

Primal infon logic: derivability in polynomial time

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Outline

- 1 Primal infon logic
- 2 Some proof theory
- 3 Algorithm for derivability
- 4 Complexity analysis
- 5 Conclusion

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Infon logic and authorization

- Infon logic: proposed by Yuri Gurevich and Itay Neeman of Microsoft Research.
- Part of the authorization system DKAL.
- In DKAL, principals use infon logic to derive consequences from their own knowledge and communications from other principals.

Infon logic and authorization ...

- If I have $[A \text{ said } (B \text{ can read file } X)] \rightarrow (B \text{ can read file } X)$ in my knowledge set and A communicates $(B \text{ can read file } X)$, I can grant access to B .
- If A tells B that C can read X provided C signs an agreement, it is modelled as $C \text{ agrees} \rightarrow [A \text{ implied } (C \text{ can read } X)]$.
- $A \text{ implied } x$ is less trusted than $A \text{ said } x$: $(A \text{ implied } x) \rightarrow x$ may not hold even when $(A \text{ said } x) \rightarrow x$.
- $A \text{ said } x \rightarrow A \text{ implied } x$.

Infon logic: syntax

- Infon logic is the (\wedge, \rightarrow) fragment of intuitionistic logic, with modalities.
- Syntax of the logic:

$$\Phi ::= p \mid x \wedge y \mid x \rightarrow y \mid \Box_a x \mid \blacksquare_a x$$

where $p \in Props$, $a \in Ag$, and $x, y \in \Phi$.

- $\Box_a x$ stands for a said x and $\blacksquare_a x$ stands for a implied x .

Infon logic: proof rules

$\frac{}{X, x \vdash x} \text{ax}$	$\frac{X \vdash x}{X, X' \vdash x} \text{weaken}$
$\frac{X \vdash x \quad X \vdash y}{X \vdash x \wedge y} \wedge i$	$\frac{X \vdash x_0 \wedge x_1}{X \vdash x_i} \wedge e_i$
$\frac{X, x \vdash y}{X \vdash x \rightarrow y} \rightarrow i$	$\frac{X \vdash x \quad X \vdash x \rightarrow y}{X \vdash y} \rightarrow e$
$\frac{X \vdash x}{\Box_a X \vdash \Box_a X} \Box_a$	$\frac{X, X' \vdash x}{\Box_a X, \blacksquare_a X' \vdash \blacksquare_a X} \blacksquare_a$

Problem of interest

The derivability problem: Given X and x , determine whether there is a proof of $X \vdash x$.

Example proofs

$$\frac{\frac{\frac{}{x, y \vdash x} ax \quad \frac{}{x, y \vdash y} ay}}{x, y \vdash x \wedge y} \wedge i}{\Box_a x, \blacksquare_a y \vdash \blacksquare_a (x \wedge y)} \blacksquare_a$$

A case for the *cut* rule

- Proof search is difficult if arbitrarily large formulas can occur in all proofs of $X \vdash x$.
- The *cut* rule can help in handling chains of implications.

$$\frac{X \vdash x \quad Y \vdash y}{X, Y - x \vdash y} \textit{cut}$$

- Does not add power:

$$\frac{\begin{array}{c} \pi_1 \\ \vdots \\ X \vdash x \end{array} \quad \frac{\begin{array}{c} \pi_2 \\ \vdots \\ Y \vdash y \end{array}}{Y - x \vdash x \rightarrow y} \rightarrow i}{X, Y - x \vdash y} \rightarrow e$$

A proof with *cut*

$$\begin{array}{c}
 \frac{\frac{\frac{}{ax}}{\Box_a x \wedge \Box_a y \vdash \Box_a x \wedge \Box_a y} \wedge e_1}{\Box_a x \wedge \Box_a y \vdash \Box_a y} \wedge e_1}{\Box_a x \wedge \Box_a y \vdash \Box_a(x \wedge y)} cut \\
 \frac{\frac{\frac{\frac{}{ax}}{\Box_a x \wedge \Box_a y \vdash \Box_a x \wedge \Box_a y} \wedge e_0}{\Box_a x \wedge \Box_a y \vdash \Box_a x} \wedge e_0}{\Box_a x \wedge \Box_a y, \Box_a y \vdash \Box_a(x \wedge y)} cut}{\Box_a x \wedge \Box_a y \vdash \Box_a(x \wedge y)} cut \\
 \frac{\frac{\frac{\frac{}{ax}}{x, y \vdash x} \wedge i}{x, y \vdash x \wedge y} \wedge i}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)} \Box_a}{\Box_a x \wedge \Box_a y \vdash \Box_a(x \wedge y)} cut
 \end{array}$$

