Principles of Program Analysis:

Data Flow Analysis

Transparencies based on Chapter 2 of the book: Flemming Nielson, Hanne Riis Nielson and Chris Hankin: Principles of Program Analysis. Springer Verlag 2005. ©Flemming Nielson & Hanne Riis Nielson & Chris Hankin.

Intraprocedural Analysis

Classical analyses:

- Available Expressions Analysis
- Reaching Definitions Analysis
- Very Busy Expressions Analysis
- Live Variables Analysis

Derived analysis:

• Use-Definition and Definition-Use Analysis

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Available Expressions Analysis

The aim of the Available Expressions Analysis is to determine

For each program point, which expressions must have already been computed, and not later modified, on all paths to the program point.

Example: point of interest $[x:=a+b]^{1}; [y:=a*b]^{2}; while [y>a+b]^{3} do ([a:=a+1]^{4}; [x:=a+b]^{5})$

The analysis enables a transformation into

$$[x:=a+b]^1; [y:=a*b]^2; while [y>x]^3 do ([a:=a+1]^4; [x:=a+b]^5)$$

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Reaching Definitions Analysis

The aim of the *Reaching Definitions Analysis* is to determine

For each program point, which assignments may have been made and not overwritten, when program execution reaches this point along some path.

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Example: point of interest

[x:=5]^{1}; [y:=1]^{2}; \text{ while } [x>1]^{3} \text{ do } ([y:=x*y]^{4}; [x:=x-1]^{5})
useful for definition-use chains and use-definition chains
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Very Busy Expressions Analysis

An expression is *very busy* at the exit from a label if, no matter what path is taken from the label, the expression is always used before any of the variables occurring in it are redefined.

The aim of the Very Busy Expressions Analysis is to determine

For each program point, which expressions must be very busy at the exit from the point.

Example:

point of interest

 $^{\Downarrow} if [a>b]^{1} then ([x:=b-a]^{2}; [y:=a-b]^{3}) else ([y:=b-a]^{4}; [x:=a-b]^{5})$

The analysis enables a transformation into

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Live Variables Analysis

A variable is *live* at the exit from a label if there is a path from the label to a use of the variable that does not re-define the variable.

The aim of the Live Variables Analysis is to determine

For each program point, which variables may be live at the exit from the point.

Example: point of interest \downarrow $[x:=2]^1; [y:=4]^2; [x:=1]^3; (if [y>x]^4 then [z:=y]^5 else [z:=y*y]^6); [x:=z]^7$ The analysis enables a transformation into

$$[y:=4]^2; [x:=1]^3; (if [y>x]^4 then [z:=y]^5 else [z:=y*y]^6); [x:=z]^7$$

Derived Data Flow Information

• Use-Definition chains or ud chains:

each use of a variable is linked to all assignments that reach it $[x:=0]^1; [x:=3]^2; (if [z=x]^3 then [z:=0]^4 else [z:=x]^5); [y:=x]^6; [x:=y+z]^7$

• Definition-Use chains or du chains:

each assignment to a variable is linked to all uses of it $[x:=0]^1; [x:=3]^2; (if [z=x]^3 then [z:=0]^4 else [z:=x]^5); [y:=x]^6; [x:=y+z]^7$

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ud chains

$$ud: \operatorname{Var}_{\star} \times \operatorname{Lab}_{\star} \to \mathcal{P}(\operatorname{Lab}_{\star})$$

given by

$$ud(x,\ell') = \{\ell \mid def(x,\ell) \land \exists \ell'' : (\ell,\ell'') \in flow(S_{\star}) \land clear(x,\ell'',\ell')\} \\ \cup \{? \mid clear(x,init(S_{\star}),\ell')\}$$

where



- $def(x, \ell)$ means that the block ℓ assigns a value to x
- $clear(x, \ell, \ell')$ means that none of the blocks on a path from ℓ to ℓ' contains an assignments to x but that the block ℓ' uses x (in a test or on the right hand side of an assignment)

ud chains - an alternative definition

 $\mathsf{UD}: \mathrm{Var}_{\star} \times \mathrm{Lab}_{\star} \to \mathcal{P}(\mathrm{Lab}_{\star})$

is defined by:

$$\mathsf{UD}(x,\ell) = \begin{cases} \{\ell' \mid (x,\ell') \in \mathsf{RD}_{entry}(\ell)\} & \text{if } x \in gen_{\mathsf{LV}}(B^{\ell}) \\ \emptyset & \text{otherwise} \end{cases}$$

