

# Interprocedural analysis: Sharir-Pnueli's functional approach

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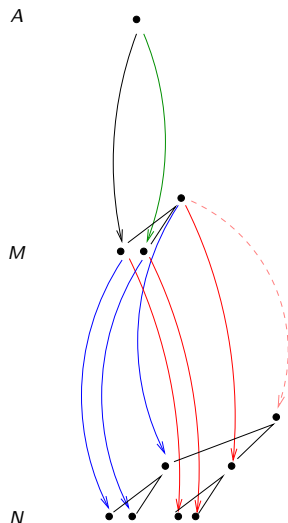
18 September 2013

# Outline

- 1 **Functional Approach**
- 2 **Example**
- 3 **Iterative Approach**
- 4 **Exercises**

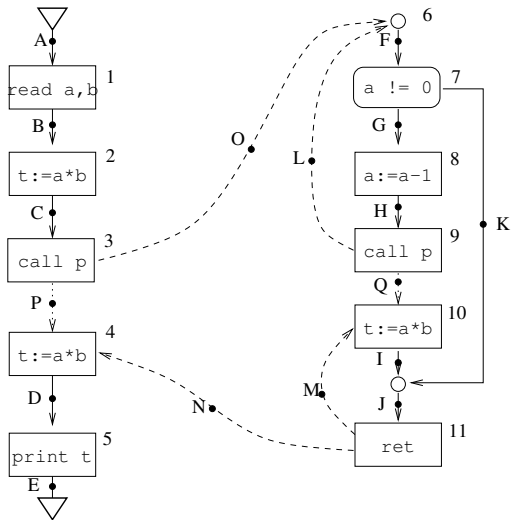
## Equations to capture JOP: why it works

- We want JOP at  $N$ .
- If transfer functions are distributive, then we can take join over paths at an any intermediate point  $M$ , and then join over paths from  $M$  to  $N$ .



## Equation solving: Problems with naive approach

- In non-procedural case, we setup equations to capture JOP assuming distributivity. Least solution to these equations gave us exact/over-approx JOP depending on distributive/monotonic framework.
- Try to set up similar equations for  $x_N$  (JVP at program point  $N$ ).
- How do we describe  $x_N$  in terms of  $x_J$ ?

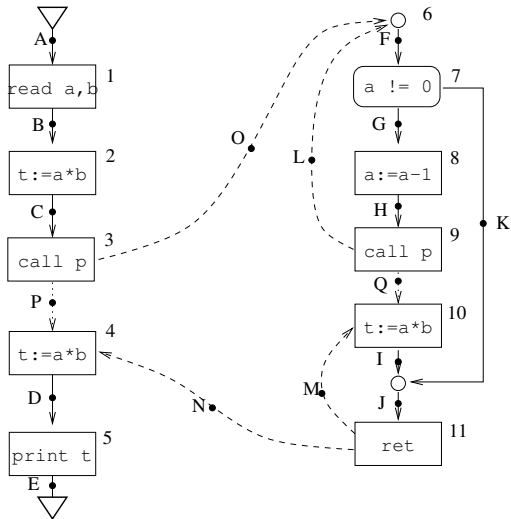


## Instead try to capture join over **complete** paths first

- Set up equations to capture join over **complete** paths.
- Now set up equations to capture JVP using join over complete path values.

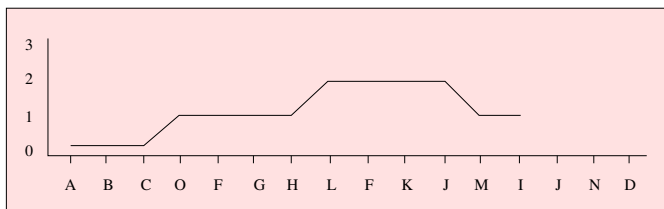
# Notation

- Root of procedure  $p$  is denoted  $r_p$ .
- Exit (return) of procedure  $p$  is denoted  $e_p$ .
- Sometimes use  $r_1$  for  $r_{main}$ .
- Assume WLOG that `main` is not called.

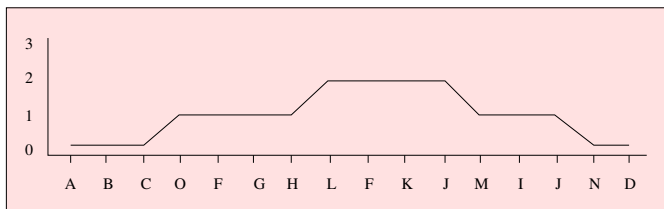


## Example paths

An example valid path in  $IVP(r_1, I)$ .



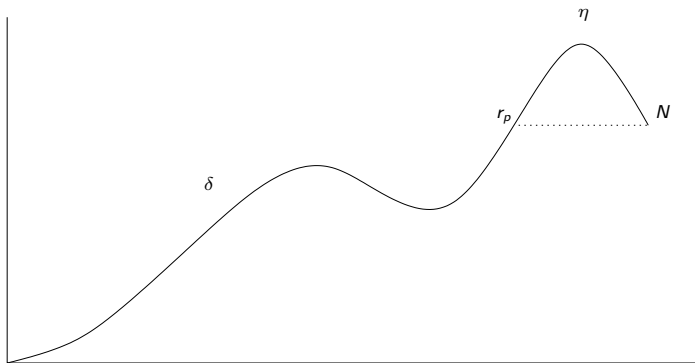
An example valid and complete path in  $IVP_0(r_1, D)$ .



Path “FGHLFKJMIJ” is valid and complete and is in  $IVP_0(r_p, J)$ .

## Basic idea: Why join over complete paths help

An IVP path  $\rho$  from  $r_1$  to  $N$  in procedure  $p$  can be written as  $\delta \cdot \eta$  where  $\delta$  is in  $\text{IVP}(r_1, r_p)$ , and  $\eta$  is in  $\text{IVP}_0(r_p, N)$ .



Path  $\eta$  is suffix after last pending call to procedure  $p$  was made.



## Valid and complete paths from $r_p$ to $N$

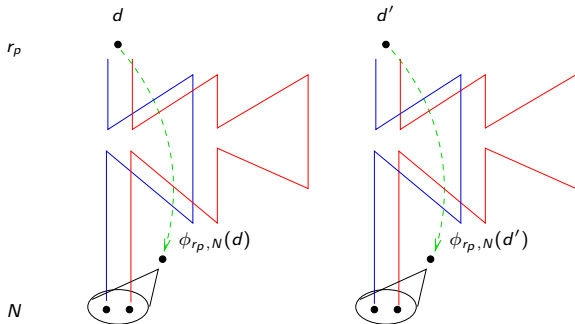
For a procedure  $p$  and node  $N$  in  $p$ , define:

$$\phi_{r_p, N} : D \rightarrow D$$

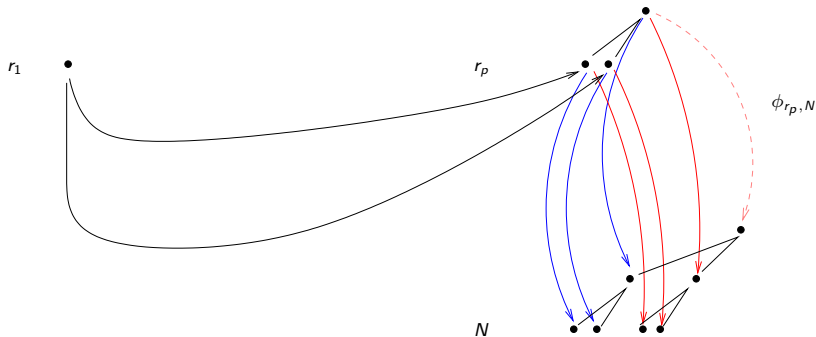
given by

$$\phi_{r_p, N}(d) = \bigsqcup_{\text{paths } \rho \in \text{IVP}_0(r_p, N)} f_\rho(d).$$

$\phi_{r_p, N}$  is thus the join of all functions  $f_\rho$  where  $\rho$  is an **interprocedurally valid and complete** path from  $r_p$  to  $N$ .

