a_1

$\frac{3}{2}$ -approximation of maximum size stable matching M^*

Proof idea: No 1 or 3-length aug-path w.r.t. M in $(M \oplus M^*)$

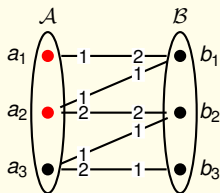
- Suppose such 3-length augmenting path exists
- **Claim 1:** a_1 prefers b_1 over b_2
- **Claim 2:** b_1 prefers a_1 over a_2
- M^* is not stable – a contradiction



Critical Nodes

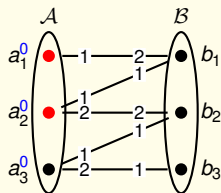
Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$



Feasible RSM: Multi-level Gale-Shapley algorithm

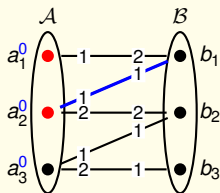
- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with



All $a \in \mathcal{A}$ are **initially** at level **0**

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm

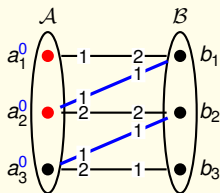


$a_2^0 \rightarrow b_1 \checkmark$

b_1 accepts a_2^0 's proposal as it was unmatched

Feasible RSM: Multi-level Gale-Shapley algorithm

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- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm



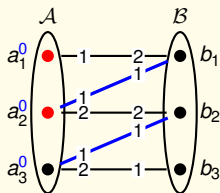
$a_2^0 \rightarrow b_1 \checkmark$

$a_3^0 \rightarrow b_2 \checkmark$

b_2 accepts a_3^0 's proposal as it was unmatched

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm

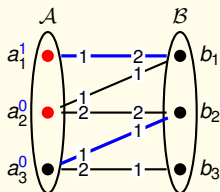


$a_2^0 \rightarrow b_1$ ✓
 $a_3^0 \rightarrow b_2$ ✓
 $a_1^0 \rightarrow b_1$ X

b_1 rejects a_1^0 's proposal as $M(b_1) = a_2^0$ is better preferred

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their level and propose again

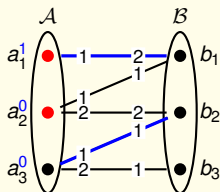


$a_2^0 \rightarrow b_1$ X ←
 $a_3^0 \rightarrow b_2$ ✓
 $a_1^1 \rightarrow b_1$ ✓

b_1 prefers a_1^1 as it is at a higher level

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their level and propose again
- Vertices at higher level are preferred more by $b \in \mathcal{B}$ than those at lower level



$a_2^0 \rightarrow b_1$ X

$a_3^0 \rightarrow b_2$ ✓

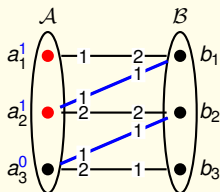
$a_1^1 \rightarrow b_1$ ✓

$a_2^0 \rightarrow b_2$ X

b_2 rejects a_2^0 's proposal as $M(b_2) = a_3^0$ is better preferred

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their level and propose again
- Vertices at higher level are preferred more by $b \in \mathcal{B}$ than those at lower level

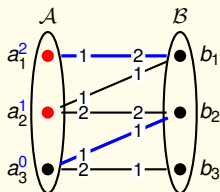


$a_2^0 \rightarrow b_1$ X
 $a_3^0 \rightarrow b_2$ ✓
 $a_1^1 \rightarrow b_1$ X ←
 $a_2^1 \rightarrow b_1$ ✓

b_1 prefers a_2^1 better than a_1^1

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their level and propose again
- Vertices at higher level are preferred more by $b \in \mathcal{B}$ than those at lower level



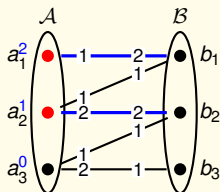
a_2^0	\rightarrow	b_1	X
a_3^0	\rightarrow	b_2	✓
a_1^1	\rightarrow	b_1	X
a_2^1	\rightarrow	b_1	X
a_1^2	\rightarrow	b_1	✓

↩

b_1 prefers a_1^2 as it is at a higher level

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their level and propose again
- Vertices at higher level are preferred more by $b \in \mathcal{B}$ than those at lower level