One can show that:

 $ud(x,\ell) = UD(x,\ell)$

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du chains

 $du: \operatorname{Var}_{\star} \times \operatorname{Lab}_{\star} \to \mathcal{P}(\operatorname{Lab}_{\star})$

given by

$$du(x,\ell) = \begin{cases} \{\ell' \mid def(x,\ell) \land \exists \ell'' : (\ell,\ell'') \in flow(S_{\star}) \land clear(x,\ell'',\ell')\} \\ & \text{if } \ell \neq ? \\ \{\ell' \mid clear(x,init(S_{\star}),\ell')\} \\ & \text{if } \ell = ? \end{cases}$$



One can show that:

$$du(x,\ell) = \{\ell' \mid \ell \in ud(x,\ell')\}$$

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Example:

 $[x:=0]^1; [x:=3]^2; (if [z=x]^3 then [z:=0]^4 else [z:=x]^5); [y:=x]^6; [x:=y+z]^7$

$ud(x,\ell)$	x	У	Z	$du(x,\ell)$	x	У	z
1	Ø	Ø	Ø	1	Ø	Ø	Ø
2	Ø	Ø	Ø	2	$\{3, 5, 6\}$	Ø	Ø
3	{2}	Ø	{?}	3	Ø	Ø	Ø
4	Ø	Ø	Ø	4	Ø	Ø	{7}
5	{2}	Ø	Ø	5	Ø	Ø	{7}
6	{2}	Ø	Ø	6	Ø	{7}	Ø
7	Ø	{6}	$\{4, 5\}$	7	Ø	Ø	Ø
				?	Ø	Ø	{3}

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The Overall Pattern

Each of the four classical analyses take the form

$$\begin{aligned} \mathsf{Analysis}_{\circ}(\ell) &= \begin{cases} \iota & \text{if } \ell \in E \\ & \bigcup \{\mathsf{Analysis}_{\bullet}(\ell') \mid (\ell', \ell) \in F \} & \text{otherwise} \end{cases} \\ \\ \mathsf{Analysis}_{\bullet}(\ell) &= f_{\ell}(\mathsf{Analysis}_{\circ}(\ell)) \end{aligned}$$

where

- \sqcup is ∩ or \cup (and \sqcup is \cup or \cap),
- -F is either $flow(S_{\star})$ or $flow^{R}(S_{\star})$,
- -E is $\{init(S_{\star})\}$ or $final(S_{\star})$,
- $\boldsymbol{\iota}$ specifies the initial or final analysis information, and
- $-f_{\ell}$ is the transfer function associated with $B^{\ell} \in blocks(S_{\star})$.

The Principle: forward versus backward

- The forward analyses have F to be $flow(S_{\star})$ and then $Analysis_{\circ}$ concerns entry conditions and $Analysis_{\circ}$ concerns exit conditions; the equation system presupposes that S_{\star} has isolated entries.
- The backward analyses have F to be $flow^R(S_*)$ and then $Analysis_\circ$ concerns exit conditions and $Analysis_\circ$ concerns entry conditions; the equation system presupposes that S_* has isolated exits.

The Principle: union versus intersecton

- When ∐ is ∩ we require the greatest sets that solve the equations and we are able to detect properties satisfied by all execution paths reaching (or leaving) the entry (or exit) of a label; the analysis is called a must-analysis.
- When ∐ is U we require the smallest sets that solve the equations and we are able to detect properties satisfied by *at least one execution path* to (or from) the entry (or exit) of a label; the analysis is called a may-analysis.