Primal infon logic

- Statman 1979 proves that the derivability problem for intuitionistic logic (even the \rightarrow -fragment) is PSPACE-complete.
- The main culprit is the $\rightarrow i$ rule.
- A variant suggests itself – **primal implication**:

$$\frac{X \vdash y}{X \vdash x \rightarrow y}$$

- A form of **weakening**.
- Shades of **encryption**:

$$\frac{X \vdash t}{X \vdash sk(A) \rightarrow t}$$

Primal infon logic: proof rules

$\frac{}{X, x \vdash x} \text{ax}$	$\frac{X \vdash x}{X, X' \vdash x} \text{weaken}$
$\frac{X \vdash x \quad X \vdash y}{X \vdash x \wedge y} \wedge i$	$\frac{X \vdash x_0 \wedge x_1}{X \vdash x_i} \wedge e_i$
$\frac{X \vdash y}{X \vdash x \rightarrow y} \rightarrow i$	$\frac{X \vdash x \quad X \vdash x \rightarrow y}{X \vdash y} \rightarrow e$
$\frac{X \vdash x}{\Box_a X \vdash \Box_a X} \Box_a$	$\frac{X, Y \vdash x}{\Box_a X, \blacksquare_a Y \vdash \blacksquare_a X} \blacksquare_a$
$\frac{X \vdash x \quad Y \vdash y}{X, Y - x \vdash y} \text{cut}$	

Why the *cut* rule?

- The *cut* rule ought to be admissible in any reasonable system.
- But it can be shown that there is no *cut*-free proof of $\Box_a x \wedge \Box_a y \vdash \Box_a (x \wedge y)$.
- Thus we add *cut* as an explicit rule.

Known results

- Full infon logic is PSPACE-complete. (Gurevich and Neeman (2009).)
- **Primal constructive logic** (PIL without the modalities) is solvable in linear time [GN09].
- Primal infon logic with only the \Box_a modalities is solvable in linear time [GN09].
- Primal infon logic extended with disjunctions is PSPACE-complete. Proved by Beklemishev and Gurevich (2012).
- Gurevich and Savateev (2011) have proved exponential lower bounds on proof size in primal infon logic.
- **[BNRS13]**: Primal infon logic is solvable in polynomial time ($O(N^3)$ algorithm).

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cut and subformula property

- The *cut* rule also renders proof search difficult, by violating the **subformula property**.
- Standard solution: prove that every provable sequent has a *cut*-free proof.
- But ...*cut* is not eliminable in PIL.
- What do we do?

Sequent calculus system for PIL

$\frac{}{X, x \vdash x} ax$	$\frac{X \vdash x}{X, X' \vdash x} weaken$
$\frac{X \vdash x \quad X \vdash y}{X \vdash x \wedge y} \wedge r$	$\frac{X, x_i \vdash y}{X, x_0 \wedge x_1 \vdash y} \wedge l_i$
$\frac{X \vdash y}{X \vdash x \rightarrow y} \rightarrow r$	$\frac{X \vdash x \quad X, y \vdash z}{X, x \rightarrow y \vdash z} \rightarrow l$
$\frac{X \vdash x}{\Box_a X \vdash \Box_a x} \Box_a$	$\frac{X, Y \vdash x}{\Box_a X, \blacksquare_a Y \vdash \blacksquare_a x} \blacksquare_a$
$\frac{X \vdash x \quad Y \vdash y}{X, Y - x \vdash y} cut$	

Equivalence

Translate

$$\frac{\begin{array}{c} \pi_1 \\ \vdots \\ X \vdash x \rightarrow y \end{array} \quad \begin{array}{c} \pi_2 \\ \vdots \\ X \vdash x \end{array}}{X_1 \vdash y} \rightarrow e$$

to

$$\frac{\begin{array}{c} \pi'_1 \\ \vdots \\ X \vdash x \rightarrow y \end{array} \quad \frac{\begin{array}{c} \pi'_2 \\ \vdots \\ X \vdash x \end{array} \quad \frac{}{X, y \vdash y} ax}{X, x \rightarrow y \vdash y} \rightarrow \ell}{X \vdash y} cut$$

No new formulas!