$a_2^0 \rightarrow b_1$ X

$a_3^0 \rightarrow b_2$ X

$a_1^1 \rightarrow b_1$ X

$a_2^1 \rightarrow b_1$ X

$a_2^1 \rightarrow b_1$ ✓

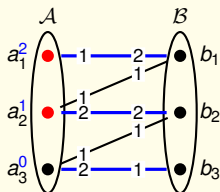
$a_2^1 \rightarrow b_2$ ✓



b_2 prefers a higher level neighbour a_2^1

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their level and propose again
- Vertices at higher level are preferred more by $b \in \mathcal{B}$ than those at lower level

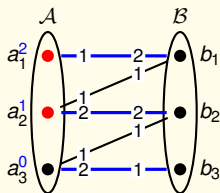


$a_2^0 \rightarrow b_1$ X
 $a_3^0 \rightarrow b_2$ X
 $a_1^1 \rightarrow b_1$ X
 $a_2^1 \rightarrow b_1$ X
 $a_1^2 \rightarrow b_1$ ✓
 $a_2^1 \rightarrow b_2$ ✓
 $a_3^0 \rightarrow b_3$ ✓

b_3 accepts a_3^0 's proposal as it was unmatched

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their level and propose again
- Vertices at higher level are preferred more by $b \in \mathcal{B}$ than those at lower level



$a_2^0 \rightarrow b_1$ X
 $a_3^0 \rightarrow b_2$ X
 $a_1^1 \rightarrow b_1$ X
 $a_2^1 \rightarrow b_1$ X
 $a_1^2 \rightarrow b_1$ ✓
 $a_2^1 \rightarrow b_2$ ✓
 $a_3^0 \rightarrow b_3$ ✓

The highest level achieved = 2 = $|C|$

Feasible RSM: Multi-level Gale-Shapley algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$
- All $a \in \mathcal{A}$ are at level 0 to begin with
- Execute Gale-Shapley algorithm
- Unmatched critical vertices raise their **level up to $|C|$** and propose again
- Vertices at higher level are preferred more by $b \in \mathcal{B}$ than those at lower level

Correctness

Assuming G admits a feasible matching:

Claim 1: Output matching M is feasible.

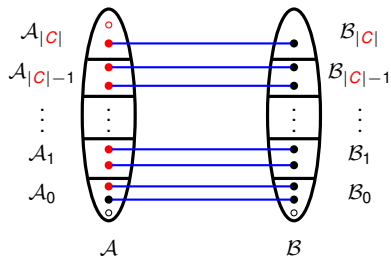
Claim 2: Output matching M is relaxed stable.

Properties of the output matching

- Each $a \in \mathcal{A}$ is assigned a level at the end of the algorithm

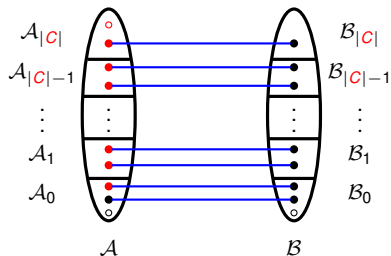
Properties of the output matching

- Each $a \in \mathcal{A}$ is assigned a level at the end of the algorithm
- Partition the vertices based on levels to give a level structure for G



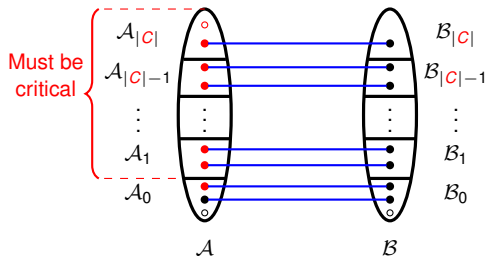
Properties of the output matching

- Each $a \in \mathcal{A}$ is assigned a level at the end of the algorithm
- Partition the vertices based on levels to give a level structure for G
- All the matched edges are horizontal



