# RESOLUTION OF SINGULARITIES IN ARBITRARY CHARACTERISTIC 

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Abstract. The primary aim of this report is to provide an account of de Jong's theorem on alterations [dJ], focusing on its principal arguments. Additionally, we also discuss the resolution of singularities of curves that are embedded in varieties, with no assumptions on characteristic. Preliminary facts on blow-ups and a few examples are presented in an appendix.

## 1 Alterations

Most of the material in this section is taken from [dJ], [AbOo], and [AltNotes].
1.1. Definitions. A variety over $k$ is an integral separated $k$-scheme of finite type. A modification is a proper birational morphism. An alteration of integral schemes is a dominant, proper, and generically finite morphism. In particular, a modification is a birational alteration.

We recall the celebrated theorem of Hironaka-
1.2. Hironaka's Theorem. - Let $k$ be a field of characteristic $0, X$ a geometrically integral $k$-variety, and $Z$ a closed subvariety of $X$. Then there exists a finite sequence of blow-up at nonsingular closed subvarieties

$$
X_{n} \rightarrow X_{n-1} \rightarrow \cdots \rightarrow X_{1} \rightarrow X_{0}=X,
$$

such that $X_{n}$ is nonsingular and the strict transform of $Z$ is a normal crossings divisor.

The resolution obtained in Hironaka's theorem is birational, i.e., $X_{n}$ and $X$ are generically same. Naturally one hopes if such a result is true in positive characteristic. This question is still open as of now. However, if one allows nontrivial function field extensions, then we have the following recent theorem by de Jong-
1.3. de Jong's Theorem. - Let $X$ be a $k$-variety. Then there exists an alteration $\varphi: X^{\prime} \rightarrow X$ such that $X^{\prime}$ is a regular quasi-projective variety. Additionally, if $k$ is perfect then $\varphi$ can be arranged to be generically étale ${ }^{1}$.

In order for the induction in the proof to work, de Jong's theorem asserts something more-
1.4. de Jong's Theorem*. - Let $X$ be a $k$-variety and $Z \subseteq X$ a proper closed subset. There exists an alteration $\varphi: X_{1} \rightarrow X$ along with an open embedding $j: X_{1} \rightarrow \overline{X_{1}}$ such that

- $\overline{X_{1}}$ is a regular projective variety,
- the closed subset $j\left(\varphi^{-1} Z\right) \cup \overline{X_{1}} \backslash j\left(X_{1}\right)$ is the support of a strict normal crossings divisor in $\overline{X_{1}}$.

If $k$ is perfect then the alteration $\varphi$ may be chosen to be generically étale.
de Jong's approach involves constructing a "good" fibration of $X$ consisting of nodal curves. This requires the use of alteration. Once the variety is in the desired form, it is possible to use induction on the dimension of the fibration's base space. This leads to a scenario where the singularities on the variety are quite mild and the desingularization can be carried out by hand.

For simplicity of the exposition, we will assume that $k$ is algebraically closed throughout. Further, all alterations considered are generically étale.

