

## LECTURE 21

### 1. Sections of $E(F)$ and $G$ -equivariant maps

Let  $X$  be an  $S$ -scheme,  $\pi: E \rightarrow X$  a  $G$ -torsor, and  $F \rightarrow S$  a locally quasi-affine  $G$ -scheme. As usual  $\pi_F: E(F) \rightarrow X$  will denote the associated fibre bundle over  $X$ .

**1.1. Equivariant maps.** Recall that in Lecture 18, Theorem 1.1.3, we gave an alternative description of  $E(F)$  as the quotient by  $G$  of  $E \times_S F$  under the right action

$$(e, f) \cdot g = (eg, g^{-1}f)$$

on  $E \times_S F$ , where as usual,  $e: T \rightarrow E$ ,  $g: T \rightarrow G$ , and  $f: T \rightarrow F$  are  $T$ -valued points of  $E$ ,  $G$ , and  $F$ , for  $T \in \mathbb{S}ch/S$ . If  $F = S$ , then easy considerations show that  $E(F) = X$  (we point out that any  $S$ -automorphism of  $S$  is the identity, and so any  $G$ -action on  $S$  must be trivial). Indeed if  $(p: X' \rightarrow X, \theta: G_{X'} \xrightarrow{\sim} E_{X'})$  is a trivializing data for  $\pi: E \rightarrow X$ , and  $g_\theta$  the corresponding transition element, then  $g_\theta$  acts trivially on  $X'' \times_S F = X'' \times_S S = X''$ , and the descent of the  $X'$ -scheme  $X' \times_S F = X' \times_S S = X'$  to  $X$  via the descent datum provided by  $g_\theta$  is necessarily  $X$  itself. Thus  $X = E(S) = E \times_S S/G = E/G$ . We record the two formulae

$$(1.1.1) \quad E(F) = (E \times_S F)/G \text{ and } E/G = X.$$

Moreover, the quotient  $E(F) = (E \times_S F)/G$  is an effective quotient of  $E \times_S F$  by a smooth (and hence fpqc) equivalence relation. This last condition means that if  $R \rightarrow (E \times_S F) \times_S (E \times_S F)$  is the scheme theoretic equivalence relation  $(e, f) \sim (eg, g^{-1}f)$ , then the two projections  $R \rightrightarrows E \times_S F$  are smooth<sup>1</sup>. The universal property of quotients then implies that if  $q: E \times_S F \rightarrow (E \times_S F)/G = E(F)$  is the quotient map and if  $\varphi: E \times_S F \rightarrow Z$  is a  $G$ -equivariant map in  $\mathbb{S}ch/S$  for the trivial action of  $G$  on  $Z$ , then there is a unique map of  $\phi: E(F) \rightarrow Z$  such that  $\varphi = \phi \circ q$ .

**Proposition 1.1.2.** *There is a bijective correspondence between sections of the fibre-bundle  $\pi_F: E(F) \rightarrow X$  and  $G$ -equivariant maps  $E \rightarrow F$ . Here the action on  $F$  is the right action on it induced by the given left action on it (i.e.,  $f \cdot g := g^{-1}f$ ).*

*Proof.* Suppose  $\varphi: E \rightarrow F$  is  $G$ -equivariant in  $\mathbb{S}ch/S$ , and suppose as before

$$q: E \times_S F \rightarrow (E \times_S F)/G = E(F)$$

is the quotient map. We have a map  $\tilde{\varphi}: E \rightarrow E \times_S F$  given by  $\tilde{\varphi} = (1_E, \varphi)$ . Note that  $\tilde{\varphi}$  is a section of the projection  $E \times_S F \rightarrow E$ . Clearly  $\tilde{\varphi}$  is  $G$ -equivariant. Hence, so is  $q \circ \tilde{\varphi}: E \rightarrow E(F)$ . Since the action of  $G$  on  $E(F)$  is trivial, by the universal property of the quotient  $\pi: E \rightarrow E/G = X$ , we deduce a map from  $\psi: X = E/G \rightarrow E(F)$  such that  $\phi \circ \pi = q \circ \tilde{\varphi}$ , and such a  $\phi$  is unique. Since  $\tilde{\varphi}$  is