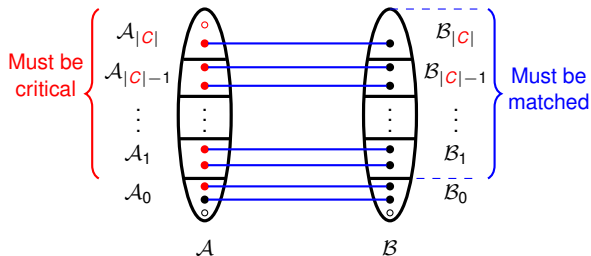
Properties of the output matching

- Each $a \in \mathcal{A}$ is assigned a level at the end of the algorithm
- Partition the vertices based on levels to give a level structure for G
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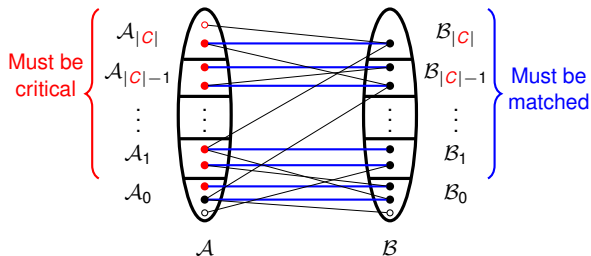
Properties of the output matching

- Each $a \in \mathcal{A}$ is assigned a level at the end of the algorithm
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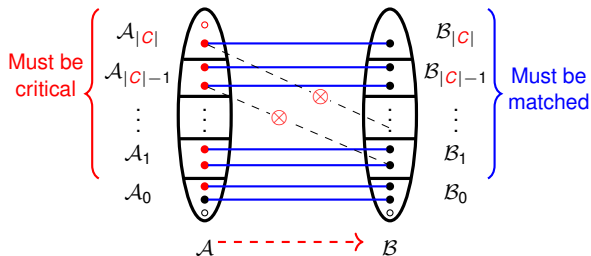
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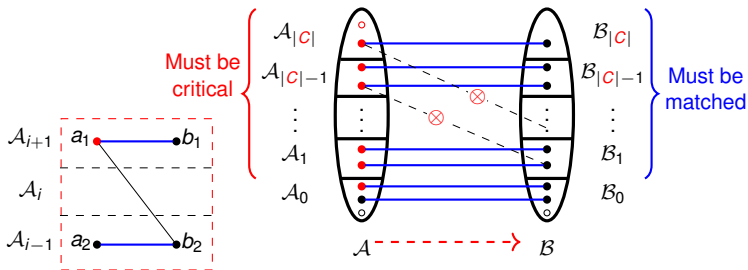
Properties of the output matching

- Each $a \in \mathcal{A}$ is assigned a level at the end of the algorithm
- Partition the vertices based on levels to give a level structure for G
- All the matched edges are horizontal
- No steep downward (at least two levels down) edges



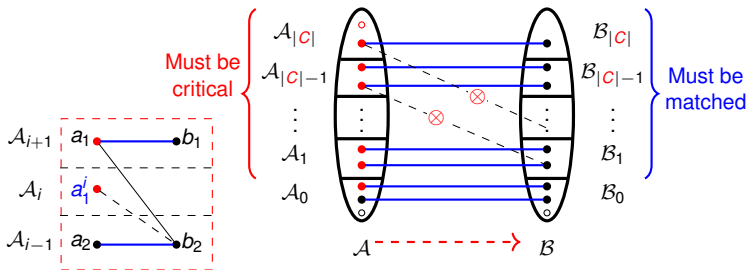
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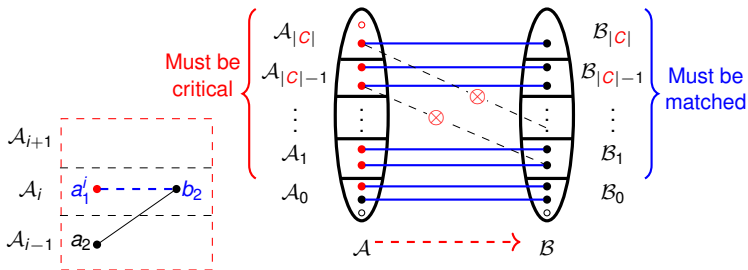
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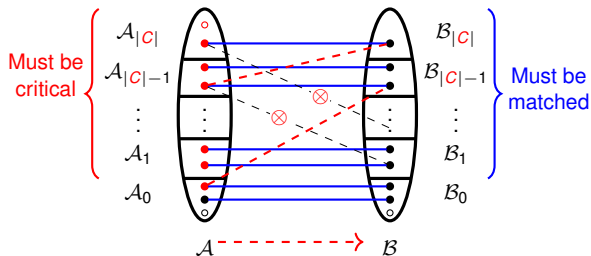
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- Partition the vertices based on levels to give a level structure for G
- All the matched edges are horizontal
- No steep downward (at least two levels down) edges