### 1.5. Preliminary reductions and observations.

- Replacing $X$ by an alteration. If $\varphi: X^{\prime} \rightarrow X$ is an alteration, then the theorem follows for ( $X, Z$ ) if it holds for ( $X^{\prime}, \varphi^{-1}(Z)$ ).
(P2) $X$ is quasi-projective. Chow's lemma gives a modification $X^{\prime} \rightarrow X$ such that $X^{\prime}$ is quasi-projective over $k$. Hence, we may assume $X$ is quasi-projective.
(P3) $X$ is projective. Suppose $j: X \hookrightarrow \bar{X}$ be an open embedding of $X$ into a projective variety $\bar{X}$. Put $\bar{Z}=j(Z) \cup \bar{X} \backslash X$. It is clear that if $(\bar{X}, \bar{Z})$ satisfies the theorem then $(X, Z)$ satisfies it as well.
(P4) $Z$ is the support of an effective Cartier divisor. Replace $(X, Z)$ by $\left(\mathrm{Bl}_{Z} X, \mathrm{E}_{Z} X\right)$.
- Enlarging $Z$. If $Z^{\prime} \subseteq X$ is a closed subset containing $Z$ and we can solve the problem for ( $X, Z^{\prime}$ ) then we can also solve it for $(X, Z)$.
(P5) $X$ is normal. We may replace $X$ by its normalization.
1.6. Constructing a good fibration. Denote $d=\operatorname{dim} X$. The goal is to prove the following
1.7. Lemma. - Suppose the pair $(X, Z)$ satisfies properties P2-P4. There exist a modification $\varphi: X^{\prime} \rightarrow X$ and a morphism $f: X^{\prime} \rightarrow \mathbb{P}^{d-1}$ such that
(i) There exists a finite subset $S \subset X \backslash Z$ of regular closed points such that $\varphi: X^{\prime} \rightarrow X$ is the blow-up $\mathrm{Bl}_{S} X \rightarrow X$.
(ii) (a) All fibers of $f$ are nonempty and of pure-dimension 1.
(b) The smooth locus of $f$ is dense in all fibers of $f$.
(c) Let $Z^{\prime}=\varphi^{-1}(Z)$, endowed with the induced reduced closed subscheme structure. The morphism $\left.f\right|_{Z^{\prime}}$ is finite and generically étale.
(d) If $X$ is normal, i.e., if $(X, Z)$ satisfies P5, then we may arrange for at least one closed fiber of $f$ to be smooth. In particular, this implies that $f$ is generically smooth by generic flatness.

[^0]We begin with a few basic lemmas. Fix a projective variety $Y \subseteq \mathbb{P}^{N}$ over an algebraically closed field.
1.8. Lemma. - Suppose $\operatorname{dim} Y<N-1$. Then there is a nonempty open subset $U \subseteq \mathbb{P}^{N}$ such that if $p \in U$ then the projection $\mathrm{pr}_{p}: Y \rightarrow \mathbb{P}^{N-1}$ is finite birational onto its image.

Proof. Standard. Use generic smoothness and see [Har77, Proposition IV.3.5] for the general idea.
1.9. Lemma. - Suppose $\operatorname{dim} Y=N-1$. Then there is a nonempty open subset $U \subset \mathbb{P}^{N}$ such that if $p \in U$ then the projection $\mathrm{pr}_{p}: Y \rightarrow \mathbb{P}^{N-1}$ is finite generically étale.

Proof. We have plenty of regular points by generic smoothness. Imitating the proof of Lemma 1.8, except that we consider tangent varieties instead of secant varieties, we obtain that $\mathrm{pr}_{p}$ is unramified for a general point $p$. In this setting, unramified implies generically étale due to generic flatness.
1.10. Proof of Lemma 1.7. Consider $X$ as a projective variety in $\mathbb{P}^{N}$. By using Lemmas 1.8 and 1.9 , we have a finite generically étale morphsim $\pi: X \rightarrow \mathbb{P}^{d}$. By Lemma 1.8 we can also ensure that $\left.\pi\right|_{Z}$ is birational onto its image. Let $B \subseteq \mathbb{P}^{d}$ be the branch locus of $\pi$. Then $\mathrm{pr}_{p}: \pi(Z) \rightarrow \mathbb{P}^{d-1}$ is generically étale for a general point $p \notin B \cup \pi(Z)$. Indeed, we may apply Lemma 1.9 to each irreducible component of $\pi(Z)$. We view this $\mathbb{P}^{d-1}$ as a linear subspace of $\mathbb{P}^{d}$ not containing $p$. To distinguish this from the usual projective space, we write $\mathbb{G}$ instead of $\mathbb{P}^{d-1}$. Note that $\mathbb{G}$ parametrizes all lines in $\mathbb{P}^{d}$ passing through $p$ so we may identify $\mathbb{G}$ with the space of all lines through $p$. Choose any $p \in \mathbb{P}^{d} \backslash(B \cup \pi(Z))$ and take $S=\pi^{-1}(p)$. By definition of branch locus, $S$ is contained in the regular locus of $X$, and also $S \cap Z=\varnothing$. Set

$$
X^{\prime}=\{(x, \ell) \in X \times \mathbb{G}: \pi(x) \in \ell\} .
$$

We claim that $X^{\prime} \cong \mathrm{Bl}_{S} X$. Indeed, we have the following fibered diagram-