Visualizing  $\phi_{r_p, N}$ 

## Using $\phi_{r_p, N}$ 's to get JVP values



Assuming distributivity of underlying transfer functions, JVP value at  $N$  equals  $\phi_{r_p, N}$  applied to JVP value at  $r_p$ .

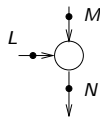
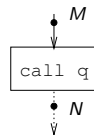
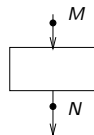
# Equations (1) to capture $\phi_{r_p, N}$

$$y_{r_p, r_p} = id_D \quad (\text{root})$$

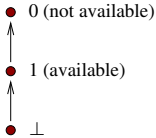
$$y_{r_p, N} = f_{MN} \circ y_{r_p, M} \quad (\text{stmt})$$

$$y_{r_p, N} = y_{r_q, e_q} \circ y_{r_p, M} \quad (\text{call})$$

$$y_{r_p, N} = y_{r_p, L} \sqcup y_{r_p, M}. \quad (\text{join})$$

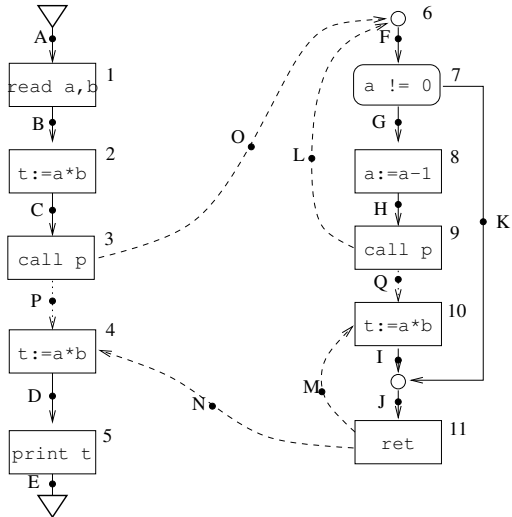


## Example: Available expressions analysis

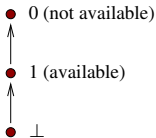


Lattice for Av-Exp analysis.

- Is  $a*b$  available at program point  $N$ ?

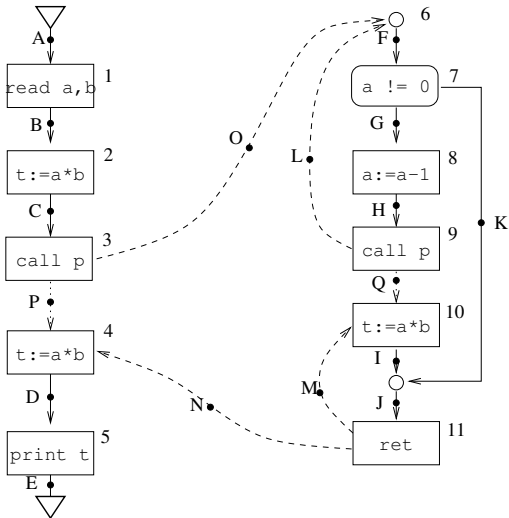


## Example: Available expressions analysis

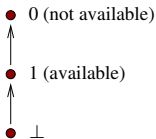


Lattice for Av-Exp analysis.

- Is  $a*b$  available at program point  $N$ ?
- No if we consider all paths.

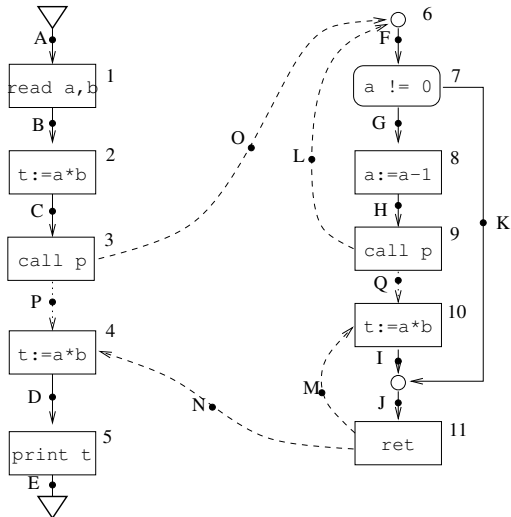


## Example: Available expressions analysis



Lattice for Av-Exp analysis.

- Is  $a*b$  available at program point  $N$ ?
- No if we consider all paths.
- **Yes** if we consider interprocedurally valid paths only.



## Functions we will use for example analysis

- $D = \{\perp, 1, 0\}$ .

- $\mathbf{0} : D \rightarrow D$  given by

$$\begin{array}{lcl} \perp & \mapsto & \perp \\ 0 & \mapsto & 0 \\ 1 & \mapsto & 0 \end{array}$$

- $\mathbf{1} : D \rightarrow D$  given by

$$\begin{array}{lcl} \perp & \mapsto & \perp \\ 0 & \mapsto & 1 \\ 1 & \mapsto & 1 \end{array}$$

- $\mathbf{id} : D \rightarrow D$  given by

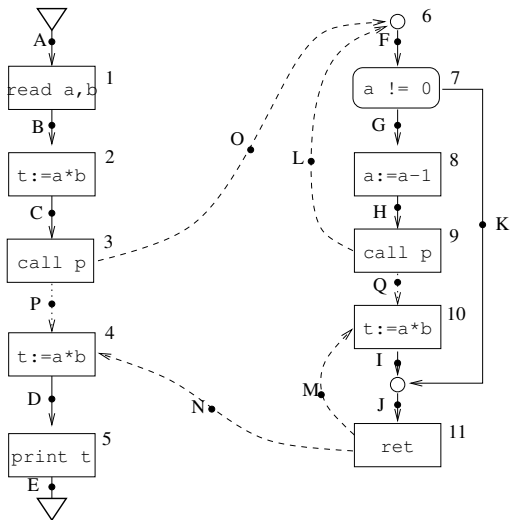
$$\begin{array}{lcl} \perp & \mapsto & \perp \\ 0 & \mapsto & 0 \\ 1 & \mapsto & 1 \end{array}$$

- Ordering:  $\mathbf{1} \leq \mathbf{id} \leq \mathbf{0}$ .

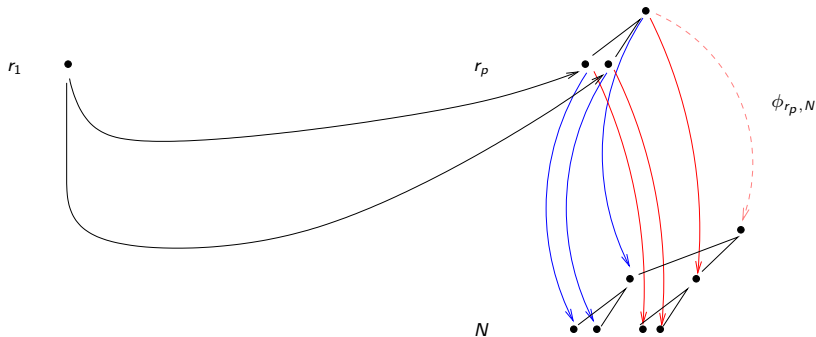


## Example: Equations for $\phi$ 's

$$\begin{aligned}
 y_{A,A} &= id \\
 y_{A,B} &= \mathbf{0} \circ y_{A,A} \\
 y_{A,C} &= \mathbf{1} \circ y_{A,B} \\
 y_{A,P} &= y_{F,J} \circ y_{A,C} \\
 y_{A,D} &= \mathbf{1} \circ y_{A,P} \\
 y_{A,E} &= id \circ y_{A,D} \\
 \\ 
 y_{F,F} &= id \\
 y_{F,G} &= id \circ y_{F,F} \\
 y_{F,K} &= id \circ y_{F,F} \\
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 \end{aligned}$$



## Using $\phi_{r_p, N}$ 's to get JVP values



Assuming distributivity of underlying transfer functions, JVP value at  $N$  equals  $\phi_{r_p, N}$  applied to JVP value at  $r_p$ .

