

# CPTH II 1

Saswata Mukherjee  
Somnath Bhattacharjee

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## Question 1. Exercise 2.5

**a.** Let  $f$  be a  $D$  degree polynomial over a finite field  $\mathbb{F}$  with  $|\mathbb{F}| = q$ , now for some  $d \leq D$ , the number of distinct irreducible factors of  $f$  of degree  $d$  polynomials will be atmost  $\frac{D}{d}$ . This is because if there are  $l$  such factors then  $ld \leq D$ .

Now we know that for some constant  $c$  there are atleast  $c \frac{q^{d+1}}{d}$  many irreducible polynomials of degree  $d$ . (As we know that number of irreducible degree  $d$  monic polynomial  $N_d$  is nearly  $\frac{q^d}{d} + O(\frac{q^{\frac{d}{2}}}{d})$ ). Also there are  $q^{d+1}$  many polynomials of degree  $d$  (as there can be  $d+1$  many coefficients and each has  $q$  many choices). Now

$$\begin{aligned} \Pr[g(x) \nmid f(x)] &\geq \Pr[g(x) \nmid f(x) \text{ and } g(x) \text{ is irreducible}] \\ &= \Pr[g(x) \nmid f(x) \mid g(x) \text{ is irreducible}] \times \Pr[g(x) \text{ is irreducible}] \\ &\geq \left[1 - \frac{D/d}{cq^{d+1}/d}\right] \times \frac{cq^{d+1}/d}{q^{d+1}} \\ &= \frac{1}{d} - \frac{D}{dq^{d+1}} \end{aligned}$$

Now if we set  $d = \log_q(2D) - 1$ , we will have  $\Pr[g(x) \nmid f(x)] \geq \frac{1}{2d} = \frac{1}{\Omega(\log D)}$

So we are done □

Say our input is a  $s$  size circuit  $C$  computing  $f(x)$ . Assume  $k$  is the maximum number of bits of the exponents in  $C$ .

Now our algorithm is :

- Pick  $ts \log k$  many random  $d := cs \log k$  degree univariate polynomials  $\{g_i(x)\}$  independently
- For each  $i = 1(1)t \log D$  check whether  $g_i(x)$  divides  $f(x)$  or not.
- If all the  $g_i(x)$  divides  $f(x)$  return  $f(x) \equiv 0$ , else  $f(x)$  is non zero.

To check whether  $g(x)$  divides  $f(x)$  or not, we can simply do a BFS from bottom in the circuit of  $f$ , for each node  $v$  we will divide  $v$  by  $g$ , note  $v \bmod g$  is univariate  $d$  degree polynomial, so sparsity will be  $d+1$ , so entire checking can be done in  $\text{poly}(d) = O(\text{poly}(s))$  time.

So the running time is surely  $\text{poly}(ts)$ , we will take  $t = \text{poly}(s)$  to adjust the error value.

Note the maximum degree computed by the circuit  $C$  is  $k^s =: D$ , so degree of  $f \leq D$ . Now if  $f(x) \equiv 0$  then the algorithm will not give any error, but if  $f(x) \neq 0$  then the error probability is less than  $(1 - \frac{1}{c' \log D})^{ts} \leq e^{-tc'}$ .

Now we can adjust  $t$  s.t. the error probability becomes less than  $\frac{1}{2}$ .  $\square$

**b.** Let  $C$  be a multivariate  $s$  size circuit computing  $f(x_0, \dots, x_n)$  of degree  $d$  we will apply Kronecker map on  $f$  to make it univariate. Let say  $q(y)$  be the polynomial after setting  $x_i = y^{d^i}$  in  $f$ , we have proved in the class that this map preserves the non-zerosness, ie,  $f = 0$  iff  $q = 0$ . To compute  $y^{d^i}$  we need  $i \log d$  size univariate exponentiation circuit. To create the circuit that computes  $q$ , we can construct the circuit for  $y^{d^i}$  individually and then connect the output gate with the  $x_i$  input gate of  $C$ . So total size of the final circuit computing  $q$  will be  $n^2 \log d$  and since  $n, s = O(s^c)$  the circuit size will be poly of  $s$ .

**Question 2.** Exercise 2.7

1. Let  $\lambda$  be an eigen value of  $M$  with eigen vector  $v$ , then

$$\begin{aligned} \|\lambda v\| &= \|Mv\| \\ &\leq \|M\| \cdot \|v\| \\ \implies |\lambda| &\leq \|M\| \end{aligned}$$

Now from birkhoff von neumann theorem we know  $M$  is in convex span of the permutation matrices, ie, there exists  $0 \leq \lambda_1, \dots, \lambda_k \leq 1$  with  $\sum \lambda_i = 1$  s.t. if  $P_i$ s are the permutation matrices

$$\begin{aligned} M &= \sum \lambda_i P_i \\ \implies \|M\| &\leq \left\| \sum \lambda_i P_i \right\| \\ &\leq \sum \lambda_i \|P_i\| \\ &\leq \sum \lambda_i = 1 \quad (\text{norm of permutation matrices is 1}) \end{aligned}$$

