

Programming in Haskell

Aug–Nov 2015

LECTURE 20

OCTOBER 29, 2015

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Priority queues

- * **Priority queue**: a queue, with each element having a **priority**
- * Elements exit the queue by priority, not in the order they entered
- * Think of me in the snack queue!
- * Each element in a priority queue is a pair (p,v) , where **p** is the priority and **v** is the value
- * Assume that priorities are integers

Priority queues

- * **Operations on priority queues:** insert and delmax
- * `insert :: PriorityQueue a -> a -> PriorityQueue a`
- * `delmax :: PriorityQueue a -> (a, PriorityQueue a)`

Priority queue implementations

- * **Unsorted lists**

- * **insert** – $O(1)$ time, **delmax** – $O(N)$ time

- * **Sorted lists** – descending order of priority

- * **insert** – $O(N)$ time, **delmax** – $O(1)$ time

- * **Balanced binary search trees**

- * **insert** – $O(\log N)$ time, **delmax** – $O(\log N)$ time (just go down the rightmost path till the end, and remove the node)

Heaps

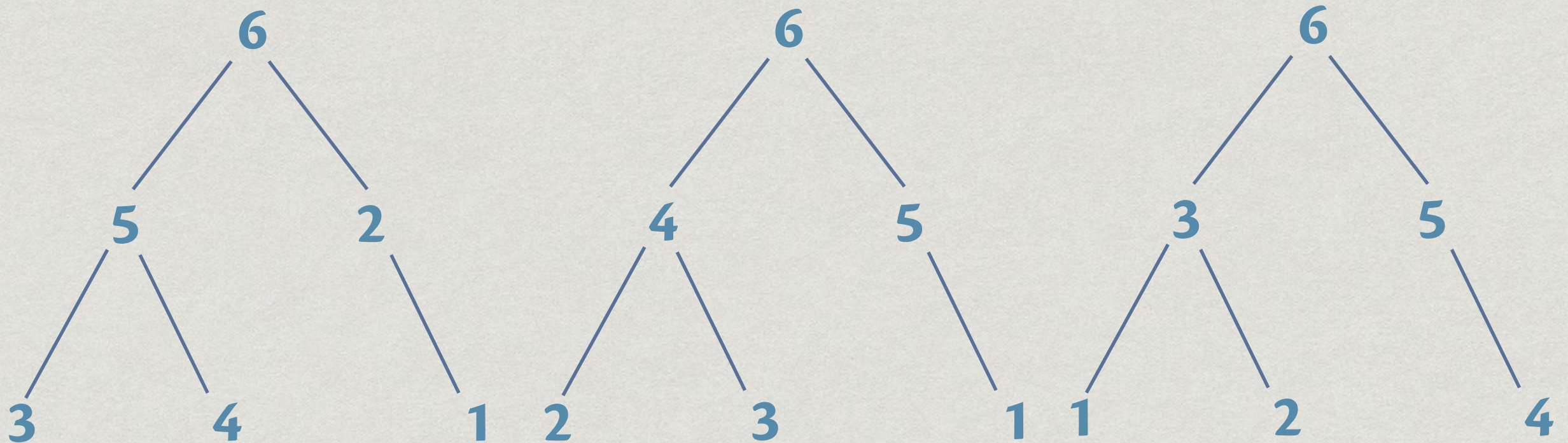
- * A **heap** is another way to implement priority queues
- * To determine the maximum, it is not necessary that all elements be sorted
- * We need to keep track of the maximum
- * Also the possible second maximum, to be installed as the new maximum after **delmax**
- * The next maximum ...

Heaps

- * A **heap** is a binary tree satisfying the **heap** property
- * `data Heap a = HNil | HNode a (Heap a) (Heap a)`
- * **The heap property:** The value at a node is larger than the value at its two children
- * **Heap:** A tree where every node satisfies the heap property

Example heaps

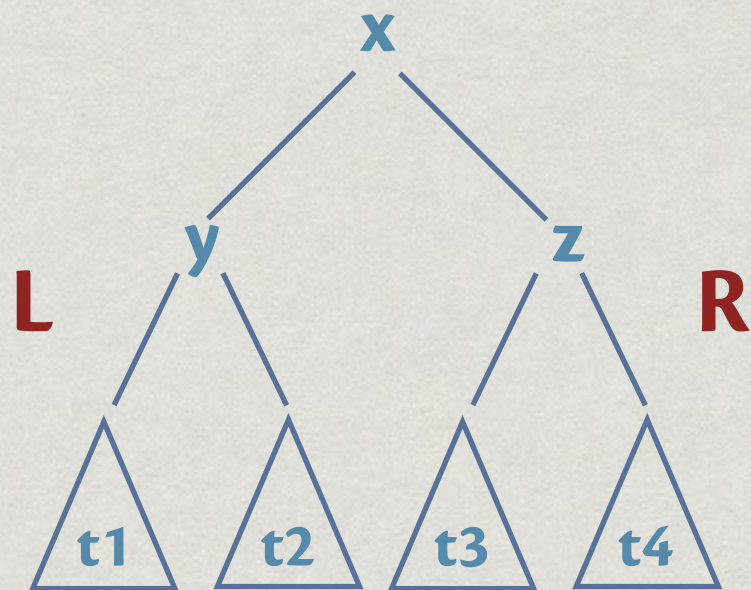
Three heaps with elements [1..6]