## Equations (2) to capture JVP

$$\begin{aligned}x_1 &= d_0 \\x_{r_p} &= \bigsqcup_{\text{calls } C \text{ to } p} x_C \\x_N &= \phi_{r_p, N}(x_{r_p}) \quad \text{for } N \in \text{ProgPts}(p) - \{r_p\}.\end{aligned}$$

## Example: Equations for $x_N$ 's (JVP)

$$\begin{aligned}
 x_A &= 0 \\
 x_B &= \mathbf{0}(x_A) \\
 x_C &= \mathbf{1}(x_A) \\
 x_P &= \mathbf{1}(x_A) \\
 x_D &= \mathbf{1}(x_A) \\
 x_E &= \mathbf{1}(x_A) \\
 \\ 
 x_F &= x_C \sqcup x_H \\
 x_G &= id(x_F) \\
 x_K &= id(x_F) \\
 x_H &= \mathbf{0}(x_F) \\
 x_Q &= \mathbf{0}(x_F) \\
 x_I &= \mathbf{1}(x_F) \\
 x_J &= id(x_F).
 \end{aligned}$$

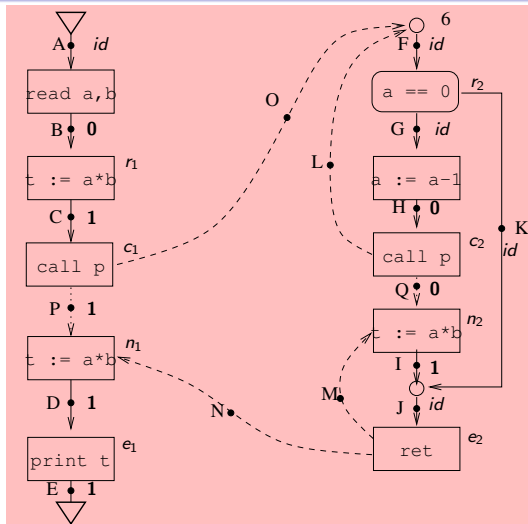


Fig. shows values of  $\phi_{r_p, N}$ 's in bold.

## Correctness claims

- Consider lattice  $(F, \leq)$  of **functions** from  $D$  to  $D$ , obtained by closing the transfer functions, identity, and  $f_{\perp} : d \mapsto \perp$  under composition and join. (Alternatively we can take  $F$  to be all monotone functions on  $D$ .)
- Ordering is  $f \leq g$  iff  $f(d) \leq g(d)$  for each  $d \in D$ .
- $(F, \leq)$  is also a complete lattice.
- $\bar{f}$  induced by Eq (1) is monotone on complete lattice  $(\bar{F}, \bar{\leq})$ .
  - Sufficient to argue that function composition  $\circ$  is monotone when applied to monotone functions.
  - Join operation  $\sqcup$  is monotone.
- LFP / least solution (say  $y_{r_p, N}^*$ 's) exists by Knaster-Tarski.
- Each  $y_{r_p, N}^*$  is necessarily monotonic.

### Claim

$\phi_{r_p, N}$ 's are the least solution to Eq (1) (i.e.  $\phi_{r_p, N} = y_{r_p, N}^*$ ) when  $f_{MN}$ 's are distributive. Otherwise each  $\phi_{r_p, N} \leq y_{r_p, N}^*$ .

## Using Kildall to compute LFP

- We can use Kildall's algo to compute the LFP of these equations as follows.
  - Initialize the value at program points with RHS of the constant equations (in this case  $id$  at entry of procedures), and the bottom value (in this case  $f_{\perp}$ ) everywhere else.
  - Mark all values
  - Pick a marked value at point say  $N$ , and "propagate" it (i.e. for any node  $M$  in the LHS of an equation in which  $N$  occurs in the RHS, evaluate  $M$  and join it with the existing value at  $M$ ). Mark as before in Kildall's algo.
  - Stop when no more marked values to propagate.
- Kildall's algo will compute  $y_{r_p, N}^*$  if  $D$  is finite. Note that finite height of  $(D, \leq)$  is not sufficient for termination.

## Correctness and algo - II

Consider Eq (2)':

$$\begin{aligned} x_1 &= d_0 \\ x_{r_p} &= \bigsqcup_{\text{calls } C \text{ to } p} x_C \\ x_N &= y_{r_p, N}^*(x_{r_p}) \quad \text{for } N \in N_p - \{r_p\}. \end{aligned}$$

(Recall that  $y_{r_p, N}^*$ 's are the least solution of Eq (1).)

- $\bar{f}$  induced by Eq (2)' is a monotone function on the complete lattice  $(\bar{D}, \leq)$ .
- LFP / least solution (say  $x_N^*$ 's) exists by Knaster-Tarski.

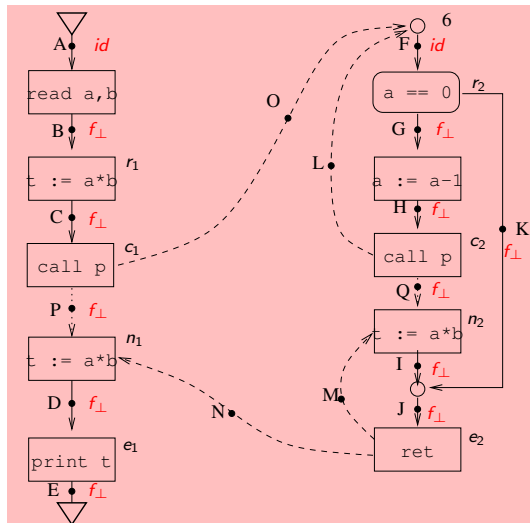
### Claim

JVP values are the least solution to Eq (2)' (i.e.  $JVP_N = x_N^*$ ) when  $f_{MN}$ 's are distributive. Otherwise  $JVP_N \leq x_N^*$  for each  $N$ .

Kleene/Kildall's algo will compute  $x_N^*$ 's (assuming  $D$  finite).

