# Interprocedural analysis: Sharir-Pnueli's functional approach 

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## Outline

(1) Motivation
(2) Functional Approach
(3) Example
(4) Exercise 1
(5) Iterative Approach

## Example program with procedure calls

- We want join over all "valid" paths at each progam point.
- Simply taking "JOP" on extended CFG would lose precision.
- Can we compute "JVP" (Join over Valid Paths) values instead?
- JOP
- JVP (interprocedurally valid)



## Example program: Available expressions analysis



Lattice for Av-Exp analysis.

- Is a*b available at program point $N$ ?



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- Is a*b available at program point $N$ ?
- No if we consider all paths.



## Example program: Available expressions analysis



Lattice for Av-Exp analysis.

- Is a*b available at program point $N$ ?
- No if we consider all paths.
- Yes if we consider interprocedurally valid paths only.



## Interprocedurally valid and complete paths: $I V P_{0}(M, N)$

- Convention: $r_{p}$ and $e_{p}$ are respectively the root and return nodes of procedure $p$. Root of the main procedure is $r_{1}$.
- A path $\rho$ is interprocedurally valid and complete if the sequence of call nodes and return notes form a balanced parenthesis string.
- A path in $\operatorname{IVP_{0}}\left(r_{1}, D\right)$ for example program:

- C ("call $\left.p^{\prime \prime}\right) \cdot \mathrm{O} \cdot \mathrm{H}($ "call $p$ " $) \cdot \mathrm{L} \cdot \mathrm{J}$ J ("ret") $\cdot \mathrm{M} \cdot \mathrm{J}$ J ("ret") $\cdot \mathrm{N} \cdot \mathrm{D}$.
- Note that "call p" must be matched by "ret ${ }_{p}$."


## Interprocedurally valid paths: $\operatorname{IV}(M, N)$

- A path $\rho$ is interprocedurally valid if it is a prefix of a valid and complete path.
- A path in $\operatorname{IVP}\left(r_{1}, I\right)$ for example program:



## Defining JVP

For a given program $P$ and analysis $\left((D, \leq), f_{M N}, d_{0}\right)$, the join over all interprocedurally valid paths (JVP) at point $N$ is defined to be:

$$
\bigsqcup_{\rho \in \operatorname{VP}\left(r_{1}, N\right)} f_{\rho}\left(d_{0}\right) .
$$

## Equation solving: Problems with naive approach

- In non-procedural case, we setup equations to capture JOP assuming distributivity. Least solution to these equations gave us exact/over-approx JOP depending on distributive/monotonic framework.
- Try to set up similar equations for $x_{N}$ (JVP at program point $N$ ).



## Instead try to capture join over

## paths first

- Set up equations to capture join over complete paths.
- Now set up equations to capture JVP using join over complete path values.


## Basic idea: Why join over complete paths help

An IVP path $\rho$ from $r_{1}$ to $N$ in procedure $p$ can be written as $\delta \cdot \eta$ where $\delta$ is in $\operatorname{IVP}\left(r_{1}, r_{p}\right)$, and $\eta$ is in $\operatorname{IVP}_{0}\left(r_{p}, N\right)$.


Consider point where procedure $p$ was last entered.

## Valid and complete paths from $r_{p}$ to $N$

For a proecedure $p$ and node $N$ in $p$, define:

$$
\phi_{r_{p}, N}: D \rightarrow D
$$

given by

$$
\phi_{r_{p}, n}(d)=\bigsqcup_{\text {paths }} f_{\rho \in \operatorname{IVP}_{0}\left(r_{p}, N\right)}(d)
$$

$\phi_{r_{\rho}, N}$ is thus the join of all functions $f_{\rho}$ where $\rho$ is an interprocedurally valid and complete path from $r_{p}$ to $N$.

## Equations (1) to capture $\phi_{r_{p}, N}$



$$
\begin{aligned}
\psi_{r_{p}, r_{p}} & =i d_{D} \\
\psi_{r_{p}, N} & =f_{M N} \circ \psi_{r_{p}, M} \\
\psi_{r_{p}, N} & =\psi_{r_{q}, e_{q}} \circ \psi_{r_{p}, M} \\
\psi_{r_{p}, N} & =\psi_{r_{p}, L} \sqcup \psi_{r_{p}, M}
\end{aligned}
$$



## Example: Equations for $\phi$ 's

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\begin{aligned}
\psi_{A, A} & =i d \\
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\end{aligned}
$$



## Equations (2) to capture JVP

$$
\begin{array}{ll}
x_{1} \geq d_{0} \\
x_{r_{p}}=\bigsqcup_{\text {calls } c \text { to } p \text { in } q} \phi_{r_{q}, c}\left(x_{r_{q}}\right) & \\
x_{n}=\phi_{r_{p}, n}\left(x_{r_{p}}\right) & \text { for } n \in N_{p}-\left\{r_{p}\right\} .
\end{array}
$$