In a heap, the largest element is always at the root

Repairing heaps

- * Assume that **L** and **R** below, with roots **y** and **z**, are already heaps
- * How do we ensure that the tree rooted at **x** is a heap



- * If $x \geq \max y z$, all is okay, else swap **x** with $\max y z$, say **z**
- * Now heap property holds at the root
- * **L** is undisturbed, but **R** might fail to be a heap
- * Recursively repair **R**
- * This process is called **sifting**

Sifting

```
* sift :: Ord a => Heap a -> Heap a
sift HNil = HNil
sift t@(HNode x HNil HNil) = t
sift t@(HNode x (HNode y t1 t2) HNil)
  | x >= y      = t
  | otherwise   = HNode y (sift (HNode x t1 t2) HNil)
sift t@(HNode x HNil (HNode z t3 t4))
  | x >= z      = t
  | otherwise   = HNode z HNil (sift (HNode x t3 t4))
sift (HNode x tl@(HNode y t1 t2) tr@(HNode z t3 t4))
  | x >= max y z = HNode x tl tr
  | y >= max x z = HNode y (sift (HNode x t1 t2)) tr
  | z >= max x y = HNode z tl (sift (HNode x t3 t4))
```

* Note the **as-patterns**

Form a heap

- * If the tree is balanced, there are at most $\log N$ recursive calls needed to sift, and the resulting heap is still balanced
- * Start with a balanced tree, recursively **heapify** both subtrees, and then sift
- * Procedure taking time $T(N) = 2T(N/2) + c \cdot \log N$

Form a heap

- * $T(N) = c \cdot \log N + 2T(N/2)$

- * Letting $N = 2^m$,

$$T(N) = cm + 2T(2^{m-1})$$

$$= cm + 2[c(m-1) + 2T(2^{m-2})]$$

$$= cm + 2c(m-1) + 2^2[c(m-2) + 2T(2^{m-3})]$$

$$= \dots$$

$$= c[m + 2(m-1) + 2^2(m-2) + 2^3(m-3) + \dots + 2^{m-1}(m-m+1)]$$

$$2T(N) = c[2m + 2^2(m-1) + 2^3(m-2) + \dots + 2^{m-1}(m-m+2) + 2^m(m-m+1)]$$

$$T(N) = c[-m + 2 + 2^2 + 2^3 + \dots + 2^{m-1} + 2^m]$$

$$= c[2N - \log N]$$

$$= O(N)$$

Form a heap

- * `heapify :: Ord a => AVLTree a -> Heap a`
`heapify HNil = HNil`
`heapify (HNode tl x h tr) = sift (HNode x`

`(heapify tl)`
`(heapify tr))`
- * `listToHeap :: Ord a => [a] -> Heap a`
`listToHeap = heapify . mkAVLTree`
- * `listToHeap` works in **$O(N)$** time
- * `mkAVLTree` will only produce a **balanced tree**, **not a balanced search tree**, since the input need not be sorted

List a heap in sorted order

- * `horder :: (HTree a) -> [a]`
`horder HNil = []`
`horder (HTree x h1 h2) = x:(merge (horder h1)`

`(horder h2))`
- * The output is in descending order – apply `reverse` to the output
- * `horder` takes $O(N \log N)$ time – cannot do better
- * `heapsort = horder . heapify . mkAVLTree`

Insert and deletemax

- * Efficient computation of union of two heaps of size M and N in time $O(\log M + \log N)$
- * Use **heap union** to **insert** and **delete maximum**
- * $\text{insert } t \ x = \text{union } t \ (\text{HNode } x \ \text{HNil} \ \text{HNil})$
- * $\text{delmax } (\text{HNode } x \ t1 \ t2) = (x, \text{union } t1 \ t2)$
- * Both **insert** and **delmax** run in $O(\log N)$ time

Rightist heaps

- * To efficiently implement **union**, we form **rightist heaps**
- * These are heaps where at every node, the right subtree has at least as many nodes as the left subtree
- * As with AVL trees, we assume that we store the size along with each node of a heap