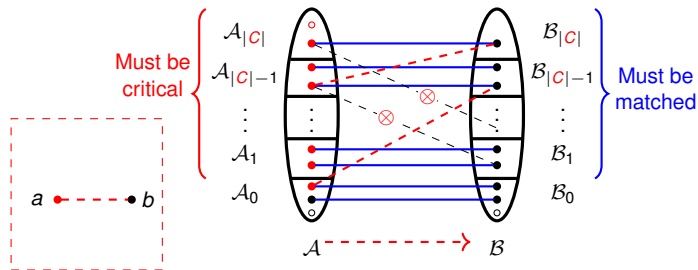
Properties of the output matching

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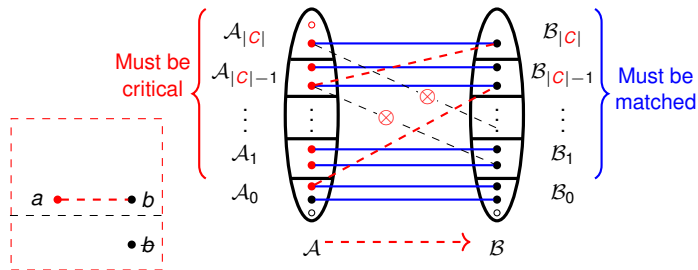
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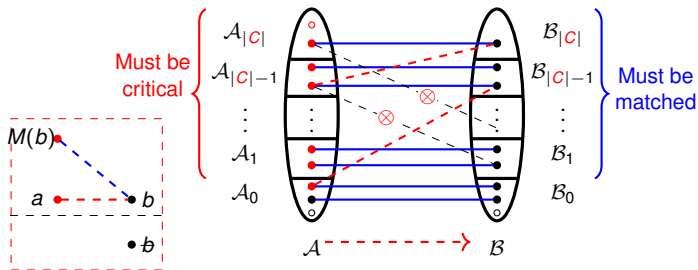
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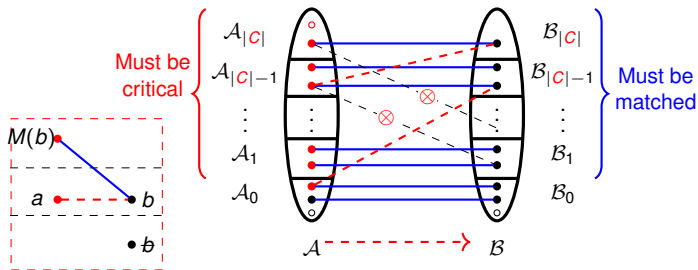
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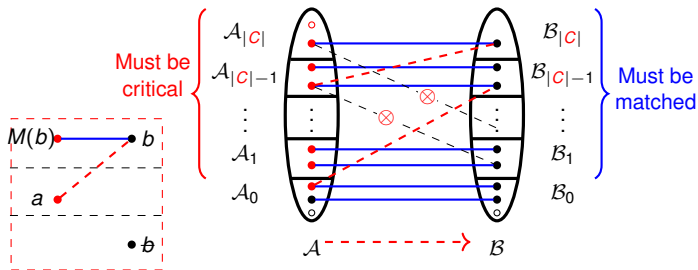
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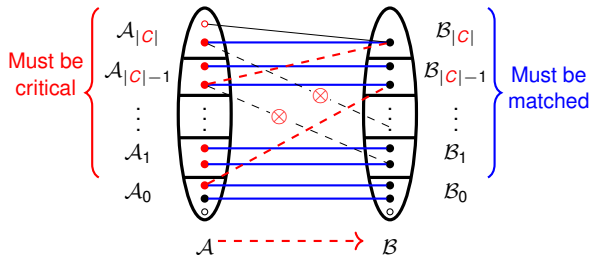
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- All neighbours of unmatched critical a are in $\mathcal{B}_{|C|}$



Output matching is feasible

Proof Sketch:

- By contradiction

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- By contradiction
 - Suppose M is not feasible. By assumption, a feasible matching N exists.