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<sup>1</sup> $R$  can be identified with  $E \times_X E \times_S F$  and the projections  $q_1$  and  $q_2$  to  $E \times_S F$  by the assignments  $(e_1, e_2, f) \mapsto (e_1, g_u(e_1, e_2)f)$  and  $(e_1, e_2, f) \mapsto (e_2, f)$  respectively. Here the map  $g_u: E \times_X E \rightarrow G$  is the transition function corresponding to the trivialisation of  $E \rightarrow X$  by the fpqc-covering  $E \rightarrow X$  and the diagonal section of  $p_1: E \times_X E \rightarrow E$ .

a section of  $E \times_S F \rightarrow E$ , one checks easily that  $\phi$  is a section of  $\pi_F: E(F) \rightarrow X$ . As in Lecture 18, Subsection 2.1, the situation is summarized by the following commutative diagram

$$\begin{array}{ccc}
 & & E \times F \\
 & \tilde{\varphi} \nearrow & \downarrow q \\
 E & & \\
 \downarrow \pi & & \\
 E/G & \swarrow & (E \times F)/G \\
 \parallel & & \parallel \\
 X & \xrightarrow{\pi_F} & E(F) \\
 & \searrow \phi & \\
 & & 
 \end{array}$$

In summary, given a  $G$ -equivariant map  $\varphi: E \rightarrow F$ , we obtain a section  $\phi: X \rightarrow E(F)$  of  $\pi_F$ .

Note that the parallelograms in the above diagram are cartesian. Therefore if  $\phi: X \rightarrow E(F)$  is a section of  $\pi_F$ , then we have a map  $\phi \circ \pi: E \rightarrow E(F)$ , whence a map  $\tilde{\varphi}: E \rightarrow E \times_X E(F)$  given by  $e \mapsto (e, \phi \circ \pi(e))$ . Identifying  $E \times_X E(F)$  with  $E \times_S F$ , we see that  $\tilde{\varphi}$  is a  $G$ -equivariant section of  $E \times_S F \rightarrow E$ , and hence the map  $\varphi = p_F \circ \tilde{\varphi}$  is  $G$ -equivariant, where  $p_F: E \times_S F \rightarrow F$  is the projection on to  $F$ . Thus the section  $\phi: X \rightarrow E(F)$  gives rise to a  $G$ -equivariant map  $\varphi: E \rightarrow F$ . It is easy to see that the two processes are inverses.

To help the reader verify details, we point out that the identification

$$E \times_X E(F) = E \times_S F$$

used above is given by  $(e, [e', f]) \mapsto (e, gf)$  where  $g$  is the unique valued point of  $G$  such that  $e' = eg$ .  $\square$

**1.2. The space  $E/H$ .** Next suppose  $H \subset G$  is a closed subgroup scheme over  $S$  such that  $H \rightarrow S$  is smooth. We wish to say that reductions of structure group of the  $G$ -torsor  $\pi: E \rightarrow X$  are in one-to-one correspondence with sections of  $E/H = E(G/H) \rightarrow X$ .

**The Problem:** Does  $G/H$  exist as a scheme? How about  $E/H$ ? We did define  $G/H$  earlier as an fppf sheaf.

**Examples 1.2.1.** Regarding the question of representability of quotients by schemes, here are some examples:

- (1) If  $S$  is the spectrum of a field  $k$ , then  $G/H$  exists as a *smooth* quasi-projective variety. If  $k \rightarrow K$  is a field extension with  $K$  algebraically closed, then  $G/H(K) = G(K)/H(K)$ . Here is the idea of the proof. One has to find a representation  $G \rightarrow GL(V)$ , for a finite dimensional  $k$ -vector-space  $V$ , such that  $H$  is the stabilizer of a line  $L$  in  $V$ . We therefore have an action of  $G$  on  $\mathbb{P}(V)$ . If  $x_0 \in \mathbb{P}(V)$  is the point represented by  $L$ , then the stabilizer of  $x_0$  is  $H$ . One shows that  $G/H$  can be realised as the  $G$ -orbit of  $x_0$  with its reduced structure and that this reduced orbit is smooth and locally closed in  $\mathbb{P}(V)$ . Details can be found in [C1, p. 45, Thm. 18.1.1].

