

LECTURE 20

1. Trivialisations again

Let $X \in \mathbb{S}ch/S$ and let $\pi: E \rightarrow X$ be a G -torsor. Recall Lemma 2.1.4 from Lecture 14, namely: *Let e_1, e_2 two sections of π . Then there exists a unique element $g_{12} \in G(X)$ such that*

$$e_2 = e_1 g_{12}.$$

Moreover, if $\psi_{12}: G_X \xrightarrow{\sim} G_X$ is the G -equivariant isomorphism of X -schemes ¹ given by $\psi_{12} = \psi_{e_1}^{-1} \circ \psi_{e_2}$ then ψ_{12} is described by $(x, g) \mapsto (x, g_{12}(x)g)$ for valued points x of X and g of G having the same source.

We can make the same statement about sections of principal bundles. So suppose G is a topological group. As usual assume, for the rest of this section, that all topological spaces, including G , are Hausdorff, and all group actions are continuous. Sections of principal bundles are assumed continuous unless otherwise stated. Suppose $\pi: E \rightarrow X$ is a principal G -bundle. We know that $E \rightarrow X$ is trivial if and only if it has a section $\sigma: X \rightarrow E$ and trivialisations $\theta: G_X \xrightarrow{\sim} E$ are in bijective correspondence with such sections. Indeed given a trivialization θ , the canonical identity section of $G_X \rightarrow X$ translates to a section of $E \rightarrow X$, and conversely a section $\sigma: X \rightarrow E$ gives rise to the isomorphism $\psi_\sigma: G_X \xrightarrow{\sim} E$ given by $(x, g) \mapsto \sigma(x)g$. Let $\theta_i: G_X \xrightarrow{\sim} E$, $i = 1, 2$, be two isomorphisms of principal G -bundles, and $\sigma_i: X \rightarrow E$ the corresponding sections. Let $g_{12}: X \rightarrow G$ be the continuous map such that

$$\sigma_2(x) = \sigma_1(x)g_{12}(x),$$

and let $\psi_{12}: G_X \xrightarrow{\sim} G_X$ be the automorphism of principal G bundles given by $\psi_{12} = \theta_1^{-1} \circ \theta_2$. Then g_{12} can also be characterized as the continuous map such that ψ_{12} is $(x, g) \mapsto (x, g_{12}(x)g)$. In other words, if $\theta_1(x, g_1) = \theta_2(x, g_2)$ then

$$g_1 = g_{12}(x)g_2,$$

whereas,

$$\sigma_2(x) = \sigma_1(x)g_{12}(x).$$

Note that $\theta_1(x, g_1) = \theta_2(x, g_2)$ is equivalent to $\psi_{12}(x, g_2) = (x, g_1)$.

2. Reduction of structure group for G -torsors

Now suppose $X \in \mathbb{S}ch/S$ and $\pi: E \rightarrow X$ is a G -torsor. Let $H \subset G$ be a closed subscheme of G smooth over S . We say the structure group of E is reducible to H if there exists an fpqc-map $X' \rightarrow X$ and a trivialization $\theta: G_{X'} \xrightarrow{\sim} E_{X'}$ such that the transition function

$$g_\theta: X'' \rightarrow G$$

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¹With respect to the right G -action on G_X .

is factors through H :

$$\begin{array}{ccc} X'' & \xrightarrow{g_\theta} & G \\ & \searrow & \updownarrow \\ & & H \end{array}$$

This is equivalent to saying that for each $T \in \text{Sch}/_S$, $g_\theta(T): X''(T) \rightarrow G(T)$ takes values in the subgroup $H(T)$ of $G(T)$.

Suppose $H^1(X, G_X)$ and $H^1(X, H_X)$ are the first cohomology sets for the group schemes G and H respectively, and

$$h_*: H^1(X, H_X) \rightarrow H^1(X, G_X)$$

the natural map. Let $\pi: E \rightarrow X$ be a G -torsor and $\zeta \in H^1(X, G_X)$ be the element corresponding to $E \rightarrow X$. Then the structure group of $\pi: E \rightarrow X$ is reducible to H if and only if ζ is in the image of h_* , i.e., $\zeta = h_*\xi$ for some $\xi \in H^1(X, H_X)$. A choice of such a ξ is a reduction of the structure group of the G -torsor $E \rightarrow X$ to H . Note, if $\xi \in H^1(X, H_X)$ is a reduction of the structure group of E to H , then ξ gives rise to a H -torsor, $p: P \rightarrow X$ and a H -equivariant closed immersion $i: P \hookrightarrow E$ of X -schemes. The latter data nails ξ .

We wish to characterize reductions of structure group of E to H by sections of $E(G/H) \rightarrow X$ (or $E/H \rightarrow X$, since (hopefully) $E(G/H) = E/H$ as in the classical situation).

Here are the possible problems one could encounter:

- (1) G/H may not exist as an S -scheme.
- (2) Even if it exists, G/H may not be a locally quasi-affine space over S
- (3) E/H may not exist as a scheme.

2.1. The space G/H . First consider the presheaf $F: (\text{Sch}/_S)^\circ \rightarrow \mathbf{Sets}$ on $\text{Sch}/_S$ given by

$$T \mapsto G(T)/H(T).$$

We point out that for any $T \in \text{Sch}/_S$, the set $S(T)$ is a single element set, and hence we have map of presheaves $F \rightarrow S$. We also have a map of presheaves $G \rightarrow F$ and the following diagram commutes:

$$(2.1.1) \quad \begin{array}{ccc} G & & \\ \downarrow & \searrow & \\ S & \longleftarrow & F \end{array}$$

Definition 2.1.2. The quotient G/H is the fppf-sheafification of the pre sheaf F .

