

# UNIVERSALITY PROBLEM

in

1-CLOCK timed automata

Let  $T\Sigma^*$  denote the set of **all timed words**

Universality: Given  $A$ , is  $\mathcal{L}(A) = T\Sigma^*$  ?

Inclusion: Given  $A, B$ , is  $\mathcal{L}(B) \subseteq \mathcal{L}(A)$  ?

Universality and inclusion are **undecidable** when  $A$  has **two clocks** or more

A theory of timed automata

Alur and Dill. TCS'94

# A decidable case of the inclusion problem

Universality: Given  $A$ , is  $\mathcal{L}(A) = T\Sigma^*$ ?

Inclusion: Given  $A, B$ , is  $\mathcal{L}(B) \subseteq \mathcal{L}(A)$ ?

## One-clock restriction

Universality and inclusion are **decidable** when  $A$  has at most **one clock**

On the language inclusion problem for timed automata: Closing a decidability gap

Ouaknine and Worrell. LICS'05

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Universality and inclusion are **decidable** when  $A$  has at most **one clock**

On the language inclusion problem for timed automata: Closing a decidability gap

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In this lecture: **universality** for one clock TA

Step 0:

Well-quasi orders and Higman's Lemma

# Quasi-order

Given a set  $\mathcal{Q}$ , a **quasi-order** is a **reflexive** and **transitive** relation:

$$\sqsubseteq \subseteq \mathcal{Q} \times \mathcal{Q}$$

- ▶  $(\mathbb{N}, \leq)$
- ▶  $(\mathbb{Z}, \leq)$

Let  $\Lambda = \{A, B, \dots, Z\}$ ,  $\Lambda^* = \{\text{set of words}\}$

- ▶  $(\Lambda^*, \text{ lexicographic order } \sqsubseteq_L)$ :  $AAAB \sqsubseteq_L AAB \sqsubseteq_L AB$
- ▶  $(\Lambda^*, \text{ prefix order } \sqsubseteq_P)$ :  $AB \sqsubseteq_P ABA \sqsubseteq_P ABAA$
- ▶  $(\Lambda^*, \text{ subword order } \preccurlyeq)$   $HIGMAN \preccurlyeq HIGHMOUNTAIN$  [OW'05]

# Well-quasi-order

An infinite sequence  $\langle q_1, q_2, \dots \rangle$  in  $(\mathcal{Q}, \sqsubseteq)$  is **saturating** if  $\exists i < j : q_i \sqsubseteq q_j$

A quasi-order  $\sqsubseteq$  is a **well-quasi-order (wqo)** if **every** infinite sequence is saturating

- ▶  $(\mathbb{N}, \leq)$  *wqo*
- ✖ ▶  $(\mathbb{Z}, \leq)$  *-1 → -2 → -3 → ...*
- ▶  $(\Lambda^*, \text{ lexicographic order } \sqsubseteq_L)$ :
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- ▶  $(\Lambda^*, \text{ subword order } \preccurlyeq)$

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- ▶  $(\Lambda^*, \text{ subword order } \preccurlyeq)$  ?

# Higman's lemma

Let  $\sqsubseteq$  be a quasi-order on  $\Lambda$

Define the induced **monotone domination order**  $\preccurlyeq$  on  $\Lambda^*$  as follows:

$a_1 \dots a_m \preccurlyeq b_1 \dots b_n$  if there exists a strictly **increasing** function

$$f : \{1, \dots, m\} \mapsto \{1, \dots, n\} \text{ s.t}$$

$$\forall 1 \leq i \leq m : a_i \sqsubseteq b_{f(i)}$$



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Higman'52

If  $\sqsubseteq$  is a wqo on  $\Lambda$ , then the induced monotone domination order  $\preccurlyeq$  is a wqo on  $\Lambda^*$

# Subword order

$$\Lambda := \{A, B, \dots, Z\}$$

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*HIGMAN*  $\preccurlyeq$  *HIGHMOUNTAIN*

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Induced monotone domination order  $\preccurlyeq$  is the subword order

$$HIGMAN \preccurlyeq HIGHMOUNTAIN$$

By Higman's lemma,  $\preccurlyeq$  is a wqo too

If we start writing an infinite sequence of words, we will eventually write down a superword of an earlier word in the sequence

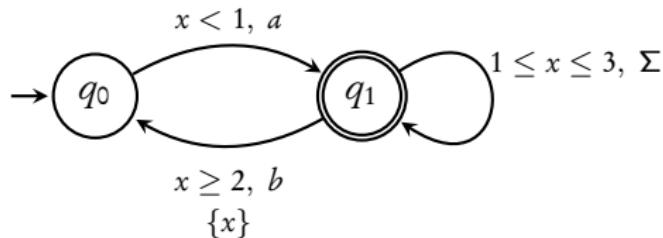
## Step 1:

A naive procedure for universality of one-clock  
TA

# Terminology

Let  $A = (Q, \Sigma, Q_0, \{x\}, T, F)$  be a timed automaton with one clock

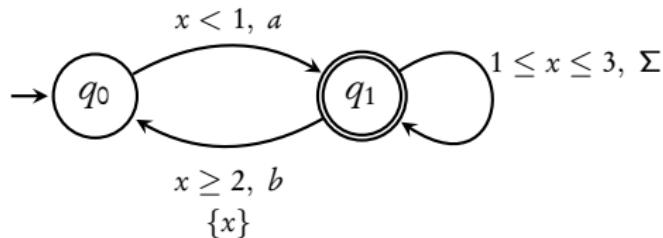
- ▶ **Location:**  $q_0, q_1, \dots \in Q$
- ▶ **State:**  $(q, u)$  where  $u \in \mathbb{R}_{\geq 0}$  gives value of the clock
- ▶ **Configuration:** finite set of states



# Terminology

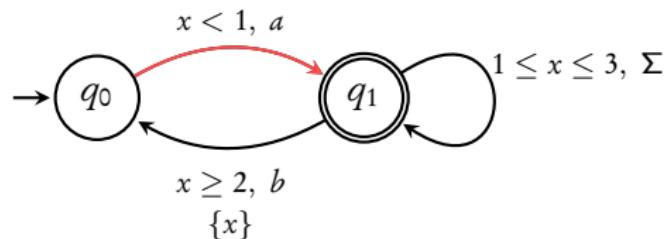
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- ▶ **Configuration:** finite set of states  $\{(q_1, 2.3), (q_0, 0)\}$