Because $\pi$ is étale, in particular flat, over an open set containing $p$ and blow-ups commute with flat base change we get $X^{\prime} \cong \mathrm{Bl}_{S} X$. Consider $f=\mathrm{pr}_{2}: X^{\prime} \rightarrow \mathbb{G}=\mathbb{P}^{d-1}$. We claim that this is the required morphism. The fiber of $f$ over $\ell \in \mathbb{G}$ is $\pi^{-1}(\ell)$. As $\ell$ is a line and $\pi$ is finite, it follows that $\pi^{-1}(\ell)$ has dimension at most 1 . Furthermore, $\ell$ is given by $d-1$ equations locally, hence $\pi^{-1}(\ell)$ has pure dimension 1. Also, by our very construction, every irreducible component of $\pi^{-1}(\ell)$ contains at least one point of $\pi^{-1}(p)$ because $p \in \ell$ and $\pi^{-1}(\ell) \rightarrow \ell$ is finite, and in particular, has finite fibers. Further, the smooth locus $\left(X^{\prime} / \mathbb{P}^{d-1}\right)^{\mathrm{sm}}$ is open. This completes the proofs of (i), (ii) (a)-(b). Assertion (ii) (c) is clear as $\left.f\right|_{Z^{\prime}}: Z^{\prime} \cong Z \rightarrow \pi(Z) \rightarrow \mathbb{P}^{d-1}$ is generically étale (and finite) by construction.

The last assertion comes from iterated Bertini since a fiber of $f$ is obtained by intersecting a $N-d+1$ dimensional linear subspace $H \subseteq \mathbb{P}^{N}$ containing a (fixed) $N-d$ dimensional linear subspace $L \subseteq \mathbb{P}^{N}$. The exact details are nontrivial. See http://math.stanford.edu/~conrad/249BW17Page/handouts /genericity.pdf.
1.11. Lemma. - All fibers of $f$ are geometrically connected.

Proof. Put $S=\left(X^{\prime} / \mathbb{P}^{d}\right)^{\mathrm{sm}} \subset X^{\prime}$, the smooth locus of $f$. Let $S \xrightarrow{f^{\prime}} Z \xrightarrow{g} \mathbb{P}^{d}$ be the Stein factorisation of $S \rightarrow \mathbb{P}^{d}$. Since smooth morphisms are flat and $f_{*}^{\prime} \mathscr{O}_{S}=\mathscr{O}_{Z}$, it follows that $g$ is flat. I claim that $g$ is unramified. Indeed, if $p \in \mathbb{P}^{d}$ and the finite set $Z_{p}$ has points with multiplicity at least two, i.e., nonreduced points, then the fiber $S_{p}$ would be also nonreduced because some components will occur
with multiplicity at least 2 . But this is not possible because $S_{p}$ is $p$-smooth. We conclude that $g$ is étale and hence $g$ is a trivial cover due to simply-connectedness of $\mathbb{P}^{d}$. Thus, $S \rightarrow \mathbb{P}^{d}$ has geometrically connected fibers. As $S$ is fiberwise-dense in $X / \mathbb{P}^{d}$, this completes the proof.

We now replace ( $X, Z$ ) with ( $X^{\prime}, Z^{\prime}$ ) so that we may assume properties P2-P5 along with
(P6) There exists a morphism $f: X \rightarrow Y$ of projective varieties such that
(a) All fibers are nonempty, geometrically connected and of pure dimension 1.
(b) The smooth locus of $f$ is dense in all fibers.
(c) $f$ is generically smooth.
(d) $\left.f\right|_{Z}: Z \rightarrow Y$ is finite and generically étale.