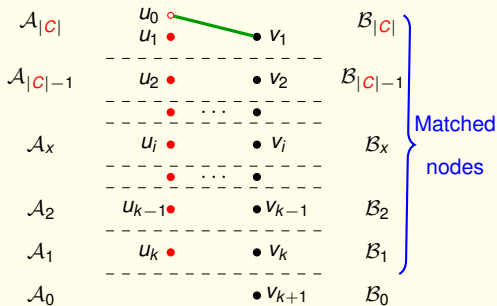
Correctness

Output matching is feasible

Proof Sketch:

■ By contradiction

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All neighbours of u_0 are in $B_{|C|}$

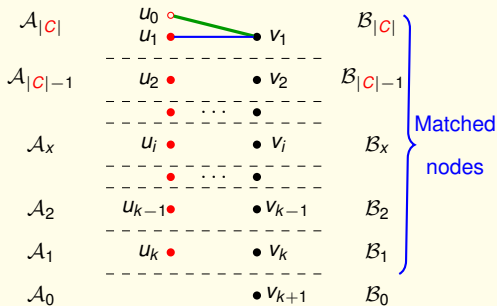
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All neighbours of u_0 are in $B_{|C|}$

All matched edges in M are horizontal

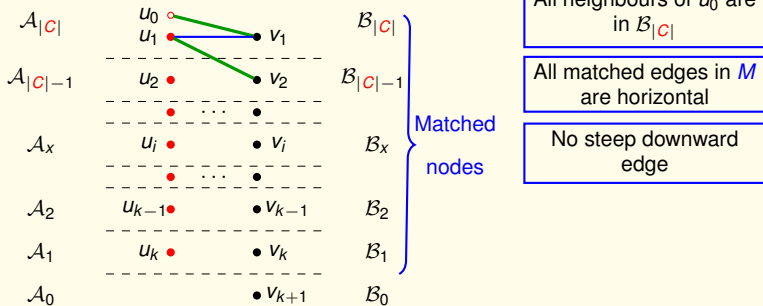
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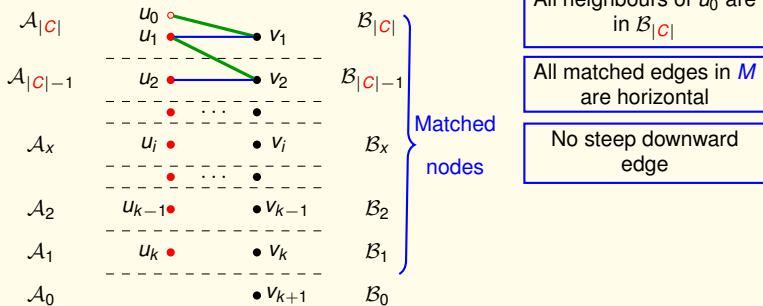
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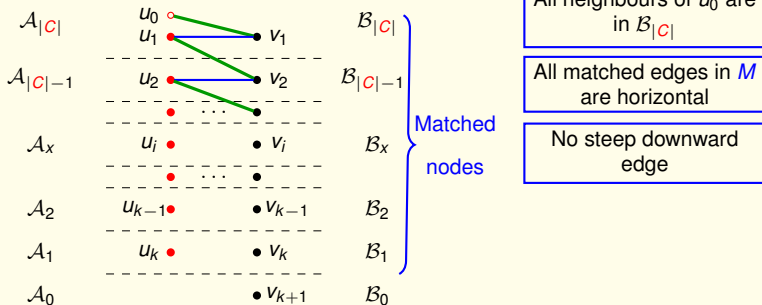
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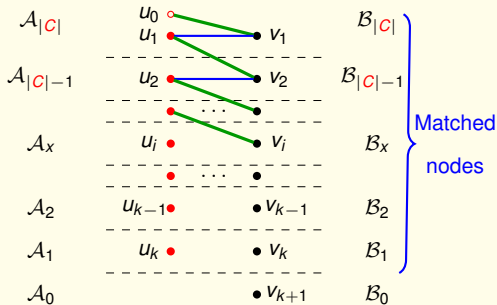
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All neighbours of u_0 are in $\mathcal{B}_{|C|}$