# Example: Computing $\phi_{r_p, N}$ 's ( $y_{r_p, N}^*$ to be precise) using Kildall's algo

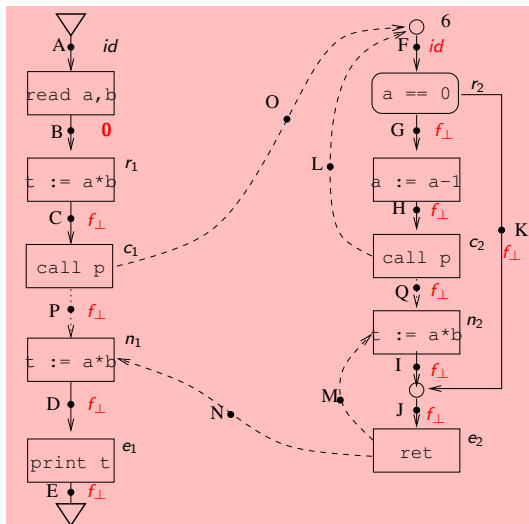
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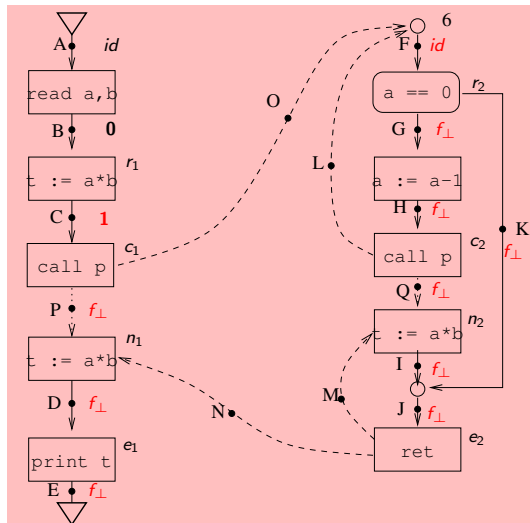
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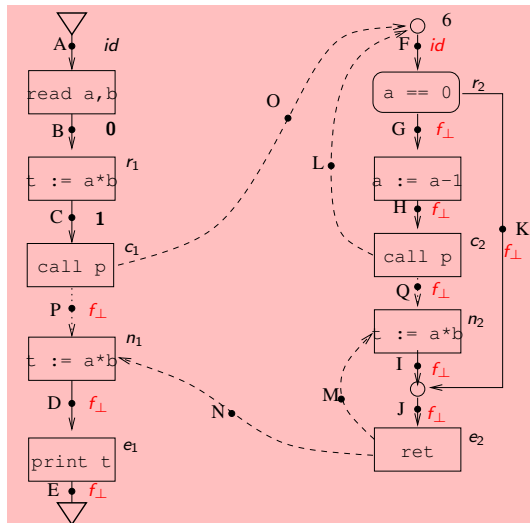
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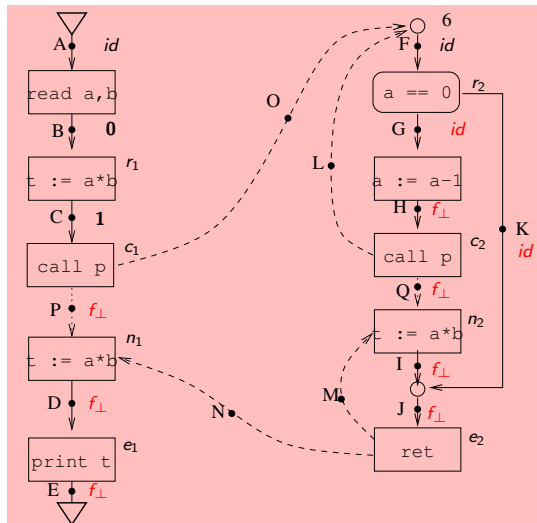
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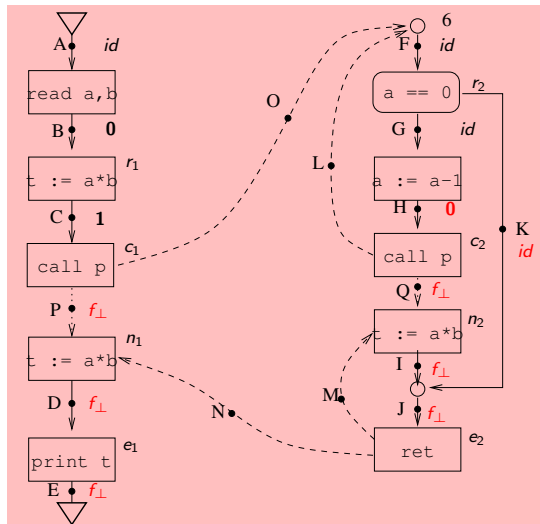
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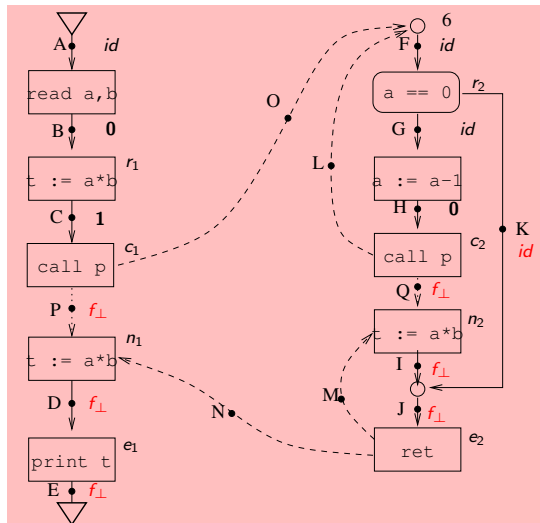
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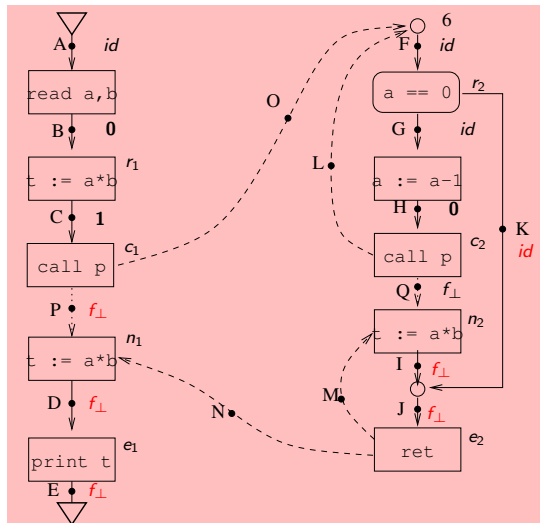
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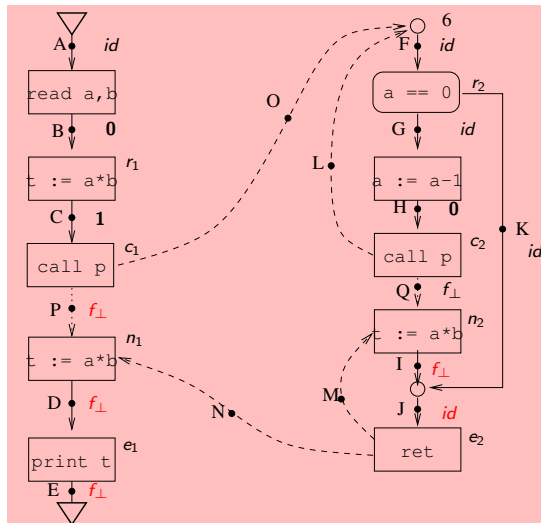
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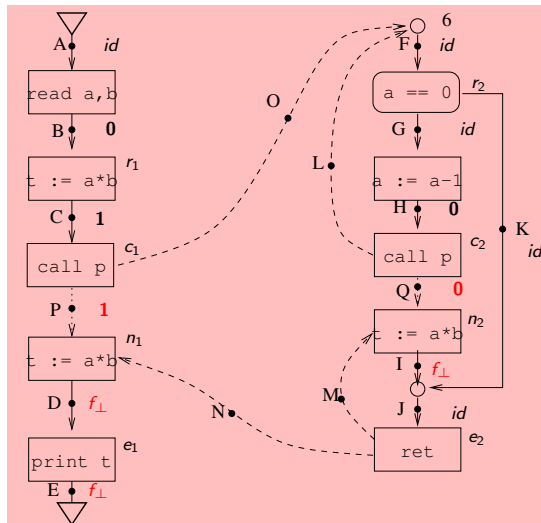
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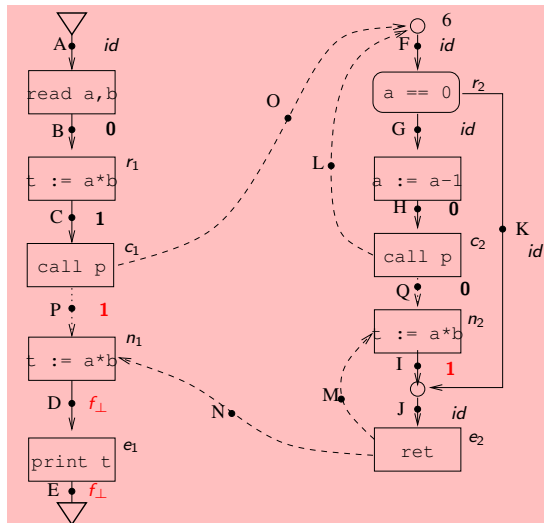
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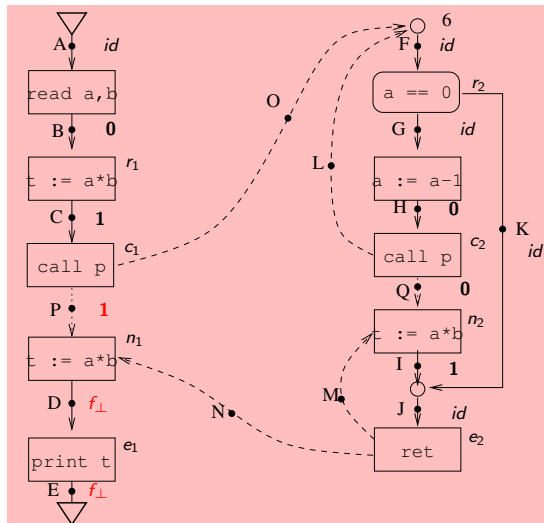
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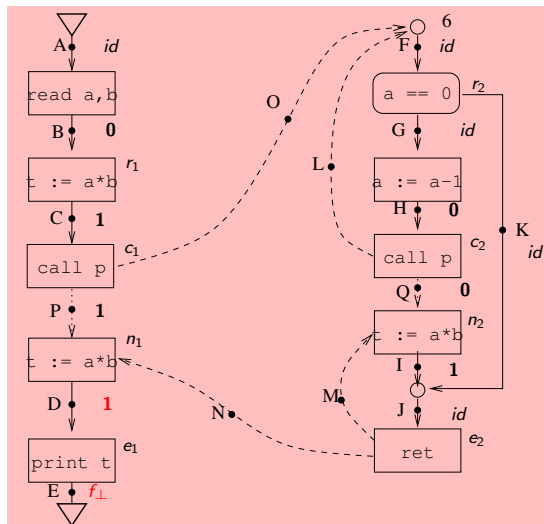
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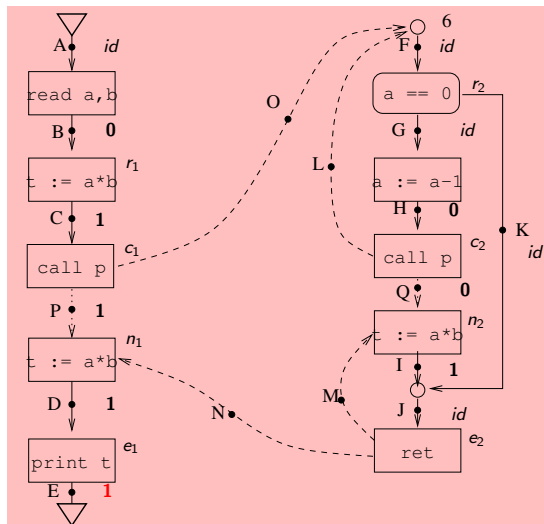
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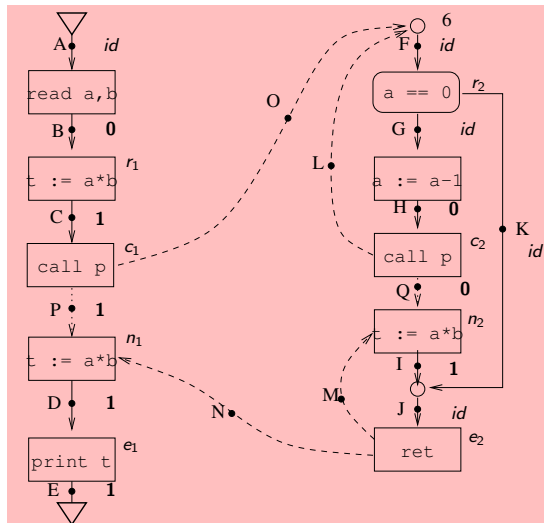
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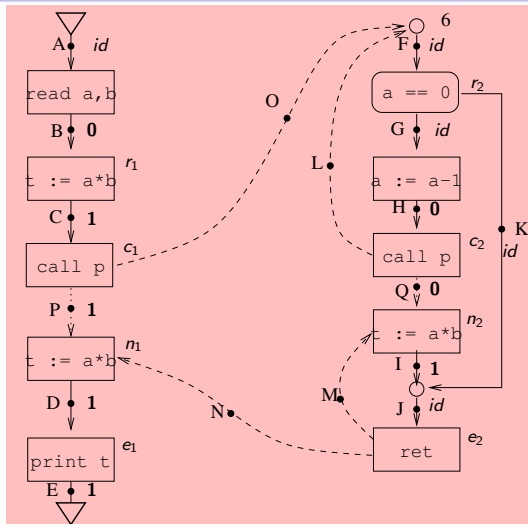
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## Example: Computing JVP values ( $x_N^*$ 's to be precise)