Frameworks

A *Monotone Framework* consists of:

- a complete lattice, *L*, that satisfies the Ascending Chain Condition; we write ∐ for the least upper bound operator
- a set \mathcal{F} of monotone functions from L to L that contains the identity function and that is closed under function composition

A *Distributive Framework* is a Monotone Framework where additionally all functions f in \mathcal{F} are required to be distributive:

$$f(l_1 \sqcup l_2) = f(l_1) \sqcup f(l_2)$$

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Instances

An *instance* of a Framework consists of:

- the complete lattice, L, of the framework
- the space of functions, \mathcal{F} , of the framework
- a finite flow, F (typically $flow(S_{\star})$ or $flow^{R}(S_{\star})$)
- a finite set of *extremal labels*, E (typically {*init*(S_{\star})} or *final*(S_{\star}))
- an *extremal value*, $\iota \in L$, for the extremal labels
- a mapping, f, from the labels Lab_{\star} to transfer functions in $\mathcal F$

Bit Vector Frameworks

- A Bit Vector Framework has
 - $L = \mathcal{P}(D)$ for D finite
 - $\mathcal{F} = \{ f \mid \exists l_k, l_g : f(l) = (l \setminus l_k) \cup l_g \}$

Examples:

- Available Expressions
- Live Variables
- Reaching Definitions
- Very Busy Expressions

Lemma: Bit Vector Frameworks are always Distributive Frameworks Proof

- $id(l) = (l \setminus \emptyset) \cup \emptyset$
- $f_2(f_1(l)) = (((l \setminus l_k^1) \cup l_g^1) \setminus l_g^2) \cup l_g^2 = (l \setminus (l_k^1 \cup l_k^2)) \cup ((l_g^1 \setminus l_k^2) \cup l_g^2)$
- monotonicity follows from distributivity
- $\mathcal{P}(D)$ satisfies the Ascending Chain Condition because D is finite

The Constant Propagation Framework

An example of a Monotone Framework that is not a Distributive Framework

The aim of the *Constant Propagation Analysis* is to determine

For each program point, whether or not a variable has a constant value whenever execution reaches that point.

Example:

$$[x:=6]^1; [y:=3]^2; while [x > y]^3 do ([x:=x-1]^4; [z:=y*y]^6)$$

The analysis enables a transformation into

$$[x:=6]^1; [y:=3]^2;$$
 while $[x > 3]^3$ do $([x:=x-1]^4; [z:=9]^6)$

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Elements of L

$$\widehat{\mathbf{State}}_{\mathsf{CP}} = ((\mathbf{Var}_{\star} \to \mathbf{Z}^{\top})_{\perp}, \sqsubseteq)$$

Idea:

- \perp is the least element: no information is available
- $\hat{\sigma} \in \operatorname{Var}_{\star} \to \mathbb{Z}^{\top}$ specifies for each variable whether it is constant:
 - $-\hat{\sigma}(x) \in \mathbb{Z}$: x is constant and the value is $\hat{\sigma}(x)$
 - $-\hat{\sigma}(x) = \top$: x might not be constant

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Partial Ordering on L

The partial ordering \sqsubseteq on $(\operatorname{Var}_{\star} \to \mathbf{Z}^{\top})_{\perp}$ is defined by $\forall \widehat{\sigma} \in (\operatorname{Var}_{\star} \to \mathbf{Z}^{\top})_{\perp} : \quad \perp \sqsubseteq \widehat{\sigma}$ $\forall \widehat{\sigma}_{1}, \widehat{\sigma}_{2} \in \operatorname{Var}_{\star} \to \mathbf{Z}^{\top} : \quad \widehat{\sigma}_{1} \sqsubseteq \widehat{\sigma}_{2} \quad \quad \underbrace{\operatorname{iff}}_{} \quad \forall x : \widehat{\sigma}_{1}(x) \sqsubseteq \widehat{\sigma}_{2}(x)$

where $\mathbf{Z}^{\top} = \mathbf{Z} \cup \{\top\}$ is partially ordered as follows:

$$\forall z \in \mathbf{Z}^{\top} : z \sqsubseteq \top$$

$$\forall z_1, z_2 \in \mathbf{Z} : (z_1 \sqsubseteq z_2) \Leftrightarrow (z_1 = z_2)$$

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Transfer Functions in ${\mathcal F}$

 $\mathcal{F}_{CP} = \{f \mid f \text{ is a monotone function on } \widehat{\text{State}_{CP}}\}$