- (2) In the above case, if  $H$  in the above situation is a normal subgroup then  $G/H$  is affine [C1, p. 46, Example 18.1.4].
- (3) Suppose  $G \rightarrow S$  is a reductive group scheme and  $H \rightarrow S$  is a *parabolic* subgroup of  $G$ , i.e.  $P_{\bar{s}}$  is a parabolic subgroup of  $G_{\bar{s}}$ , for every  $s \in S$  and  $\bar{s}$  a geometric point over  $s$ . Then it turns out  $G/P$  exists as a smooth projective  $S$ -scheme [C2, p. 128, Cor. 5.2.8]. Moreover the  $G$ -space  $G/P$  can be covered by open subschemes which are  $G$ -stable and affine over  $S$ . Thus if  $\pi: E \rightarrow S$  is a  $G$ -torsor,  $E/P = E(G/P)$  exists as smooth proper scheme over  $X$ .

Just as we defined  $G/H$  as an fppf-sheaf, we can define  $E/H$  as an fppf-sheaf together with natural maps  $\varpi: E \rightarrow E/H$  and  $\pi_{/H}: E/H \rightarrow X$ . In greater detail, consider the pre sheaf

$$F: (\mathbb{S}ch_{/X})^\circ \rightarrow (\text{Sets})$$

given by

$$T \mapsto E(T)/H(T)$$

as  $T$  varies in  $\mathbb{S}ch_{/X}$ . Set

$$E/H = F^+$$

where  $F^+$  is the sheafification of  $F$  with respect to the fppf-topology on  $\mathbb{S}ch_{/X}$ . Note that we are working with objects and maps in  $\mathbb{S}ch_{/X}$ , and hence  $X(T)$  is a singleton set for every  $T \in \mathbb{S}ch_{/X}$ . (In particular  $X(E) = \{\pi\}$ .) One therefore has natural maps of functors  $E \rightarrow F$  and  $F \rightarrow X$  such that the composite  $E \rightarrow F \rightarrow X$  is  $\pi: E \rightarrow X$ . Sheafifying with respect to the fppf-topology on  $\mathbb{S}ch_{/X}$  and using the fact that  $E$  and  $X$  are already sheaves, we get a commutative diagram

$$(1.2.2) \quad \begin{array}{ccc} E & & \\ \downarrow \pi & \searrow \varpi & \\ & & E/H \\ & \swarrow \pi_{/H} & \\ & & X \end{array}$$

**1.3. Sections of  $E/H$ .** To understand sections of  $E/H$  we need the following Lemma.

**Lemma 1.3.1.** *Suppose  $T \in \mathbb{S}ch_{/S}$ ,  $g \in G(T)$ , and we have an fpqc-map  $t: T' \rightarrow T$  such that  $g(t) \in H(T')$ . Then  $g \in H(T)$ .*

*Proof.* It is clear we may assume  $S$  is affine (whence so are  $G$  and  $H$ ) by replacing  $S$  by an affine open subscheme (such subschemes cover  $S$ ) and taking inverse images of this open subscheme in all the schemes we are considering ( $SG, S, T, T'$ ). Further, without loss of generality, we may assume  $T = \text{Spec } A$ . We know that there is a quasi-compact open subscheme  $U$  of  $T'$  such that  $t(U) = T$ . Replacing  $T'$  by  $U$  if necessary, we may assume  $T'$  is quasi-compact. Finally, replacing  $T'$  by a finite disjoint union of affine open subschemes if necessary, we may assume  $T' = \text{Spec } B$ . The graph of  $g(t): T' \rightarrow G$  is a closed subscheme of  $T' \times_S G$ . Call this graph  $\Gamma'$ . Let the graph of  $g: T \rightarrow G$  be  $\Gamma \hookrightarrow T \times_S G$ . Clearly  $\Gamma' = t_G^{-1}(\Gamma)$ , where  $t_G: T' \times_S G \rightarrow T \times_S G$  is the base change of  $t: T' \rightarrow T$ . By our hypothesis,  $\Gamma'$  is actually a closed subscheme of  $T' \times_S H$ , i.e., we have a hierarchy of inclusions