(We can prove it directly as well by taking a  $\lambda$  eigen value with  $|\lambda| > 1$ , Now say  $Mv = \lambda v$ , say  $v_i$  is the highest entry of  $v$  in absolute value, WLOG  $v_i > 0$ , Now  $|\lambda|v_i$  can be written as convex sum of all the  $v_j$ s which contradicts  $|\lambda| > 1$ . But we need the operator norm upper bound in the next problem.)  $\square$

2. ( $\implies$ ) Let the graph  $G$  is not connected and WLOG it has two connected components, then we can say the normalised matrix  $M$  for  $G$  is a diagonal block matrix with two blocks  $A_{n_1 \times n_1}, B_{n_2 \times n_2}$  (where  $n_1 + n_2 = N$ ) each is normalised adjacency matrix for the connected components, ie,

$$M = \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$$

And each  $A$  and  $B$  are doubly stochastic matrix, hence each have eigen value 1 with multiplicity atleast 1, so  $M$  has eigen value 1 with multiplicity atleast 2.

( $\Leftarrow$ ) We will prove a lemma first, which says for a set of variables  $\{x_1, \dots, x_n\}$ , if  $x_i$  can be written as convex combination of all the  $x_j$ s then  $x_i = x_j$ .

**Lemma 1.** For any  $k$ , for any  $0 < \lambda_1, \dots, \lambda_k < 1$ , and  $\sum \lambda_i = 1$ , if  $x_1 = \sum \lambda_i x_i$  has some real solution, then  $x_i = x_1$  for all  $i$

We will induct strongly on  $k$ .

When  $k = 2$

$$x_1 = \lambda x_1 + (1 - \lambda)x_2 \implies x_1 = x_2$$

Assume it is true for all  $k \leq t$  for some  $t \geq 2$ . Now for  $t + 1$ , since  $\lambda_1 \neq 0$  we can say  $\lambda_{t+1} \neq 1$

$$\begin{aligned} x_1 &= \sum \lambda_i x_i + \lambda_{t+1} x_{t+1} \\ &= (1 - \lambda_{t+1}) \frac{\sum \lambda_i x_i}{1 - \lambda_{t+1}} + \lambda_{t+1} x_{t+1} \end{aligned}$$

Hence from induction hypothesis,  $x_{t+1} = x_1$  and  $\frac{\sum_{i=1}^t \lambda_i x_i}{1 - \lambda_{t+1}} = x_1$

Now as

$$\sum_{i=1}^t \frac{\lambda_i}{1 - \lambda_{t+1}} = \frac{1 - \lambda_{t+1}}{1 - \lambda_{t+1}} = 1$$

So again from induction hypothesis  $x_i = x_1 \forall i = 1(1)t$  □

Now say  $Mv = v$  for some  $v$  then any  $v_i$  can be written as convex combination of  $v_r$ s where  $rs$  are the neighbours of  $i$ . So from the lemma  $v_i = v_r$ . Now the graph is connected, so  $v_1$  will be same with all the neighbours of 1, and they will be same as their neighbours and so on, and this way all the  $v_i$  will be same. So  $v = \mathbf{1}_v$ . □

**3.** ( $\implies$ ) Say the vertex sets are  $A, B$ . It can also be seen that  $M$  has two diagonal 0 blocks of order  $|A| \times |A|$  and  $|B| \times |B|$ . So

$$M = \begin{bmatrix} 0_A & P_{|A| \times |B|} \\ Q_{|B| \times |A|} & 0_B \end{bmatrix}$$

Also  $P, Q$  are doubly stochastic.

Consider the vector  $v = (\underbrace{1, \dots, 1}_{|A| \text{ many}}, \underbrace{-1, \dots, -1}_{|B| \text{ many}})$

So  $Mv = -v$  as  $P, Q$  are doubly stochastic.

( $\Leftarrow$ ) Let  $v$  be an eigen vector of  $M$  with eigen value  $-1$ . Let  $u$  be the vector

with  $u_i = |v_i|$ . Now

$$\begin{aligned}
\|v\|^2 &= -\langle v, Mv \rangle \\
&= -v^T Mv \\
&= -\sum_{i,j} v_i M_{ij} v_j \\
&= -\sum_i v_i^2 M_{ii} - 2 \sum_{(i,j) \in E, i \neq j} v_i M_{ij} v_j \\
&\leq \left| \sum_i v_i^2 M_{ii} + 2 \sum_{(i,j) \in E, i \neq j} v_i M_{ij} v_j \right| \quad (\dots\dots\dots(i)) \\
&\leq \sum_i |v_i^2 M_{ii}| + 2 \sum_{(i,j) \in E, i \neq j} | -v_i M_{ij} v_j | \\
&= \sum_i u_i^2 M_{ii} + 2 \sum_{(i,j) \in E, i \neq j} u_i M_{ij} u_j \\
&= \sum_{i,j} u_i M_{ij} u_j \\
&= \langle u, Mu \rangle \\
&\leq \|u\| \cdot \|Mu\| \\
&\leq \|u\|^2 \cdot \|M\| \leq \|u\|^2 \quad (\text{From the first problem})
\end{aligned}$$