Lemma

Constant Propagation as defined by $\widehat{\mathbf{State}}_{CP}$ and \mathcal{F}_{CP} is a Monotone Framework

Instances

Constant Propagation is a forward analysis, so for the program S_{\star} :

- the flow, F, is $flow(S_{\star})$,
- the extremal labels, E, is $\{init(S_{\star})\}$,
- the extremal value, ι_{CP} , is $\lambda x. \top$, and
- the mapping, f_{\cdot}^{CP} , of labels to transfer functions is as shown next

Constant Propagation Analysis

$$\begin{array}{rcl} \mathcal{A}_{\mathsf{CP}} & : \ \mathbf{AExp} \to (\widehat{\mathbf{State}}_{\mathsf{CP}} \to \mathbf{Z}_{\perp}^{\top}) \\ & \mathcal{A}_{\mathsf{CP}}[\![x]\!] \widehat{\sigma} & = \left\{ \begin{array}{c} \bot & \text{if } \widehat{\sigma} = \bot \\ \widehat{\sigma}(x) & \text{otherwise} \end{array} \right. \\ & \mathcal{A}_{\mathsf{CP}}[\![n]\!] \widehat{\sigma} & = \left\{ \begin{array}{c} \bot & \text{if } \widehat{\sigma} = \bot \\ n & \text{otherwise} \end{array} \right. \\ & \mathcal{A}_{\mathsf{CP}}[\![a_1 \ op_a \ a_2]\!] \widehat{\sigma} & = \mathcal{A}_{\mathsf{CP}}[\![a_1]\!] \widehat{\sigma} \ \widehat{op}_a \ \mathcal{A}_{\mathsf{CP}}[\![a_2]\!] \widehat{\sigma} \end{array} \\ & \begin{array}{c} & \text{transfer functions: } f_{\ell}^{\mathsf{CP}} \\ & [x := a]^{\ell} : & f_{\ell}^{\mathsf{CP}}(\widehat{\sigma}) & = \left\{ \begin{array}{c} \bot & \text{if } \widehat{\sigma} = \bot \\ \widehat{\sigma}[x \mapsto \mathcal{A}_{\mathsf{CP}}[\![a]\!] \widehat{\sigma} \end{array} \right. \\ & \text{otherwise} \end{array} \\ & [\mathrm{skip}]^{\ell} : & f_{\ell}^{\mathsf{CP}}(\widehat{\sigma}) & = \widehat{\sigma} \\ & [b]^{\ell} : & f_{\ell}^{\mathsf{CP}}(\widehat{\sigma}) & = \widehat{\sigma} \end{array} \end{array}$$

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Lemma

Constant Propagation is not a Distributive Framework

Proof

Consider the transfer function f_{ℓ}^{CP} for $[y:=x*x]^{\ell}$

Let $\hat{\sigma}_1$ and $\hat{\sigma}_2$ be such that $\hat{\sigma}_1(x) = 1$ and $\hat{\sigma}_2(x) = -1$

Then $\hat{\sigma}_1 \sqcup \hat{\sigma}_2$ maps x to $\top - f_{\ell}^{\mathsf{CP}}(\hat{\sigma}_1 \sqcup \hat{\sigma}_2)$ maps y to \top

Both $f_{\ell}^{\mathsf{CP}}(\widehat{\sigma}_1)$ and $f_{\ell}^{\mathsf{CP}}(\widehat{\sigma}_2)$ map y to $1 - f_{\ell}^{\mathsf{CP}}(\widehat{\sigma}_1) \sqcup f_{\ell}^{\mathsf{CP}}(\widehat{\sigma}_2)$ maps y to 1

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Equation Solving

- The MFP solution "Maximum" (actually least) Fixed Point
 - Worklist algorithm for Monotone Frameworks
- The MOP solution "Meet" (actually join) Over all Paths

The MFP Solution

- Idea: iterate until stabilisation.