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 x_A &= 0 \\
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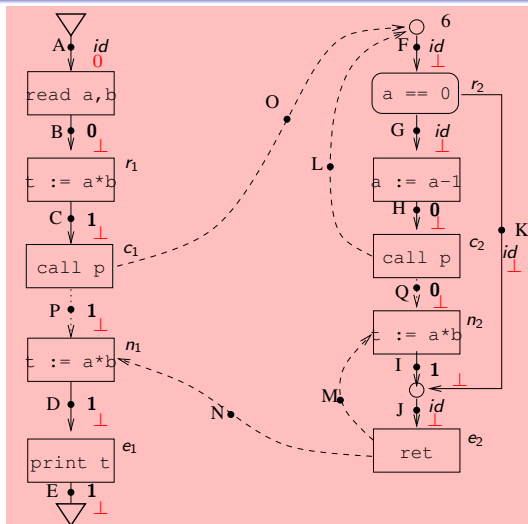


Fig shows initial (red) and final (blue) values.



## Example: Computing JVP values ( $x_N^*$ 's to be precise)

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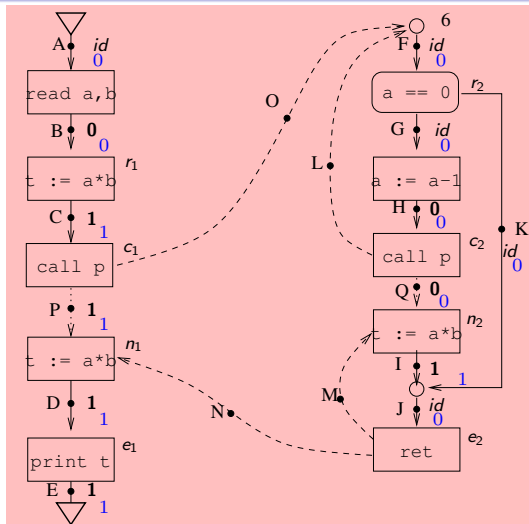


