

Searching in a List

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Programming and Data Structures with Python

Lecture 15, 15 Nov 2021

Cost of brute force nested loops

How to quantify efficiency

- Asymptotic behaviour - $t(n)$ as n grows large
 \uparrow input size
- Worst case (vs average)
- $O()$ notation.

$$f(n) = O(g(n))$$

\uparrow
Upper bound

$$\exists c, \forall n > n_0$$

$$f(n) \leq c g(n)$$

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- Is value v present in list l ?

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            return(True)  
    return(False)
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Search problem

- Is value v present in list l ?
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- Input size n , the length of the list
- Worst case is when v is not present in l
- Worst case complexity is $O(n)$

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Searching a sorted list

- What if 1 is sorted in ascending order?

Searching a sorted list

- What if l is sorted in ascending order?
- Compare v with the midpoint of l

Searching a sorted list

- What if `l` is sorted in ascending order?
- Compare `v` with the midpoint of `l`
 - If midpoint is `v`, the value is found
 - If `v` less than midpoint, search the first half
 - If `v` greater than midpoint, search the second half
 - Stop when the interval to search becomes empty

```
def binarysearch(v,l):  
    if l == []:  
        return(False)  
  
    m = len(l)//2  
  
    if v == l[m]:  
        return(True)  
  
    if v < l[m]:  
        return(binarysearch(v,l[:m]))  
    else:  
        return(binarysearch(v,l[m+1:]))
```

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Binary search

- How long does this take?

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Binary search

- How long does this take?
 - Each call halves the interval to search
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- $\log n$ — number of times to divide n by 2 to reach 1
 - $1 // 2 = 0$, so next call reaches empty interval

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Handwritten notes:

- $len(l) = i$
- $m = 0$
- $v == l[0]$

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- $O(\log n)$ steps

C

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Alternative calculation

- $T(n)$: the time to search a list of length n
 - If $n = 0$, we exit, so $T(n) = 1$
 - If $n > 0$, $T(n) = T(n // 2) + 1$