Worklist Algorithm

Input: An instance $(L, \mathcal{F}, F, E, \iota, f)$ of a Monotone Framework

Output: The MFP Solution: *MFP*_o, *MFP*_•

Data structures:

- Analysis: the current analysis result for block entries (or exits)
- The worklist W: a list of pairs (ℓ, ℓ') indicating that the current analysis result has changed at the entry (or exit) to the block ℓ and hence the entry (or exit) information must be recomputed for ℓ'

Worklist Algorithm

- Step 1 Initialisation (of W and Analysis) W := nil; for all (ℓ, ℓ') in F do W := cons $((\ell, \ell'), W)$; for all ℓ in F or E do if $\ell \in E$ then Analysis $[\ell] := \iota$ else Analysis $[\ell] := \bot_L$;
- Step 2 Iteration (updating W and Analysis) while W \neq nil do $\ell := fst(head(W)); \ell' = snd(head(W)); W := tail(W);$ if $f_{\ell}(Analysis[\ell]) \not\sqsubseteq Analysis[\ell']$ then Analysis[ℓ'] := Analysis[ℓ'] $\sqcup f_{\ell}(Analysis[\ell]);$ for all ℓ'' with (ℓ', ℓ'') in F do W := cons(($\ell', \ell''), W$);
- Step 3 Presenting the result (MFP_{\circ} and MFP_{\bullet}) for all ℓ in F or E do $MFP_{\circ}(\ell) := Analysis[\ell];$ $MFP_{\bullet}(\ell) := f_{\ell}(Analysis[\ell])$

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Correctness

The worklist algorithm always terminates and it computes the least (or MFP) solution to the instance given as input.

Complexity

Suppose that E and F contain at most $b \ge 1$ distinct labels, that F contains at most $e \ge b$ pairs, and that L has finite height at most $h \ge 1$.

Count as basic operations the applications of f_{ℓ} , applications of \sqcup , or updates of Analysis.

Then there will be at most $O(e \cdot h)$ basic operations.

Example: Reaching Definitions (assuming unique labels):

 $O(b^2)$ where b is size of program: O(h) = O(b) and O(e) = O(b).

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The MOP Solution

- Idea: propagate analysis information along paths.

Paths

The paths up to but not including ℓ :

 $path_{\circ}(\ell) = \{ [\ell_1, \cdots, \ell_{n-1}] \mid n \ge 1 \land \forall i < n : (\ell_i, \ell_{i+1}) \in F \land \ell_n = \ell \land \ell_1 \in E \}$

The paths up to and including ℓ :

$$path_{\bullet}(\ell) = \{ [\ell_1, \cdots, \ell_n] \mid n \ge 1 \land \forall i < n : (\ell_i, \ell_{i+1}) \in F \land \ell_n = \ell \land \ell_1 \in E \}$$

Transfer functions for a path $\vec{\ell} = [\ell_1, \cdots, \ell_n]$:

$$f_{\vec{\ell}} = f_{\ell_n} \circ \cdots \circ f_{\ell_1} \circ id$$

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The MOP Solution

The solution up to but not including ℓ :

$$MOP_{\circ}(\ell) = \bigsqcup\{f_{\vec{\ell}}(\iota) \mid \vec{\ell} \in path_{\circ}(\ell)\}$$

The solution up to and including ℓ :

$$MOP_{\bullet}(\ell) = \bigsqcup\{f_{\vec{\ell}}(\iota) \mid \vec{\ell} \in path_{\bullet}(\ell)\}$$

Precision of the MOP versus MFP solutions

The MFP solution safely approximates the MOP solution: $MFP \sqsupseteq MOP$ ("because" $f(x \sqcup y) \sqsupseteq f(x) \sqcup f(y)$ when f is monotone).

For Distributive Frameworks the MFP and MOP solutions are equal: MFP = MOP ("because" $f(x \sqcup y) = f(x) \sqcup f(y)$ when f is distributive).

Lemma

Consider the MFP and MOP solutions to an instance $(L, \mathcal{F}, F, B, \iota, f)$ of a Monotone Framework; then:

 $MFP_{\circ} \sqsupseteq MOP_{\circ}$ and $MFP_{\bullet} \sqsupseteq MOP_{\bullet}$

If the framework is distributive and if $path_o(\ell) \neq \emptyset$ for all ℓ in E and F then:

 $MFP_{\circ} = MOP_{\circ}$ and $MFP_{\bullet} = MOP_{\bullet}$

Decidability of MOP and MFP

The MFP solution is always computable (meaning that it is decidable) because of the Ascending Chain Condition.