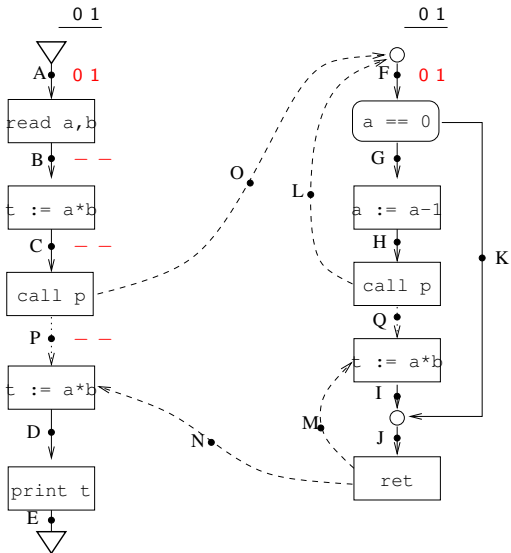
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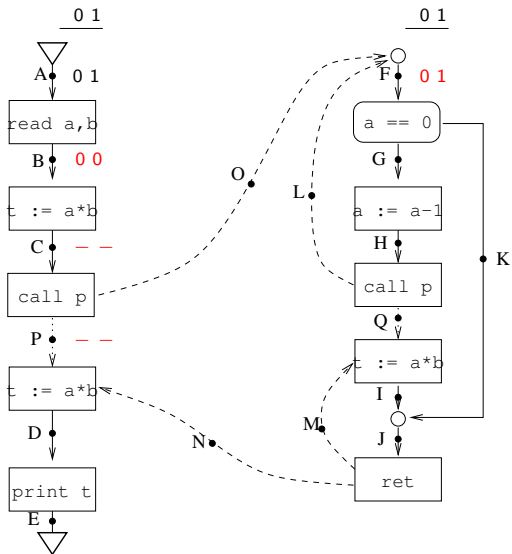
## Summary of functional approach

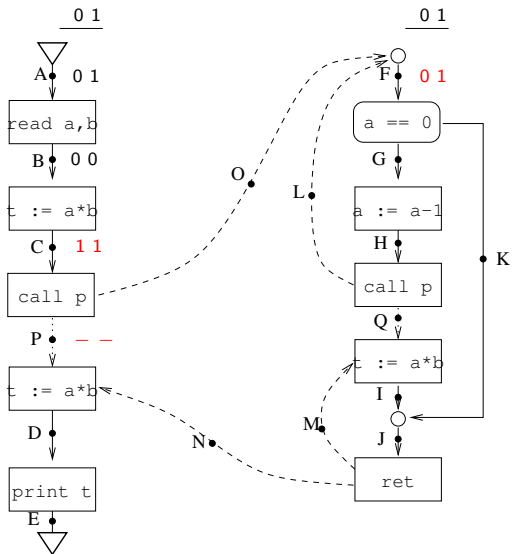
- Uses a two step approach
  - 1 Compute  $\phi_{r_p, N}$ 's.
  - 2 Compute  $x_n$ 's (JVP's) at each point.

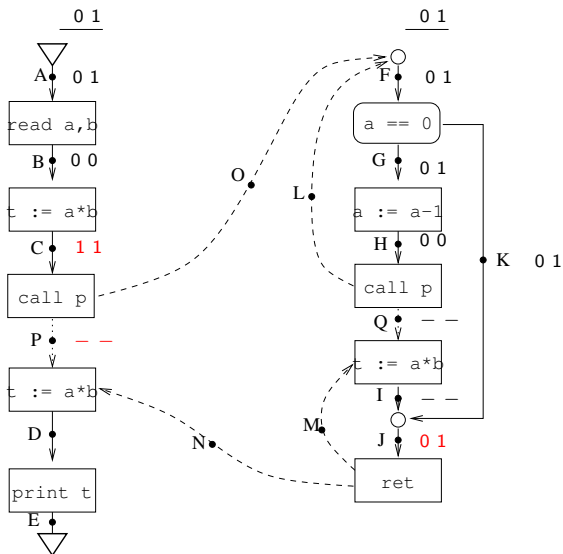
Summary of conditions: For each property (column heading), the conjunction of the ticked conditions (row headings) are sufficient to ensure the property.

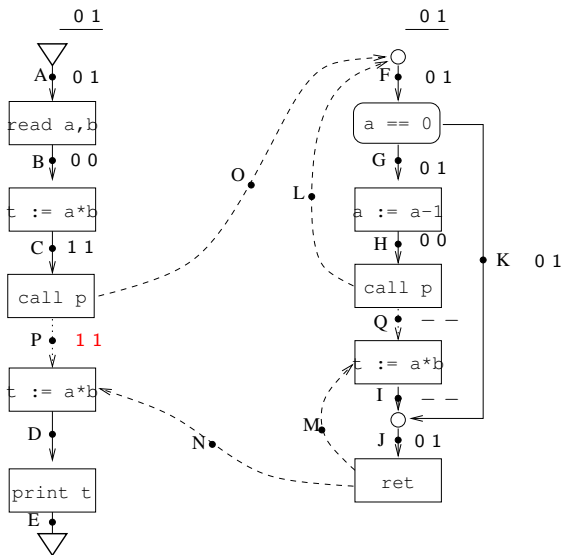
	Termination	Least Sol of Eq(2) $\geq$ JVP	Least Sol of Eq(2) = JVP
$f_{MN}$ 's monotonic	✓	✓	
Finite underlying lattice	✓		
$f_{MN}$ 's distributive			✓

Viewing  $\phi$  computation as a table

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## Iterative/Tabulation Approach

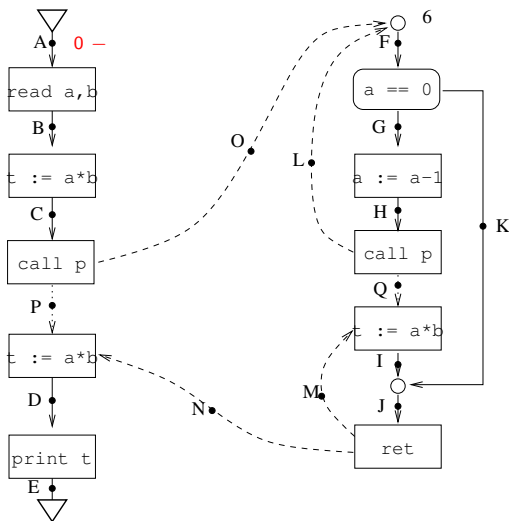
- Main idea: **de-couple** the propagation of function rows.
- Maintain a **table** of values representing the current value of  $\phi_{r_p, N}$  for each program point  $N$  in procedure  $p$ .
- Expand column for data value  $d$  in procedure  $p$  only if  $d$  is reachable at  $r_p$ .
- Informally, at  $N$  in procedure  $p$ , the table has an entry  $d \mapsto d'$  if we have seen
  - 1 valid paths  $\rho$  from  $r_1$  to  $r_p$  with  $\bigsqcup_{\rho} f_{\rho}(d_0) = d$ , and
  - 2 valid and complete paths  $\delta$  from  $r_p$  to  $N$  with  $\bigsqcup_{\delta} f_{\delta}(d) = d'$ .



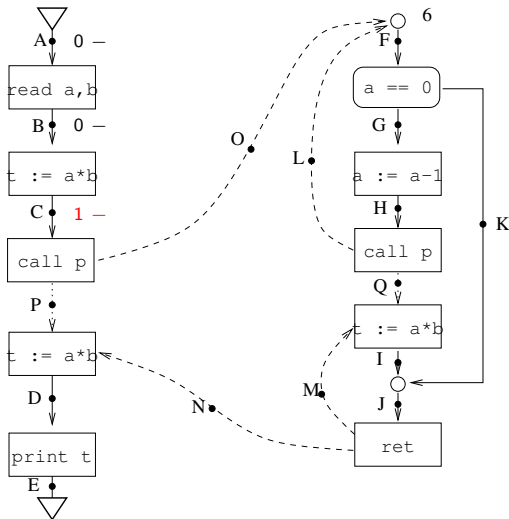
## Iterative/Tabulation Approach

- Apply Kildall's algo with initial value of  $d_0 \mapsto d_0$  at  $r_1$ .
- Propagating across a call to procedure  $p$ : value  $d$  is propagated to the column for  $d$  at root of  $p$ .
- Propagating across return nodes from procedure  $p$ : value  $d'$  in column for  $d$  is propagated to each column at a return site of a call to procedure  $p$  that has the value  $d$  in the preceding entry.

