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The Hilbert scheme of divisors on a curve

Let  $k$  be a field and  $X$  a complete smooth curve/ $k$ , geometrically irred. of genus  $g$ , s.t.  $X(k) \neq \emptyset$ . Fix  $n \geq 1$  and a line bundle  $L_0$  of deg  $2g+n$ .

$$\text{deg } L_0 = 2g+n.$$

Note,  $h^1(L_0) = 0$ , and  $L_0$  is generated by global sections. Since  $n \geq 1$ ,  $L_0$  is very ample. Note, such an  $L_0$  exists since  $X(k) \neq \emptyset$ .

Let  $V = H^0(X, L_0)$ . Have  $\dim V = g+n+1 =: N$  (say).

Set  $G = G_n(V)$ , the Grassmannian of  $n$ -dim'l quotients of  $V$ .

Have universal exact sequence of v.b.'s on  $G$

$$0 \rightarrow K_u \rightarrow V \otimes_k \mathcal{O}_G \rightarrow \mathcal{Q}_u \rightarrow 0 \quad (Eu)$$

where  $\mathcal{Q}_u$  is a rank  $n$  vector bundle (the subscript  $u$  is of "universal").

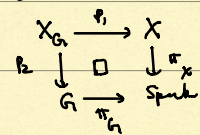
Let  $X_G = X \times_k G$ , and  $p_1: X_G \rightarrow X$ ,  $p_2: X_G \rightarrow G$  be the two projections. Since  $L_0$  is gen'd by global sections, we have

a surjection:

$$\begin{array}{ccc} V \otimes_k \mathcal{O}_{X_G} & \xrightarrow{\varphi} & p_1^* L_0, \\ \parallel & & \\ p_2^*(V \otimes_k \mathcal{O}_G) & & \end{array}$$

s.t. on applying  $p_{2*}$  to the surjection we get the identity map on  $V \otimes_k \mathcal{O}_G$ . In greater detail, we have

$$\begin{array}{ccc} p_{2*}(V \otimes_k \mathcal{O}_{X_G}) & \xrightarrow{p_{2*}\varphi} & p_{2*}(p_1^* L_0) \\ \parallel & & \parallel \text{ (flat base-change) } \\ V \otimes_k p_{2*} \mathcal{O}_{X_G} = V \otimes_k \mathcal{O}_G & & p_{1*} p_2^* p_{1*} L_0 = V \otimes_k \mathcal{O}_G. \end{array}$$



In fact, again using the fact that flat base change one has  $\phi_{2*} \phi_1^* L_0 = \pi_a^* \pi_{x*} L_0$ , we see that for any  $z \in G$ , the map  $\Gamma(X \times \{z\}, \phi|_{X \times \{z\}})$  yields the identity map on  $V \otimes_k \mathbb{k}(z)$ .

We therefore have an  $\mathcal{O}_{X_{G_1}}$ -module map

$$\phi_{2*} K_U \longrightarrow \phi_1^* L_0$$

given by the composite

$$\phi_{2*} K_U \hookrightarrow V \otimes_k \mathcal{O}_{X_{G_1}} \xrightarrow{\phi} \phi_1^* L_0.$$

Let

$$C := \text{coker}(\phi_{2*} K_U \longrightarrow \phi_1^* L_0).$$

The surjection  $\phi_1^* L_0 \twoheadrightarrow C$  of  $\mathcal{O}_{X_{G_1}}$ -modules gives rise to a surjection of  $\mathcal{O}_{X_{G_1}}$ -modules

$$\mathcal{O}_{X_{G_1}} \longrightarrow C \otimes_{\mathcal{O}_{X_{G_1}}} \phi_1^* L_0^{-1}$$

and hence we get a closed subscheme of  $X_{G_1}$ :

$$\mathcal{D}' \hookrightarrow X_{G_1}$$

The ideal sheaf of  $\mathcal{D}'$  is the kernel of  $\mathcal{O}_{X_{G_1}} \longrightarrow C \otimes_{\mathcal{O}_{X_{G_1}}} \phi_1^* L_0^{-1}$ .

Claim:  $\mathcal{D}' \xrightarrow{\text{via } \mathbb{k}} G$  is a finite map.

