

ON DEGENERATIONS OF MODULI OF HITCHIN PAIRS

V. BALAJI, P. BARIK, AND D.S. NAGARAJ

(Communicated by Igor Dolgachev)

ABSTRACT. The purpose of this note is to announce certain basic results on the construction of a degeneration of $\mathcal{M}_{X_k}^H(n, d)$ as the smooth curve X_k degenerates to an irreducible nodal curve with a single node.

Let X_k be a smooth projective curve of genus $g \geq 2$ over an algebraically closed field k of characteristic zero and let \mathcal{L} be a line bundle on X_k . A Hitchin pair (E, θ) is comprised of a torsion-free \mathcal{O}_{X_k} -module E together with a \mathcal{O}_{X_k} -morphism $\theta : E \rightarrow E \otimes \mathcal{L}$ called the Higgs structure. Let $\mathcal{M}_{X_k}^H(n, d)$ denote the moduli space of semistable Hitchin pairs on X_k with Higgs structure given by the line bundle \mathcal{L} . The geometry of Hitchin pairs or Higgs bundles has been extensively studied for over twenty-five years beginning with Hitchin ([4], [5]), Nitsure ([8]), and Simpson ([11], [12], [13]).

More precisely, let R be a discrete valuation ring with quotient field K and residue field an algebraically closed field k , for instance $R = k[[t]]$. Let $S = \text{Spec } R$, and $\text{Spec } K$ the generic point and let s be the closed point of S . Let $X \rightarrow S$ be a proper, flat family with generic fibre X_K a smooth projective curve of genus $g \geq 2$ and with closed fibre X_s a irreducible nodal curve C with a single node $p \in C$. Assume that X is regular as a scheme over k . Let \mathcal{L} be a relative line bundle on X and assume that $\deg(\mathcal{L}|_C) > \deg(\omega_C)$, where ω_C is the dualizing sheaf on C . Let (n, d) be a pair of integers such that $\gcd(n, d) = 1$.

Received by the editors May 4, 2013 and, in revised form, September 20, 2013.

Key words and phrases. Hitchin pairs, Hitchin triples, nodal curves, Picard varieties.

The research of the first author was partially supported by the J.C. Bose Fellowship.

We thank C.S. Seshadri for his interest in this work and the numerous comments and suggestions which have been invaluable.

We now make the key definitions (motivated by the constructions in Gieseker [3] and Nagaraj-Seshadri [7]) before we state our principal results. Let \tilde{C} be its normalization and let $\nu : \tilde{C} \rightarrow C$ be the normalization map and let $\nu^{-1}(p) = \{p_1, p_2\}$.

DEFINITION 1. A scheme $R^{(m)}$ is called a chain of projective lines if $R^{(m)} = \bigcup_{i=1}^m R_i$, with $R_i \simeq \mathbb{P}^1$, and if $i \neq j$,

$$R_i \cap R_j = \begin{cases} \text{singleton} & \text{if } |i - j| = 1 \\ \emptyset & \text{otherwise} \end{cases} \quad (1)$$

DEFINITION 2. Let E be a vector bundle of rank n on a chain $R^{(m)}$. Let $E|_{R_i} = \bigoplus_{j=1}^n \mathcal{O}(a_{ij})$. Say that E is standard if $0 \leq a_{ij} \leq 1, \forall i, j$. Say that E is strictly standard if moreover, for every i there is an index j such that $a_{ij} = 1$.

DEFINITION 3. Let $C^{(m)}$ denote the semi-stable curve which is semistably equivalent to C , which is obtained as follows: the normalization \tilde{C} is a component of $C^{(m)}$ and further, if $\nu : C^{(m)} \rightarrow C$ is the canonical morphism, the fibre $\nu^{-1}(p)$ is a chain $R^{(m)}$ of projective lines of length m cutting \tilde{C} in p_1 and p_2 .

Let $p : X \rightarrow S$ be as before a family of smooth curves degenerating to the singular curve C . For an S -scheme T , let $X_T := X \times_S T$.

DEFINITION 4. (cf. [6, Definition 3.8]) For every S -scheme T , a modification is a diagram:

$$\begin{array}{ccc} X_T^{(mod)} & \xrightarrow{\nu} & X_T \\ & \searrow p_T & \swarrow p \\ & & T \end{array} \quad (2)$$

- (1) $p_T : X_T^{(mod)} \rightarrow T$ is flat,
- (2) the T -morphism ν is finitely presented which is an isomorphism when $(X_T)_t$ is smooth,
- (3) over each closed point $t \in T$ over $s \in S$, we have $(X_T^{(mod)})_t = C^{(m)}$ for some m and ν restricts to the morphism which contracts the \mathbb{P}^1 's on $C^{(m)}$.

DEFINITION 5. (see [7] and [10]) A vector bundle V on $C^{(m)}$ of rank n is called a Gieseker vector bundle if it satisfies the following conditions:

- (1) for $m \geq 1$, the restriction $V|_{R^{(m)}}$ is strictly standard,
- (2) the direct image $\nu_*(V)$ to be a torsion-free \mathcal{O}_C -module.