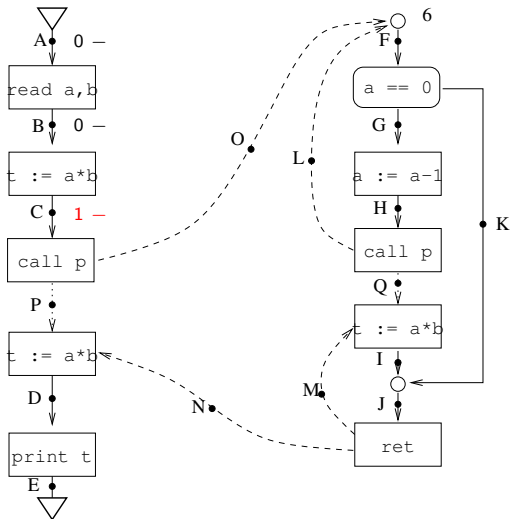
# Example: Computing $\phi$ 's iteratively: 1



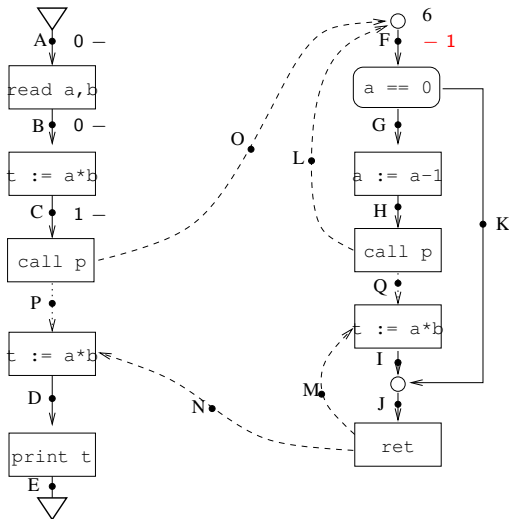
## Example: Computing $\phi$ 's iteratively: 2



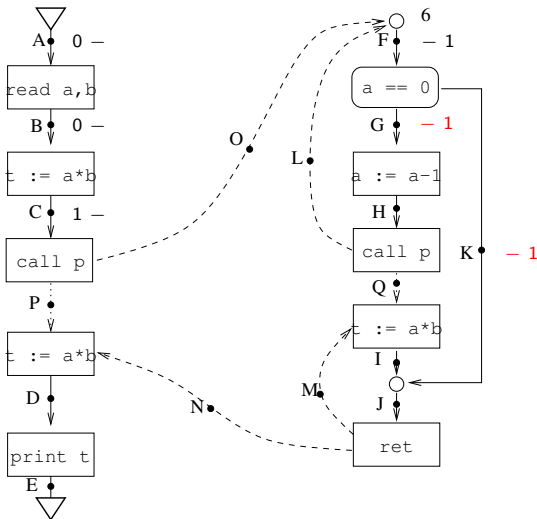
## Example: Computing $\phi$ 's iteratively: 3



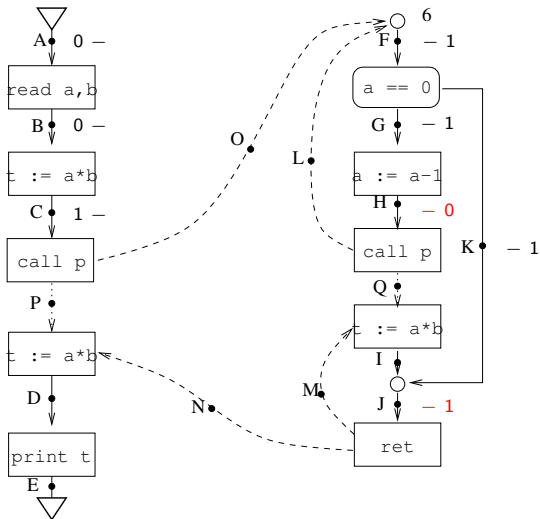
## Example: Computing $\phi$ 's iteratively: 4



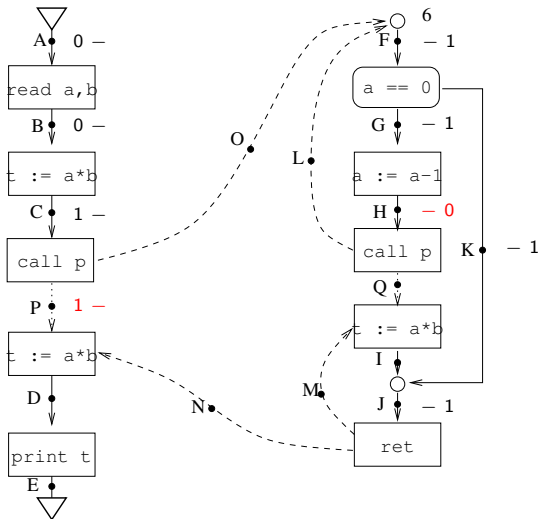
## Example: Computing $\phi$ 's iteratively: 5



# Example: Computing $\phi$ 's iteratively: 6

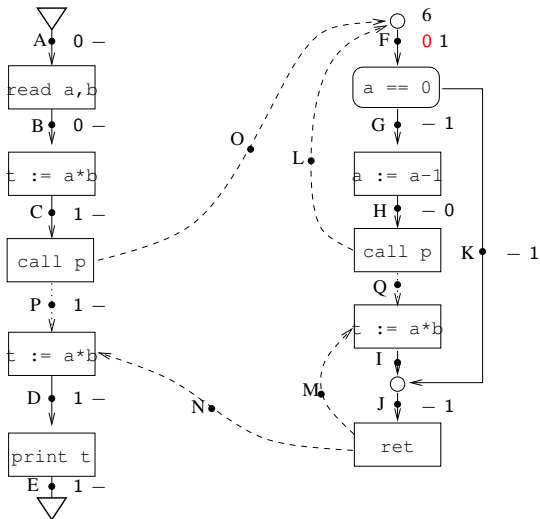


# Example: Computing $\phi$ 's iteratively: 7

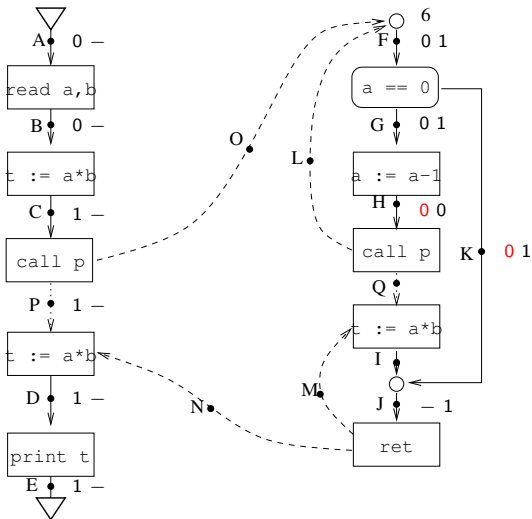




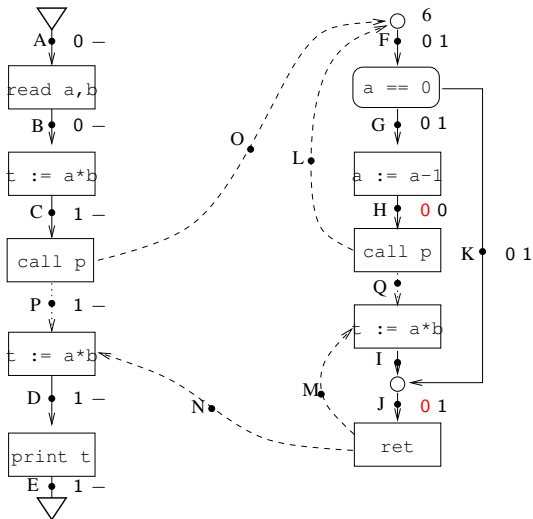
# Example: Computing $\phi$ 's iteratively: 8