In the sequel, we will relax the property "all fibers are geometrically connected" to "general fibers are geometrically connected".
1.12. Enlarging the divisor. Consider the following lemma
1.13. Lemma. - Let $f: X \rightarrow Y$ be as above, satisfying P6 (a) and (b). There exists an effective Cartier divisor $H \subset X$ such that
(1) $\left.f\right|_{H}: H \rightarrow Y$ is finite and generically étale,
(2) for any irreducible component $C$ of a geometric fiber of $f$, we have

$$
\#(X / Y)^{\mathrm{sm}} \cap C \cap H \geqslant 3,
$$

counted without multiplicities.
Proof. Fix a large natural number $n$ and a very ample line bundle $\mathscr{L}$ on $X$. Let $i: X \hookrightarrow \mathbb{P}$ be the closed embedding associated to $\mathscr{L}^{\otimes n}$. For any irreducible curve $C \subset X$, the image $i(C) \subset \mathbb{P}$ is not contained in any linear subspace of dimension $n-1$. This is because $i$ is the closed embedding given by $\mathscr{L}$ followed by an $n$-uple embedding.

Denote by $\mathbb{P}^{\vee}$ the dual projective space of all hyperplanes in $\mathbb{P}$. Define the incidence variety

$$
T:=\left\{(H, y) \in \mathbb{P}^{\vee} \times Y: \operatorname{dim} f^{-1}(y) \cap H=1\right\} \subset \mathbb{P}^{\vee} \times Y .
$$

This is clearly a closed set. Indeed, it is the locus where

$$
\left\{(H, x) \in \mathbb{P}^{\vee} \times X: x \in H\right\} \xrightarrow{i d_{p \vee} \times f} \mathbb{P}^{\vee} \times Y
$$

has fibers of dimension 1 ; so by upper-semicontinuity of fiber dimension and that fibers of $f$ have dimension at most 1, it follows. Let $\bar{y}$ be a geometric point of $Y$. Then the geometric fiber $\operatorname{pr}_{2}^{-1}(\bar{y})$ is

$$
\bigcup_{\substack{C \subset f^{-1}(\bar{y}) \\ \text { cible component }}}\left\{H \subset \mathbb{P}^{\vee} \times_{k} \kappa(\bar{y}): i(C) \subset H\right\} .
$$

As $i(C)$ is not contained in any linear subspace of dimension $n-1$, it follows that $\operatorname{codim}_{\mathbb{P}^{\vee} \times_{k \kappa(\bar{y})}} \operatorname{pr}_{2}^{-1}(\bar{y}) \geqslant n$. Therefore, $\operatorname{dim} T \leqslant \operatorname{dim} Y+\operatorname{dim} \mathbb{P}^{\vee}-n$. Choose $n$ so large that $\operatorname{pr}_{1}(T)$ has positive codimension in $\mathbb{P}^{\vee}$. Fix a closed point $y \in Y(k)$. We claim that the conditions
(i) $H \notin \operatorname{pr}_{1}(T)$,
(ii) $H \cap f^{-1}(y) \subset(X / Y)^{\mathrm{sm}}$,
(iii) $H$ intersects $f^{-1}(y)$ transversally.
are all generic. Indeed, (iii) is obviously generic (c.f. [Har77, Exercise IV.3.9]) and we have already chosen $n$ so that (i) is generic. Condition (ii) is generic because $H \cap f^{-1}(y) \subset(X / Y)^{\mathrm{sm}}$ is same as saying that $H$ avoids all the (finitely many) singular points of $f^{-1}(y)$. Take any $H^{\prime}$ satisfying the above conditions and put $H=X \cap H^{\prime}$. It's clear that $\left.f\right|_{H}: H \rightarrow Y$ is finite due to quasi-finiteness. Note that $\left.f\right|_{H}$ has a smooth fiber above $y$ because $H \cap f^{-1}(y) \subset(X / Y)^{\text {sm }}$ Hence $\left(\left.f\right|_{H}\right)_{y}$ is étale over $y=$ Spec $k$ because of dimension reasons. Since $k$ is algebraically closed, $\left(\left.f\right|_{H}\right)_{y}$ must therefore be a finite disjoint union of
copies of Spec $k$. Fix any closed point $x \in H \cap f^{-1}(y)$. By smoothness, $\widehat{\mathscr{O}_{X, x}} \cong \widehat{\mathscr{O}_{Y, y}}[[t]]$. The local equation $h$ of $H$ in $\widehat{\mathscr{O}_{X, x}}$ must therefore satisfy $h \in k^{\times} \cdot t+\mathfrak{m}_{y} \widehat{\mathscr{O}_{X, x}}$ because $\widehat{\mathscr{O}_{f^{-1}(y), x}} \cong \widehat{\mathscr{O}_{X, x}} / \mathfrak{m}_{y} \widehat{\mathscr{O}_{X, x}} \cong k[[t]]$ and $\mathscr{O}_{H \cap f^{-1}(y), x} \cong k$. Thus, $\widehat{\mathscr{O}_{X \cap H, x}} \cong \widehat{\mathscr{O}_{X, x}} /(h) \cong \widehat{\mathscr{O}_{Y, y}}[t t] /(h) \cong \widehat{\mathscr{O}_{Y, y}}$ and we conclude that $\left.f\right|_{H}$ is étale in a neighborhood of $y$, i.e., $\left.f\right|_{H}$ is generically étale.