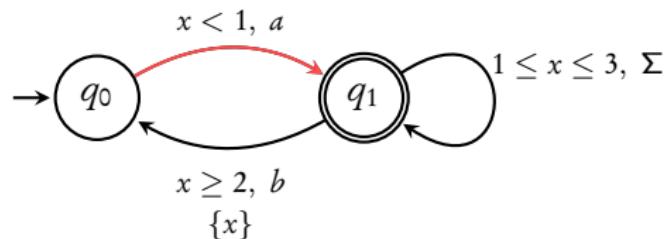
## Transition between configurations:

$$\{(q_0, 0)\} \xrightarrow{0.2, a}$$



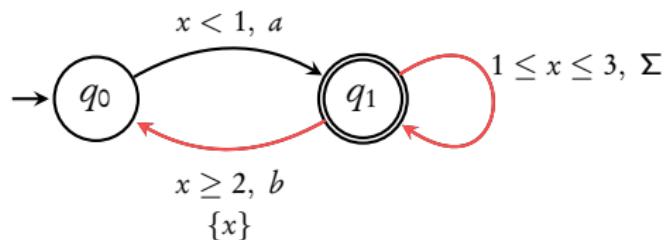
## Transition between configurations:

$$\{(q_0, 0)\} \xrightarrow{0.2, a} \{(q_1, 0.2)\}$$



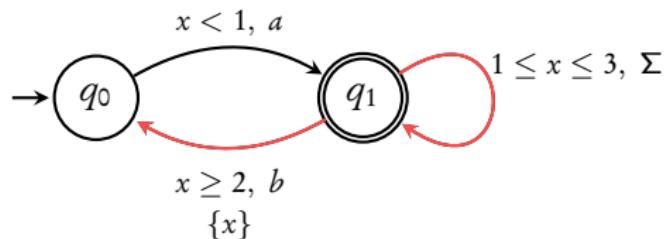
## Transition between configurations:

$$\{(q_0, 0)\} \xrightarrow{0.2, a} \{(q_1, 0.2)\} \xrightarrow{2.1, b}$$



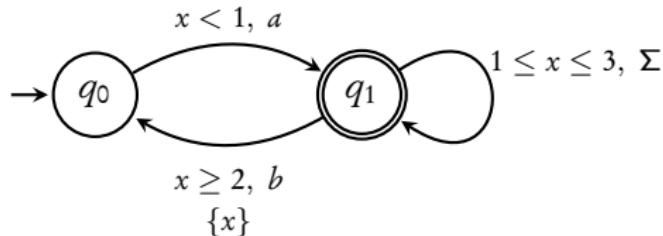
## Transition between configurations:

$$\{(q_0, 0)\} \xrightarrow{0.2, a} \{(q_1, 0.2)\} \xrightarrow{2.1, b} \{(q_1, 2.3), (q_0, 0)\} \dots$$



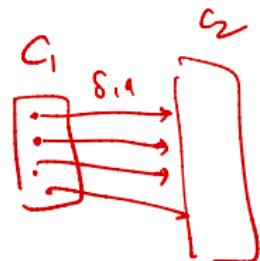
## Transition between configurations:

$$\{(q_0, 0)\} \xrightarrow[{}_{C_1}]{0.2, a} \{(q_1, 0.2)\} \xrightarrow[{}_{C_2}]{2.1, b} \{(q_1, 2.3), (q_0, 0)\} \dots$$

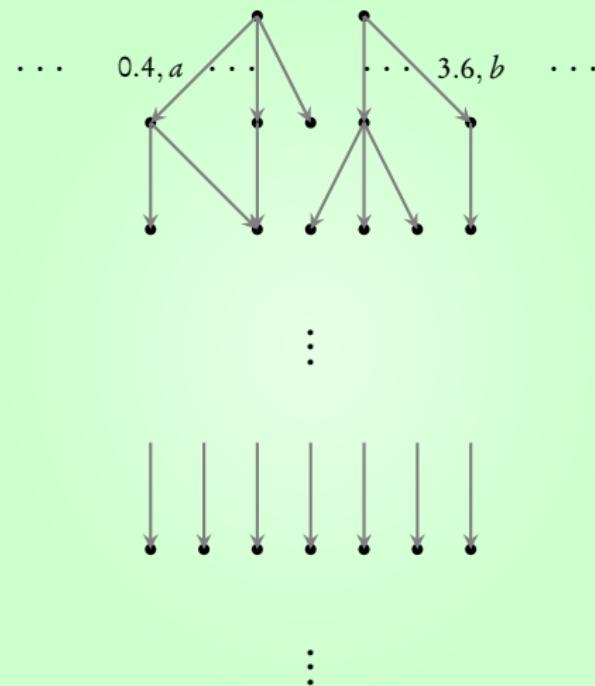


$$C_1 \xrightarrow{\delta, a} C_2 \text{ if}$$

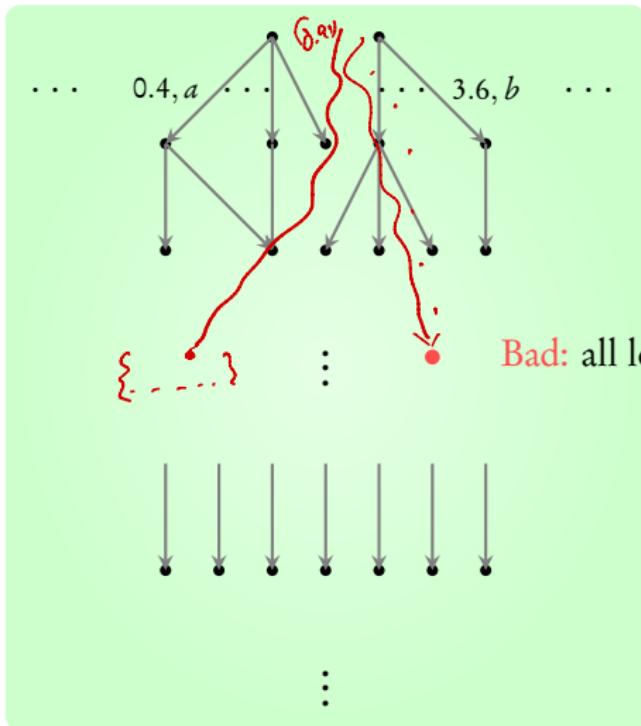
$$C_2 = \{ (q_2, u_2) \mid \exists (q_1, u_1) \in C_1 \text{ s. t. } (q_1, u_1) \xrightarrow{\delta, a} (q_2, u_2) \}$$



