

RDBMS and SQL

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Relational database design

- Set of attributes that one needs to keep track of
- Why not combine into a single table?

IPL 2024 data
IPL 2025
Ball by ball

Relational database design

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
58583	Califleri	History	62000
76543	Singh	Finance	80000
76766	Crick	Biology	72000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000

<i>dept_name</i>	<i>building</i>	<i>budget</i>
Biology	Watson	90000
Comp. Sci.	Taylor	100000
Elec. Eng.	Taylor	85000
Finance	Painter	120000
History	Painter	50000
Music	Packard	80000
Physics	Watson	70000

- Combine these into a single table?

Relational database design

- Redundant storage ✓
- Maintaining consistency
 - Updates
 - Inserts and deletes

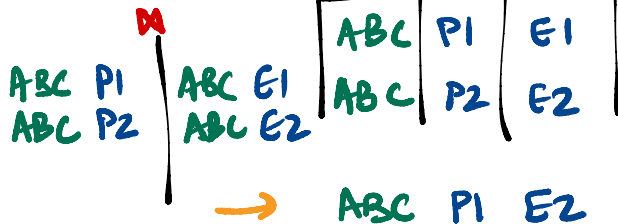
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Decomposition and information

- $(\text{customer_name}, \text{regd_phone}, \text{regd_email})$ 
- Decompose as $(\text{customer_name}, \text{regd_phone})$ and $(\text{customer_name}, \text{regd_email})$
- Name is not unique — loss of information
- Recombining decomposed relation should not add tuples

Lossless decomposition

- Decompose R as R_1 and R_2
- Want $R = R_1 \bowtie R_2$



Name	Ph	Em
ABC	P1	E1
ABC	P2	E2

with overlap

→

ABC P1 E2

Functional dependencies

- $A_1, A_2, \dots, A_k \rightarrow B_1, B_2, \dots, B_m$
 - LHS attributes uniquely fix RHS attributes
 - Must hold for **every instance** — semantic property of attributes
- Need not correspond to superkeys
 - $\text{dept_name} \rightarrow \text{building}$
 - $\text{dept_name} \rightarrow \text{budget}$
- Use to identify sources of redundancy, guide decomposition

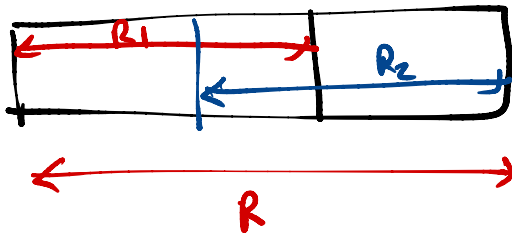
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Lossless decomposition and functional dependencies

- Decompose R as R_1 and R_2 *sufficient but not necessary*
- Decomposition is lossless if at least one of the following functional dependencies hold

- $R_1 \cap R_2 \rightarrow R_1$

- $R_1 \cap R_2 \rightarrow R_2$



ABC	P1	E1
ABC	P2	E2
ABC	P1	E2
APL	P2	E1

(Name, Phone)

⋈ (Name, Email)

= Original table

Lossless decomposition and functional dependencies

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 - $R_1 \cap R_2 \rightarrow R_1$
 - $R_1 \cap R_2 \rightarrow R_2$
- Decompose **Instructor-Department** as **Instructor** and **Department**
 - **Instructor** $\cap$ **Department** is **dept_name**
 - **dept_name** is primary key for **Department**

Lossless decomposition and functional dependencies

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 - Decompose **Instructor-Department** as **Instructor** and **Department**
 - **Instructor** $\cap$ **Department** is **dept_name**
 - **dept_name** is primary key for **Department**
 - In general need to compute all implied dependencies
 - From $A \rightarrow B$ and $B \rightarrow C$, conclude that $A \rightarrow C$
 - **Closure** of a set of dependencies F — denoted F^+
- Given* (pointing to F)
To be computed (pointing to F^+)

Computing the closure of a set of attributes

- Given $\mathcal{A} = \{A_1, A_2, \dots, A_k\}$ and B , does $A_1, A_2, \dots, A_k \rightarrow B$?

