

# Programming in Haskell: Lectures 23 & 24

**S P Suresh**

November 4 & 6, 2019

## Balance

- The complexity of the key operations on trees depends on the **height** of the tree

## Balance

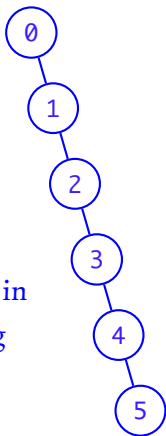
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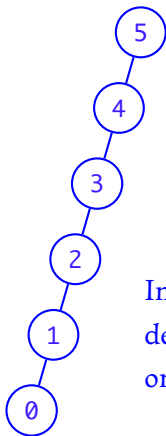
- The complexity of the key operations on trees depends on the **height** of the tree
- In general, a tree might not be balanced
- Inserting in ascending or descending order results in highly skewed trees

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Inserting in  
ascending  
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Inserting in  
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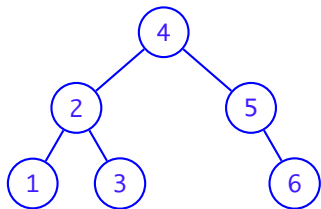
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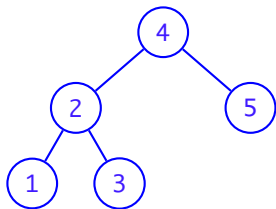
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- Height is still  $O(\log n)$



## Balanced search trees



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and size-balanced



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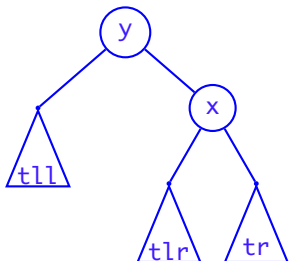
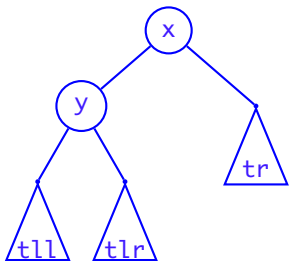
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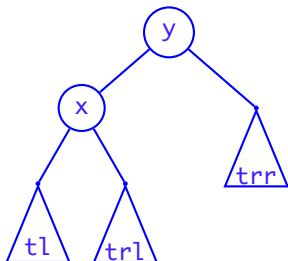
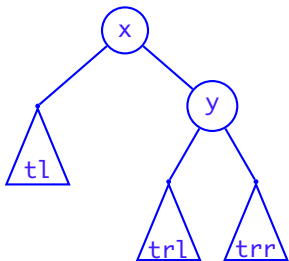
## Tree rotations – rotate right



- Useful when `tll` has large height
- In Haskell:

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rotateRight (Node x (Node y tll tlr) tr)  
= Node y tll (Node x tlr tr)
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## Tree rotations – rotate left



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rotateLeft (Node x t1 (Node y trl trr))  
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## AVL trees

- The data type in Haskell:

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- Also need a measure of how skewed a tree is – its **slope**

```
slope :: AVL a -> Int
slope Nil           = 0
slope (Node _ _ tl tr) = height tl - height tr
```

## AVL trees

- Check if `t` is an AVL tree:

```
isAVL :: Ord a => AVL a -> Bool
isAVL Nil      = True
isAVL t@(Node _ x tl tr)
    = abs (slope t) < 2 &&
      isAVL tl && isAVL tr &&
      (isEmpty tl || maxt tl < x) &&
      (isEmpty tr || x < mint tr)
```