Note that the maps $G \rightarrow F$ and $F \rightarrow S$ in (2.1.1) gives rise to the maps $p_H: G \rightarrow G/H$ and $t_H: G/H \rightarrow S$ such that the diagram

$$(2.1.3) \quad \begin{array}{ccc} G & & \\ \downarrow & \searrow^{p_H} & \\ S & \longleftarrow_{t_H} & G/H \end{array}$$

commutes.

Suppose \mathcal{F} is an fppf-sheaf on $\text{Sch}/_S$ such that G acts trivially on the right on \mathcal{F} (i.e., $G(T)$ acts trivially on the right on $\mathcal{F}(T)$ for every $T \in \text{Sch}/_S$) and we have

a G -equivariant map $\varphi: G \rightarrow \mathcal{F}$ for the right action of G on G . Then for each T we have a unique map $\psi(T): G(T)/H(T) \rightarrow \mathcal{F}(T)$ such that the following diagram commutes

$$(2.1.4) \quad \begin{array}{ccc} G(T) & \xrightarrow{\varphi(T)} & \mathcal{F}(T) \\ & \searrow & \uparrow \psi(T) \\ & & G(T)/H(T) \end{array}$$

It is evident that $\psi(T)$ is functorial in T as T varies over Sch_S . By the universal property of sheafification (or by using the functoriality of sheafifications) we get a unique map

$$\varphi_{/H}: G/H \rightarrow \widehat{\mathcal{F}}$$

such that the following diagram commutes:

$$(2.1.5) \quad \begin{array}{ccc} G & \xrightarrow{\varphi} & \mathcal{F} \\ & \searrow p_H & \uparrow \varphi_{/H} \\ & & G/H \end{array}$$

3. The Yoneda Lemma

The major point of this section is to interpret elements of $F(X)$ (for $X \in \text{Sch}_S$ and F a contravariant **Sets**-valued functor on Sch_S) as maps

$$X \rightarrow F.$$

Here X in the above relation is identified with the functor h_X and the arrow $X \rightarrow F$ as a natural transformation of functors. Note that if $F = h_Y$ where $Y \in \text{Sch}_S$, then such a correspondence is a tautology if we identify h_Y with Y .

3.1. Let $\widehat{\text{Sch}}_S$ denote the category of contravariant **Sets**-valued functors on Sch_S , i.e the objects are contravariant functors on Sch_S taking values in **Sets** and morphisms are natural transformations of such functors. Recall that the functor $X \rightarrow h_X$, for $X \in \text{Sch}_S$ is a fully faithful embedding of Sch_S into $\widehat{\text{Sch}}_S$.

Let $F \in \widehat{\text{Sch}}_S$ (i.e., $F: (\text{Sch}_S)^\circ \rightarrow \mathbf{Sets}$ is a functor) and $X \in \text{Sch}_S$. Note that $h_X(X) = \text{Hom}_{\widehat{\text{Sch}}_S}(X, X)$ has a distinguished element, namely the identity map 1_X . Therefore a map

$$\varphi: h_X \rightarrow F$$

in $\widehat{\text{Sch}}_S$ gives rise to an element $\xi_\varphi \in F(X)$, namely the image under $\varphi(X)$ of 1_X .

The process can be reversed. To see this let $\xi \in F(X)$. For a set A , we have a map

$$e_\xi(A): \text{Hom}_{\mathbf{Sets}}(F(X), A) \rightarrow A$$

given by “evaluation at ξ ”, i.e., the map $f \mapsto f(\xi)$ for $f: F(X) \rightarrow A$. Note that $e_\xi(A)$ is functorial in A , and gives a functorial map

$$e_\xi: \text{Hom}_{\mathbf{Sets}}(F(X), -) \longrightarrow \mathbf{1}_{\mathbf{Sets}}$$

of endo-functors on **Sets**. We therefore have a sequence of natural transformations

$$h_X = \text{Hom}_{\text{Sch}/S}(-, X) \longrightarrow \text{Hom}_{\text{Sets}}(F(X), F(-)) \\ \xrightarrow{e_\xi(F(-))} F.$$

Let

$$\widehat{\xi}: h_X \rightarrow F$$

be the above composite. It is easy to check that the assignments $\varphi \mapsto \xi_\varphi$ and $\xi \mapsto \widehat{\xi}$ are inverses of each other. Hence we get bijective correspondence of sets

$$(3.1.1) \quad \text{Hom}_{\widehat{\text{Sch}}/S}(h_X, F) \xrightarrow{\sim} F(X)$$

given by $\varphi \mapsto \xi_\varphi$. The correspondence (3.1.1) is called the *Yoneda correspondence*.

We often do not distinguish between $\xi \in F(X)$ and the map $\widehat{\xi}: h_X \rightarrow F$, or between h_X and X . Hence $\xi \in F(X)$ is thought of as a map $\xi: X \rightarrow F$. If $Y \in \text{Sch}/S$ and $F = h_Y$ (i.e., $F = Y$ in line with our identifications) then we are simply stating the obvious, or, more precisely, restating the fully faithful embedding $h_{(-)}: \text{Sch}/S \rightarrow \widehat{\text{Sch}}/S$ given by $Y \mapsto h_Y$.

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