

Fix a ring  $A$ , and let  $X = \text{Spec } A$ .

For a subset  $Z$  of  $X$ , let

$$I(Z) := \{f \in A \mid f \in P, \forall p \in Z\}.$$

Remark:  $I(Z)$  is clearly an ideal.

$Z$  in  $X$ .

Lemma:  $\bar{Z} = V(I(Z))$  where  $\bar{Z}$  denotes the closure

Proof:

It is clear, by definition of  $I(Z)$ , that  $Z \subseteq V(I(Z))$ , and hence  $\bar{Z} \subseteq V(I(Z))$ . Suppose now that

$$Z \subset V(J)$$

for some ideal  $J$  of  $A$ . If  $f \in J$ , then  $f \in P \forall p \in Z$ , whence  $f \in I(Z)$ . Thus  $J \subseteq I(Z)$ , which in turn implies that  $V(I(Z)) \subseteq V(J)$ . Thus  $\bar{Z} = V(I(Z))$  //

Further remarks:

Recall that for an ideal  $I$ ,  $\sqrt{I} := \{f \in A \mid f^n \in I \text{ for some } n > 0\}$ , and

$$\sqrt{I} = \bigcap_{P \in V(I)} P.$$

It is clear that if  $I, J$  are ideals of  $A$ , then  $V(I) = V(J)$  if and only if  $\sqrt{I} = \sqrt{J}$ . An ideal  $I$  is called a radical ideal if  $I = \sqrt{I}$ . From these remarks it is clear that there is a bijective correspondence between radical ideals and closed subsets of  $X$ , and the correspondence is s.t.  $I \subset J \iff V(I) \supset V(J)$ .

$D(f)$  and  $\text{Spec } (A_f)$ :

For  $f \in A$ , let

$$i^f: A \longrightarrow A_f$$

denote the localization map ( $i^f = i_A$  in our earlier notation, but now we wish to emphasize the role of  $f$ ).

Let

$$X_f = \text{Spec } (A_f)$$

and let

$$\phi_f: X_f \longrightarrow X$$

be the map induced by  $i^*$ . In other words,  $\phi_f = \alpha \circ i^*$ .

According to Problem 6 of HW1,

$$(a) D(f) = \phi_f(X_f)$$

(b) The map  $X_f \longrightarrow D(f)$  induced by  $\phi_f$  is bijective.

It is easy to verify that for  $g \in A$

$$\phi_f(D(g)) = D(f) \cap D(g) = D(fg).$$

Now for any  $n \geq 0$ ,  $D(g/f^n) = D(g/1)$ , whence

$$\phi_f(D(g/f^n)) = D(fg).$$

We have therefore shown that  $\phi_f$  is an open map. Since it is continuous and injective, and its image is  $D(f)$ , it follows that

$$X_f \xrightarrow{\phi_f} D(f)$$

is a homeomorphism. The following commutative diagram summarizes the situation

$$\begin{array}{ccc} X_f & \xrightarrow{\phi_f} & X \\ \text{homeomorphism} \searrow & \swarrow & \downarrow \\ & D(f) & \end{array}$$

From now on, we identify  $D(f)$  with  $X_f$ .

### Quasi-compactness of $X = \text{Spec } A$

A topological space (in this course and in courses in algebraic geometry) is called quasi-compact if every open cover of it has a finite subcover. We reserve the term compact for topological spaces which are Hausdorff in addition to being quasi-compact.

**2**

The Bourbaki  
"dangerous bend"  
symbol.

Lemma: Let  $\{f_r \in A \mid r \in \Gamma\}$  be a collection of elements in  $A$ . Then  $\langle f_r \mid r \in \Gamma \rangle = A$  if and only if  $\bigcup_{r \in \Gamma} D(f_r) = X$ .

Proof:

Let  $I = \langle f_r \mid r \in \Gamma \rangle$ . Then

$$\bigcup_{r \in \Gamma} D(f_r) = \bigcup_{r \in \Gamma} (X - V(\langle f_r \rangle)) = X \cap \bigcup_{r \in \Gamma} V(\langle f_r \rangle) = X - V(I).$$

$$\text{Thus } \bigcup_{r \in \Gamma} D(f_r) = X \iff V(I) = \emptyset \iff I = A. //$$

Proposition: Let  $f_r, r \in \Gamma$  be a collection of elements in  $A$ , and  $I$  the ideal generated by these elements. The following are equivalent:

$$(a) I = A$$

$$(b) \exists \text{ positive integers } n_r, r \in \Gamma \text{ such that } \langle f_r^{n_r} \mid r \in \Gamma \rangle = A$$

$$(c) \text{ For every choice of a family of positive integers } n_r, r \in \Gamma, \langle f_r^{n_r} \mid r \in \Gamma \rangle = A.$$

Proof:

This follows from the simple observation that if  $n_r > 0$  then

$$D(f_r^{n_r}) = D(f_r). //$$

Proposition:  $X$  is quasi-compact.

