#### NPTEL MOOC, JAN-FEB 2015 Week 2, Module 4

# DESIGN AND ANALYSIS OF ALGORITHMS

**Insertion Sort** 

MADHAVAN MUKUND, CHENNAI MATHEMATICAL INSTITUTE http://www.cmi.ac.in/~madhavan

# Sorting

- \* Searching for a value
  - Unsorted array linear scan, O(n)
  - \* Sorted array binary search, O(log n)
- \* Other advantages of sorting
  - \* Finding median value: midpoint of sorted list
  - \* Checking for duplicates
  - \* Building a frequency table of values

### How to sort?

- \* You are a Teaching Assistant for a course
- \* The instructor gives you a stack of exam answer papers with marks, ordered randomly
- \* Your task is to arrange them in descending order

# Strategy 2

- \* First paper: put in a new stack
- \* Second paper:
  - \* Lower marks than first? Place below first paper Higher marks than first? Place above first paper
- \* Third paper
  - Insert into the correct position with respect to first two papers
- Do this for each subsequent paper:
   insert into correct position in new sorted stack

 74
 32
 89
 55
 21
 64

32 74

89 55 21

64

32 74

32 89

55 21 64

32 74 89

32 55 74 89

21 32 55 74 89

21 32 55 64 74 89

```
Strategy 2 ...
```

- \* Start building a sorted sequence with one element
- Pick up next unsorted element and insert it into its correct place in the already sorted sequence

#### InsertionSort(A,n) // Sort A of size n

for (pos = 1; pos < n; pos++)

// Build longer and longer sorted segments
// In each iteration A[0]..A[pos-1] is already sorted

#### 74 32 89 55 21 64

#### 74 32 89 55 21 64

#### **32 74 89 55 21 64**

#### **32 74 89 55 21 64**

#### **32 74 55 89 21 64**

#### **32 55 74 89 21 64**

#### **32 55 74 21 89 64**

#### **32 55 21 74 89 64**

#### **32 21 55 74 89 64**

#### **21 32 55 74 89 64**

#### **21 32 55 74 64 89**

#### 21 32 55 64 74 89

## Analysis of Insertion Sort

- Inserting a new value in sorted segment of length k requires upto k steps in the worst case
- In each iteration, sorted segment in which to insert increased by 1
- \*  $t(n) = 1 + 2 + ... + n 1 = n(n 1)/2 = O(n^2)$

## Recursive formulation

- \* To sort A[0..n-1]
  - \* Recursively sort A[0..n-2]
  - Insert A[n-1] into A[0..n-2]
- \* Base case: n = 1

## Insertion Sort, recursive

InsertionSort(A,k) // Sort A[0..k-1]

```
if (k == 1)
  return;
```

```
InsertionSort(A,k-1);
Insert(A,k-1);
return;
```

Insert(A,j) // Insert A[j] into A[0..j-1]

```
pos = j;
while (pos > 0 && A[pos] < A[pos-1])
swap(A,pos,pos-1);
pos = pos-1;
```

#### Recurrence

- \* t(n), time to run insertion sort on length n
  - \* Time t(n-1) to sort segment A[0] to A[n-2]
  - \* n-1 steps to insert A[n-1] in sorted segment
- Recurrence
  - \* t(n) = n-1 + t(n-1)t(1) = 1
  - \*  $t(n) = n-1 + t(n-1) = n-1 + ((n-2) + t(n-2)) = ... = (n-1) + (n-2) + ... + 1 = n(n-1)/2 = O(n^2)$

# O(n<sup>2</sup>) sorting algorithms

- Selection sort and insertion sort are both O(n<sup>2</sup>)
- \* So is bubble sort, which we will not discuss here
- \* O(n<sup>2</sup>) sorting is infeasible for n over 10000
- Among O(n<sup>2</sup>) sorts, insertion sort is usually better than selection sort and both are better than bubble sort
  - \* What happens when we apply insertion sort to an already sorted list?