Now since  $\|u\| = \|v\|$ , we can say all the inequalities will convert to equality. From the (i) step  $v_i v_j \leq 0$  and  $M_{ii} = 0$ . So for all  $(i, j) \in E$  we have  $i \neq j$  and one  $v_i > 0$  and another  $v_j < 0$ . So the vertex decomposition is  $A = \{i | v_i \geq 0\}, B = \{j | v_j < 0\}$ . We can say there are no internal edges in  $A$  or  $B$ , hence  $G$  is bipartite.  $\square$

4. We will prove the hint first. Say the  $n \times n$  matrix  $D = dM$ , so  $D_{ij}$  is the number of edges between  $v_i$  and  $v_j$ . So  $\sum_{i,(i,j) \in E} D_{ij} = \sum_{j,(i,j) \in E} D_{ij} = d$

$$\begin{aligned}
\langle v, Mv \rangle &= \sum_{i,j} v_i M_{ij} v_j = \sum_i v_i^2 M_{ii} + 2 \sum_{(i,j) \in E, i \neq j} v_i M_{ij} v_j \\
&= \frac{1}{d} \sum_i v_i^2 D_{ii} + \frac{1}{d} \sum_{(i,j) \in E, i \neq j} D_{ij} (2v_i v_j) \\
&= \frac{1}{d} \sum_i v_i^2 (d - \sum_{j,(i,j) \in E, i \neq j} D_{ij}) + \frac{1}{d} \sum_{(i,j) \in E, i \neq j} D_{ij} (2v_i v_j) \\
&= \sum_i v_i^2 + \frac{1}{d} \sum_{(i,j) \in E, i \neq j} D_{ij} (2v_i v_j - v_i^2 - v_j^2) \\
&= \|v\|^2 - \frac{1}{d} \sum_{(i,j) \in E, i \neq j} D_{ij} (v_i - v_j)^2 \\
&= 1 - \frac{1}{d} \sum_{(i,j) \in E} D_{ij} (v_i - v_j)^2 \\
\implies \max_{v \text{ with the conditions}} \langle v, Mv \rangle &= 1 - \min_{v \text{ with the conditions}} \sum_{(i,j) \in E} D_{ij} (v_i - v_j)^2
\end{aligned}$$

Now note  $W := \{x \mid \sum x_i = 0\} = \{x \mid \langle x, \mathbf{1} \rangle = 0\} = \mathbf{1}^\perp$

Hence  $\dim(W) = n - 1$

Now say multiplicity of 1 eigen value is  $t$  ( $\lambda_1, \dots, \lambda_t = 1$ ) and  $\lambda_{t+1}$  is largest eigen value which is not 1.

So if  $\{v_1, \dots, v_{n-1}\}$  are the basis of  $W$  and  $\{u_1, \dots, u_{t-1}, u_t\}$  be the eigenvectors corresponding to the eigen values  $\lambda_1 = 1, \lambda_2$  of  $M$ , then all of them cannot be linearly independent together else the dimension of  $\mathbb{R}^n$  will be  $n - 1 + t + 1 = n + t$  which is not possible. so  $\exists k_0, \dots, k_t, a_1, \dots, a_{n-1}$  s.t.  $\sum k_i^2 = 1$  and not all  $a_i$ s are zero,  $v := k_0 u_0 + \dots + k_t u_t = a_1 v_1 + \dots + a_{n-1} v_{n-1}$

Note  $\|v\| = 1$ , now

$$\begin{aligned} 1 - \min_{x, x \in W, \|x\|=1} \frac{1}{d} \sum_{(i,j) \in E} d_{ij} (x_i - x_j)^2 &= \max_{x, x \in W, \|x\|=1} \langle x, Mx \rangle \\ &\geq \langle v, Mv \rangle \\ &= \langle v, \sum \lambda_i k_{i-1} u_{i-1} \rangle \\ &= \sum \lambda_i k_{i-1}^2 \\ &\geq \lambda_{t+1} \sum k_i^2 = \lambda_{t+1} \end{aligned}$$

Now assume  $G$  be the graph whose all eigenvalues are non-negative. Now if we can prove that  $\sum_{(i,j) \in E} (x_i - x_j)^2 \geq 1/\text{poly}(n, d)$  then it will essentially imply

$$1 - \min_{x, x \in W, \|x\|=1} \sum_{(i,j) \in E} d_{ij} (x_i - x_j)^2 \leq 1 - \text{poly}(n, d)$$

as  $d_{ij} \geq 1$  if  $(i, j) \in E$ . Hence largest eigen value (in terms of absolute value) after 1 is atmost  $1 - 1/\text{poly}(n, d)$ . Following claim will prove the remaining part.