## Labeled transition system of **configurations**



## Labeled transition system of configurations

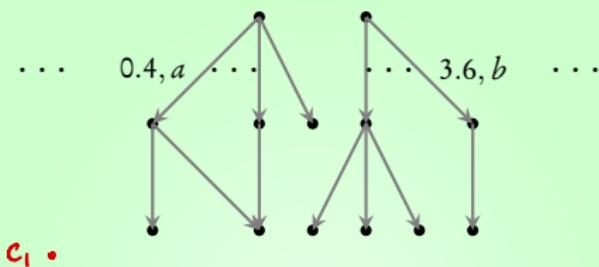


$(\delta_0, a_1), (\delta_1, a_2), \dots, (\delta_{n-1}, a_n)$

- unique path for each word in this transition system -

Bad: all locations **non-accepting**

## Labeled transition system of configurations



$c_1 \cdot$

$\vdots$



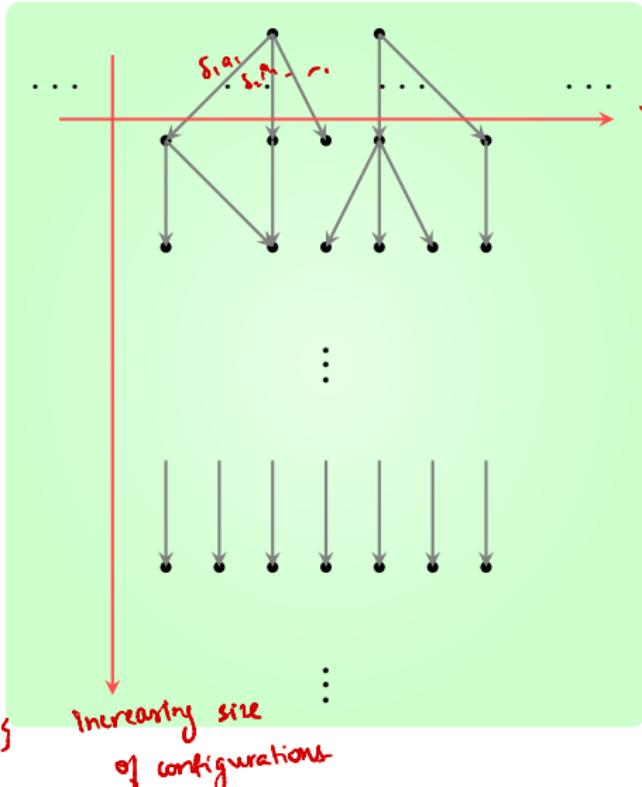
Bad: all locations **non-accepting**

$\vdots$

Checking universality of A  
reduces to this  
question:

Is a **bad** configuration **reachable** from some **initial** configuration?

$\{ \dots, \dots, \dots \}$   
 ↓  
 $\{ \dots, \dots, \dots \}$   
 ↓  
 $\{ \dots, \dots, \dots \}$



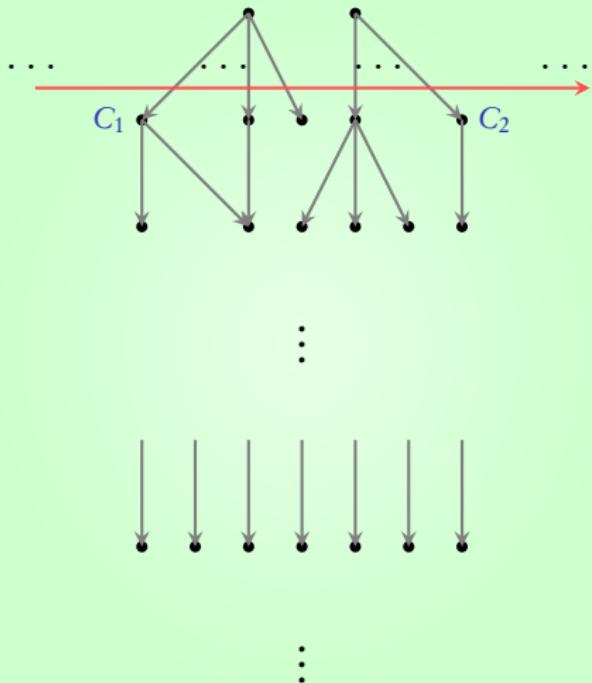
$s_i$  is uncountably many  
 uncountable branching.

Need to handle two dimensions of infinity!

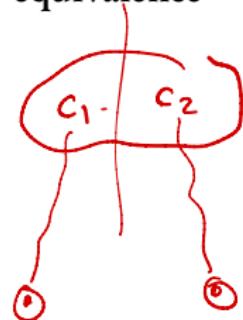
$q_0 \rightarrow q_0$   
 $q_0 \rightarrow q_1$

$\{ q_0, \dots \}$   
 ↓  
 $\{ q_0, q_1 \}$   
 $\{ q_0, q_1, q_2 \}$

$\{ q_0, q_1, q_2, q_3 \}$



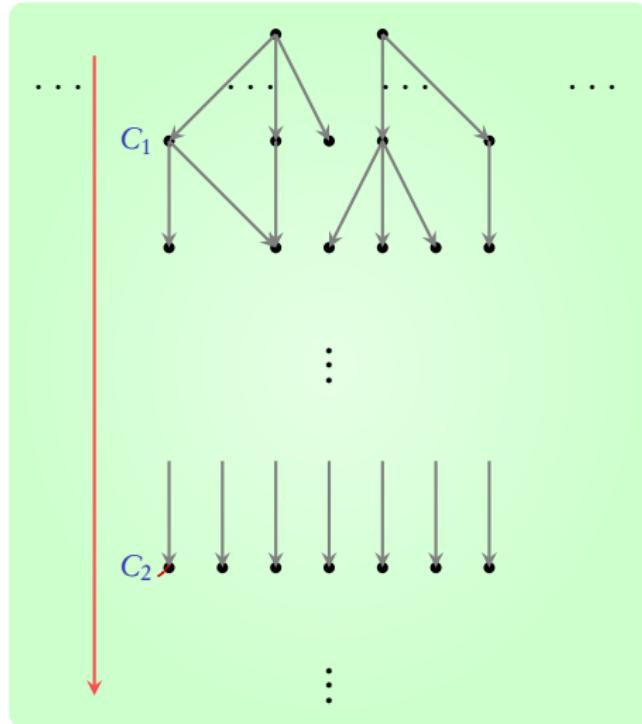
abstraction by equivalence  $\sim$



$C_1 \sim C_2$  should imply:

$C_1$  goes to a **bad config.**  $\Leftrightarrow C_2$  goes to a **bad config.**

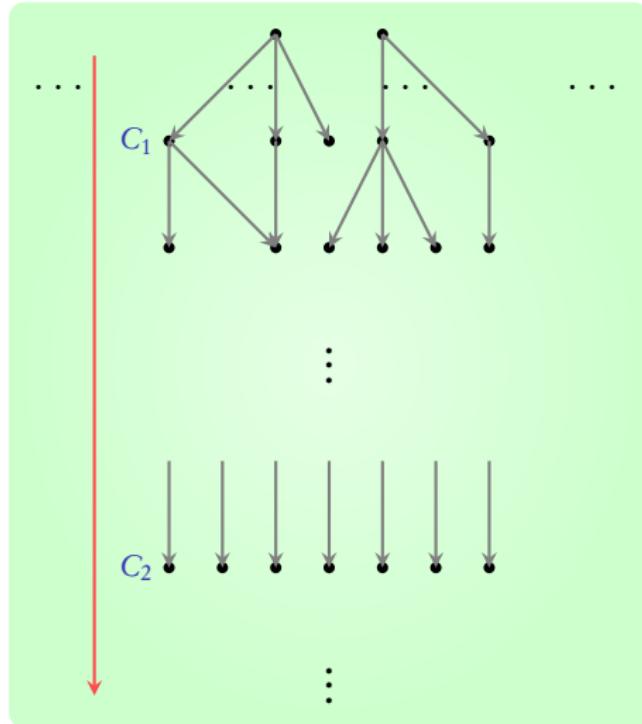
finite domination order  $\preccurlyeq$



$C_1 \preccurlyeq C_2$  should imply:

$C_2$  goes to a **bad** config  $\Rightarrow C_1$  goes to a **bad** config. too

finite domination order  $\preccurlyeq$



$C_1 \preccurlyeq C_2$  iff:

$C_2$  goes to a **bad** config  $\Rightarrow C_1$  goes to a **bad** config, too

No need to explore  $C_2$ !

## Step 2: The equivalence

Credits: Examples in this part taken from one of **Ouaknine's talks**

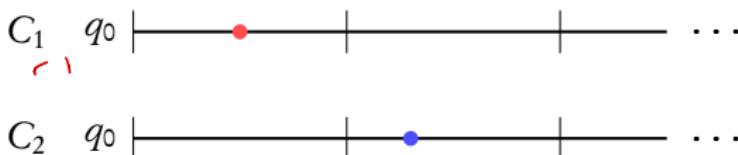
# Equivalent configurations: Examples

$$C_1 = \{(q_0, 0.5)\} \nsim C_2 = \{(q_0, 1.3)\}$$

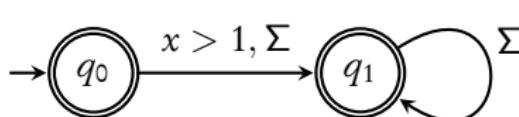


# Equivalent configurations: Examples

$$C_1 = \{(q_0, 0.5)\} \approx C_2 = \{(q_0, 1.3)\}$$



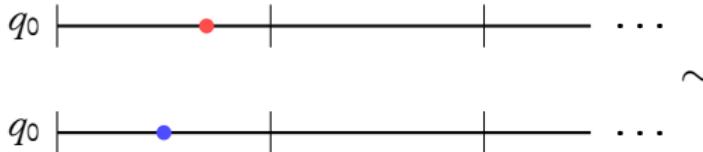
$(q_0, 0.5)$   
 $\xrightarrow{\alpha}$   
 $\xrightarrow{0, a}$   
 $\xrightarrow{\xi}$   
 $q_1$



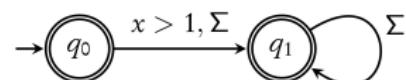
$(q_0, 0.5)$   
 $\xrightarrow{0, a}$   
 $\xrightarrow{\xi}$   
 $(q_1, 1.3)$

$C_2$  is universal, but  $C_1$  rejects  $(\alpha, 0)$

$(q_0, 0.8)$   
 $\downarrow$   
 $(q_0, 0)$   
 $\downarrow$   
 $\sim$

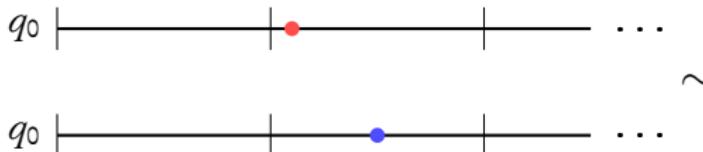


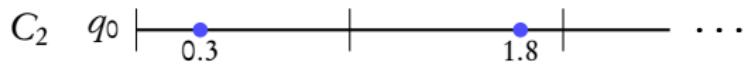
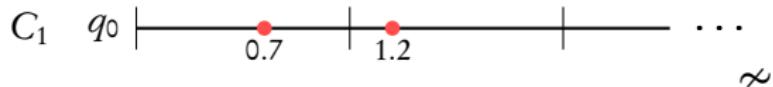
both reach a bad configuration.



$(q_0, 1.2)$   
 $\nearrow$   
 $(q_0, 1.4)$   
 $\nearrow$   
 $\sim$

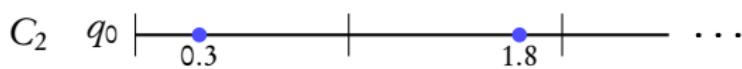
both universal





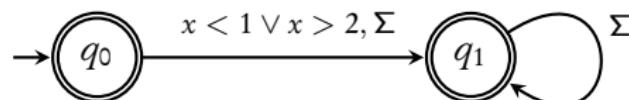
$$C_1 = \{ (q_0, 0.7), (q_0, 1.2) \}$$

$$\{(q_0, 0.7), (q_0, 1.2)\}$$



$$C_3 = \{(q_0, 0.5), (q_0, 1.4)\}$$

$$\{(q_0, 0.3), (q_0, 1.8)\}$$



$C_1 \sim C_3 ? \checkmark$

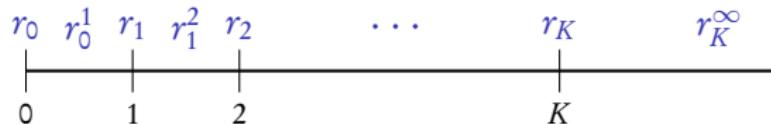
$C_2 \sim C_3 ? \times$

"  $\wedge$

$C_2$  is universal, but  $C_1$  rejects  $(a, 0.5)$

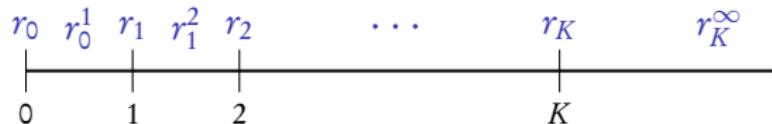
Let  $K$  be the largest constant appearing in  $A$