Equivalence

Translate

$$\frac{\begin{array}{c} \pi'_1 \\ \vdots \\ X \vdash x \end{array} \quad \begin{array}{c} \pi'_2 \\ \vdots \\ X, y \vdash z \end{array}}{X, x \rightarrow y \vdash z} \rightarrow \ell$$

to

$$\frac{\frac{\frac{}{X, x \rightarrow y \vdash x \rightarrow y} ax \quad \begin{array}{c} \pi_1 \\ \vdots \\ X \vdash x \end{array}}{X, x \rightarrow y \vdash y} \rightarrow e \quad \begin{array}{c} \pi_2 \\ \vdots \\ X, y \vdash z \end{array}}{X, x \rightarrow y \vdash z} cut$$

No new formulas!

Cut elimination for PIL in sequent calculus form

- Proof is along standard lines.
- Immediately implies the subformula property.
- $\Box_a x \wedge \Box_a y \vdash \Box_a (x \wedge y)$ is proved as follows:

$$\begin{array}{c}
 \frac{\frac{\frac{}{x, y \vdash x} \quad ax}{x, y \vdash x} \quad \frac{\frac{}{x, y \vdash y} \quad ax}{x, y \vdash y}}{x, y \vdash x \wedge y} \wedge r}{x, y \vdash x \wedge y} \Box_a \\
 \frac{\Box_a x, \Box_a y \vdash \Box_a (x \wedge y)}{\Box_a x \wedge \Box_a y, \Box_a y \vdash \Box_a (x \wedge y)} \wedge \ell_1 \\
 \frac{\Box_a x \wedge \Box_a y, \Box_a y \vdash \Box_a (x \wedge y)}{\Box_a x \wedge \Box_a y \vdash \Box_a (x \wedge y)} \wedge \ell_2
 \end{array}$$

Subformula property for PIL

- If $X \vdash_{nd} \chi$ then $X \vdash_{sc} \chi$.
- If $X \vdash_{sc} \chi$ then there is a **cut-free** sequent calculus proof of $X \vdash \chi$.
- All formulas occurring in any cut-free sequent calculus proof of $X \vdash \chi$ belong to $sf(X \cup \{\chi\})$.
- This last proof can be translated to a natural deduction proof respecting the subformula property.

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Setting it up

- Given X_o and x_o , to check if $X_o \vdash x_o$.
- Let $Y_o = sf(X_o \cup \{x_o\})$.
- Let $|Y_o| = N$.
- $closure'(X) = \{x \in Y_o \mid X \vdash x \text{ without using the modality rules}\}$.
- For $X \subseteq Y_o$, $closure'(X)$ computable in $O(N)$ time (Gurevich and Neeman 2009.)
- $closure(X) = \{x \in Y_o \mid X \vdash x\}$.

Setting it up ...

- Let \mathcal{C} be the set of **modal contexts** in Y_0 .
- For each $\sigma \in \mathcal{C}$ define $f_\sigma : \wp(Y_0) \rightarrow \wp(Y_0)$ and $g_\sigma : \wp(Y_0) \rightarrow \wp(Y_0)$.
- f_σ handles applications of the *cut* rule.
- g_σ handles one application of each of the modality rules.
- Mutually recursive procedures.

Theorem

For all $X \subseteq Y_0$, $f_\varepsilon(X) = \text{closure}(X)$.