**Claim.**  $\sum_{(i,j) \in E} (x_i - x_j)^2 \geq 1/\text{poly}(n, d)$

We know that  $\sum |x_i^2| = 1$ , hence there exists a  $x_i$  s.t.  $|x_i| \geq \frac{1}{\sqrt{n}}$ .

Now there must be one  $x_j$  s.t.  $x_i x_j < 0$  and since  $G$  is connected,  $i$  and  $j$  is also connected, say via the path  $i = i_0, i_1, \dots, i_k = j$ .

So

$$\begin{aligned} \sum_{r=0}^{k-1} (x_{i_r} - x_{i_{r+1}})^2 &\geq \frac{\left( \sum_{r=0}^{k-1} (x_{i_r} - x_{i_{r+1}}) \right)^2}{k+1} \\ &= \frac{(x_i - x_j)^2}{k+1} \geq \frac{1}{nD} \quad (D \text{ is the diameter}) \\ \implies \sum_{(i,j) \in E} (x_i - x_j)^2 &\geq \frac{1}{nD} \end{aligned}$$

□

So the overall bound will be  $1 - \frac{1}{nD}$  if all the eigen values are non-negative. Now

if not all eigen values are nonnegative then  $G^2$  will have all the eigen values non-negative, and then we can apply the previous part to get largest eigen value (in terms of absolute value) after 1

$$\lambda_2 \geq (1 - 1/ndD)^{\frac{1}{2}} \geq (1 - \frac{1}{2ndD})$$

□

5.  $G$  is connected means multiplicity of 1 as an eigen value is exactly one and non bipartite means  $-1$  is not an eigen value. Hence the second largest eigen value in terms of absolute value  $\lambda_2 \leq 1 - 1/poly(n, d)$ , or the spectral gap  $\gamma(G) \geq 1/poly(n, d)$

6. Consider  $G$  as  $2k$  cycle. Clearly here  $n = 2k$ ,  $d = 2$ ,  $D = 2k - 1$ , so  $\lambda_2 \leq 1 - \frac{1}{\Omega(k^2)}$  from the above calculations.

Now consider

$$J_{2k \times 2k} = \frac{1}{2} \begin{bmatrix} 0 & \dots & 0 & 1 \\ & I_{2k-1 \times 2k-1} & & 0 \end{bmatrix}$$

It can be seen that the normalised adjacency matrix of  $G$  is just  $J + J^T$  and the eigen values of  $J$  are  $\frac{1}{2} \times 2k$ th roots of unity. Now let  $M, M^T$  diagonalise  $J$ , ie,  $MJM^T =$  some diagonal matrix  $D$  then

$$\begin{aligned} M(J + J^T)M &= MJM^T + MJ^T M^T \\ &= D + M(MJ)^T \\ &= D + (MJM^T)^T \\ &= D + D^T \end{aligned}$$

Hence  $M, M^T$  can diagonalize  $J + J^T$  as well. So the eigen values of  $J + J^T$  is  $\frac{1}{2}(e_i + \bar{e}_i)$  where  $e_i$ s are the  $2k$ th roots of unity. Hence the second largest eigen value will be

$$\cos \frac{\pi}{k} \geq 1 - \frac{1}{k^2 \pi^2 / 2} = 1 - \frac{1}{O(k^2)}$$

Hence the bound is tight.

Now we know  $\gamma(G) \geq \frac{1}{\Omega(ndD)}$  and  $D$  can be atmax  $n$ , so

$$\gamma(G) \geq \frac{1}{\Omega(n^2d)} \geq \frac{1}{\Omega(n^2d^2)}$$

### Question 3. Exercise 3.2

1. Say, we have  $S_1, \dots, S_{i-1}$  fixed such that  $\forall j \in [i-1], |S_j| = l$  and  $|S_j \cap S_k| < a$  for  $j \neq k$ . Now we are randomly choosing  $S_i$ .

Say,  $X_j$  is the event of  $|S_i \cap S_j| \geq a$ .

i.e.,

$$X_j = \begin{cases} 1 & \text{if } |S_i \cap S_j| \geq a \\ 0 & \text{otherwise} \end{cases}$$

for  $j \leq i - 1$ .