Proof of Claim: The map  $\mathcal{D}' \rightarrow G$  is clearly proper since it is the composite of a closed immersion followed by  $\phi_2$ , which is proper. Therefore it is enough to show that  $\mathcal{D}' \rightarrow G$  is quasi-finite. It is sufficient to show that the map  $\mathcal{I}|_{X \times \{z\}} \longrightarrow \mathcal{O}_{X_{G_1}}|_{X \times \{z\}} = \mathcal{O}_{X \times \{z\}}$  is non-zero for every  $z \in G$  where  $\mathcal{I}$  is the ideal sheaf of  $\mathcal{D}'$  in  $\mathcal{O}_{X_{G_1}}$ . This is where we need the fact that  $X$  is geometrically irreducible, for this implies that  $X \times \{z\}$  ( $= X \otimes \mathbb{k}(z)$ ) is integral. Now  $\phi_{2*} K_U|_{X \times \{z\}}$  is free  $\mathcal{O}_{X \times \{z\}}$ -module

of rank  $N-n$ , and the map  $p_2^* \mathcal{K}_X|_{X \times \{z\}} \longrightarrow p_1^* \mathcal{L}_0|_{X \times \{z\}} = \mathcal{L}_0 \otimes \mathcal{K}(z)$ , is non-zero, for upon taking global sections, one simply has the inclusion  $\Gamma(p_2^* \mathcal{K}_X|_{X \times \{z\}}) \hookrightarrow V \otimes_k \mathcal{K}(z)$ . This proves the claim.

Next, let  $H^{(n)}$  be the stratum in  $G$  on which the coherent  $\mathcal{O}_G$ -module  $\mathcal{F}' := p_{2*} \mathcal{O}_{\mathcal{D}'}$  is flat (where locally free) of rank  $n$ . Such a stratum exists by elementary considerations (see proof given below). Let

$$\begin{aligned} \mathcal{D}^{(n)} &:= \text{inverse image } H^{(n)} \text{ in } \mathcal{D}' \text{ under } p_2: \mathcal{D}' \longrightarrow G \\ &= H^{(n)} \times_G \mathcal{D}' \end{aligned}$$

If  $\mathcal{F} := \mathcal{F}'|_{\mathcal{D}^{(n)}}$ , then a little thought shows that

$$\mathcal{F} = p_{2*} \mathcal{O}_{\mathcal{D}^{(n)}}$$

where we are writing  $p_2$  for the map  $\mathcal{D}^{(n)} \longrightarrow H^{(n)}$  in a (standard?) abuse of notation. We thus have the following commutative diagram

$$\begin{array}{ccc} \mathcal{D}^{(n)} \hookrightarrow & X_{H^{(n)}} & \\ \searrow & \downarrow p_2 & \xrightarrow{\quad (*) \quad} \\ \mathcal{F} = p_{2*} \mathcal{O}_{\mathcal{D}^{(n)}} & H^{(n)} & \end{array}$$

finite, flat, with  $\mathcal{F}$  of rank  $n$  as an  $\mathcal{O}_{H^{(n)}}$ -module.

Claim:  $H^{(n)}$  is the Hilbert scheme of effective degree  $n$  divisors on  $X$  and diagram  $(*)$  is the universal family of effective degree  $n$  divisors on  $X$  parameterised by  $H^{(n)}$ .

Proof:

Let  $T$  be a  $k$ -scheme and  $\mathcal{D} \hookrightarrow X_T$  a closed subscheme such that  $\mathcal{D} \longrightarrow T$  is flat, finite, with  $\mathcal{O}_{\mathcal{D}} \otimes_{\mathcal{O}_T} k(t)$  an  $n$ -dimensional  $k(t)$ -vector space for every  $t \in T$ . Consider the

commutative diagram with the square being the standard cartesian square

$$\begin{array}{ccccc}
 \mathcal{D}C & \longrightarrow & X_T & \xrightarrow{\beta_1} & X \\
 & & \downarrow \beta_2 & \square & \downarrow \\
 & & T & \longrightarrow & \text{Spec } k
 \end{array}$$

finite flat of "rank n".