All matched edges in M are horizontal

No steep downward edge

Path must end in $\mathcal{A}_0 \cup \mathcal{B}_0$

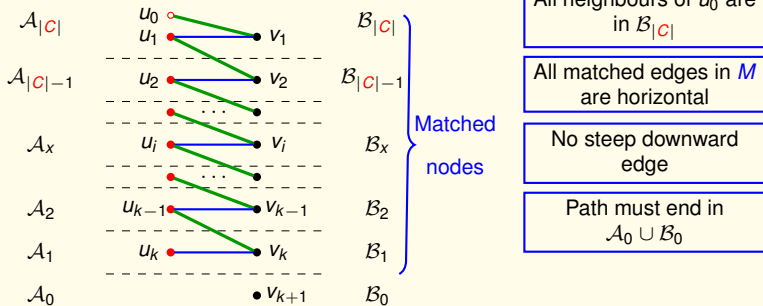
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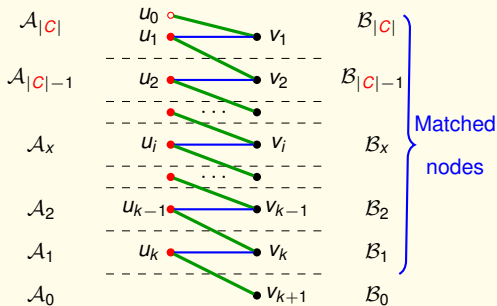
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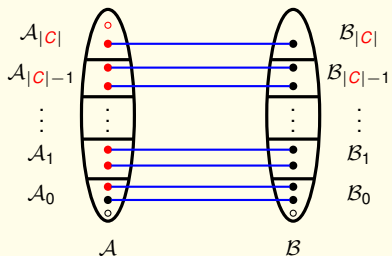
Path must end in $A_0 \cup B_0$

#critical vertices $> |C|$

Output matching M of our algorithm is Relaxed Stable Matching

Correctness

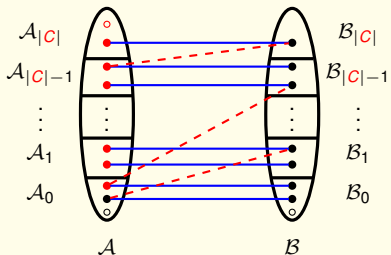
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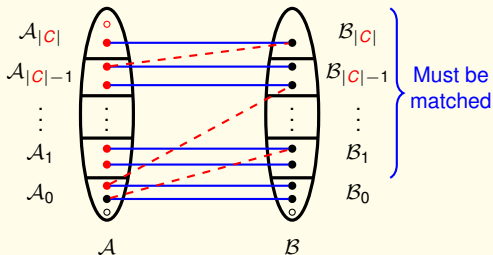
All **blocking** edges w.r.t. M are upwards



Correctness

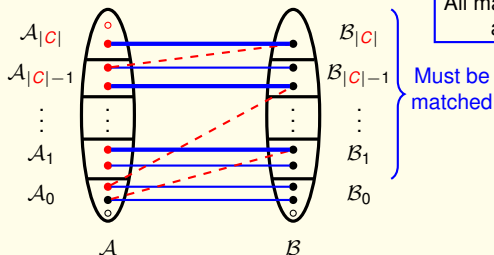
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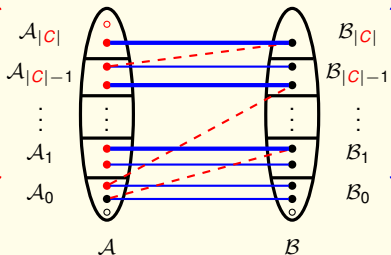
All matched edges in M are horizontal

Must be matched

Correctness

Output matching M of our algorithm is Relaxed Stable Matching

Must be critical



All **blocking** edges w.r.t. M are upwards

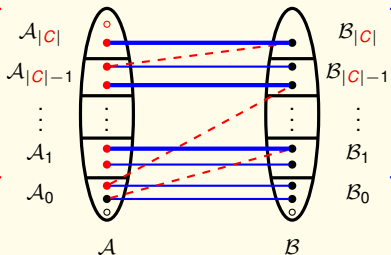
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All **blocking** edges w.r.t.
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All matched edges in M
are horizontal

Must be
matched

All **blocking** edges w.r.t.
 M are **justified**

Algorithm's Outline

An Evolving Perspective

Summary of our algorithm

- **Assumptions:** (i) Strict lists and (ii) No critical nodes

Gale-Shapley Level

No critical nodes

All vertices in \mathcal{A} propose to **all** neighbours in \mathcal{B}

Summary of our algorithm

- **Assumptions:** (i) Strict lists and (ii) $C \subseteq \mathcal{A}$

Higher level (Level 1, 2, \dots , $|C|$)