## AVL trees – rotates

- Since we maintain height at each node, we need to adjust it after each operation:

```
rotateRight :: AVL a -> AVL a
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- Violations happen only at nodes visited by operation
- We rebalance each node on the path visited by operation

## Rebalancing – slope == 2

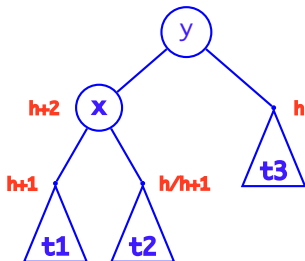
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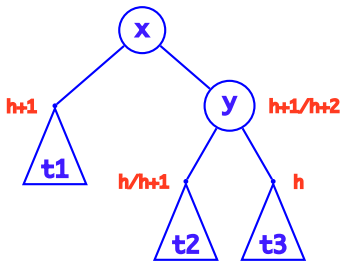
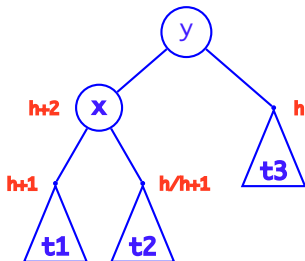
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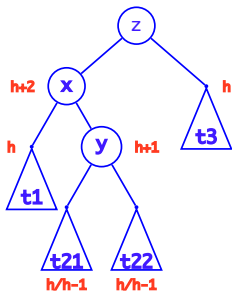
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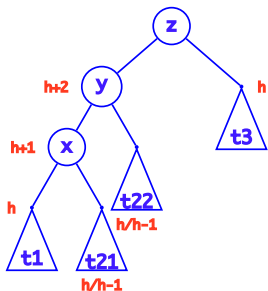
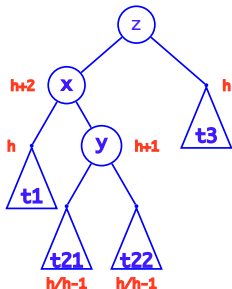
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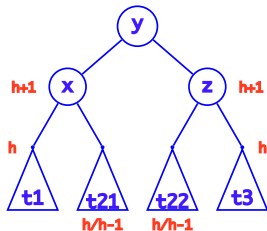
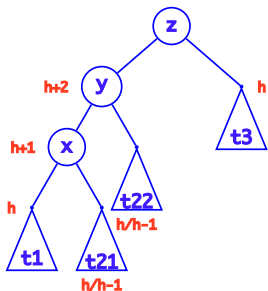
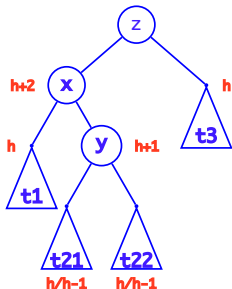
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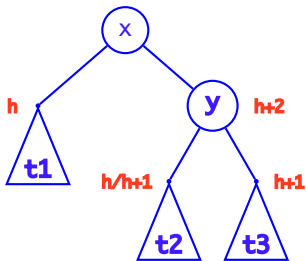
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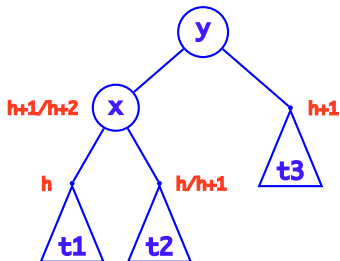
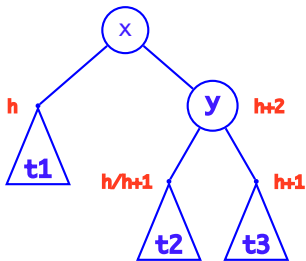
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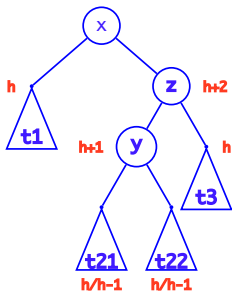
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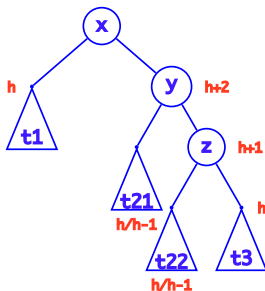
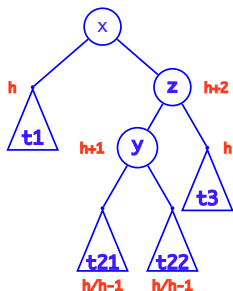
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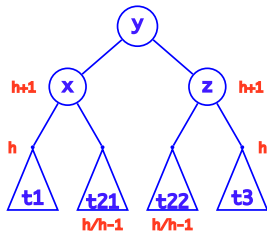
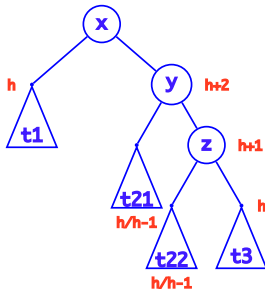
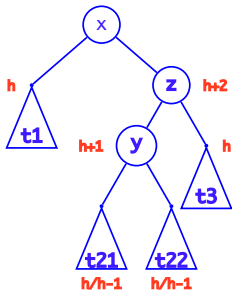
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## Rebalancing in Haskell

- The `rebalance` function