We have an exact sequence

$$0 \longrightarrow \mathcal{O}_{X_T}(-\mathcal{D}) \longrightarrow \mathcal{O}_{X_T} \longrightarrow \mathcal{O}_{\mathcal{D}} \longrightarrow 0,$$

whence an exact sequence

$$(+) \dots \quad 0 \longrightarrow \mathcal{O}_{X_T}(-\mathcal{D}) \otimes q_1^* L_0 \longrightarrow q_1^* L_0 \longrightarrow q_1^* L_0|_{\mathcal{D}} \longrightarrow 0.$$

"  $\mathcal{M}$

For  $t \in T$ , let  $\mathcal{D}_t := \mathcal{D} \otimes k(t) = \mathcal{D}|_{X \times \{t\}}$ . Then  $\mathcal{D}_t$  is an effective degree  $n$  divisor on  $X_t := X \times \{t\}$ . Moreover the line bundle

$$M_t = \mathcal{O}(-\mathcal{D}_t) \otimes_{\mathcal{O}_{X_t}} (L_0 \otimes k(t))$$

on  $X_t$  (obtained by restricting  $\mathcal{M} = \mathcal{O}_{X_T}(-\mathcal{D}) \otimes q_1^* L_0$  to  $X_t$ ) is of degree  $2g$  (since  $L_0$  has degree  $2g+n$  and  $-\mathcal{D}_t$  has degree  $-n$ ). In particular

$h^1(M_t) = 0$  and  $M_t$  is generated by global sections. These properties

are of course also enjoyed by  $L_0 \otimes k(t)$ ,  $t \in T$ . Standard

semi-continuity then gives that  $R^1 q_{2*} \mathcal{M} = R^1 q_{2*} (q_1^* L_0) = 0$ ,

$q_{2*} \mathcal{M}$  is a vector bundle on  $T$  of rank  $g+1$ , and  $q_{2*} (q_1^* L_0)$  is

a vector bundle of rank  $N = n+g+1$ . In fact  $q_{2*} (q_1^* L_0) = V \otimes_k \mathcal{O}_T$ ,

by flat base change. By hypothesis  $F = q_{2*} (q_1^* L_0|_{\mathcal{D}})$  is

a vector bundle of rank  $n$  on  $T$  (since  $q_{2*} (\mathcal{O}_{\mathcal{D}})$  is such

a vector bundle). We thus have an exact sequence of

vector bundles on  $T$ :

$$0 \longrightarrow p_{2*} M \longrightarrow V \otimes_k \mathcal{O}_T \longrightarrow F \longrightarrow 0 \quad \text{--- } (\mathcal{E}_T)$$

and the ranks of these v.b.'s are such that the universal property of  $(G = G_n(V), (\mathcal{E}_n))$  applies and we obtain a (unique) classifying map

$$\gamma: T \longrightarrow G$$

such that  $\gamma^*(\mathcal{E}_n) \cong (\mathcal{E}_T)$  (with  $\gamma^*(V \otimes_k \mathcal{O}_G)$  being canonically identified with  $V \otimes_k \mathcal{O}_T$ ). Moreover the argument for showing that the natural map  $V \otimes_k \mathcal{O}_{X_n} = p_2^* p_{2*} p_1^* L_0 \longrightarrow p_1^* L_0$  being a surjection applies mutatis mutandis and we have surjections  $p_2^* p_{2*} M \longrightarrow M$ ,  $V \otimes_k \mathcal{O}_{X_T} \longrightarrow p_1^* L_0$ . We therefore have a comm. diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \longrightarrow & (1_X)^* p_2^* K_n & \longrightarrow & (1_X)^* p_2^* V \otimes_k \mathcal{O}_G & \longrightarrow & (1_X)^* p_2^* Q_n \longrightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & p_2^* r^* K_n & \longrightarrow & V \otimes_k \mathcal{O}_{X_T} & \longrightarrow & p_1^* r^* Q_n \longrightarrow 0 \\ & & \downarrow & & \parallel & & \downarrow \\ 0 & \longrightarrow & p_2^* p_{2*} M & \longrightarrow & V \otimes_k \mathcal{O}_{X_T} & \longrightarrow & p_2^* p_{2*} \mathcal{O}_{\mathcal{P}(n)} \longrightarrow 0 \\ & & \downarrow & & \parallel & & \downarrow \\ 0 & \longrightarrow & M & \longrightarrow & V \otimes_k \mathcal{O}_{X_T} & \longrightarrow & \mathcal{D} \longrightarrow 0 \end{array}$$