The MOP solution is often uncomputable (meaning that it is undecidable): the existence of a general algorithm for the MOP solution would imply the decidability of the *Modified Post Correspondence Problem*, which is known to be undecidable.

Lemma

The MOP solution for Constant Propagation is undecidable.

Proof: Let u_1, \dots, u_n and v_1, \dots, v_n be strings over the alphabet $\{1, \dots, 9\}$; let |u| denote the length of u; let $[\![u]\!]$ be the natural number denoted.

The Modified Post Correspondence Problem is to determine whether or not $u_{i_1} \cdots u_{i_m} = v_{i_1} \cdots v_{i_n}$ for some sequence i_1, \cdots, i_m with $i_1 = 1$.

$$\begin{array}{l} \text{x:=}[\![u_1]\!]; \text{ y:=}[\![v_1]\!]; \\ \text{while } [\cdots] \text{ do} \\ (\text{if } [\cdots] \text{ then } \text{x:=x * } 10^{|u_1|} + [\![u_1]\!]; \text{ y:=y * } 10^{|v_1|} + [\![v_1]\!] \text{ else} \\ \vdots \\ \text{if } [\cdots] \text{ then } \text{x:=x * } 10^{|u_n|} + [\![u_n]\!]; \text{ y:=y * } 10^{|v_n|} + [\![v_n]\!] \text{ else skip}) \\ [\text{z:=abs}((\text{x-y})*(\text{x-y}))]^{\ell} \end{array}$$

Then $MOP_{\bullet}(\ell)$ will map z to 1 if and only if the Modified Post Correspondence Problem has no solution. This is undecidable.

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Example 7.1 (Constant Propagation)

```
c := if [z > 0]^{1} then 
 [x := 2;]^{2} 
 [y := 3;]^{3} 
 else 
 [x := 3;]^{4} 
 [y := 2;]^{5} 
 [z := x+y;]^{6} 
 [...]^{7}
```

Transfer functions (for $\delta = (\delta(\mathbf{x}), \delta(\mathbf{y}), \delta(\mathbf{z})) \in D$): $\varphi_1(a, b, c) = (a, b, c)$ $\varphi_2(a, b, c) = (2, b, c)$ $\varphi_3(a, b, c) = (a, 3, c)$ $\varphi_4(a, b, c) = (3, b, c)$ $\varphi_5(a, b, c) = (a, 2, c)$ $\varphi_6(a, b, c) = (a, b, a + b)$ • Fixpoint solution: $\begin{array}{l} \mathsf{CP}_1 = \iota &= (\mathsf{T},\mathsf{T},\mathsf{T}) \\ \mathsf{CP}_2 = \varphi_1(\mathsf{CP}_1) &= (\mathsf{T},\mathsf{T},\mathsf{T}) \\ \mathsf{CP}_3 = \varphi_2(\mathsf{CP}_2) &= (2,\mathsf{T},\mathsf{T}) \\ \mathsf{CP}_4 = \varphi_1(\mathsf{CP}_1) &= (\mathsf{T},\mathsf{T},\mathsf{T}) \\ \mathsf{CP}_5 = \varphi_4(\mathsf{CP}_4) &= (3,\mathsf{T},\mathsf{T}) \\ \mathsf{CP}_6 = \varphi_3(\mathsf{CP}_3) \sqcup \varphi_5(\mathsf{CP}_5) \\ &= (2,3,\mathsf{T}) \sqcup (3,2,\mathsf{T}) = (\mathsf{T},\mathsf{T},\mathsf{T}) \\ \mathsf{CP}_7 = \varphi_6(\mathsf{CP}_6) &= (\mathsf{T},\mathsf{T},\mathsf{T}) \end{array}$

$$\begin{split} \mathsf{mop}(7) &= \varphi_{[1,2,3,6]}(\top,\top,\top) \sqcup \\ \varphi_{[1,4,5,6]}(\top,\top,\top) \\ &= (2,3,5) \sqcup (3,2,5) \\ &= (\top,\top,5) \end{split}$$