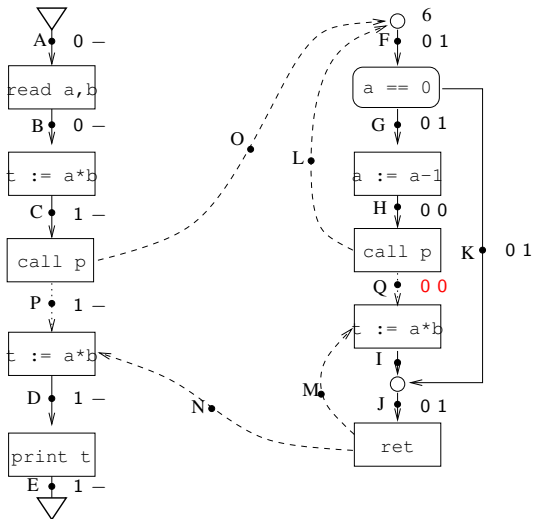
# Example: Computing $\phi$ 's iteratively: 9



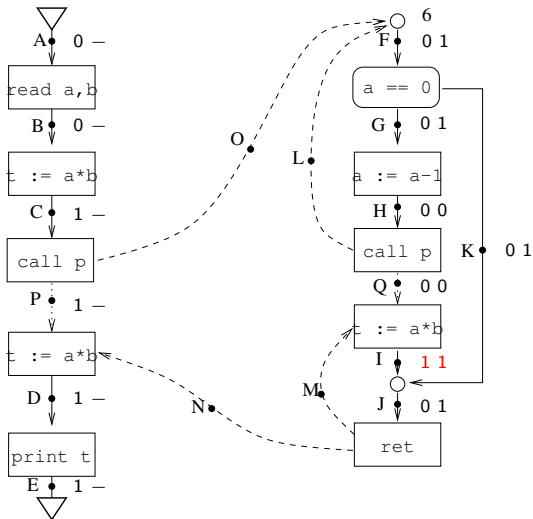
# Example: Computing $\phi$ 's iteratively: 10



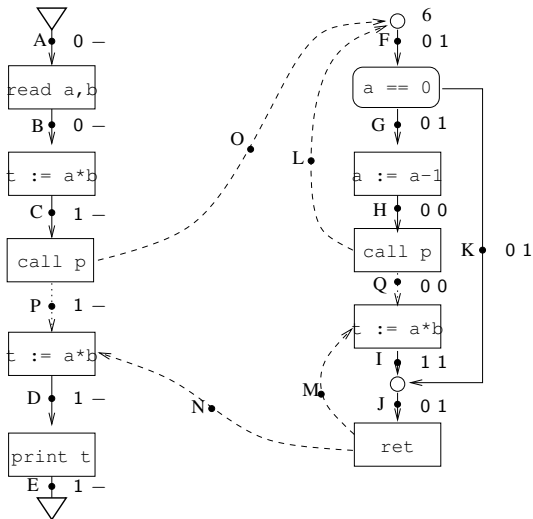
# Example: Computing $\phi$ 's iteratively: 11



# Example: Computing $\phi$ 's iteratively: 12

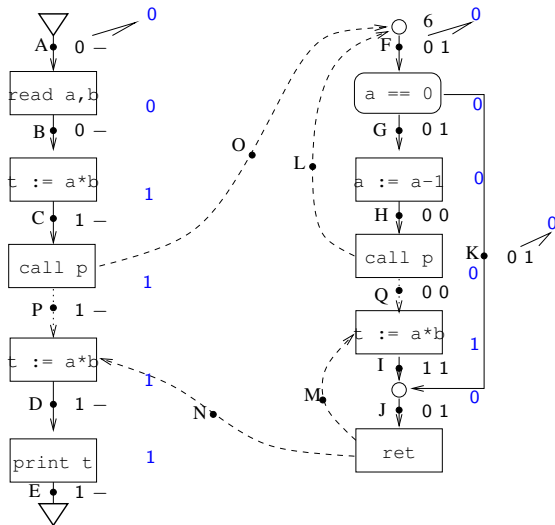


# Example: Computing $\phi$ 's iteratively: 13



## Example: Finally compute $x_N$ 's from $\phi$ values

At each point  $N$  take join of reachable  $\phi_{r_p, N}$  values.



## Correctness of iterative algo

- Iterative algo terminates provided underlying lattice is finite.
- It computes the  $y_{r_p, N}^*$ 's (where  $y_{r_p, N}^*$ 's are the least solution to Eq (1)) “partially”: If it maps  $d$  to  $d' \neq \perp$  then  $y_{r_p, N}^*(d) = d'$ .
- The JVP values it gives (say  $z_N$ 's) are such that

$$\text{JVP}_N \leq z_N \leq x_N^*$$

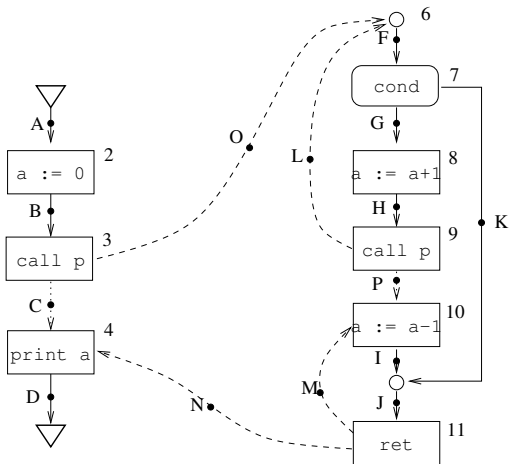
(where  $x_N^*$ 's are the solution to Eq (2')).

- If underlying transfer functions are distributive it computes  $\phi_{r_p, N}$ 's correctly (though partially), and the JVP values correctly.
- It thus computes an overapproximation of JVP for monotonic transfer functions, and exact JVP when transfer functions are distributive.



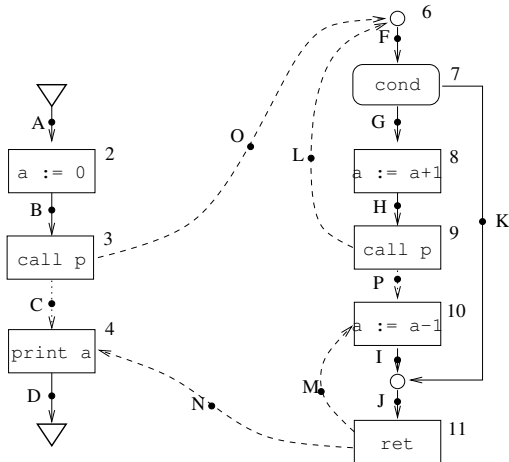
## Exercise 1: Iterative algo

Run the iterative algo to do constant propagation analysis for the program below with initial value  $\emptyset$ . Assume here that “cond” is the condition “ $a \leq 2$ ”.



## Exercise 2: Functional vs Iterative algo

Run the functional and iterative algos to do constant propagation analysis for the program below with initial value  $\emptyset$ :



## Comparing functional vs iterative approach

- Functional algo can terminate even when underlying lattice is infinite, provided we can represent and compose/join functions “symbolically”.
- Iterative is typically more efficient than functional since it only computes  $\phi_{r_p, N}$ 's for values **reachable** at start of procedure.