(the downward arrows on the south-east corner being obviously surjective). In particular

$$\begin{aligned} \mathcal{D} &= \text{coker} (p_2^* p_{2*} M \longrightarrow V \otimes_k \mathcal{O}_{X_T}) \\ &= (1_X)^* \text{coker} (p_2^* K_n \longrightarrow V \otimes_k \mathcal{O}_{X_n}) \\ &= (1_X)^* \mathcal{O}_{\mathcal{P}(n)}. \end{aligned}$$

Thus

$$\mathcal{D} = (1 \times \tau)^{-1} (\mathcal{D}^{(n)}).$$

This proves the claim.

### The Flattening Stratification business:

Let  $A$  be a (noetherian) ring and  $M$  a finite module. For each  $\mathfrak{p} \in \text{Spec} A$ , let  $e(\mathfrak{p}) := \dim_{k(\mathfrak{p})} (M \otimes k(\mathfrak{p}))$ . Let  $n \geq 0$  be an integer, and  $\mathfrak{p}$  a prime ideal of  $A$  such that  $e(\mathfrak{p}) = n$ . By Nakayama's lemma we have a surjection

$$A_{\mathfrak{p}}^n \twoheadrightarrow M_{\mathfrak{p}}.$$

It follows we have  $f \in A \setminus \mathfrak{p}$  and a surjection.

$$A_{\mathfrak{p}}^n \xrightarrow{\psi} M_{\mathfrak{p}}.$$

$\text{Spec} A_{\mathfrak{p}}$  is an affine open neighbourhood of  $\mathfrak{p}$ . Call it  $U_{\mathfrak{p}}$ . Clearly  $e(\mathfrak{q}) \leq n \quad \forall \mathfrak{q} \in U_{\mathfrak{p}}$ . Moreover, since we are working with noetherian rings (otherwise assume  $M$  finitely presented) we have a presentation

$$A_{\mathfrak{p}}^m \xrightarrow{\theta} A_{\mathfrak{p}}^n \xrightarrow{\psi} M_{\mathfrak{p}} \rightarrow 0 \quad (**)$$

Now  $\theta$  is an  $n \times m$  matrix with entries from  $A_{\mathfrak{p}}$ . Let

$I$  be the  $A_{\mathfrak{p}}$  ideal generated by these entries. Let  $B = A_{\mathfrak{p}}/I$ .

It is clear that  $\psi \otimes_{A_{\mathfrak{p}}} B$  is an isomorphism. Let  $Z_{\mathfrak{p}}$  be the closed subscheme of  $U_{\mathfrak{p}}$  defined by  $B$ . It is easy to see (since presentations of the form  $(**)$  pull-back well) that if

$W \xrightarrow{f} U_{\mathfrak{p}}$  is a map of schemes, such that  $f^*(\tilde{\psi})$  is an isomorphism, then  $W$  factors through  $Z_{\mathfrak{p}}$  uniquely. Patching

the pairs  $(U_f, Z_f)$  as  $f$  varies over prime ideals  $\mathfrak{f}$  with  $e(\mathfrak{f})=n$ , we see that there is a locally closed subscheme  $Z_n$  of  $\text{Spec } A$  such that  $\tilde{M}|_{Z_n}$  is locally free of rank  $n$ , and if  $\gamma: Y \rightarrow \text{Spec } A$  is any map of schemes st.  $g^* \tilde{M}$  is locally free of rank  $n$ , then  $g$  factors through  $Z_n$ . This can be further upgraded to the following situation. Let  $S$  be a noetherian scheme,  $\mathcal{F}$  a coherent  $\mathcal{O}_X$ -module, and  $n$  a non-negative integer. Then there exists a locally closed subscheme  $Z_n$  of  $S$  such that if  $g: Y \rightarrow S$  is a map of schemes such that  $g^* \mathcal{F}$  is locally free on  $Y$  of rank  $n$ , then  $g$  factors uniquely through  $Z_n$ .