Computing $\text{closure}(X)$

```

function  $f_\sigma(X)$ 
  if ( $\sigma \notin \mathcal{C}$  or  $X = \emptyset$ ) then
    return  $\emptyset$ 
  end if
   $Y \leftarrow X$ 
  while  $Y \neq g_\sigma(Y)$  do
     $Y \leftarrow g_\sigma(Y)$ 
  end while
  return  $Y$ 
end function

```

Computing $\text{closure}(X)$

```

function  $g_\sigma(X)$ 
  for all  $a \in Ag : Y_a \leftarrow \Box_a f_{\sigma \Box_a} (\Box_a^{-1}(X))$ 
  for all  $a \in Ag : Z_a \leftarrow \blacksquare_a f_{\sigma \blacksquare_a} (\Box_a^{-1}(X) \cup \blacksquare_a^{-1}(X))$ 
  return  $\text{closure}'(X \cup \bigcup_{a \in Ag} (Y_a \cup Z_a))$ 
end function

```

Illustrative example

Let $X = \{\Box_a x, \Box_a y, \Box_a(x \wedge y) \rightarrow \Box_a z\}$.

$$\begin{array}{c}
 \frac{}{x, y \vdash x \wedge y} \\
 \frac{}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)} \Box_a \\
 \frac{}{X \vdash \Box_a(x \wedge y)} \text{weaken} \\
 \frac{}{X \vdash \Box_a(x \wedge y) \rightarrow \Box_a z} ax \\
 \frac{}{X \vdash \Box_a z} \rightarrow e \\
 \frac{}{x, y, z \vdash x \wedge y \wedge z} \\
 \frac{}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \Box_a \\
 \frac{}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{weaken} \\
 \frac{}{X \vdash \Box_a z} \quad \frac{}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{cut} \\
 \frac{}{X \vdash \Box_a(x \wedge y \wedge z)}
 \end{array}$$

Illustrative example

Let $X = \{\Box_a x, \Box_a y, \Box_a(x \wedge y) \rightarrow \Box_a z\}$.

$$\frac{\frac{\frac{\frac{}{x, y \vdash x \wedge y}}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)}{\Box_a} \text{weaken}}{X \vdash \Box_a(x \wedge y)} \quad \frac{\frac{}{X \vdash \Box_a(x \wedge y) \rightarrow \Box_a z} \text{ax}}{X \vdash \Box_a z} \rightarrow e}{X \vdash \Box_a z} \quad \frac{\frac{\frac{\frac{}{x, y, z \vdash x \wedge y \wedge z}}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)}{\Box_a} \text{weaken}}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{weaken}}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{cut}}{X \vdash \Box_a(x \wedge y \wedge z)} \text{cut}$$

- $\Box_a^{-1}(X) = \{x, y\}$.

Illustrative example

Let $X = \{\Box_a x, \Box_a y, \Box_a(x \wedge y) \rightarrow \Box_a z\}$.

$$\begin{array}{c}
 \frac{}{x, y \vdash x \wedge y} \\
 \frac{}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)} \Box_a \\
 \frac{}{X \vdash \Box_a(x \wedge y)} \text{weaken} \\
 \frac{}{X \vdash \Box_a(x \wedge y) \rightarrow \Box_a z} ax \\
 \frac{}{X \vdash \Box_a z} \rightarrow e \\
 \frac{}{x, y, z \vdash x \wedge y \wedge z} \\
 \frac{}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \Box_a \\
 \frac{}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{weaken} \\
 \frac{}{X \vdash \Box_a z} \text{cut} \\
 \frac{}{X \vdash \Box_a(x \wedge y \wedge z)}
 \end{array}$$

- $\Box_a^{-1}(X) = \{x, y\}$.
- $f_{\Box_a}(X) \supseteq \{x, y, x \wedge y\}$.