Rightist heaps

- * One can easily convert any heap to a rightist heap
- * $\text{realign} :: \text{Heap } a \rightarrow \text{Heap } a$
 $\text{realign HNil} = \text{HNil}$
 $\text{realign (HNode } x \ t1 \ t2)$
 | $\text{size } t2 < \text{size } t1 = \text{HNode } x \ t2 \ t1$
 | otherwise $= \text{HNode } x \ t1 \ t2$
- * Recall that heaps do not impose an order between left and right subtrees
- * Recall that **size** is constant time if stored in each node

Rightist heaps

- * One can easily convert any heap to a rightist heap
- * $\text{mkRightist} :: \text{Heap } a \rightarrow \text{Heap } a$
 $\text{mkRightist HNil} = \text{HNil}$
 $\text{mkRightist (HNode } x \text{ } t1 \text{ } t2) = \text{realign (HNode } x \text{ } (\text{mkRightist } t1) \text{ } (\text{mkRightist } t2))$
- * Takes time $O(N)$
- * The **left spine** (the leftmost path) of a rightist heap is of length at most $\log N$

Left spine of a rightist heap

- * The **left spine** (the leftmost path) of a rightist heap is of length at most $\log N$
- * Assume a heap **h** of size $N = p + q + 1$, where **p** and **q** are sizes of the left and right subtrees, **h1** and **h2**
- *
$$\begin{aligned} \text{lls}(h) &= 1 + \text{lls}(h1) \\ &\leq 1 + \log p \\ &= \log 2 + \log p \\ &= \log (2p) \\ &\leq \log (p + q) \text{ -- since } h \text{ is rightist, } p \leq q \\ &\leq \log (1+p+q) \\ &= \log N \end{aligned}$$

Union of rightist heaps

- * `union :: (Heap a) -> (Heap a) -> (Heap a)`
`union t HNil = t`
`union HNil t = t`
`union (HNode x t1 t2) (HNode y t3 t4)`
 `| x < y =`
 `realign (HNode y (union (HNode x t1 t2) t3) t4)`
 `| otherwise =`
 `realign (HNode x (union t1 (HNode y t3 t4)) t2)`
- * Each step of `union` makes one step down the left spine of one of the heaps
- * But the left spine is bounded by $\log(\text{size}(\text{heap}))$
- * Thus overall there are at most $O(\log M + \log N)$ steps required

Summary

- * **Priority queue** data structure
- * **Heaps**: forming heaps using **heapify**
- * Efficient **insert** and **deletemax** using **union**
- * Efficient union using **rightist heaps**

Recursion and efficiency

- * Consider the function `fib`, which computes the `n`-th Fibonacci number `F(n)`
- *
`fib 0 = 1`
`fib 1 = 1`
`fib n = fib (n-1) + fib (n-2)`
- * Lots of recursive calls, computing the same value over and over again
- * Computes `F(n)` in unary, in effect

Recursion and efficiency

- * Let $G(n)$ be the number of recursive calls to `fib 0` in the computation of `fib n`, for $n > 1$
- * $G(2) = 1$ – one call to `fib 0`
 $G(3) = 1$ – one call to `fib 0`
- * **Claim:** $G(n) = F(n-2)$
Proof:
True for $n = 2$ and $n = 3$.
For $n > 3$, $G(n) = G(n-1) + G(n-2)$, since there is one call to `fib (n-1)` and one to `fib (n-2)`.
But $G(n-1) = F(n-3)$ and $G(n-2) = F(n-4)$, by induction hypothesis.
Thus $G(n) = F(n-3) + F(n-4) = F(n-2)$.

Recursion and efficiency

- * How do we fix this?
- * Store the computed values (**in an array**) and use them
- * In a language like **C**, we would have this code:

```
int fibs[n];  
fibs[0] = fibs[1] = 1; i = 2;  
while (i <= n) {  
    fibs[i] = fibs[i-1] + fibs[i-2];  
    i++;  
}  
return fibs[n];
```


Recursion and efficiency

- * We can simplify this even more, since only the last two elements of the `fibs` array are needed
- *

```
int prev = 1, curr = 1, i = 2;
int temp;
while (i <= n) {
    temp = prev;
    prev = curr;
    curr = temp + prev;
    i++;
}
return curr;
```


Recursion and efficiency

- * Linear-time Fibonacci in Haskell. **Laziness to the rescue!**

- *

```
fastfib n = fibs !! n
fibs :: [Integer]
fibs = 1 : 1 : zipWith (+) fibs (tail fibs)
```

- *

```
1:1:zipWith (+) [1,1,...] [1,...]
⇒ 1:1:(1+1):zipWith (+) [1,2,...] [2,...]
⇒ 1:1:2:(1+2):zipWith (+) [2,3,...] [3,...]
⇒ 1:1:2:3:(2+3):zipWith (+) [3,5,...] [5,...]
⇒ 1:1:2:3:5:...
⇒ ...
```