Define  $\text{REG} = \{r_0, r_0^1, r_1, \dots, r_K, r_K^\infty\}$



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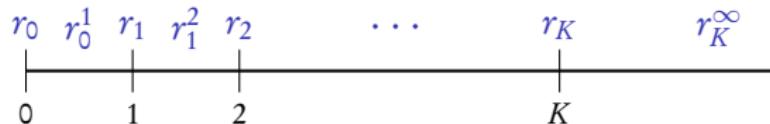
Define  $\text{REG} = \{r_0, r_0^1, r_1, r_1^2, r_2, \dots, r_K, r_K^\infty\}$



$$C = \{(q_1, 0.0), (q_1, 0.3), (q_1, 1.2), (q_2, 1.0), (q_3, 0.8), (q_3, 1.3)\}$$

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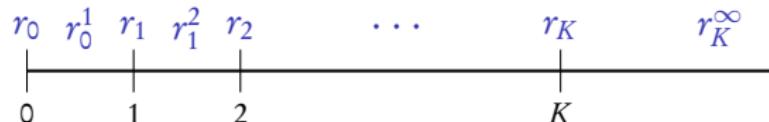


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$$\{(q_1, r_0, 0), (q_1, r_0^1, 0.3), (q_1, r_1^2, 0.2), (q_2, r_1, 0), (q_3, r_0^1, 0.8), (q_3, r_1^2, 0.3)\}$$

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$$\{(q_1, r_0, 0), (q_2, r_1, 0)\} \quad \{(q_1, r_1^2, 0.2)\} \quad \{(q_1, r_0^1, 0.3), (q_3, r_1^2, 0.3)\} \quad \{(q_3, r_0^1, 0.8)\}$$

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$$\{(q_1, \underline{r_0}, \underline{0}), (\underline{q_2}, \underline{r_1}, \underline{0})\} \{(q_1, \underline{r_1^2}, \underline{0.2})\} \{(q_1, \underline{r_0^1}, \underline{0.3})(q_3, \underline{r_1^2}, \underline{0.3})\} \{(q_3, \underline{r_0^1}, \underline{0.8})\}$$

$$H(C) = \{(q_1, \underline{r_0}), (\underline{q_2}, \underline{r_1})\} \|\{(q_1, \underline{r_1^2})\} \|(q_1, \underline{r_0^1})(q_3, \underline{r_1^2})\} \|(q_3, \underline{r_0^1})\}$$

Let  $K$  be the largest constant appearing in  $A$

$$REG := \{r_0, r_0^1, r_1, \dots, r_K, r_K^\infty\}$$

$$\Lambda := \mathcal{P}(Q \times REG)$$

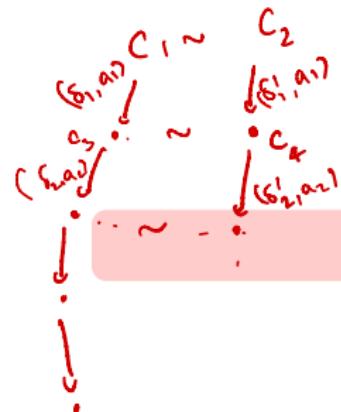
We can give  $\textcolor{blue}{H} : \textcolor{red}{C} \rightarrow \textcolor{red}{\Lambda^*}$  that remembers:

- ▶ **integral** part of the clock value (modulo  $K$ ) in each state of  $C$ ,
- ▶ **order of fractional** parts of the clock among different states in  $C$

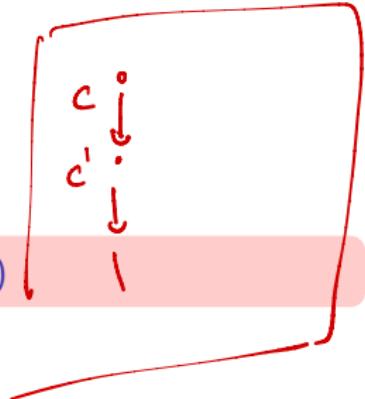
# Equivalence

$C_1 \sim C_2$  if  $H(C_1) = H(C_2)$

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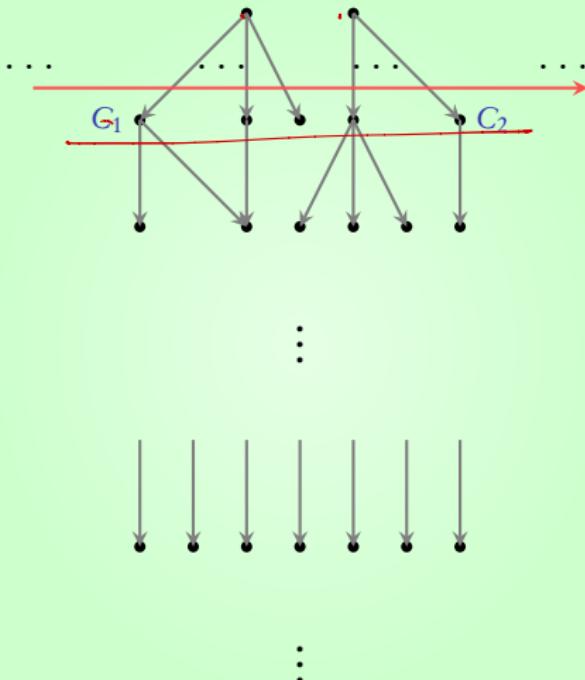


$[C_1 \sim C_2]$  if  $H(C_1) = H(C_2)$

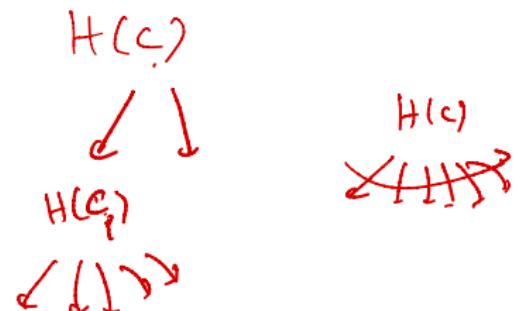


It can be shown that  $\sim$  is a **bisimulation**

$C_1$  goes to a **bad** config.  $\Leftrightarrow$   $C_2$  goes to a **bad** config.



abstraction by equivalence ~



$C_1 \sim C_2$  iff:

$C_1$  goes to a **bad** config.  $\Leftrightarrow$   $C_2$  goes to a **bad** config.