The preceeding discussion shows that for every closed point $y \in Y(k)$, we get a corresponding open $U_{p} \subset \mathbb{P}^{\vee}$ satisfying (i)-(iii). Take any $H \in U_{p}$. For a different closed point $q \in Y(k)$, if $H^{\prime} \in U_{q}$ is a hyperplane satisfying (i)-(iii), then the divisor $H+H^{\prime}$ satisfies conditions (i)-(iii) over $U_{p} \cup U_{q}$. Indeed, $H$ and $H^{\prime}$ being generically étale over a reduced scheme $Y$ means that both $H$ and $H^{\prime}$ are generically reduced, hence, the divisor $H+H^{\prime}$, cut out by the (invertible) ideal sheaf $\mathscr{I}_{H / X} \cdot \mathscr{I}_{H^{\prime} / X}$, coincides with the scheme $\left(H \cup H^{\prime}\right)_{\text {red }}$ on a dense open set. Consequently, $H+H^{\prime} \rightarrow Y$ is also generically étale. Since $\mathbb{P}^{\vee}$ is Noetherian, which in particular implies that any subset is quasicompact, the proof is complete.

Now, replace ( $X, Z$ ) with $(X, Z \cup H)$ and further assume
(P6) (e) For all geometric points $\bar{y}$ of $Y$ and any irreducible component $C$ of $X_{\bar{y}}$ we have

$$
\#(X / Y)^{\mathrm{sm}} \cap C \cap Z \geqslant 3
$$

1.14. Altering the base. Let $\psi: Y^{\prime} \rightarrow Y$ be a generically étale alteration. In the rest of this article, we will frequently make the transformation

$$
\begin{aligned}
& X^{\prime}:=\left(X \times_{Y} Y^{\prime}\right)_{\mathrm{red}} \\
& Z^{\prime}:=\left(Z \times_{Y} Y^{\prime}\right)_{\mathrm{red}} \\
& Y^{\prime} .
\end{aligned}
$$

These satisfy all of our conditions except possibly P5. Let $f$ be smooth with geometrically connected fibers of dimension 1 over $U \subset Y$, and $U^{\prime}=\psi^{-1}(U)$. Then $\left(X \times_{Y} Y^{\prime}\right)_{U^{\prime}}$ is smooth over $U^{\prime}$, and hence reduced. Therefore, $X_{U^{\prime}}^{\prime} \rightarrow U^{\prime}$ is smooth and has geometrically connected fibers of dimension 1. It's clear that $Z^{\prime}$ is the support of an effective Cartier divisor of $X^{\prime}$ once we prove the following lemma-
1.15. Lemma. $-X^{\prime}$ is an integral scheme.