Computing the closure of a set of attributes

■ Given $\mathcal{A} = \{A_1, A_2, \dots, A_k\}$ and B , does $A_1, A_2, \dots, A_k \rightarrow B$?

■ Iterative algorithm — check if B is in closure $\mathcal{A}^+$ All B such that $\mathcal{A} \rightarrow B$

Initialize $\mathcal{A}^+$ to $\{A_1, A_2, \dots, A_k\}$

repeat

 for each $\beta \rightarrow \gamma$ in F

 if $\beta \subseteq \mathcal{A}^+$, add γ to $\mathcal{A}^+$

 end

until no change in $\mathcal{A}^+$

↑
Must terminate
because finite attributes

A_1
 $(a_1, \dots, a_k)$
↓
 a_1

$A_1 \rightarrow A_7$

$$A \longrightarrow A^+$$

What we want is $F \longrightarrow F^+$

Take any $X, Y \subseteq \text{attributes}$, is $X \rightarrow Y \in F^+$?

Check if $Y \subseteq X^+$

Normal forms

- Criteria to determine if the collection of tables is “good”

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- **Normalization** — decompose tables till they achieve a normal form

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- Criteria to determine if the collection of tables is “good”
- **Normalization** — decompose tables till they achieve a normal form
- Guided by functional dependencies

Boyce-Codd Normal Form (BCNF)

- Relational schema R , set of functional dependencies F

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- Write α , β to represent sequences of attributes $\underbrace{A_1, A_2, \dots, A_k}_{\alpha}, \underbrace{B_1, B_2, \dots, B_m}_{\beta}$

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- R is in BCNF if, for every $\alpha \rightarrow \beta \in F^+$ one of the following holds
 - $\alpha \rightarrow \beta$ is **trivial** (i.e., $\beta \subseteq \alpha$)
 - α is a superkey for R

In a
relation R

Boyce-Codd Normal Form (BCNF)

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 - `InstructorDepartment(ID, name, salary, dept_name, building, budget)` not in BCNF
- Handwritten notes:* A green arrow points from the text "not a key" to the attribute "dept_name". A red underline is drawn under "dept_name", and another red underline is drawn under "building", with a green arrow pointing from the first underline to the second.

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- `InstructorDepartment(ID,name,salary,dept_name,building,budget)` not in BCNF
- `Instructor`(ID,name,dept_name,salary) and `Department`(dept_name,building,budget) are in BCNF



Achieving BCNF

- $\alpha \rightarrow \beta \in F^+$ is a BCNF violation for R if neither of the following holds
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Achieving BCNF

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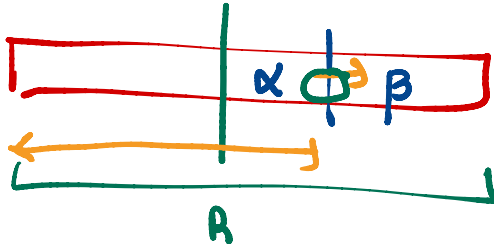
- To fix this, decompose R as

- $\alpha \cup \beta$
- $R \setminus (\beta \setminus \alpha)$

$\beta' \text{ if } \alpha \cap \beta = \emptyset$

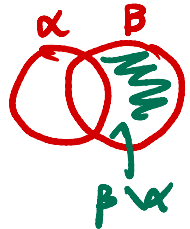
$R \setminus \beta$

deptname, budget, building



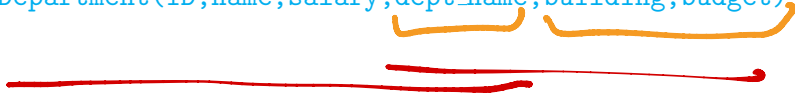
$A_1, A_2 \rightarrow A_2, B$

$\beta \setminus \alpha \Rightarrow \beta$



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- Example: $\text{dept_name} \rightarrow \text{building, budget}$ is a BCNF violation for $\text{InstructorDepartment}(\text{ID}, \text{name}, \text{salary}, \text{dept_name}, \text{building}, \text{budget})$