$H^{(n)}$  is smooth:

Let  $h \in H^{(n)}$  be a point. (since  $k$  is not assumed algebraically closed, we cannot assume  $k \rightarrow k(h)$  is an isomorphism even if  $h$  is a closed point, and so there is no advantage to assuming  $h$  is a closed point.) To lighten notation we write

$$H = H^{(n)}, \quad \mathcal{D} = \mathcal{D}^{(n)}, \quad X_h = X \times_{k, \text{Spec } k(h)}.$$

$$\text{Let } \mathcal{D}_h = \mathcal{D}|_{X_h}, \quad \text{or, more precisely,} \\ \mathcal{D}_h = \mathcal{D} \times_{H, \text{Spec } k(h)}.$$

Note that  $\mathcal{D}_h$  is an effective Cartier divisor on  $X_h$ . Note also that since  $X$  is geometrically integral (by hypothesis),  $X_h$  is integral.

Now

$$\mathcal{D}_h = \mathcal{D}_1 + \dots + \mathcal{D}_m \quad \text{--- (*)}$$

where each  $\mathcal{D}_i$  is supported on a <sup>closed</sup> point (say  $P_i \in X_h$ ), these points

being distinct.

We will show that  $\mathcal{O}_{X/k}$  is geometrically regular over  $k$ . For this it is enough to show that the completion  $A = \hat{\mathcal{O}}_{X/k}$  of  $\mathcal{O}_{X/k}$  is geometrically regular over  $k$ . We use the "m-smoothness" version of infinitesimal lifting to prove this. We have to show the following (see Theorem 28.7 on p. 219 of Matsumura's "Commutative Ring Theory"):

If  $B$  is a  $k$ -algebra,  $I$  an ideal of  $B$  such that  $I^2 = 0$ , and we have a  $k$ -algebra map  $\theta_0: A \rightarrow B_0$  with  $\theta_0(\mathfrak{m}_A^v) = 0$  for  $v \gg 0$  (i.e.  $\theta_0$  is continuous for the  $\mathfrak{m}_A$ -adic topology on  $A$  and the discrete topology on  $B$ ) then  $\theta_0$  lifts to a  $k$ -algebra map  $\theta: A \rightarrow B$ .

**2** { Note the requirement of continuity on  $\theta_0$ . This is slightly different from the usual infinitesimal lifting - but required in the "formal" situation.

Notations: For any  $k$ -algebra  $C$ , let  $X_C = X_{\text{Spec } C}$ . If  $C$  is an  $\mathcal{O}_{X/k}$ -algebra, then we write  $\mathcal{D}_C$  for the pull-back of  $\mathcal{D}$  to  $X_C$ , i.e.

$$\mathcal{D}_C := \mathcal{D} \otimes_{\mathcal{O}_{X/k}} C.$$

Note that  $\mathcal{D}_C \rightarrow \text{Spec } C$  is a flat family of effective degree  $n$  divisors on  $X$  parameterised by  $\text{Spec } C$ , and since the map is finite,  $\mathcal{D}_C$  is affine.

Since  $\mathcal{D}_A$  is finite over  $\text{Spec } A$ , and  $A$  is complete, therefore by Serre's version of the Weierstrass Preparation Theorem, if  $\mathcal{D}_A = \text{Spec } R$ , then  $R = \prod_{i=1}^m R_i$ , where each  $R_i$  is a complete local ring. Moreover,  $R$  being flat over  $A$  forces each  $R_i$  to be flat over  $A$ . Note that the integer  $m$  occurring in the product

$R = \prod_{i=1}^m P_i$  is the same as the integer  $m$  occurring in  $(**)$ , since  $D_h$  is the closed fibre of  $D_A \longrightarrow \text{Spec } A$ . Let

$$D_i = \text{Spec } (P_i) \quad i=1, \dots, m$$

and assume that the subscripts used are compatible with the subscripts in  $(**)$ . In other words, we choose our subscripts so that

$$D_i \otimes_A k(h) = D_i \quad i=1, \dots, m.$$

The point  $P_i \in X_h$  on which  $D_i$  is supported maps to a closed point  $\bar{P}_i \in X$  under the natural map  $X_h \longrightarrow X$ . Pick affine open  $U^1, \dots, U^m$  in  $X$  such that  $\bar{P}_i \in U^i$ , and if  $j \neq i$ ,  $\bar{P}_i \notin U^j$ .