Illustrative example

Let $X = \{\Box_a x, \Box_a y, \Box_a(x \wedge y) \rightarrow \Box_a z\}$.

$$\frac{\frac{\frac{x, y \vdash x \wedge y}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)}{\Box_a} \quad \frac{\frac{x, y, z \vdash x \wedge y \wedge z}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)}{\Box_a}}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)} \text{weaken} \quad \frac{\frac{x, y, z \vdash x \wedge y \wedge z}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)}{\Box_a} \quad \frac{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{weaken}}{\frac{X \vdash \Box_a(x \wedge y) \quad X \vdash \Box_a(x \wedge y) \rightarrow \Box_a z}{X \vdash \Box_a z} \rightarrow e \quad \frac{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)}{X \vdash \Box_a(x \wedge y \wedge z)} \text{cut}}$$

- $\Box_a^{-1}(X) = \{x, y\}$.
- $f_{\Box_a}(X) \supseteq \{x, y, x \wedge y\}$.
- $Y = g_\varepsilon(X) \supseteq \{\Box_a(x \wedge y), \Box_a z\}$.

Illustrative example

Let $X = \{\Box_a x, \Box_a y, \Box_a(x \wedge y) \rightarrow \Box_a z\}$.

$$\begin{array}{c}
 \frac{}{x, y \vdash x \wedge y} \\
 \frac{}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)} \Box_a \\
 \frac{}{X \vdash \Box_a(x \wedge y)} \text{weaken} \\
 \frac{}{X \vdash \Box_a(x \wedge y) \rightarrow \Box_a z} ax \\
 \frac{}{X \vdash \Box_a z} \rightarrow e \\
 \frac{}{x, y, z \vdash x \wedge y \wedge z} \\
 \frac{}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \Box_a \\
 \frac{}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{weaken} \\
 \frac{}{X \vdash \Box_a z} \text{cut} \\
 \frac{}{X \vdash \Box_a(x \wedge y \wedge z)}
 \end{array}$$

- $\Box_a^{-1}(X) = \{x, y\}$.
- $f_{\Box_a}(X) \supseteq \{x, y, x \wedge y\}$.
- $Y = g_\varepsilon(X) \supseteq \{\Box_a(x \wedge y), \Box_a z\}$.
- $\Box_a^{-1}(Y) \supseteq \{x, y, z\}$.

Illustrative example

Let $X = \{\Box_a x, \Box_a y, \Box_a(x \wedge y) \rightarrow \Box_a z\}$.

$$\begin{array}{c}
 \frac{}{x, y \vdash x \wedge y} \\
 \frac{}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)} \Box_a \\
 \frac{}{X \vdash \Box_a(x \wedge y)} \text{weaken} \\
 \frac{}{X \vdash \Box_a(x \wedge y) \rightarrow \Box_a z} ax \\
 \frac{}{X \vdash \Box_a z} \rightarrow e \\
 \frac{}{x, y, z \vdash x \wedge y \wedge z} \\
 \frac{}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \Box_a \\
 \frac{}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{weaken} \\
 \frac{}{X \vdash \Box_a z} \text{cut} \\
 \frac{}{X \vdash \Box_a(x \wedge y \wedge z)}
 \end{array}$$

- $\Box_a^{-1}(X) = \{x, y\}$.
- $f_{\Box_a}(X) \ni \{x, y, x \wedge y\}$.
- $Y = g_\varepsilon(X) \ni \{\Box_a(x \wedge y), \Box_a z\}$.
- $\Box_a^{-1}(Y) \ni \{x, y, z\}$.
- $f_{\Box_a}(Y) \ni \{\Box_a(x \wedge y \wedge z)\}$.

Illustrative example

Let $X = \{\Box_a x, \Box_a y, \Box_a(x \wedge y) \rightarrow \Box_a z\}$.