Proof. Of course, $X^{\prime}$ is reduced by construction. Note that $(X / Y)^{\mathrm{sm}} \times_{Y} Y^{\prime}$, being $Y^{\prime}$-smooth, is reduced and contained in $X^{\prime}$. Therefore, the smooth locus $\left(X^{\prime} / Y^{\prime}\right)^{\mathrm{sm}} \supseteq(X / Y)^{\mathrm{sm}} \times_{Y} Y^{\prime}$ is fiberwise-dense over $Y^{\prime}$ because the same is true for $(X / Y)^{\mathrm{sm}}$ over $Y$. It suffices to show that $\left(X^{\prime} / Y^{\prime}\right)^{\mathrm{sm}}$, being dense, is irreducible. As $\pi:\left(X^{\prime} / Y^{\prime}\right)^{\mathrm{sm}} \rightarrow Y^{\prime}$ is flat, the generic fiber $\pi_{\eta}$ consists exactly of generic points of $\left(X^{\prime} / Y^{\prime}\right)^{\mathrm{sm}}$. However, this generic fiber is irreducible since it is $\eta$-smooth and connected. The last step follows from $X \rightarrow Y$ being generically smooth and having geometrically connected general fibers. Thus, $\left(X^{\prime} / Y^{\prime}\right)^{\mathrm{sm}}$ is irreducible and the claim is proved.

We additionally assume $Y$ is normal by taking $\psi$ to be a normalization morphism.

### 1.16. Passage to a union of sections.

1.17. Lemma. - In the above settings, we can choose $\psi$ so that

$$
Z^{\prime}=\cup_{i=1}^{r} \sigma_{i}\left(Y^{\prime}\right)
$$

for distinct sections $\sigma_{i}: Y^{\prime} \rightarrow X^{\prime}$.
Proof. Let $\eta=\operatorname{Spec} \kappa(Y)$ be the generic point of $Y$. From our assumptions, $Z_{\eta}$ is a nonempty finite étale $\eta$-scheme consisting of generic points of $Z$ as $Z$ is generically étale over $Y$. Choose a finite Galois point $\eta^{\prime} \rightarrow \eta$, i.e., $\kappa(\eta) \hookrightarrow \kappa\left(\eta^{\prime}\right)$ is a finite Galois extension, so that $Z_{\eta} \times \eta^{\prime} \eta^{\prime}$ is a finite disjoint union of copies of $\eta^{\prime}$. Indeed, if $Z_{1}, Z_{2}, \ldots, Z_{r}$ are the irreducible components of $Z$, then we can choose $\kappa\left(\eta^{\prime}\right)$ to
be any Galois extension of $\kappa(\eta)$ containing the residue field at any maximal ideal of the $\kappa(Y)$-algebra $\kappa\left(Z_{1}\right) \otimes_{\mathcal{K}(Y)} \kappa\left(Z_{2}\right) \otimes_{\mathcal{K}(Y)} \cdots \otimes_{\mathcal{K}(Y)} \kappa\left(Z_{r}\right)$. Take $\psi: Y^{\prime} \rightarrow Y$ to be the normalization of $Y$ in the finite Galois extension $\kappa\left(\eta^{\prime}\right) / \kappa(\eta)$. We relabel and write $X, Y, Z, \eta$ to mean $X^{\prime}, Y^{\prime}, Z^{\prime}, \eta^{\prime}$. We conclude that, each finite morphism $Z_{i} \rightarrow Y$ is surjective (because of dimension reasons) and hence, birational too. Indeed, $Z_{\eta}$ is precisely the collection of generic points of irreducible components of $Z$ and $\kappa\left(Z_{i}\right) \cong \kappa(Y)$ by construction. As $Y$ is normal, it follows that $Z_{i} \rightarrow Y$ is an isomorphism by Zariski's main theorem. Thus, their inverses $Y \rightarrow Z_{i}$ are the desired sections.

### 1.18. Producing a family of stable pointed curves. Define

$$
U=\left\{y \in Y: X_{y} \text { is smooth over } y \text { and } \sigma_{i}(y) \neq \sigma_{j}(y) \text { for } i \neq j\right\} \subset Y
$$