Let  $U_h^1, \dots, U_h^m$  be the inverse images of  $U^1, \dots, U^m$  in  $X_h$ . Then  $U_h^i$  are affine open in  $X_h$ ,  $P_i \in U_h^i$ , and for  $j \neq i$ ,  $P_i \notin U_h^j$ . As usual, for any  $k$ -algebra  $C$ , we write  $U_h^i = U^i \times_k \text{Spec } (C)$ , and these are all affine schemes. In particular, we have  $A$ -algebras  $S_1, \dots, S_m$  such that

$$U_h^i = \text{Spec } (S_i) \quad i=1, \dots, m.$$

Moreover  $D_i \subseteq U_h^i$ , but  $D_i \cap U_h^j = \emptyset$  if  $i \neq j$ , and we have a surjective map of  $A$ -algebras

$$S_i \longrightarrow P_i \quad i=1, \dots, m$$

defining the closed immersion  $D_i \hookrightarrow U_h^i$ . By shrinking  $U^i$  around  $\bar{P}_i$  if necessary, we may assume that  $\ker(S_i \rightarrow P_i)$  is principal, say generated by  $s_i \in S_i$ . Note  $s_i$  is a non-zero divisor in  $S_i$ .

Now let us return to our map of  $k$ -algebras  $\theta_0: A \rightarrow B_0$ , where  $B_0 = B/I$ ,  $B$  a  $k$ -algebra,  $I$  a  $B$ -ideal such that  $I^2 = 0$ . Recall that we required  $\theta_0(M_A^v) = 0$  for  $v \gg 0$ . We wish to lift  $\theta_0$  to a

$k$ -algebra map  $\theta: A \rightarrow B$ .

Write

$$U_B^i = \text{Spec}(S_{i,B}) \quad i=1, \dots, m.$$

Since  $U_{B_0}^i$  is a closed subscheme of  $U_B^i$ , we have a surjection of  $B$ -algebras  $S_{i,B} \twoheadrightarrow S_i \otimes_A B_0$ . Let  $\sigma_i \in S_{i,B}$  be any lift of  $s_i \otimes 1 \in S_i \otimes_A B_0$ . Clearly  $\sigma_i$  is a non-zero divisor on  $S_{i,B}$ , (we are using the geometric irreducibility of  $U_i \subseteq X$  here), and the local flatness criterion (see e.g., the Corollary above Thm 22-6 on p. 172 of Matsumura's "Comm. Ring. Theory") shows that  $S_{i,B}/\sigma_i S_{i,B}$  is flat over  $B$ . If  $D_{i,B} := \text{Spec}(S_{i,B})$  then we have  $D_{i,B}$  is an effective relative Cartier divisor in  $U_B^i$  (scheme in  $X_B$ ) over  $\text{Spec } B$ . Let

$$D_B = D_{1,B} + \dots + D_{m,B}.$$

where the sum is regarded as the sum of effective relative

Cartier divisors on the  $B$ -scheme  $X_B$ . It is clear that

$D_B \times_B \text{Spec}(B_0) = D_{B_0}$  where the right side makes sense since  $B_0$  is an  $\mathcal{O}_{H,h}$ -algebra, which a-priori  $B$  isn't (see notations given above for  $\mathcal{O}_{H,h}$ -algebras). In fact  $D_B$  is a flat family of degree  $n$  divisors parameterised by  $\text{Spec } B$ . We therefore have a unique map  $\tau_B: \text{Spec } B \rightarrow H$  such that  $(1 \times \tau_B)^{-1}(D) = D_B$ . Using, once again, the universal property of  $(H, D)$  we have a commutative diagram

$$\begin{array}{ccc} \text{Spec } B & \xrightarrow{\tau_B} & H \\ \uparrow & & \uparrow \\ \text{Spec } B_0 & \longrightarrow & \text{Spec } A \end{array}$$