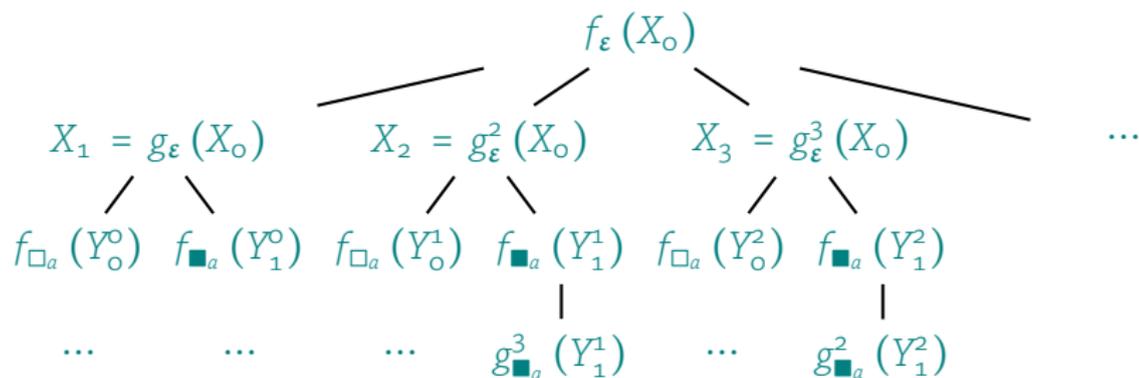
$$\frac{\frac{\frac{}{x, y \vdash x \wedge y}}{\Box_a x, \Box_a y \vdash \Box_a(x \wedge y)} \Box_a}{X \vdash \Box_a(x \wedge y)} \text{weaken} \quad \frac{}{X \vdash \Box_a(x \wedge y) \rightarrow \Box_a z} ax}{X \vdash \Box_a z} \rightarrow e \quad \frac{\frac{\frac{}{x, y, z \vdash x \wedge y \wedge z}}{\Box_a x, \Box_a y, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \Box_a}{X, \Box_a z \vdash \Box_a(x \wedge y \wedge z)} \text{weaken}}{X \vdash \Box_a(x \wedge y \wedge z)} \text{cut}$$

- $\Box_a^{-1}(X) = \{x, y\}$.
- $f_{\Box_a}(X) \supseteq \{x, y, x \wedge y\}$.
- $Y = g_\varepsilon(X) \supseteq \{\Box_a(x \wedge y), \Box_a z\}$.
- $\Box_a^{-1}(Y) \supseteq \{x, y, z\}$.
- $f_{\Box_a}(Y) \supseteq \{\Box_a(x \wedge y \wedge z)\}$.
- $g_\varepsilon^2(X) \supseteq \{\Box_a(x \wedge y \wedge z)\}$.

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A problem: too many recursive calls?



Number of distinct recursive calls

With respect to the run of $f_\varepsilon(X_0)$

- $(\sigma, X) \rightarrow_f (\tau, Y)$ if $f_\sigma(X)$ is an earlier recursive call (temporally) than $f_\tau(Y)$.
- $(\sigma, X) \rightarrow_g (\tau, Y)$ if $g_\sigma(X)$ is an earlier recursive call (temporally) than $g_\tau(Y)$.

Lemma

Suppose $\sigma \in \mathcal{C}$, and $X, Y \subseteq Y_0$.

- 1 If $(\sigma, X) \rightarrow_f (\sigma, Y)$ then $f_\sigma(X) \subseteq Y$.
- 2 If $(\sigma, X) \rightarrow_g (\sigma, Y)$ then $g_\sigma(X) \subseteq Y$.

Computing $\text{closure}(X)$ with memoization

Initialization: for all $\sigma \in \mathcal{C} : G_\sigma \leftarrow \emptyset$

function $f(\sigma, X)$

if $\sigma \notin \mathcal{C}$ or $X = \emptyset$ **then**

return \emptyset

end if

$Y \leftarrow X$

while $Y \neq G_\sigma$ **do**

$G_\sigma \leftarrow Y$

$Y \leftarrow g(\sigma, Y)$

end while

return G_σ

end function

▷ $G_\sigma = g(\sigma, G_\sigma)$ before the start of the loop.

▷ $G_\sigma = g(\sigma, G_\sigma)$ at the end of the loop.

$O(N^3)$ complexity

- At most N modal contexts.
- For each context σ , across all calls to f_σ , at most N recursive calls to g_σ .
- At most N^2 calls to g_σ , across all σ .
- Each g_σ makes a constant number of recursive calls to f_τ 's.
- Each g_σ takes $O(N)$ time to compute *closure'*.
- Overall time: $O(N^3)$.

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Conclusion

- We have solved the derivability problem for primal infon logic.
- We used an efficient algorithm for the propositional fragment and extended it to handle some rules for modalities.
- We believe that the ideas are not restricted to infon logic.
- **Future work:** Handle \diamond -like modalities.

Questions?

Thank you!