Any constant c is $O(1)$

$$c \leq c \cdot 1$$

```
def bsearch(v,l):  
    if l == []:  
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    m = len(l)//2  
  
    if v == l[m]:  
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    if v < l[m]:  
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- Search in an unsorted list takes time $O(n)$
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- For a sorted list, binary search takes time $O(\log n)$
 - Halve the interval to search each time
- In a sorted list, we can determine that v is absent by examining just $\log n$ values!

n	$\log n$
10	3...
100	6...
1000	10
10^6	20
10^9	30

≈ 1000 values $\approx 2^{10} = 1024$
10 probes tell you v is absent!

Selection Sort

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Sorting a list

- Sorting a list makes many other computations easier
 - Binary search
 - Finding the median
 - Checking for duplicates
 - Building a frequency table of values

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 - Instructor has a pile of evaluated exam papers
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- Scan the entire pile and find the paper with minimum marks
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- Repeat with the remaining papers
 - Add the paper with next minimum marks to the second pile each time

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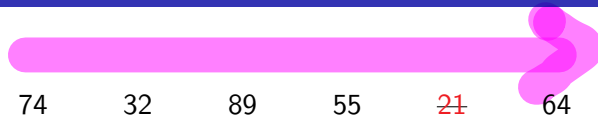
Strategy 1

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- Eventually, the new pile is sorted in descending order

Sorting a list

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Sorting a list



21

Sorting a list

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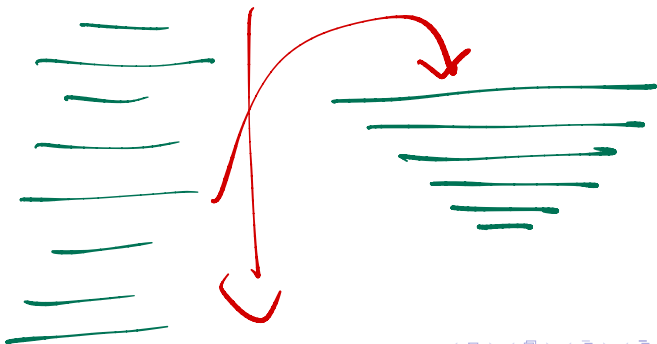
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Selection sort

- **Select** the next element in sorted order



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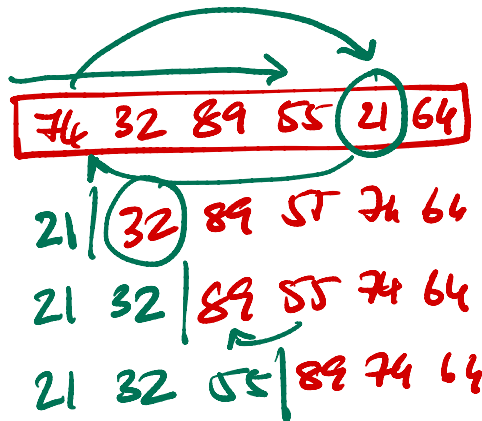
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Selection sort

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 - Swap the minimum element into the first position
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Selection sort

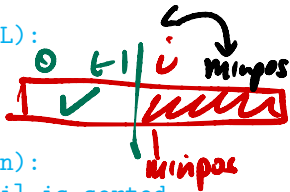
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def SelectionSort(L):  
    n = len(L)  
    if n < 1:  
        return(L)  
    for i in range(n):  
        # Assume L[:i] is sorted  
        mpos = i  
        # mpos: position of minimum in L[i:]  
        for j in range(i+1,n):  
            if L[j] < L[mpos]:  
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        # L[mpos] : smallest value in L[i:]  
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        (L[i],L[mpos]) = (L[mpos],L[i])  
        # Now L[:i+1] is sorted  
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Find min

Analysis of selection sort

- Correctness follows from the invariant

*relationship between values
that holds at the
start of every iteration*

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- $T(n)$ is $O(n^2)$

$$\frac{n^2 + n}{2}$$

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- Repeatedly find the minimum (or maximum) and append to sorted list
- Worst case complexity is $O(n^2)$
 - Every input takes this much time
 - No advantage even if list is arranged carefully before sorting

NOT good!

SIM-Adham

n^2 sort

300 years!

$n \log n$ search

Insertion Sort

Madhavan Mukund

<https://www.cmi.ac.in/~madhavan>

Programming and Data Structures with Python

Lecture 15, 15 Nov 2021

Sorting a list

- You are the TA for a course
 - Instructor has a pile of evaluated exam papers
 - Papers in random order of marks
 - Your task is to arrange the papers in descending order of marks

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- Move the first paper to a new pile

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Strategy 2

- Move the first paper to a new pile
- Second paper
 - Lower marks than first paper? Place below first paper in new pile
 - Higher marks than first paper? Place above first paper in new pile

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- Second paper
 - Lower marks than first paper? Place below first paper in new pile
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- Third paper
 - **Insert** into correct position with respect to first two
- Do this for the remaining papers
 - **Insert** each one into correct position in the second pile

Sorting a list

74 32 89 55 21 64

Sorting a list

~~74~~ 32 89 55 21 64

74

Sorting a list

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32 74

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Insertion sort

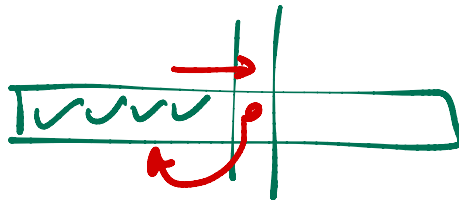
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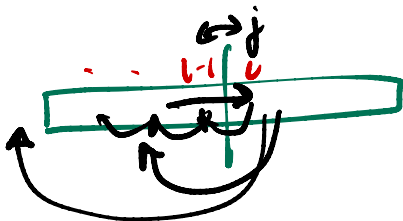
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 - Assume $L[:i]$ is sorted
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        while(j > 0 and L[j] < L[j-1]):  
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`L[-1:]`

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def ISort(L):  
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Analysis of iterative insertion sort

- Correctness follows from the invariant

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 - $T(n) = n(n-1)/2$
- $T(n)$ is $O(n^2)$

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Analysis of recursive insertion sort

- For input of size n , let
 - $T_I(n)$ be the time taken by `Insert`
 - $T_S(n)$ be the time taken by `ISort`

```
def Insert(L,v):  
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    if n == 0:  
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    else  
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def ISort(L):  
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```

Analysis of recursive insertion sort

- For input of size n , let
 - $TI(n)$ be the time taken by `Insert`
 - $TS(n)$ be the time taken by `ISort`
- First calculate $TI(n)$ for `Insert`
 - $TI(0) = 1$
 - $TI(n) = TI(n-1) + 1$

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 - Unwind to get $TI(n) = n$

$$\begin{aligned} TI(n) &= \underbrace{TI(n-1)} + 1 \\ &= \underbrace{2TI(n-2) + 1 + 1} \\ &\vdots \\ &= TI(0) + \underbrace{1 + 1 + 1 \dots 1}_n \end{aligned}$$

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- Unwind to get $1 + 2 + \dots + n - 1$

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$TS(n) = TS(n-1) + n - 1$
 $= TS(n-2) + n - 2 + n - 1$