Now,

$$\begin{aligned}
E_{S_i}[\#\{j < i : |S_i \cap S_j| \geq a\}] &= E_{S_i}[\sum_{j=1}^{i-1} X_j] \\
&= \sum_j E_{S_i}[X_j] \\
&= \sum_j \Pr_{S_i}[|S_i \cap S_j| \geq a] \\
&= \sum_{j=1}^{i-1} \frac{\binom{l}{a} \binom{d-a}{l-a}}{\binom{d}{l}} \\
&< m \frac{\binom{l}{a} \binom{d-a}{l-a}}{\binom{d}{l}} \\
&= m \frac{\binom{l}{a}^2}{\binom{d}{l}} < 1
\end{aligned}$$

That means, if we randomly choose  $S_i$ , with probability  $< 1$ , it will intersect with some  $S_j, j \in [i - 1]$  in at least  $a$  elements.

$\implies \exists S_i$  so that  $|S_j \cap S_i| < a$  for all  $j \in [i - 1]$ .

$\implies \exists S_1, \dots, S_m$  where  $m \leq \frac{\binom{d}{l}}{\binom{l}{a}^2}$  and  $|S_i| = l, |S_i \cap S_j| < a$ .

**2.**  $m \leq \frac{\binom{d}{l}}{\binom{l}{a}^2}$ .

we know  $\frac{(d/a)^a}{(le/a)^{2a}} \leq \frac{\binom{d}{l}}{\binom{l}{a}^2}$ .

Now, if  $d = O(\frac{l^2}{a}) \implies d \approx cl^2/a$  for some  $c$ .

$\implies \frac{(\frac{cl^2}{a^2})^a}{e^{2a}(\frac{l^2}{a^2})^a} = \frac{c^a}{e^{2a}} \leq \frac{\binom{d}{l}}{\binom{l}{a}^2}$ .

Take  $c_0 = (\frac{c}{e^2})^\gamma$  where  $a = \gamma \log m$ .

If we assume  $c_0 \geq 2$ , then,  $m = 2^{\log m} \leq (c_0)^{\log m} \leq \frac{\binom{d}{l}}{\binom{l}{a}^2}$ .

So, we can find  $S_1, \dots, S_m \subset [d]$  with  $d = O(\frac{l^2}{a})$  and  $a = \gamma \log m$ .

**3.** Initially take  $A = \{S_1\}$  where  $S_1 \subset [d]$  be any of size  $l$ .

While  $|A| < m$ :

for all  $S_0 \subset [d]$  so that  $|S_0| = l$ :

if  $|S \cap S_0| < a, \forall S \in A$ , add  $S_0$  to  $A$ .

end for.

end while.

Part 1,2 shows that the algorithm will not stop at any intermediate step for some specific choice of  $d, l, a$ . And the algorithm runs in  $poly(m, d)2^d$  time.

Now,  $d = O(l) \approx cl$  for some  $c$  and  $m = 2^l$ . So,  $2^d \approx (2^l)^c = poly(m)$ .

Hence, algorithm runs in  $poly(m, d)$  time.

**Question 4.** Problem 4.9

1. As given as a hint, we can prove that  $(G_1 \boxtimes G_2)^3$  has  $G_1 \boxtimes G_2$  as subgraph via some calculations. Let  $H = G_1 \boxtimes G_2$  and  $M$  be the normalised adjacency matrix of  $H$ , clearly  $H$  is  $D_2 + 1$  regular, hence  $H^3$  is  $(D_2 + 1)^3$  regular. Let for  $u \in [N_1]$   $A_u$  be the permutation matrix corresponds to the bijection on  $[D_2]$  which is  $i$  is mapped to  $j$  iff  $i$  th neighbour of  $u$  is  $v$  and  $j$ th neighbour of  $v$  is  $u$ . Now let  $\tilde{A}$  be the  $N_1 D_1 \times N_1 D_1$  matrix whose  $u$ th  $D_1 \times D_1$  diagonal block is  $A_u$ , (basically  $\tilde{A}$  is the permutation matrix we will use to construct the zigzag product). Let  $B$  be the normalized adjacency matrix of  $G_2$  and  $\tilde{B} = B \otimes I_{N_1 \times N_1}$ . So the normalized adjacency matrix of  $H' := G_1 \boxtimes G_2$  is  $\tilde{B} \tilde{A} \tilde{B} =: C$ . Now the adjacency matrix of  $M^3$

$$\begin{aligned} (D_2 + 1)^3 M &= (\tilde{A} + D_2 \tilde{B})^3 \\ &= D_2^2 (\tilde{B} \tilde{A} \tilde{B}) + (\dots) \end{aligned}$$

Now note if we remove the subgraph  $H'$  from  $H$ , the graph will be  $(D_2 + 1)^3 - D_2^2$  regular. Let the normalised adjacency matrix of it be  $D$  and  $x = \frac{D_2^2}{(D_2 + 1)^3}$  then

$$\begin{aligned} M &= xC + (1 - x)D \\ \implies \max_{v, v \perp \mathbf{1}} &\leq \max_{v, v \perp \mathbf{1}} C + (1 - x) \max_{v, v \perp \mathbf{1}} D \\ \implies (1 - g)^3 &\leq x(1 - \gamma_1 \gamma_2^2) + (1 - x) \\ &= 1 - x \gamma_1 \gamma_2^2 < 1 \\ \implies g(\gamma_1, \gamma_2, D_2) &> 0 \end{aligned}$$

□

2. Now the idea is simple, for  $G = (N, D, \gamma)$  (where  $D$  is constant) we will take  $G'$  as a  $D$  cycle, in the 2nd problem we have proved that  $\gamma(G')$  is  $\Theta(1 - \frac{1}{D^2})$ , Now  $G \boxtimes G'$  is  $(ND, 3, \gamma')$  expander (since  $D$  is constant, there is no big blow up in vertex size), so we have converted the degree into the constant 3.