Critical nodes on \mathcal{A} -side

Critical vertices in \mathcal{A} propose to **all** neighbours in \mathcal{B}

Gale-Shapley level (Level 0)

No critical nodes

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Summary of our algorithm

- **Assumptions:** (i) **Tied lists** and (ii) $C \subseteq \mathcal{A}$

Higher level (Level 1, 2, ..., $|C|$)

Critical nodes on \mathcal{A} -side

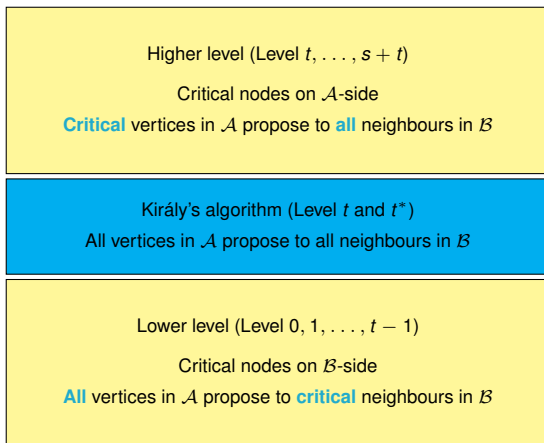
Critical vertices in \mathcal{A} propose to **all** neighbours in \mathcal{B}

Király's algorithm (Level 0 and 0*)

All vertices in \mathcal{A} propose to all neighbours in \mathcal{B}

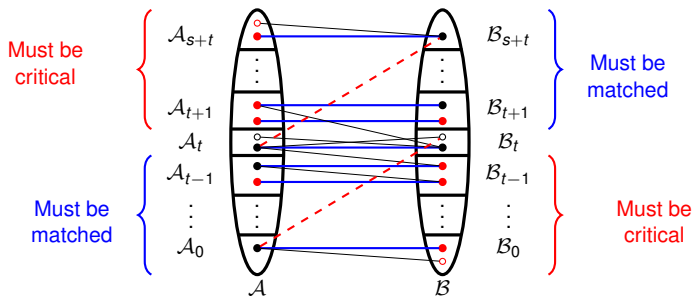
Summary of our algorithm

- **Assumptions:** (i) Tied lists, (ii) $C \subseteq \mathcal{A} \cup \mathcal{B}$ and (iii) $|\mathcal{A} \cap C| = s$ and $|\mathcal{B} \cap C| = t$



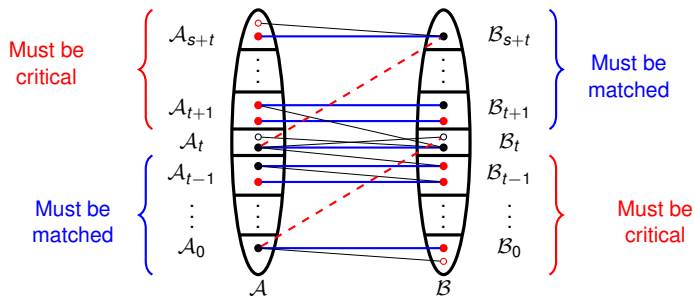
Summary of our algorithm

- M is critical



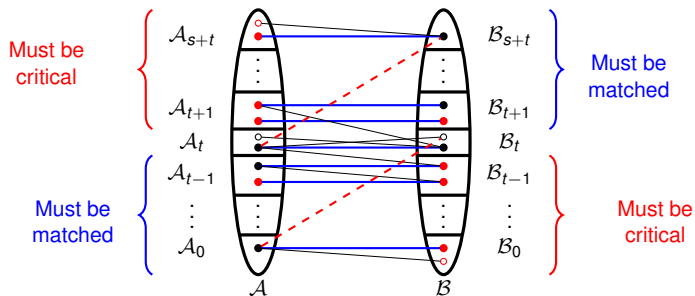
Correctness

- M is critical
- M is Relaxed Stable Matching (RSM)



Correctness

- M is critical
- M is Relaxed Stable Matching (RSM)
- $|M| \geq \frac{3}{2} \cdot |M^*|$ for any Max-size Critical Relaxed Stable Matching M^*



Conclusion

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Thank You!

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