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Summary

- Insertion sort is another intuitive algorithm to sort a list

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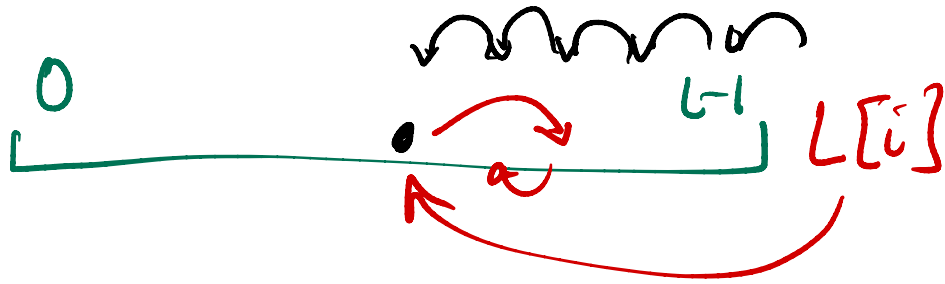
- Insertion sort is another intuitive algorithm to sort a list
- Create a new sorted list
- Repeatedly insert elements into the sorted list

Summary

- Insertion sort is another intuitive algorithm to sort a list
- Create a new sorted list
- Repeatedly insert elements into the sorted list
- Worst case complexity is $O(n^2)$
 - Unlike selection sort, not all cases take time n^2
 - If list is already sorted, **Insert** stops in 1 step
 - Overall time can be close to $O(n)$



Also Use binary search to insert



Suppose in $\log(i)$ steps we find $L[i] < L[0]$

- Move $L[i]$ to $L[0]$
 - Push each $L[j]$ to $L[j+1]$
- } Taken $O(i)$ time



Merge Sort

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Beating the $O(n^2)$ barrier

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Strategy 3

- Divide the list into two halves
- Separately sort the left and right half
- Combine the two sorted halves to get a fully sorted list

2 4 6 8 10
—
1 3 5 7 9

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

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- Merging A and B

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- Let n be the length of L

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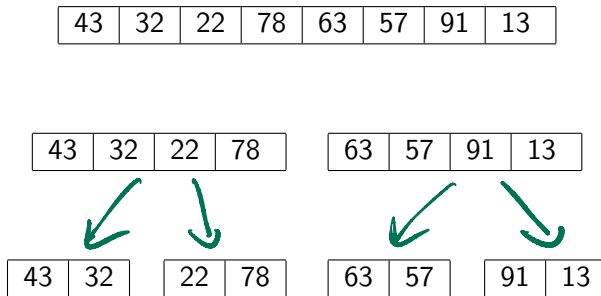
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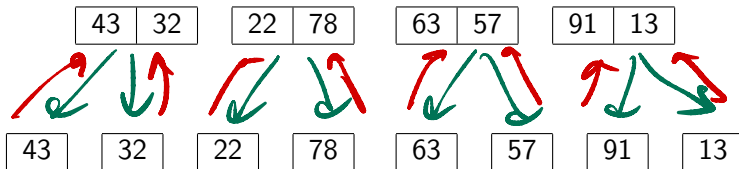
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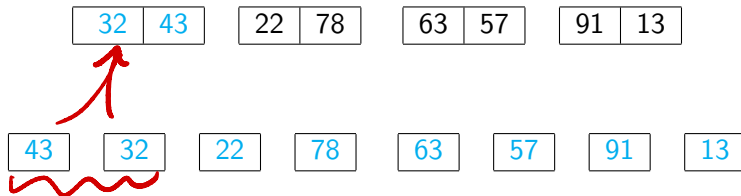
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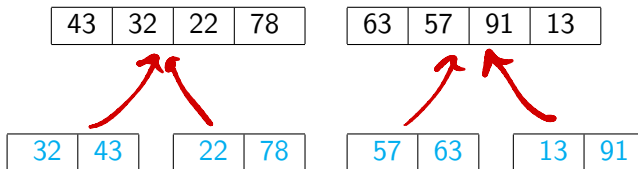
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Divide and Conquer

- Break up the problem into disjoint parts
- Solve each part separately
- Combine the solutions efficiently

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**

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```
def merge(A,B):  
    (m,n) = (len(A),len(B))  
    (C,i,j,k) = ([],0,0,0)  
    while k < m+n:  
        if i == m:  
            C.extend(B[j:])  
            k = k + (n-j)  
        elif j == n:  
            C.extend(A[i:])  
            k = k + (n-i)  
        elif A[i] < B[j]:  
            C.append(A[i])  
            (i,k) = (i+1,k+1)  
        else:  
            C.append(B[j])  
            (j,k) = (j+1,k+1)  
    return(C)
```

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- To sort A into B , both of length n

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 - Sort $A[:n//2]$ into L

Merge sort

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 - Sort $A[n//2:]$ into R

Merge sort

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 - Sort $A[:n//2]$ into L
 - Sort $A[n//2:]$ into R
 - Merge L and R into B

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise
 - Sort $A[:n//2]$ into L
 - Sort $A[n//2:]$ into R
 - Merge L and R into B

```
def mergesort(A):  
    n = len(A)  
  
    if n <= 1:  
        return(A)  
  
    L = mergesort(A[:n//2])  
    R = mergesort(A[n//2:])  
  
    B = merge(L,R)  
  
    return(B)
```

Summary

- Merge sort using divide and conquer to sort a list

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- Next, we have to check that the complexity is less than $O(n^2)$