$\Gamma' \hookrightarrow T' \times_S H \hookrightarrow T' \times_S G$ . We therefore have a hierarchy of surjective maps of  $\mathcal{O}_{T' \times_S G}$ -modules given by  $\mathcal{O}_{T' \times_S G} \twoheadrightarrow \mathcal{O}_{T' \times_S H} \twoheadrightarrow \mathcal{O}_{\Gamma'}$ . Since the first arrow and the composite arrow are maps of descent data, and since the arrows are surjective, the second arrow is also a map of descent data. It follows that we have a sequence of surjective  $\mathcal{O}_{T \times_S G}$ -maps  $\mathcal{O}_{T \times_S G} \twoheadrightarrow \mathcal{O}_{T \times_S H} \twoheadrightarrow \mathcal{O}_{\Gamma}$ . (These maps are surjective because  $t_G$  is faithfully flat.) The last surjection says  $\Gamma \hookrightarrow T \times_S H$ , which is another way of saying  $g \in H(T)$ .  $\square$

By the sheafification process described in Section 3 of Lecture 7, a section of the sheaf  $E/H$  over  $T \in \text{Sch}/X$  is represented by a pair  $(T' \xrightarrow{p} T, [e])$  where  $p: T' \rightarrow T$  is an fppf-map in  $\text{Sch}/X$ ,  $e$  is a  $T'$ -valued point of  $E$ , and  $[e] = eH(T')$  is the image of  $e$  in  $E(T')/H(T')$ . This pair has the property that there is an fppf-map  $q: \tilde{T} \rightarrow T''$  such that  $q^*p_1^*[e] = q^*p_2^*[e]$ . Let  $g \in G(T'')$  be the unique element such that  $e(p_2) = e(p_1)g$ . It follows that  $e(p_2 \circ q) = e(p_1 \circ q)g(q)$ . On the other hand, the relation  $q^*p_1^*[e] = q^*p_2^*[e]$  is equivalent to saying that  $e(p_2 \circ q) = e(p_1 \circ q)h$  for some (necessarily unique) element  $h \in H(\tilde{T})$ . It follows that  $g(q) = h$ . From the Lemma we conclude that  $g \in H(T'')$ .

Moreover, by modifying the above argument slightly, pairs  $(T_1 \rightarrow T, [e_1])$  and  $(T_2 \rightarrow T, [e_2])$  represent the same element of  $(E/H)(T)$ , precisely when the equation  $e_2(t_2) = e_1(t_1)h$  for some (necessarily unique)  $h \in H(T_1 \times_T T_2)$ , where  $t_i: T_1 \times_T T_2 \rightarrow T_i$  ( $i = 1, 2$ ) are the two projections.

We have proven the following:

**Proposition 1.3.2.** *Let  $T \in \text{Sch}/X$ . Then elements of  $(E/H)(T)$  are represented by pairs  $(T' \xrightarrow{p} T, e)$ , where  $e \in E(T')$  is an element such that  $e(p_2) = e(p_1)h$  for a  $T''$ -valued point  $h$  of  $H$ . Two such pairs  $(T_1, e_1)$  and  $(T_2, e_2)$  represent the same element of  $(E/H)(T)$  if and only if  $e_2(t_2) = e_1(t_1)h$  where  $t_i: T_1 \times_T T_2 \rightarrow T_i$ ,  $i = 1, 2$ , are the projections, and  $h \in H(T_1 \times_T T_2)$ .*

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