A Gieseker vector bundle on a modification $X_T^{(mod)}$ is a vector bundle such that its restriction to each $C^{(m)}$ in it is a Gieseker vector bundle.

Let \mathcal{L}_{mod} be the line bundle on $X_T^{(mod)}$ defined by $\mathcal{L}_{mod} := \nu^*(\mathcal{L})$. In particular, $\mathcal{L}_{mod}|_{R^{(m)}} = \mathcal{O}_{R^{(m)}}$ on the chain $R^{(m)}$ in $C^{(m)}$.

DEFINITION 6. A Gieseker-Hitchin pair on $X_T^{(mod)}$ is a locally free Hitchin pair (V_T, ϕ_T) , with an element

$$\phi_T \in H^0(T, (p_T)_*(\mathcal{L}_{mod} \otimes \mathcal{E}nd(V_T))),$$

i.e., a morphism $\phi_T : V_T \rightarrow V_T \otimes \mathcal{L}_{mod}$ satisfying the following:

- (1) V_T is a Gieseker vector bundle on $X_T^{(mod)}$ (Definition 5).
- (2) For each closed point $t \in T$ over $s \in S$, the direct image $\nu_*(V_t, \phi_t)$ is a torsion-free Hitchin pair on $X_t = C$.

A Gieseker-Hitchin pair (V_T, ϕ_T) is called stable if the direct image $(\nu)_*(V_T, \phi_T)$ is a family of stable Hitchin pairs on X_T over T (for the notion of (semi)stability of torsion-free Hitchin pairs, see [12], [13] and [1]).

DEFINITION 7. Two families (V_T, ϕ_T) and (V'_T, ϕ'_T) parametrized by T are called equivalent if there exists a X_T -automorphism σ , i.e.,

$$\begin{array}{ccc} X_T^{(mod)} & \xrightarrow{\sigma} & X_T^{(mod)} \\ & \searrow \nu & \swarrow \nu \\ & X_T & \end{array} \quad (3)$$

and a line bundle \mathcal{D}_T on the parameter space T such that

$$\sigma^*((V_T, \phi_T) \otimes \mathcal{D}_T) \simeq (V'_T, \phi'_T). \quad (4)$$

Equivalently, for each closed point $t \in T$ over $s \in S$, there exists an automorphism g of $C^{(m)}$ which is the identity automorphism on the normalization \tilde{C} , with the property that $g^*(V_t, \phi_t) \simeq (V'_t, \phi'_t)$.

Let $\mathcal{M}_S^H(n, d)$ be the functor which associates to every S -scheme T , the set $\mathcal{M}_S^H(n, d)(T)$ of the equivalence classes of families of \mathfrak{p} -semistable torsion-free Hitchin pairs (E, θ) on $X_T := X \times_S T$ with Hilbert polynomial P given by n and d , where $(E_T, \theta_T) \sim (E'_T, \theta'_T)$ if there exists a line bundle L_T on T such that $E_T \simeq E'_T \otimes p_T^*(L_T)$ which sends θ_T to $\theta'_T \otimes id$.

DEFINITION 8. The Gieseker-Hitchin functor $\underline{\mathcal{G}}_S^H(n, d)(T)$ is defined as follows: for every S -scheme T ,

$$\underline{\mathcal{G}}_S^H(n, d)(T) := [X_T^{(mod)}, (V_T, \phi_T)], \quad (5)$$

i.e., equivalence classes such that (V_T, ϕ_T) is a stable Gieseker-Hitchin pair on $X_T^{(\text{mod})}$ and $\nu_*(V_T, \phi_T) \in \mathcal{M}_S^H(n, d)(T)$.

Our principal results are the following:

THEOREM 1.

- (1) *There is a quasi-projective S -scheme $\mathcal{G}_S^H(n, d)$ of Gieseker-Hitchin pairs which coarsely represents the functor $\underline{\mathcal{G}}_S^H(n, d)$; the S -scheme $\mathcal{G}_S^H(n, d)$ is flat over S and regular over k , with the closed fibre a divisor with (analytic) normal crossing singularities.*
- (2) *The generic fibre is isomorphic to the classical Hitchin space $\mathcal{M}_{X_K}^H(n, d)$.*

THEOREM 2. *We have a Hitchin morphism of S -schemes*

$$\mathbf{g}_S : \mathcal{G}_S^H(n, d) \rightarrow \mathcal{A}_S \quad (6)$$

to an affine space \mathcal{A}_S over S which extends the classical Hitchin map on $\mathcal{M}_{X_K}^H(n, d)$. Furthermore, \mathbf{g}_S is proper and has the following properties:

- (1) *To a general section $\xi : S \rightarrow \mathcal{A}_S$ we can associate a spectral fibered surface Y_ξ over S with smooth projective generic fibre $Y_{\xi, K}$ and whose closed fibre $Y_{\xi, s}$ is an irreducible vine curve with n -nodes (cf. [2]).*
- (2) *Let $\delta = d + \deg(\mathcal{L}) \frac{n(n-1)}{2}$ and let P_{δ, Y_ξ} denote the compactified relative Picard S -scheme of the spectral fibered surface Y_ξ over S (see [2]). Then we have a proper birational morphism*

$$\nu_* : \mathbf{g}_S^{-1}(\xi) \rightarrow P_{\delta, Y_\xi} \quad (7)$$

which is an isomorphism over the generic fibre and this map coincides with the classical Hitchin isomorphism of the Hitchin fibre with the Jacobian of $Y_{\xi, K}$.