3. Let  $h := \min\left\{\frac{D_2 \varepsilon_1 \varepsilon_2}{(D_2 + 1)(\varepsilon_1 + 6)}, \frac{\varepsilon_1}{(D_2 + 1)(\varepsilon_1 + 6)}, \frac{D_2 \varepsilon_2}{2(D_2 + 1)}\right\}$

We will prove that  $H := G_1 \boxtimes G_2$  is  $h$  edge expander. (clearly  $h \geq 0$ )

Let  $S$  be a vertex subset of  $H$  with  $|S| \leq N_1 D_1 / 2$

As given in the hint, we will make two partitions on  $S$ :  $A$  and  $B$ , where  $A$  is the set of all *half full* clouds (ie,  $(u, v) \in A$  if there is atleast  $\frac{D_1}{2}$  many  $v_i$ s in  $V(G_2)$  s.t.  $(u, v_i) \in S$ ),  $B$  is set of *half empty* clouds defined by  $S - A$ .

Define  $C = \{u \in V(G_1) \mid \exists v \in V(G_2) \text{ s.t. } (u, v) \in A\}$ . Basically  $C$  is the projection of  $A$  on  $V(G_1)$ .



Note that

$$\begin{aligned} |S| &\leq |B| + |C|D_1 \\ \implies |C| &\geq \frac{|S| - |B|}{D} \end{aligned} \quad (\text{.....(i)})$$

Now

**Case 1:**  $|B| > \frac{\varepsilon_1}{\varepsilon_1 + 6}|S|$

We will have atleast  $D_2\varepsilon_2|B| \geq \frac{D_2\varepsilon_1\varepsilon_2}{\varepsilon_1 + 6}|S|$  many edges from  $S$  to  $S^c$  (that is because we are applying  $G_2$  edge expansion in each clouds of  $B$ ). Hence edge expansion is

$$\frac{\frac{D_2\varepsilon_1\varepsilon_2}{\varepsilon_1 + 6}|S|}{(D_2 + 1)|S|} \geq h$$

**Case 2:**  $|B| \leq \frac{\varepsilon_1}{\varepsilon_1 + 6}|S|$  and  $|C| \leq \frac{N_1}{2}$

Note if  $E$  is the set of edges between  $C$  and  $C^c$  in  $G_1$ , then there will be atleast  $|E| - |B|$  many edges between  $S$  and  $S^c$  in  $H$ , as any vertex in  $H$  will have exactly one  $G_1$  neighbour. So there can be atmost  $|B|$  many edges corresponds to  $E$  in  $H$  which are from half full clouds to half empty clouds in  $S$ , and remaining edges are going outside of  $S$ .

Now there are atleast  $|C|\varepsilon_1 D_1$  many edges from  $C$  to  $C^c$  in  $G_1$  (edge expansion on  $G_1$ ),

$$\begin{aligned} |C|\varepsilon_1 D_1 &\geq \frac{|S| - |B|}{D_1} \varepsilon_1 && \text{(from (i))} \\ &\geq |S| \left(1 - \frac{\varepsilon_1}{\varepsilon_1 + 6}\right) \varepsilon_1 \\ &= 2 \times 3|S| \frac{\varepsilon_1}{\varepsilon_1 + 6} && \text{(.....(ii))} \\ &> 2|B| \end{aligned}$$

Hence edges in  $H$  between  $S$  and  $S^c$  is atleast

$$\begin{aligned} |C|\varepsilon_1 D_1 - |B| &\geq \frac{|C|\varepsilon_1 D_1}{2} \\ &\geq 3|S| \frac{\varepsilon_1}{\varepsilon_1 + 6} \end{aligned} \quad \text{(from (ii))}$$

Hence the edge expansion is  $\frac{3\varepsilon_1}{(\varepsilon_1 + 6)(D_2 + 1)} \geq h$ .