## Example: Equations for $x_{N}$ 's (JVP)

$$
\begin{aligned}
& x_{A} \geq 0 \\
& x_{B}=\mathbf{0}\left(x_{A}\right) \\
& x_{C}=\mathbf{1}\left(x_{A}\right) \\
& x_{P}=\mathbf{1}\left(x_{A}\right) \\
& x_{D}=\mathbf{1}\left(x_{A}\right) \\
& x_{E}=\mathbf{1}\left(x_{A}\right) \\
& x_{F}=\mathbf{1}\left(x_{A}\right) \sqcup \mathbf{0}\left(x_{F}\right) \\
& x_{F}=i d\left(x_{F}\right) \\
& x_{G}=i d\left(x_{F}\right) \\
& x_{K}=i=0 \\
& x_{H}=\mathbf{0}\left(x_{F}\right) \\
& x_{Q}=\mathbf{0}\left(x_{F}\right) \\
& x_{1}=\mathbf{1}\left(x_{F}\right) \\
& x_{J}=i d\left(x_{F}\right) .
\end{aligned}
$$



Fig. shows values of $\phi_{r_{p}, N}$ 's in bold.

## Correctness and algo

- Consider lattice ( $F, \leq$ ) of functions from $D$ to $D$, obtained by closing the transfer functions, identity, and $f_{\perp}: d \mapsto \perp$ (denoted $f_{\Omega}$ by Sharir-Pnueil) under composition and join.
- Ordering is $f \leq g$ iff $f(d) \leq g(d)$ for each $d \in D$.
- $(F, \leq)$ is also a complete lattice.
- $\bar{f}$ induced by Eq (1) is a monotone function on the complete lattice $(\bar{F}, \leq)$.
- LFP / least solution exists.


## Claim

$\phi_{r_{p}, N}$ 's are the least solution to Eq (1) when $f_{M N}$ 's are distributive. Otherwise $\phi_{r_{\rho}, N}$ 's are dominated by the least solution to Eq (1).

Kleene/Kildall's algo will compute LFP (assuming $D$ finite).

## Correctness and algo - II

- $\bar{f}$ induced by Eq (2) is a monotone function on the complete lattice $(\bar{D}, \overline{\leq})$.
- LFP / least solution exists.


## Claim

$\mathrm{JVP}_{N}$ 's are the least solution to Eq (2) when $f_{M N}$ 's are distributive. Otherwise $\mathrm{JVP}_{N}$ 's are dominated by the least solution to Eq (2).

Kleene/Kildall's algo will compute LFP (assuming $D$ finite).

## Example: Equations for $\phi$ 's

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& x_{F}=\mathbf{1}\left(x_{A}\right) \sqcup \mathbf{0}\left(x_{F}\right) \\
& x_{F}=i d\left(x_{F}\right) \\
& \left.x_{G}=i d x_{F}\right) \\
& x_{K}=i=0\left(x_{F}\right) \\
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& x_{Q}=\mathbf{1}\left(x_{F}\right) \\
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\begin{aligned}
& x_{A} \geq 0 \\
& x_{B}=\mathbf{0}\left(x_{A}\right) \\
& x_{C}=\mathbf{1}\left(x_{A}\right) \\
& x_{P}=\mathbf{1}\left(x_{A}\right) \\
& x_{D}=\mathbf{1}\left(x_{A}\right) \\
& x_{E}=\mathbf{1}\left(x_{A}\right) \\
& x_{F}=\mathbf{1}\left(x_{A}\right) \sqcup \mathbf{0}\left(x_{F}\right) \\
& x_{F}=i d\left(x_{F}\right) \\
& x_{G}=i
\end{aligned} x_{K}=i d\left(x_{F}\right) .
$$



Fig shows initial (red) and final (blue) values.

## Example: Equations for $x_{N}$ 's (JVP)

$$
\begin{aligned}
& x_{A}=0 \\
& x_{B}=\mathbf{0}\left(x_{A}\right) \\
& x_{C}=\mathbf{1}\left(x_{A}\right) \\
& x_{P}=\mathbf{1}\left(x_{A}\right) \\
& x_{D}=\mathbf{1}\left(x_{A}\right) \\
& x_{E}=\mathbf{1}\left(x_{A}\right) \\
& x_{F}=\mathbf{1}\left(x_{A}\right) \sqcup \mathbf{0}\left(x_{F}\right) \\
& x_{G}=i d\left(x_{F}\right) \\
& \left.x_{G}=i d x_{F}\right) \\
& x_{K}=i=\mathbf{0}\left(x_{F}\right) \\
& x_{H}=\mathbf{0}\left(x_{F}\right) \\
& x_{Q}= \\
& x_{1}=\mathbf{1}\left(x_{F}\right) \\
& x_{J}=i d\left(x_{F}\right) .
\end{aligned}
$$



Fig shows initial (red) and final (blue) values.