- (3) *The S -scheme $\mathbf{g}_S^{-1}(\xi)$ gives a new compactification of the Picard variety, whose fibre over s is a divisor with analytic normal crossing singularities.*

The compactified Picard variety $P_{\delta, Y_{\xi, s}}$ of the irreducible vine curve $Y_{\xi, s}$ with n -nodes, has a stratification in terms of the complexity of the torsion-freeness of the sheaves. This can be given as follows:

$$P_{\delta, Y_{\xi, s}} = \bigsqcup P_{\delta, Y_{\xi, s}}(j), \quad (8)$$

where

$$P_{\delta, Y_{\xi, s}}(j) := \{\eta \mid \eta \text{ is non-free at exactly } j \text{ nodes}\}. \quad (9)$$

In this description the stratum $P_{\delta, Y_{\xi, s}}(0)$ corresponds to the open subset of line bundles on $Y_{\xi, s}$ of degree δ . The fibres of the morphism ν_* to the compactified Picard variety of the *vine curve* $Y_{\xi, s}$ gets the following description:

THEOREM 3. *The morphism ν_* is an isomorphism over the subscheme of locally free sheaves of rank 1 and for each j , over the stratum $P_{\delta, Y_{\xi, s}}(j)$ the fibres are canonical toric subvarieties of the wonderful compactification $\overline{PGL}(j)$ obtained from the closures of the maximal tori of $PGL(j)$. These are toric varieties associated to the Weyl chamber of $PGL(j)$ (see [9]).*

For the details of this announcement see [1].

REFERENCES

- [1] V. Balaji, P. Barik, D.S. Nagaraj, A degeneration of the moduli of Hitchin pairs, (math arXiv:1308.4490).
- [2] L. Caporaso, A compactification of the universal Picard variety over the moduli space of stable curves, *Journal of the American Society*, **7**, Number 3 (1994).
- [3] D. Gieseker, A Degeneration of the Moduli Space of Stable Bundles, *J. Differential Geometry*, **19** (1984), 173-206.
- [4] N.J. Hitchin, The self-duality equations on a Riemann surface, *Proc. London Math. Soc.*, **55** (1987), 59-126.
- [5] N.J. Hitchin, Stable bundles and integrable systems, *Duke Math. Journal*, **54** (1987), 91-114.
- [6] I. Kausz, A Gieseker type degeneration of moduli stacks of vector bundles on curves, *Transactions of the American Mathematical Society*, **357**, Number 12 (2004), 4897-4955.
- [7] D.S. Nagaraj and C.S. Seshadri, Degenerations of the moduli spaces of vector bundles on curves. II (Generalized Gieseker moduli spaces). *Proc. Indian Acad. Sci. Math. Sci.* **109** no. 2 (1999), 165-201.
- [8] N. Nitsure, Moduli space of semistable pairs on a curve, *Proc. London Math. Soc.* (3) **62** (1991), 275-300.
- [9] Claudio Procesi, The toric variety associated to Weyl chambers, *Mots*, 153-161, Lang. Raison. Calc., Herms, Paris, 1990.
- [10] A. Schmitt, The Hilbert compactification of the universal moduli space of semistable vector bundles over smooth curves, *J. Differential Geometry*, **66** (2004), 169-209.
- [11] C. Simpson, Higgs bundles and local systems, *Publ. Math. I.H.E.S.* **75** (1992), 5-95.
- [12] C. Simpson, Moduli of representations of the fundamental group of a smooth projective variety-I, *Pub. Math. I.H.E.S.* **79** (1994) 47-129.
- [13] C. Simpson, Moduli of representations of the fundamental group of a smooth projective variety-II, *Pub. Math. I.H.E.S.* **80** (1994), 5-79.

CHENNAI MATHEMATICAL INSTITUTE SIPCOT IT PARK, SIRUSERI-603103,
INDIA, BALAJI@CMI.AC.IN

CHENNAI MATHEMATICAL INSTITUTE SIPCOT IT PARK, SIRUSERI-603103,
INDIA, PABITRA@CMI.AC.IN

INSTITUTE OF MATHEMATICAL SCIENCES, TARAMANI, CHENNAI-600115, IN-
DIA, DSN@IMSC.RES.IN