where the unlabelled arrows are the obvious ones. Consider the composite  $\text{Spec } B_0 \longrightarrow \text{Spec } A \longrightarrow H$ . If  $y \in \text{Spec } B_0$ , then either  $y$  maps to  $h$  or to a generalisation of  $h$  in  $H$ , since it factors through  $\text{Spec } A$ , and whence through  $\text{Spec}(\mathcal{O}_{H,h})$ . The underlying continuous map for the just considered map  $\text{Spec}(B_0) \longrightarrow H$  is the same as that of  $\mathcal{V}_B$ , since as topological spaces,  $\text{Spec}(B_0)$  and  $\text{Spec}(B)$  are identical and the above diagram commutes. It follows that if  $V$  is any open subscheme of  $H$  containing  $h$ , then  $\mathcal{V}_B$  factors as  $\text{Spec } B \longrightarrow V \subseteq H$ . Thus  $\mathcal{V}_B$  can be written (scheme theoretically) as a composite  $\text{Spec } B \longrightarrow \text{Spec}(\mathcal{O}_{H,h}) \xrightarrow{\text{natural}} H$ .

Let  $\mathcal{O}_{H,h} \xrightarrow{\gamma_h} B$  be the corresponding map of rings. We have then a commutative diagram

$$\begin{array}{ccc} \mathcal{O}_{H,h} & \xrightarrow{\gamma_h} & B \\ \downarrow & & \downarrow \\ A & \xrightarrow{\theta_0} & B_0 \end{array}$$

Since  $\theta_0(\mathfrak{m}_A^v) = 0$  for  $v \gg 0$ , and  $A/\mathfrak{m}_A^v = \mathcal{O}_{H,h}/\mathfrak{m}_h^v$ , we see that  $\gamma_h(\mathfrak{m}_h^v) \subseteq \mathfrak{I}$  for  $v \gg 0$ . Since  $\mathfrak{I}^2 = 0$ , this further means  $\gamma_h(\mathfrak{m}_h^v) = 0$  for  $v \gg 0$ . In particular

$\gamma_h$  factors as  $\mathcal{O}_{H,h} \longrightarrow \mathcal{O}_{H,h}/\mathfrak{m}_h^v \xrightarrow{\theta_v} B$  for some  $v$ .

Let  $\theta: A \longrightarrow B$  be the composite

$$A \longrightarrow A/\mathfrak{m}_A^v = \mathcal{O}_{H,h}/\mathfrak{m}_h^v \xrightarrow{\theta_v} B$$

Then  $\theta$  lifts  $\theta_0$  and we are done.

P.T.O.  $\longrightarrow$

$H^{(n)}$  is complete geometrically irreducible and of dimension  $n$ :

Since  $X \rightarrow \text{Spec } k$  is geometrically irreducible, so is the  $m$ -fold product

$$X^m := \underbrace{X \times_k \dots \times_k X}_{m\text{-times}}$$

for every  $m \geq 1$ . Moreover  $X^m$  is smooth, complete and of dimension  $m$ .

Let  $m = n+1$ . Write

$$X^m = X \times_k X^n.$$

Let  $\pi_0, \pi_1, \dots, \pi_n$  be the projections  $X \times_k X^n \rightarrow X$  in an obvious notation, and  $\pi = (\pi_1, \dots, \pi_n) : X \times_k X^n \rightarrow X^n$  the projection to the last  $n$  factors. We have sections

$$s_i : X^n \longrightarrow X \times_k X^n, \quad i=1, \dots, n$$

of  $\pi$  given by

$$s_i(x_1, \dots, x_n) = (x_i, x_1, \dots, x_n)$$

for  $x = (x_1, \dots, x_n) : T \rightarrow X^n$  a  $T$ -valued point of  $X^n$ .

Since  $X^{n+1} \xrightarrow{\pi} X^n$  is smooth,  $D_i^\pi = s_i(X^n)$  are smooth relative Cartier divisors over  $X^n$  for the map  $\pi$ .

Let

$$D^\pi = D_1^\pi + \dots + D_n^\pi.$$

From the universal property of  $(H^{(n)}, D^{(n)})$  we get a unique classifying map

$$\psi : X^n \longrightarrow H^{(n)}$$

such that  $(1 \times \psi)^{-1}(D^{(n)}) = D^\pi$ .