Achieving BCNF

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- Example: $\text{dept_name} \rightarrow \text{building}, \text{budget}$ is a BCNF violation for `InstructorDepartment(ID, name, salary, dept_name, building, budget)`
- Decompose as
 - `Department(dept_name, building, budget)`
 - `Instructor(ID, name, dept_name, salary)`

Dependency preservation

- `Advisor(student_id,faculty_id,dept_name)`
- Each faculty member is in only one department
- Students can be across multiple departments
- Each student has at most one advisor in each department

Dependency preservation

■ Advisor(student_id, faculty_id, dept_name)



- Each faculty member is in only one department
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- Functional dependencies
 - $faculty_id \rightarrow dept_name$
 - $student_id, dept_name \rightarrow faculty_id$

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- Functional dependencies
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 - `student_id, dept_name → faculty_id`
- BCNF decomposition is `(student_id, faculty_id), (faculty_id, dept_name)`
- Need join to check dependency `student_id, dept_name → faculty_id`

Dependency preservation, formally

- Given a set of dependencies F and a decomposition of R as $R_1, R_2, \dots, R_k$

Dependency preservation, formally

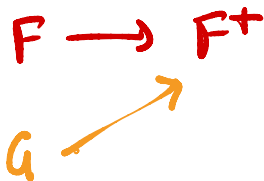
- Given a set of dependencies F and a decomposition of R as $R_1, R_2, \dots, R_k$
- Can **locally** check a dependency $\alpha \rightarrow \beta$ in R_i if $\alpha \cup \beta \subseteq R_i$

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- Let $G = F_1 \cup F_2 \cup \dots \cup F_k$. Is $G^+ = F^+$?



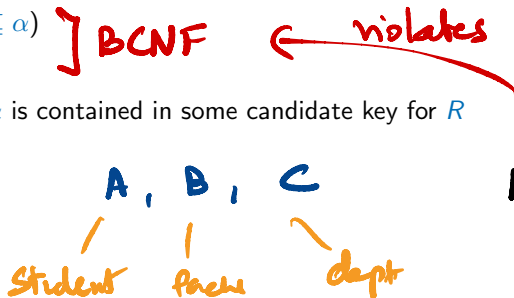
$$F_1 \cup F_2 \cup \dots \cup F_k = F^+$$

Dependency preservation, formally

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- Can **locally** check a dependency $\alpha \rightarrow \beta$ in R_i if $\alpha \cup \beta \subseteq R_i$
- Let F_i be set of dependencies in F^+ locally checkable in R_i
- Let $G = F_1 \cup F_2 \cup \dots \cup F_k$. Is $G^+ = F^+$?
- How do we compute F_i for each R_i ?
 - Let R_i have attributes $A_1, A_2, \dots, A_m$
 - For each subset α of $A_1, A_2, \dots, A_m$, compute α^+ with respect to F^+
 - For each $B \in \alpha^+ \cap \{A_1, A_2, \dots, A_m\}$, add $\alpha \rightarrow B$ to R_i

Third normal form (3NF)

- R is in 3NF if, for every $\alpha \rightarrow \beta \in F^+$, one of the following holds
 - $\alpha \rightarrow \beta$ is **trivial** (i.e., $\beta \subseteq \alpha$)
 - α is a superkey for R
 - Each attribute A in $\beta \setminus \alpha$ is contained in some candidate key for R



Why 3NF ? What about 1NF & 2NF?

↓
All attributes
are atomic

↓
early form
of BCNF

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- BCNF is a stricter condition than 3NF
- Priorities
 - Lossless decomposition
 - BCNF
 - Dependency preservation

Beyond functional dependencies

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 - At least two emergency contacts of each type

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- Multivalued dependency — closure under swaps

More like
an independence

Beyond functional dependencies

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- Multivalued dependency — closure under swaps
- 4NF — Flays "independence" & splits

Practical matters

- Validating functional dependencies

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- Redundancy vs computing joins — materialized views