Another example: `lcss`

- * Given two strings `str1` and `str2`, find the **length** of the **longest common subsequence** of `str1` and `str2`
- * `lcss "agcat" "gact" = 3`
 - "gat" is the subsequence
- * `lcss "abracadabra" "bacarrat" = 6`
 - "bacara" is the subsequence

Another example: `lcss`

- *
 - `lcss "" _ = 0`
 - `lcss _ "" = 0`
 - `lcss (c:cs) (d:ds)`
 - `| c == d = 1 + lcss cs ds`
 - `| otherwise = max (lcss (c:cs) ds)`
`(lcss cs (d:ds))`
- * `lcss cs ds` takes time $\geq 2^n$, when `cs` and `ds` are of length `n`
- * Similar problem to `fib`, same recursive call made multiple times
- * **Store the computed values for efficiency**

Linear-time sort

- * Given a list of n integers, each between 0 and 9999, sort the list
- * Easy to do with arrays
- * Count the number of occurrences of each $j \in \{0, \dots, 9999\}$ in the list, storing in an array `counts`
- * Output `count[j]` copies of j , j ranging from 0 to 9999

Linear-time sort

- *

```
// Input – int arr[n];  
int counts[10000], output[n];  
for (j = 0; j < 10000; j++)  
    counts[j] = 0;  
for (i = 0; i < n; i++)  
    counts[arr[i]]++;  
last = 0;  
for (j = 0; j < 10000; j++)  
    for (i = 0; i < counts[j]; i++)  
        output[last] = j, last++;
```
- * This works in time $O(n+10000)$ time

Arrays in Haskell

- * Lists store a collection of elements
- * Accessing the *i*-th element takes *i* steps
- * Would be useful to access any element in constant time
- * **Arrays** in Haskell offer this feature
- * The module **Data.Array** has to be imported to use arrays

Arrays in Haskell

- * `import Data.Array`
`myArray :: Array Int Char`
- * The **indices** of the array come from `Int`
The **values** stored in the array come from `Char`
- * `myArray = listArray (0,2) ['a','b','c']`

Index	0	1	2
Value	'a'	'b'	'c'

Creating arrays: listArray

- * `listArray ::`
 `Idx i => (i,i) -> [e] -> Array i e`
- * `Idx` is the class of all **index** types, those that can be used as indices in arrays
 - * If `Idx a`, `x` and `y` are of type `a` and `x < y`, then **the range of values between `x` and `y` is defined and finite**
- * `Idx` includes `Int`, `Char`, `(Int,Int)`, `(Int,Int,Char)` etc. but not `Float` or `[Int]`
- * The first argument of `listArray` specifies the smallest and largest index of the array
- * The second argument is the list of values to be stored in the array

Creating arrays: listArray

- * `listArray (1,1) [100..199]`
`array (1,1) [(1,100)]`
- * `listArray ('m','p') [0,2..]`
`array ('m','p') [('m',0),('n',2),('o',4),('p',6)]`
- * `listArray ('b','a') [1..]`
`array ('b','a') []`
- * `listArray (0,4) [100..]`
`array (0,4) [(0,100),(1,101),(2,102),(3,103),(4,104)]`
- * `listArray (1,3) ['a','b']`
`array (1,3) [(1,'a'),(2,'b'),(3,*** Exception:`
`(Array.!): undefined array element`

Creating arrays: listArray

- * The value at index `i` of array `arr` is accessed using `arr!i` (unlike `!!` for list access)
- * `arr!i` returns an exception if no value has been defined for index `i`
- * `myArr = listArray (1,3) ['a','b','c']`
- * `myArr ! 4`
*** Exception: Ix{Integer}.index: Index (4) out of range ((1,3))

Creating arrays: `listArray`

- * Haskell arrays are **lazy**: the whole array need not be defined before some elements are accessed
- * For example, we can fill in locations `0` and `1` of `arr`, and define `arr!i` in terms of `arr!(i-1)` and `arr!(i-2)`, for $i \geq 2$
- * `listArray` takes time proportional to the range of indices

Fibonacci using arrays

- *

```
import Data.Array
fib :: Int -> Integer
fib n = fibA!n
  where
    fibA :: Array Int Integer
    fibA = listArray (0,n) [f i | i <- [0..n]]
    f 0 = 1
    f 1 = 1
    f i = fibA!(i-1) + fibA!(i-2)
```
- * The `fibA` array is used even before it is completely defined, thanks to Haskell's laziness
- * Works in $O(n)$ time

Summary

- * Recursive programs can sometimes be very inefficient, recomputing the same value again and again
- * **Memoization** is a technique that renders this process efficient, by storing values the first time they are computed
- * Haskell **arrays** provides an efficient implementation of these techniques
- * Important tool to keep in our arsenal