**Case 3:**  $|B| \leq \frac{\varepsilon_1}{\varepsilon_1 + 6}|S|$  and  $\frac{3N_1}{4} \geq |C| \geq \frac{N_1}{2}$

In this case we know  $|C^c| \leq \frac{N_1}{2}$  hence number of edges between  $T$  and  $T^c$  is atleast

$$\begin{aligned}
|C^c|_{\varepsilon_1 D_1} &\geq \frac{N_1 \varepsilon_1 D_1}{4} \\
&\geq \frac{|C|_{\varepsilon_1 D_1}}{3} \\
&\geq \frac{(|S| - |B|)\varepsilon_1}{3} \\
&\geq 2|S| \frac{\varepsilon_1}{\varepsilon_1 + 6} \quad (\dots\dots\dots(\text{iii})) \\
&\geq 2|B|
\end{aligned}$$

Similarly from the fact we used in the previous case, the edges between  $S$  and  $S^c$  in  $H$  is atleast

$$\begin{aligned}
|C^c|_{\varepsilon_1 D_1} - |B| &\geq \frac{|C^c|_{\varepsilon_1 D_1}}{2} \\
&\geq |S| \frac{\varepsilon_1}{\varepsilon_1 + 6} \quad (\text{from (iii)})
\end{aligned}$$

Hence the edge expansion is  $\frac{\varepsilon_1}{(\varepsilon_1 + 6)(D_2 + 1)} \geq h$

**Case 4:**  $|B| \leq \frac{\varepsilon_1}{\varepsilon_1 + 6}|S|$  and  $|C| \geq \frac{3N_1}{4}$

**Claim.** there are atleast  $\frac{N_1}{4}$  many clouds in  $C$  who have paired with atmost  $\frac{3D_1}{4}$  many vertices from  $V(G_2)$  and are contained in  $S$

Let  $x$  be the number of clouds who have atmost  $\frac{3D_1}{4}$  many pairs from  $V(G_2)$  inside  $S$ . Then

$$\begin{aligned}
x \frac{D_1}{2} + (|C| - x) \frac{3D_1}{4} &\leq |S| \leq \frac{N_1 D_1}{2} \\
\implies \frac{N_1 D_1}{2} + x \frac{D_1}{4} &\geq |C| \frac{3D_1}{4} \geq \frac{9N_1 D_1}{16} \\
\implies x &\geq \frac{N_1}{4}
\end{aligned}$$

□

Now let  $W \subseteq V(G_2)$  be the pairs of any of the above vertices, then  $|W| \leq \frac{3D_1}{4}$ , so number of edges between  $W$  and  $W^c$  is atleast  $\varepsilon_2 D_2 |W^c| \geq \varepsilon_2 D_2 \frac{D_1}{4}$  and all such edges will be present in  $H$  as edges between  $S$  and  $S^c$ . So number of edges between  $S$  and  $S^c$  in  $H$  is atleast  $\frac{\varepsilon_2 D_2 N_1}{4} \geq \frac{|S| \varepsilon_2 D_2}{2}$ .

Hence the edge expansion is  $\frac{\varepsilon_2 D_2}{2(D_2 + 1)} \geq h$

4. Construct  $S$  such a way that every cloud in  $S$  is *completely full*, ie, if  $(u, v) \in S$  then  $\forall w \in V(G_2), (u, w) \in S$ . Note now any edge corresponds to  $G_2$  can not go outside of  $S$  as all the  $G_2$  neighbours of some  $(u, v) \in S$  is inside  $S$  since the  $u$ -cloud in  $S$  is completely full. So only  $G_1$  edges can go outside  $S$ , and each vertex in  $H$  has exactly one  $G_1$  neighbour,

so # edges outgoing from  $S \leq$  number of  $G_1$  edges of  $S = |S|$ , hence  $\varepsilon_2 \leq \frac{1}{D_2 + 1}$

**Question 5.** Problem 5.5

1. Let  $A_{M \times N}$  be the adjacency matrix of the corresponding bipartite graph.

**Claim.**  $x = (x_1, \dots, x_N) \in \{0, 1\}^N$  is a code word  $\iff Ax = 0 \pmod{2}$ .

*Proof :* Clearly,  $i$ th coordinate of  $Ax$  is  $\sum_{j \in \Gamma(i)} x_j$ .

So, for  $i \in [M], \bigoplus_{j \in \Gamma(i)} x_j = 0 \iff \sum_{j \in \Gamma(i)} x_j = 0 \pmod{2}$ .

$\implies \forall i \in [M], \bigoplus_{j \in \Gamma(i)} x_j = 0 \iff \forall j \in [M], \sum_{j \in \Gamma(i)} x_j = 0 \pmod{2}$ .

$\implies \forall i \in [M], \bigoplus_{j \in \Gamma(i)} x_j = 0 \iff \forall j \in [M], \bigoplus_{j \in \Gamma(i)} x_j = 0$ .

Identify  $\{0, 1\}^N$  as a vector space of  $\mathbb{F}_2$  and  $\{0, 1\}^M$  as a subspace of  $\{0, 1\}^N$  over  $\mathbb{F}_2$ .