Proof:

It is clearly enough to work with standard open sets. So suppose  $\mathcal{U} = \{D(f_r) \mid r \in \Gamma\}$  is an open cover of  $X$ . Let  $I = \langle f_r \mid r \in \Gamma \rangle$ .

We know from the above results that  $I = A$ , whence  $1 \in I$ .

In particular, there exist  $r_1, r_2, \dots, r_n \in \Gamma$  and  $a_1, a_2, \dots, a_n \in A$  such that

$$a_1 f_{r_1} + \dots + a_n f_{r_n} = 1.$$

It follows that  $\langle f_{r_1}, \dots, f_{r_n} \rangle = A$ , whence  $X = \bigcup_{i=1}^n D(f_{r_i}). //$

Closed points and maximal ideals

This should have been done right after proving  $\bar{z} = V(I(z))$ . Let  $\beta$  be a prime ideal of  $A$ . Clearly (specializing to  $z = \{f\}$ )  $I(\{f\}) = \beta$ .

Thus

$$\bar{\{f\}} = V(I(\{f\})) = V(\beta).$$

It follows that  $\{f\}$  is closed if and only if  $V(\beta) = \{f\}$ , i.e. if and only if  $\beta$  is a maximal ideal.

Thus the closed points of  $X$  are precisely the maximal ideals of  $A$ .

Out of time. Should have done this earlier.

Kung's book "Introduction to  
 Commutative Algebra and  
 Geometry" is a good reference for  
 this.

## Irreducible subsets and irreducible components of a topological space

Most of the concepts here are mainly used in non-Hausdorff situations. The spectrum of a ring is rarely Hausdorff since in a Hausdorff space every point is a closed point, and from what we have seen above, a necessary condition then for  $\text{Spec } A$  to be Hausdorff is that every prime ideal should be a maximal ideal. In particular, if  $A$  is an integral domain  $\text{Spec } A$  is a Hausdorff if and only if  $A$  is a field, since  $\langle 0 \rangle$  is a prime ideal in an integral domain.

**Definition:** A topological space  $X$  is called irreducible if for any decomposition  $X = F_1 \cup F_2$  with  $F_1, F_2$  closed, we have  $X = F_1$  or  $X = F_2$ . A  $Z$  is called irreducible if it is irreducible in the induced topology, i.e. in its subspace topology.

**Lemma:** Let  $X$  be a topological space. The following are equivalent.

- (a)  $X$  is irreducible
- (b) If  $U_1, U_2$  are two non-empty open subsets of  $X$  then  $U_1 \cap U_2 \neq \emptyset$ .
- (c) Every non-empty open subset of  $X$  is dense in  $X$

Proof:

- (a)  $\Leftrightarrow$  (b) Follows by taking complements of  $U_1$  and  $U_2$ .
- (b)  $\Leftrightarrow$  (c) Follows from the fact that subset of  $X$  is dense if and only if it intersects every non-empty open set. //

**Corollary:** Let  $Z$  be a subset of  $X$ . TFAE

- (a)  $Z$  is irreducible
- (b) If  $U_i, i=1,2$  are open in  $X$  with  $U_i \cap Z \neq \emptyset$ ,  $i=1,2$ , then  $Z \cap U_1 \cap U_2 \neq \emptyset$ .
- (c) The closure  $\bar{Z}$  of  $Z$  is irreducible.

Proof:

For (c) the only thing to note is that for  $U$  open in  $X$ ,  $U \cap Z \neq \emptyset$  if and only if  $U \cap \bar{Z} \neq \emptyset$ . //

Definition: An irreducible component of a topological space  $X$  is a maximal irreducible subset of  $X$ .

Proposition: (a) Irreducible components are closed.

(b) Every irreducible subset of a topological space is contained in an irreducible component.

(c) Every topological space is the union of its irreducible components.

Proof:

(a) This follows from (c) of the previous Corollary.

(b) Let  $Z$  be an irreducible subset of  $X$ , and  $\Sigma$  the collection of irreducible subsets of  $X$  containing  $Z$ . Let  $\{Z_\lambda | \lambda \in \Lambda\} \subset \Sigma$  be a totally ordered subset of  $\Sigma$  and set

$$Z_{\max} := \bigcup_{\lambda \in \Lambda} Z_\lambda.$$

Suppose  $U_1, U_2$  are open in  $X$  with  $U_i \cap Z_{\max} \neq \emptyset$ ,  $i=1,2$ . Then for some  $\lambda \in \Lambda$ ,  $Z_\lambda \cap U_i \neq \emptyset$  for  $i=1,2$ . Since  $Z_\lambda$  is irreducible,  $Z_\lambda \cap U_1 \cap U_2 \neq \emptyset$ , whence  $Z_{\max} \cap U_1 \cap U_2 \neq \emptyset$ . Thus  $Z_{\max}$  is irreducible. By Zorn's Lemma,  $\Sigma$  has a maximal element. This has to be an irreducible component.

(c) Follows from (b) since every point is irreducible. //