Associating a word to each configuration:

$$k = 10$$

$$C_1: \{ (q_1, 5.7), (q_1, 2.3), (q_2, 7.3), (q_2, 10.4), (q_3, 2.9) \}$$

↓

*Encoding*

$$H(c_1): \{ (q_1, r_2^3), (q_2, r_7^8) \} \quad \{ (q_1, r_5^6) \} \quad \{ (q_3, r_2^3) \} \quad \{ (q_2, r_{10}^\infty) \}$$

Time-Successors of  $H(c)$ :

$$H(c_1) \xrightarrow{\tau} \{ (q_3, r_3) \} \quad \{ (q_1, r_2^3), (q_2, r_7^8) \} \quad \{ (q_1, r_5^6) \} \quad \{ (q_2, r_{10}^\infty) \}$$

$$\{ (q_3, r_3^+) \} \quad \{ (q_1, r_2^3), (q_2, r_7^8) \} \quad \{ (q_1, r_5^+) \} \quad \{ (q_2, r_{10}^\infty) \}$$

$$\downarrow \tau$$

$$\{ (q_1, r_6) \} \quad \{ (q_3, r_3^+) \} \quad \{ (q_1, r_2^3), (q_2, r_7^+) \} \quad \{ (q_2, r_{10}^\infty) \}$$

$$\downarrow \tau$$

$$\{ (q_1, r_6^+) \} \quad \{ (q_3, r_3^+) \} \quad \{ (q_1, r_2^3), (q_2, r_7^+) \} \quad \{ (q_2, r_{10}^\infty) \}$$

$$\downarrow \tau$$

⋮

$$\{ (q_1, r_{10}^\infty), (q_2, r_{10}^\infty), (q_3, r_{10}^\infty) \}$$

Claim: Let  $H_1, H_2$  be encodings s.t.

$$H_1 \xrightarrow{\tau} H_2$$

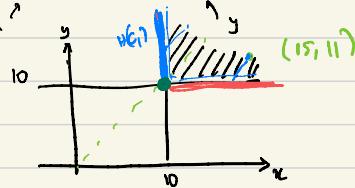
Then: for every  $c_2 \in H_2$ , there exists  $c_1 \in H_1$  s.t.

$$c_1 \xrightarrow{\delta} c_2 \text{ for some } \delta > 0$$

This claim is false!

- Consider  $H(c_i)$ :  $\underbrace{\{(q_{i_1}, r_{i_0})\}}_{H_1} \cup \underbrace{\{(q_{i_2}, r_{i_0}^\infty)\}}_{H_2}$

$$H_1 \xrightarrow{\tau} \{(q_{i_1}, r_{i_0}^\infty), (q_{i_2}, r_{i_0}^\infty)\}$$



Lemma: Let  $H_1, H_2$  be encodings s.t.

$$H_1 \xrightarrow{T} H_2$$

Then: for every  $c_1 \in H_1$  there exists a  $c_2 \in H_2$  s.t.

$$c_1 \xrightarrow{\delta} c_2 \text{ for some } \delta > 0$$

Proof: (Sketch) Given  $H_1 = w_1 w_2 \dots w_n w_\infty \xrightarrow{T} H_2$

$H_2$ : -1. If  $w_i$  "is an integer":

$$H_2 = w'_1 w'_2 \dots w'_n w'_\infty$$

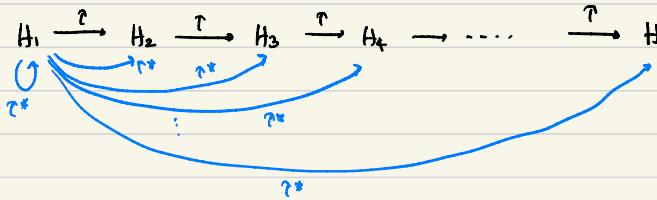
-2. If  $w_i$  "is not an integer"

$$H_2 = w'_n w_1 w_2 \dots w_n w_\infty$$

- In each case, find a specific  $\delta$  based on the given configuration  $c_1 \in H_1$ .

## Reflexive-transitive closure of $\tau$ :

$\tau$ : immediate successor



$\tau^*$ : reflexive transitive closure of  $\tau$ :

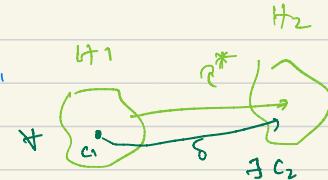
The previous lemma carries over to  $\tau^*$ :

lemma: let  $H_1, H_2$  be encodings s.t.

$$H_1 \xrightarrow{\tau^*} H_2$$

Then: for every  $c_1 \in H_1$  there exists a  $c_2 \in H_2$  s.t.

$$c_1 \xrightarrow{\delta} c_2 \text{ for some } \delta \geq 0$$

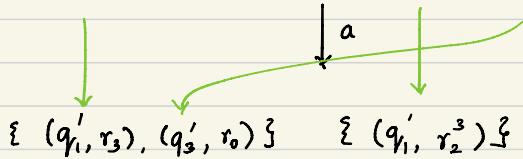
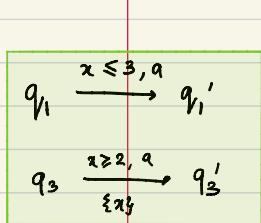


## Moral:

- Time successors of an encoding can be effectively computed
- Above lemma.

## Discrete-successors of $H(c)$

$$\{(q_1, r_3), (q_2, r_7)\} \quad \{(q_1, r_2^3), (q_3, r_4^5)\} \quad \{(q_4, r_{10}^\infty)\}$$



There can also be multiple edges on 'a' from a state.