```
rebalance :: Ord a => AVL a -> AVL a
rebalance t@(Node h x tl tr)
  | abs st < 2 = t
  | st == 2 = if stl == -1 then
                rotateRight (Node h x (rotateLeft tl) tr)
              else rotateRight t
  | st == -2 = if str == 1 then
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  where (st, stl, str) = (slope t, slope tl, slope tr)
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```

- **Constant time** operation

## Searching in an AVL tree

```
searchAVL :: Ord a => a -> AVL a -> Bool
searchAVL v Nil = False
searchAVL v (Node _ x tl tr)
  | v == x = True
  | v < x  = searchAVL v tl
  | v > x  = searchAVL v tr
```



## Inserting in a tree

```
insertAVL :: Ord a => a -> AVL a -> AVL a
insertAVL v Nil = Node 1 v Nil Nil
insertAVL v t@(Node h x tl tr)
  | v < x = rebalance (Node nhl x ntl tr)
  | v > x = rebalance (Node nhr x tl ntr)
  | v == x = t
where
  ntl = insertAVL v tl
  ntr = insertAVL v tr
  nhl = 1 + max (height ntl) (height tr)
  nhr = 1 + max (height tl) (height ntr)
```

## Deleting the maximum element

```
deleteMax :: Ord a => AVL a -> (a, AVL a)
deleteMax (Node _ x tl Nil) = (x, tl)
deleteMax (Node h x tl tr)  = (y, rebalance (Node nh x tl ty))
  where
    (y, ty) = deleteMax tr
    nh      = 1 + max (height tl) (height ty)
```

## Deleting from a tree

```
deleteAVL :: Ord a => a -> AVL a -> AVL a
deleteAVL v Nil = Nil
deleteAVL v t@(Node h x tl tr)
  | v < x    = rebalance (Node nhl x ntl tr)
  | v > x    = rebalance (Node nhr x tl ntr)
  | v == x   = if isEmpty tl then tr
               else rebalance (Node nhy y ty tr)
where
  (y, ty)    = deleteMax tl
  (ntl, ntr) = (deleteAVL v tl, deleteAVL v tr)
  nhl       = 1 + max (height ntl) (height tr)
  nhr       = 1 + max (height tl) (height ntr)
  nhy       = 1 + max (height ty) (height tr)
```

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- Thus each operation takes  $O(\log n)$  time
- A sequence of  $n$  operations take at most  $O(n \log n)$  time

## Other useful functions

- Create an empty AVL tree:

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- Create an AVL tree from a list ( $O(n \log n)$  time):

```
createAVL :: Ord a => [a] -> AVL a
createAVL = foldl' (flip insertAVL) emptyAVL
```

## Other useful functions

- Create a sorted list from an AVL tree:

```
inorder :: Ord a => AVL a -> [a]
```

```
inorder Nil           = []
```

```
inorder (Node _ x tl tr) = inorder tl ++ [x] ++ inorder tr
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- Culprit is `++`, which takes  $O(n)$  time

## Other useful functions

- Smarter `inorder`:

```
inorder :: Ord a => AVL a -> [a]
inorder t                = go t []
  where go Nil l         = l
        go (Node _ x tl tr) l = go tl (x:go tr l)
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- $T(n) = 2T(n/2) + c$ , so  $T(n) = O(n)$
- We can sort a list in  $O(n \log n)$  time by:

```
treesort :: Ord a => [a] -> [a]
treesort = inorder . createAVL
```

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- `t` will not be a search tree in general
- If `l` itself is sorted, `t` is search tree
- This is just the smart `createTree` we saw in a previous class
- Works in  $O(n)$  time

## inorderTree

```
inorderTree :: [a] -> AVL a
inorderTree l = fst (go (length l) l)
  where
    go :: Int -> [a] -> (AVL a, [a])
    go 0 xs = (Nil, xs)
    go n xs = (Node h y tl tr, zs)
      where
        m = n `div` 2
        (tl, y:ys) = go m xs
        (tr, zs) = go (n-m-1) ys
        h = 1 + max (height tl) (height tr)
```

## A module for AVL trees

- Saved in `AVL.hs`

```
module AVL(AVL, emptyAVL, isEmpty, isAVL,  
           insertAVL, deleteAVL, searchAVL,  
           createAVL, inorder, inorderTree) where
```

```
data AVL a = Nil | Node Int a (AVL a) (AVL a)  
  deriving (Eq, Ord)
```

```
instance Show a => Show (AVL a) where  
  show t = intercalate "\n" (draw t)
```

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```

- Can be used to define the `Set` ADT

## The Set ADT again

```
module Set(Set, emptySet, createSet,  
          insertInto, deleteFrom, search,  
          union, intersect, diff) where  
  
import AVL  
  
data Set a = Set (AVL a)  
instance (Ord a, Show a) => Show (Set a) where  
    show (Set t) = show (inorder t)  
  
emptySet :: Ord a => Set a  
emptySet = Set emptyAVL
```

## The Set ADT again

```
createSet :: Ord a => [a] -> Set a  
createSet = Set . createAVL
```

```
search :: Ord a => a -> Set a -> Bool  
search x (Set t) = searchAVL x t
```

```
insertInto :: Ord a => a -> Set a -> Set a  
insertInto x (Set t) = Set (insertAVL x t)
```

```
deleteFrom :: Ord a => a -> Set a -> Set a  
deleteFrom x (Set t) = Set (deleteAVL x t)
```

## More set operations

```
union :: Ord a => Set a -> Set a -> Set a
union (Set t1) (Set t2) = Set $ inorderTree $
    unionMerge (inorder t1) (inorder t2)
```

```
unionMerge :: Ord a => [a] -> [a] -> [a]
```

```
unionMerge [] ys = ys
```

```
unionMerge xs [] = xs
```

```
unionMerge (x:xs) (y:ys)
```

```
  | x < y = x:unionMerge xs (y:ys)
```

```
  | y < x = y:unionMerge (x:xs) ys
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## More set operations

`intersect :: Ord a => Set a -> Set a -> Set a`

`intersect (Set t1) (Set t2) = Set $ inorderTree $`

`intersectMerge (inorder t1) (inorder t2)`

`intersectMerge :: Ord a => [a] -> [a] -> [a]`

`intersectMerge [] ys = []`

`intersectMerge xs [] = []`

`intersectMerge (x:xs) (y:ys)`

`| x < y = intersectMerge xs (y:ys)`

`| y < x = intersectMerge (x:xs) ys`

`| x == y = x:intersectMerge xs ys`

## More set operations

```
diff :: Ord a => Set a -> Set a -> Set a
diff (Set t1) (Set t2) = Set $ inorderTree $
    diffMerge (inorder t1) (inorder t2)
```

```
diffMerge :: Ord a => [a] -> [a] -> [a]
```

```
diffMerge [] ys = []
```

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diffMerge (x:xs) (y:ys)
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- Mathematical definitions almost directly transcribed to code
- Pattern matching is very powerful
- Allows us to easily specify complex transformations on data