By, rank nullity theorem,  $\ker(A) + \text{rank}(A) = N$  and  $\text{rank}(A) \leq M$

$\implies \ker(A) \geq N - M \implies |\mathcal{C}| \geq 2^{N-M}$ .

Therefore,  $\log |\mathcal{C}| \geq N - M \implies \text{rate} \geq \frac{N - M}{N} = 1 - \frac{M}{N}$ .

2. Say,  $c \in \mathcal{C}$ , take  $S_c = \{i \in [N] : c_i = 1\}$  and each  $j \in [M]$  has even number of neighbours in  $S_c$ .

If  $c \in \mathcal{C}$  be a codeword, and if possible hamming weight of  $c \leq K$ .

Then,  $|S_c| < K \implies |\Gamma(S_c)| > \frac{D}{2}|S_c|$ .

For  $j \in \Gamma(S_c)$ , take  $y_j =$  number of neighbours of  $j$  in  $S_c =$  number of edges from  $j$  to  $S_c$ .

So,  $\sum_{j \in \Gamma(S_c)} y_j =$  number of edges from  $S_c$  to  $\Gamma(S_c) \leq D|S_c|$ .

$\implies$  average number of neighbours of each  $j \in \Gamma(S_c) < 2$ , as  $|\Gamma(S_c)| > \frac{D}{2}|S_c|$ .

$\implies \exists j \in \Gamma(S_c)$  so that there is unique  $i \in S_c, (i, j) \in E$ , Hence contradiction.

So,  $d_H(c, 0) \geq \frac{K}{N}$  for all  $c \in \mathcal{C}$ .

Take  $c, c' \in \mathcal{C}$ , then,  $d_H(c, c') = |S_c \Delta S_{c'}| = |S|$ .

If,  $d_H(c, c') < \frac{K}{N}$ ,  $|S_c \Delta S_{c'}| < K \implies |\Gamma(S_c \Delta S_{c'})| > \frac{D}{2}|S_c \Delta S_{c'}|$ .

take  $c_0 \in \{0, 1\}^N$  so that  $c_{0i} = 1 \iff i \in S_c \Delta S_{c'}$ .

Now,  $c, c' \in \mathcal{C} \implies Ac - Ac' = A(c - c') = 0 \pmod{2} \implies c_0$  is a codeword.

Hence,  $d_H(c_0, 0) \geq \frac{K}{N} \implies d_H(c, c') \geq \frac{K}{N}$ .

**3. Decoding:**

**Definition 0.1.**

$UNSAT(i) = \{j \in \Gamma(i) : \text{parity check corresponding to } j \text{ is not satisfied}\}$ .

For  $S \subseteq [N]$ ,  $U(S) = \{j \in \Gamma(S) : j \text{ has a unique neighbour in } S\}$ .

Say, received message is  $r = (r_1, \dots, r_N)$ .

Algorithm:

While there is  $i \in [N]$  so that number of  $|UNSAT(i)| > 2/3|\Gamma(i)|$ :

flip  $r_i$ .

return  $r$ .

If at some stage number of wrong parity checks are  $> k + \frac{2}{3}|\Gamma(i)|$  then after flipping that  $r_i$ , wrong parity checks  $< k + \frac{1}{3}|\Gamma(i)|$ . Initially we can have at most  $N$  corrupted bits, so this algorithm runs in at most  $O(N)$  time as each iteration decreases total number of corrupted bits.

**Claim.** If  $G$  is  $(K, (1 - \epsilon)D)$  expander then,

for any  $|S| < K$ ,  $|U(S)| > D(1 - 2\epsilon)|S|$ .

*Proof* : Total number of edges out of  $S = D|S|$  but we know  $|\Gamma(S)| > D(1 - \epsilon)|S|$ .

Say,  $NU(S) = \Gamma(S) - U(S)$ , then,  $|U(S)| + 2|NU(S)| \leq D|S|$ .

And  $|U(S)| + |NU(S)| > (1 - \epsilon)D|S|$ .

By this two inequalities, we have  $|U(S)| > (1 - 2\epsilon)D|S|$ .

**Claim.** If number of errors  $< K$ , then, there is a node in left vertex set, whose  $> 2/3$  neighbours make wrong parity check. (For sufficiently small  $\epsilon$ )

*Proof* : Say,  $S =$  set of corrupted vertices. Then after each iteration  $|S| < K$  as, error does not increase. So,  $|U(S)| > (1 - 2\epsilon)D|S| > 2D|S|/3$  if  $\epsilon < 1/6$ .

As, parity checks for all of  $j \in U(S)$  is not satisfied, there is a vertex  $i$  in  $S$  so that  $|UNSAT(i)| > 2D/3$ .

$\implies i$  has  $> 2/3$  neighbours which make wrong parity check.

Therefore, if  $r$  be the received message  $d_H(r, w) < \frac{K}{N}$  where  $w$  is the nearest codeword to  $r$ , the algorithm ends up giving the codeword  $w$ .