Same lemma as before applies to discrete successors as well.

Lemma: let  $H_1, H_2$  be encodings s.t.

$$H_1 \xrightarrow{a} H_2$$

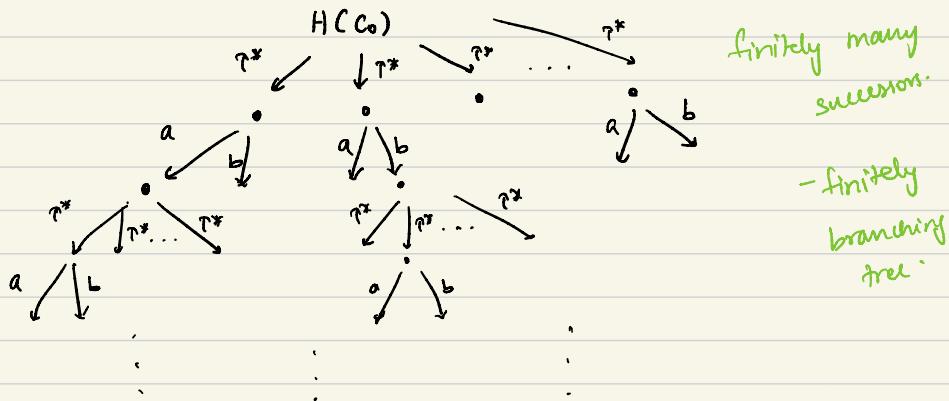
Then: for every  $c_1 \in H_1$  there exists a  $c_2 \in H_2$  s.t.

$$c_1 \xrightarrow{a} c_2$$

Moral:

- Discrete successor computation is also effective
- Above lemma

## Construction of a tree:- "Encoding tree"



## Soundness:

Proposition: For every path  $H_0 \xrightarrow{\tau^*, a_1} H_1 \xrightarrow{\tau^*, a_2} H_2 \rightarrow \dots \xrightarrow{a_n} H_n$

there exists a run:  $C_0 \xrightarrow{\delta_1, a_1} C_1 \xrightarrow{\delta_2, a_2} C_2 \rightarrow \dots \xrightarrow{\delta_n, a_n} C_n$

$$\text{s.t. } C_i \in H_i$$

## Completeness:

Proposition: For every run  $C_0 \xrightarrow{\delta_1, a_1} C_1 \xrightarrow{\delta_2, a_2} C_2 \rightarrow \dots \xrightarrow{\delta_n, a_n} C_n$

there exists a path:  $H(C_0) \xrightarrow{\tau^*, a_1} H(C_1) \xrightarrow{\tau^*, a_2} H(C_2) \rightarrow \dots \xrightarrow{a_n} H(C_n)$

Theorem: Automaton is not universal

iff

a bad node is reached in the encoding tree.

Encoding tree can be constructed on-the-fly.

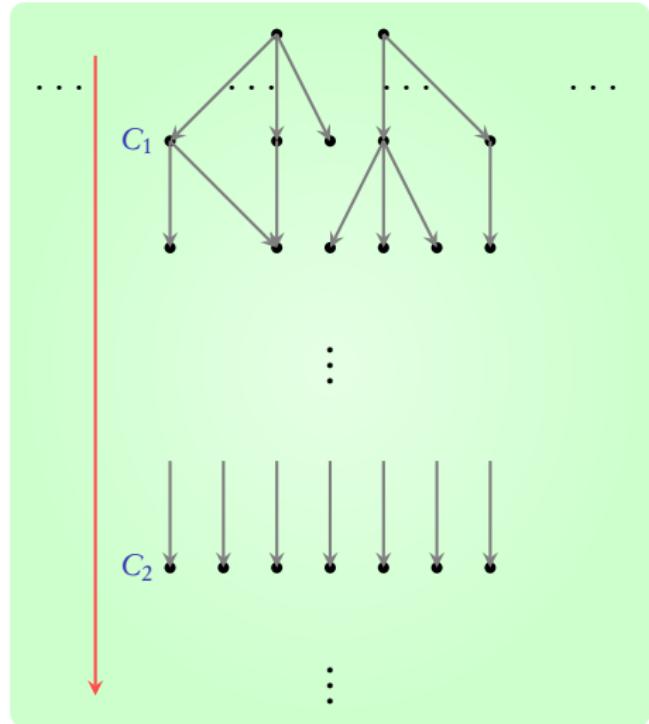
Termination??

A bad node in the tree is an encoding where  
all the locations are non-accepting.

**Step 3:**

**The domination order**

finite domination order  $\preccurlyeq$



$C_1 \preccurlyeq C_2$  iff:

$C_2$  goes to a **bad** config  $\Rightarrow C_1$  goes to a **bad** config. too

Look at  $H(C_1)$  and  $H(C_2)$ , the words over  $\Lambda^*$

$$\Lambda = \mathcal{P}( Q \times REG )$$

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Let  $\subseteq$  be the **inclusion** (quasi-)order on  $\Lambda$

Consider the induced monotone domination order  $\preccurlyeq$  over  $\Lambda^*$

$$H(C_1): \{(q_0, r_0)\} \quad \{(q_1, r_0^1), (q_0, r_2^3)\}$$



$$H(C_2): \{(q_0, r_0), (q_1, r_1)\} \quad \{(q_2, r_2^3)\} \quad \{(q_1, r_0^1), (q_0, r_2^3), (q_2, r_1^2)\}$$

Look at  $H(C_1)$  and  $H(C_2)$ , the words over  $\Lambda^*$

$$\Lambda = \mathcal{P}(Q \times REG)$$

Let  $\subseteq$  be the **inclusion** (quasi-)order on  $\Lambda$

Consider the induced monotone domination order  $\preccurlyeq$  over  $\Lambda^*$

$$C_1 \rightarrow H(C_1) \{(q_0, r_0)\} \underbrace{\{(q_1, r_0^1), (q_0, r_2^3)\}}_{\preccurlyeq} \{(q_1, r_0)\} \{(q_1, r_0^1), (q_0, r_2^3)\}$$

$$C_2 \rightarrow H(C_2) : \{(q_0, r_0), (q_1, r_1)\} \{(q_2, r_2^3)\} \{(q_1, r_0^1), (q_0, r_2^3), (q_2, r_1^2)\}$$