It is easy to see  $\psi$  is surjective. Indeed if  $h \in H = H^{(n)}$ ,

and if  $D_h \subset X_h$  is the divisor corresponding to  $h$  ( $D_h = \mathcal{D}^{(n)}_{X, \mathbb{H}} \text{Spec } k(h)$ ), then we can find a finite field extension  $k(h) \rightarrow K$  on which  $D_K := \mathcal{D}^{(n)}_{X, \mathbb{H}} \text{Spec } K$  is supported on  $K$ -rational points, and hence  $D_K = P_1 + \dots + P_n$ , where  $P_i \in X_K$  are  $K$ -rational points, perhaps not distinct. The  $P_i$  can be regarded as maps  $P_i = \text{Spec } K \rightarrow X$ , whence we have a point  $(P_1, \dots, P_n) \in X_K^n := X^n_{X_K} K$ . It is clear that the composite  $X_K^n \rightarrow X^n \rightarrow \mathbb{H}$  sends  $(P_1, \dots, P_n)$  to  $h$ . If  $Q \in X^n$  is the image of  $(P_1, \dots, P_n)$  then clearly  $\Psi(Q) = h$ .

It is immediate that  $\mathbb{H}$  is complete, connected being the surjective image of  $X^n$ . In particular it is irreducible, being smooth. Moreover, if  $k \rightarrow K$  is a field extension then  $H_K$  is clearly (by universal properties) the Hilbert scheme on effective degree  $n$  divisors on  $X_K$ , and since  $X_K$  is geometrically irreducible,  $H_K$  is irreducible by our just concluded argument. Thus  $H$  is geometrically irreducible.

It is easy to see that  $X^n \xrightarrow{\Psi} H$  is finite. In fact, we have essentially given the argument when we showed  $\Psi$  is surjective, and a little thought shows that the fibres have cardinality  $\leq n!$  (the order of the symmetric group  $S_n$ ), and the generic fibre of  $\Psi$  in fact has cardinality  $n!$ .

Exercises: Let  $D^e \subseteq \mathcal{D}^{(n)}$  be the locus on which the finite surjective map  $\mathcal{D}^{(n)} \rightarrow H^{(n)}$  is étale.

1. Show that there exists  $L \in H^{(n)}$  such that  $D^n|_{X_n}$  lies entirely in  $D^e$  (in particular  $D^e$  is non-empty).

[Hint: Make a base change  $k \rightarrow \bar{k}$  where  $\bar{k} = \overline{k}$ , and consider the locus on which  $D^n_{\bar{k}}$  consists of divisors supported on  $n$  distinct points of  $X_{\bar{k}}$ .]

2. Let  $Z = D^{(n)} - D^{(e)}$ ,  $\bar{Z} = p_2(Z) \subseteq H^{(n)}$ , and  $E = H^{(n)} - \bar{Z}$ . Show that  $E$  is non-empty and that  $D_E \rightarrow E$  is étale, where (as always)  $D_E = D^{(n)} \times_{H^{(n)}} E$ . (Note that  $E$  is an open subscheme of  $H$ .)

3. For distinct  $i, j \in \{1, \dots, n\}$ , set  $Z_{ij} = D_i^T \cap D_j^T$  in  $X^n$  (see above for the definitions of  $D_i^T \subset X^n$ ). Let

$$\Gamma = \bigcup_{i \neq j} Z_{ij}$$

and let  $V$  be the open subscheme of  $X^n$  given by

$$V := X^n - \Gamma.$$

Show that  $\psi^{-1}(E) = V$ , where  $E$  is as in Problem 2, and

$\psi: X^n \rightarrow H^{(n)}$  the map we defined earlier.

4. Show that the geometric fibres of  $V \xrightarrow{\psi} E$  have cardinality  $n!$ .

5. Suppose  $X(k) = \emptyset$  ( $X$  smooth, complete, geom. irred /  $k$ ).

By choosing a line bundle  $L_0$  of degree larger than  $2g+n$  (for example  $\omega_X^{\otimes m}$  for suitable  $m, g > 1$ ) one can again construct  $H^{(n)}$  with the same universal property. Does  $H^{(n)}$  have  $k$ -rational points?