## Exercise

Exercise: Use the functional method to do interprocedural constant propagation analysis for the program below, with initial value $\emptyset$.


## Summary of functional approach

- Uses a two step approach
(1) Compute $\phi_{r_{p}, N}$ 's.
(2) Compute $x_{n}$ 's (JVP's) at each point.

Summary of conditions: For each property (column heading), the conjunction of the ticked conditions (row headings) are sufficient to ensure the property.

|  | Termination | Least Sol of Eq(2) $\geq \mathrm{JVP}$ | Least Sol of Eq(2)= JVP |
| :--- | :--- | :--- | :--- |
| $f_{M N \prime}$ 's monotonic |  |  |  |
| Finite underlying lattice | $\sqrt{ }$ | $\sqrt{ }$ |  |
| Distributive |  |  | $\sqrt{ }$ |

## Iterative/Tabulation Approach

- Maintain a table of values representing the current value of $\phi_{r_{p}, N}$ for each program point $N$ in procedure $p$.
- Informally, at $N$ in procedure $p$, the table has an entry $d \mapsto d^{\prime}$ if we have seen valid paths $\rho$ from $r_{1}$ to $r_{p}$ with $\bigsqcup_{\rho} f_{\rho}\left(d_{0}\right)=d$, and valid and complete paths $\delta$ from $r_{p}$ to $N$ with $\bigsqcup_{\delta} f_{\delta}(d)=d^{\prime}$.
- Apply Kildall's algo with initial value of $d_{0} \mapsto d_{0}$ at $r_{1}$.


## Propogation rules

- If $d \mapsto d^{\prime}$ at point $M$, and statement corresponding to $M N$ is not a call or ret, then propogate $d \mapsto f_{M N}\left(d^{\prime}\right)$ to point $N$.
- If $d \mapsto d^{\prime}$ at point $M$, and statement after $M$ is call q, then
- propogate $d \mapsto d^{\prime}$ to point $r_{q}$,
- propogate $d \mapsto d^{\prime \prime}$ to return site of $N$ of $M$, provided we have $d^{\prime} \mapsto d^{\prime \prime}$ at point $e_{q}$.
- If $d \mapsto d^{\prime}$ at point $e_{q}$ (i.e before ret in procedure q), then
- If $L N$ corresponds to a call q and $\left(d^{\prime \prime} \mapsto d\right)$ at $L$, then propogate $d^{\prime \prime} \mapsto d^{\prime}$ to point $N$. (Do this for all such $N$ ).


## Example: Computing $\phi$ 's iteratively: 1



## Example: Computing $\phi$ 's iteratively: 2



## Example: Computing $\phi$ 's iteratively: 3



## Example: Computing $\phi$ 's iteratively: 4



## Example: Computing $\phi$ 's iteratively: 5



## Example: Computing $\phi$ 's iteratively: 6



## Example: Computing $\phi$ 's iteratively: 7



## Example: Computing $\phi$ 's iteratively: 8



## Example: Computing $\phi$ 's iteratively: 9



## Example: Computing $\phi$ 's iteratively: 10



## Example: Computing $\phi$ 's iteratively: 11



## Example: Computing $\phi$ 's iteratively: 12



## Example: Computing $\phi$ 's iteratively: 13



## Example: Finally compute $x_{N}$ 's from $\phi$ values

At each point $N$ take join of reachable $\phi_{r_{p}, N}$ values.


## Correctness of iterative algo

$$
\begin{array}{ll}
x_{1} \geq d_{0} \\
x_{r_{p}}=\bigsqcup_{\text {calls } c \text { to } p \text { in } q} \psi_{r_{q}, c}^{*}\left(x_{r_{q}}\right) & \\
x_{n}=\psi_{r_{p}, n}^{*}\left(x_{r_{p}}\right) & \text { for } n \in N_{p}-\left\{r_{p}\right\} .
\end{array}
$$

- Iterative algo terminates provided underlying lattice is finite.
- It computes the least solution to the equations above, where $\psi^{*}\left(r p_{N}\right)$ 's are the least solution to Eq (1).
- It thus computes an overapproximation of JVP for monotonic transfer functions, and exact JVP when transfer functions are distributive.


## Exercise 2: Iterative algo

Exercise: Use the iterative algo to do constant propagation analysis for the program below with initial value $\emptyset$ :


## Comparing functional vs iterative approach

- Functional algo can terminate even when underlying lattice is infinite, provided we can represent and compose/join functions "symbolically".
- Iterative is typically more efficient than functional since it only computes $\phi_{r_{p}, N}$ 's for values reachable at start of procedure.