Theorem: If  $H(C_1) \preccurlyeq H(C_2)$ , then  $\exists C'_2 \subseteq C_2$  s.t.  $C_1 \sim C'_2$

$$\{(q_0, r_0), (q_1, r_1)\} \{(q_2, r_2)\} \{(q_1, r_0^1), (q_0, r_2^3), (q_2, r_1^2)\}$$



Look at  $H(C_1)$  and  $H(C_2)$ , the words over  $\Lambda^*$

$$\Lambda = \mathcal{P}(Q \times REG)$$

Let  $\subseteq$  be the **inclusion** (quasi-)order on  $\Lambda$

Consider the induced monotone domination order  $\preccurlyeq$  over  $\Lambda^*$

$$\{(q_0, r_0)\} \quad \{(q_1, r_0^1), (q_0, r_2^3)\}$$

$$\preccurlyeq$$

$$\{(q_0, r_0), (q_1, r_1)\} \quad \{(q_2, r_2^3)\} \quad \{(\textcolor{blue}{q_1, r_0^1}), (\textcolor{blue}{q_0, r_2^3}), (q_2, r_1^2)\}$$

**Theorem:** If  $H(C_1) \preccurlyeq H(C_2)$ , then  $\exists C'_2 \subseteq C_2$  s.t.  $C_1 \sim C'_2$

$\subseteq$  is a wqo as  $\Lambda$  is **finite**. Therefore,  $\preccurlyeq$  is a **wqo** due to **Higman's lemma**

# Final algorithm

- ▶ Start from  $H(C_0)$ , where  $C_0$  is the **initial configuration**
- ▶ Successor computation is **effective**
- ▶ Termination guaranteed as **domination order is wqo**
  - Before each successor computation, check if there is a smaller word. If yes, do not explore this node.

$A$  is **universal** iff the algorithm does **not reach a bad node**

## One-clock

Universality is **decidable** for one-clock timed automata

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For **two clocks**, we know universality is undecidable

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For **two clocks**, we know universality is undecidable

Where does this algorithm go wrong when  $A$  has two clocks?

# Two clocks

**State:**  $(q, u, v)$

**Configuration:**  $\{(q_1, u_1, v_1), (q_2, u_2, v_2), \dots, (q_n, u_n, v_n)\}$

At the **least**, the following should be remembered while abstracting:

- ▶ relative ordering between fractional parts of  $x$
- ▶ relative ordering between fractional parts of  $y$

**Current** encoding can remember **only one** of them

# Other encodings possible?

Consider some domination order  $\preccurlyeq$



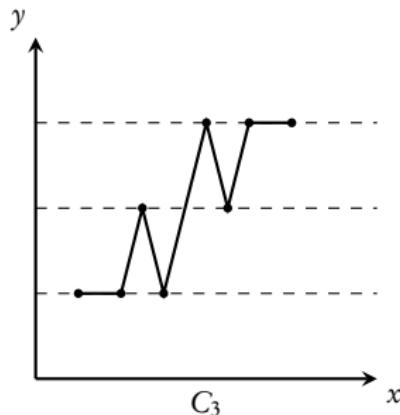
$C_1 \not\preccurlyeq C_2$  if for all  $C'_2 \subseteq C_2$ :

- ▶ either relative order of clock  $x$  does not match
- ▶ or relative order of clock  $y$  does not match

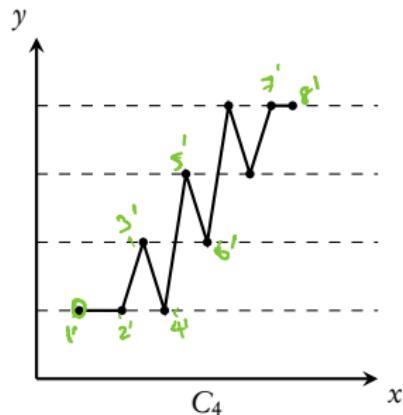
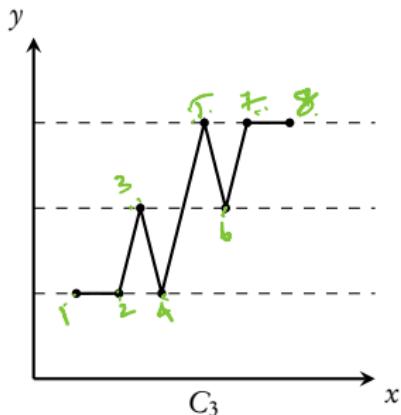


In the next slide: **No wqo** possible!

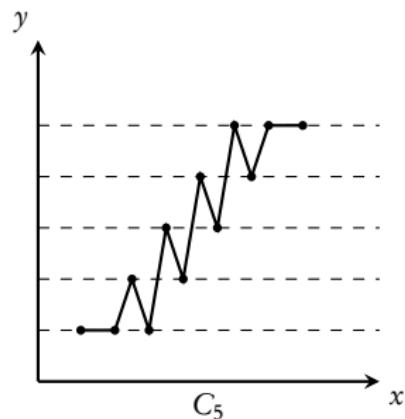
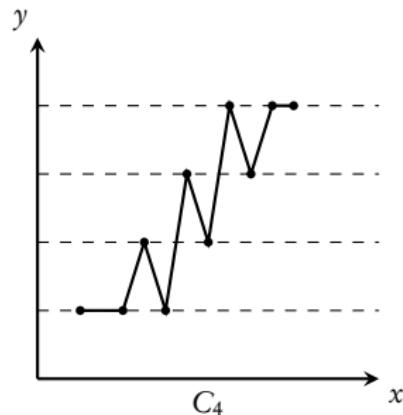
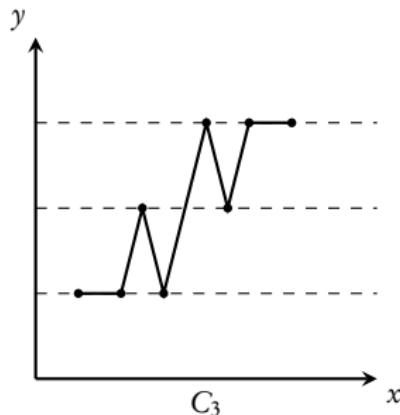
An infinite **non-saturating** sequence  $C_1, C_2, C_3, \dots$



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# An infinite **non-saturating** sequence $C_1, C_2, C_3, \dots$



# Conclusion

- ▶ An algorithm for **universality** when  $A$  has one clock
- ▶ Can be **extended** for  $\mathcal{L}(B) \subseteq \mathcal{L}(A)$  when  $A$  has one-clock