By P6 (c), it follows that $U$ is a nonempty open set. So, $X_{U} \rightarrow U$ is a family of stable $n$-pointed curves. By some deep moduli space techniques which we don't go into, one can ensure, at least after an alteration of the base, properties P2-P4, P6 (a)-(f) along with
(P6) (g) There exists a family of stable $n$-pointed curve ${ }^{2}$ if $\left(\mathscr{C}, \tau_{1}, \ldots, \tau_{n}\right)$ over $Y$, a nonempty open subscheme $U \subset Y$, and an $U$-isomorphism $\beta: \mathscr{C}_{U} \rightarrow X_{U}$ mapping the sections $\left.\tau_{i}\right|_{U}$ to $\left.\sigma_{i}\right|_{U}$.
One can verify that $\mathrm{P} 6(\mathrm{~g})$ is stable under generically étale alterations of $Y$. Ideally, we want $\beta$ to extend to a regular map. A common technique to extend a rational map is to pass to the closure of the graph. Define $T$ as the scheme-theoretic closure of the graph $\Gamma_{\beta} \subset \mathscr{C} \times_{Y} X$. We remark that $T$ is integral, being the closure of $\mathscr{C}_{U} \cong X_{U}$ (Lemma 1.15).
1.19. The flatenning lemma (Raynaud-Gruson). - Let $X$ and $Z$ be varieties over a perfect field and $X \rightarrow Z$ a dominant projective morphism. There exists a modification $f: Y \rightarrow Z$ such that the strict transform $f^{\prime}: \tilde{X}_{Y} \rightarrow Y$ is flat.

By the above lemma, we can assume, in addition to P6 (a)-(c), (e), and (g), that
(P6) (h) $X$ and $T$ are $Y$-flat.
We can further normalize $Y$ to assume $Y$ is normal and we do so.

### 1.20. Lemma. - $\mathscr{C}$ is normal.

Proof. We use Serre's $R_{1}+S_{2}$ criterion for normality. Let $p \mapsto q$ under $\mathscr{C} \rightarrow Y$. By flatness,

$$
\operatorname{dim} \mathscr{O}_{\mathscr{C}, p}=\operatorname{dim} \mathscr{O}_{Y, q}+\operatorname{dim} \mathscr{O}_{\mathscr{C}_{q}, p}
$$

We wish to show that $\mathscr{O}_{\mathscr{C}, p}$ is regular when its dimension is at most 1 , and $\mathscr{O}_{\mathscr{C}, p}$ has a regular sequence of length 2 otherwise. If $\operatorname{dim} \mathscr{O}_{Y, q} \geqslant 2$ then $\mathscr{O}_{\mathscr{C}_{q}, p}$ has a regular sequence of length 2 by the same criterion as $Y$ is normal. Flat pullback of a regular sequence is regular. So let us assume $\operatorname{dim} \mathscr{O}_{Y, q} \leqslant 1$. As $\mathscr{C}$ is generically smooth by P6 (c), we may assume $\operatorname{dim} \mathscr{O}_{Y, q}=1$, i.e., $\mathscr{O}_{Y, q}$ is a DVR. Here we are using normality of $Y$. Let $\pi$ be a uniformizer for $\mathscr{O}_{Y, q}$. We have $\mathscr{O}_{\mathscr{C}, p} /(\pi) \cong \mathscr{O}_{\mathscr{C}_{q}, p}$. Since $\mathscr{C}_{q}$ is a curve, $p$ is either a generic point of $\mathscr{C}_{q}$ or a closed point. If $p$ is a generic point then we are done. Else, we seek a nonunit nonzerodivisor in $\mathscr{O}_{\mathscr{C}_{q}, p}$. Such an element exists because the set of zero divisors in a Noetherian reduced ring is exactly the union of its minimal primes.

If $\mathrm{pr}_{1}: T \rightarrow \mathscr{C}$ were quasi-finite (and hence finite) then it would be a finite birational map from a variety to a normal variety which, by Zariski's main theorem, is an isomorphism.

[^1]1.21. Extending $\beta$. Our goal is to show that $\mathrm{pr}_{1}$ has finite fibers. Such a property can be checked at geometric points. So let $\bar{y} \in Y$ be a geometric point. Observe that each geometric fiber $T_{\bar{y}}$ is a curve due to flatness. We want to show that $\left(\mathrm{pr}_{1}\right)_{\bar{y}}: T_{\bar{y}} \rightarrow \mathscr{C}_{\bar{y}}$ has finite fibers. We have the following setup-


Splitting into irreducible components-


As $\mathscr{C}_{U} \cong X_{U}$, it follows that $\mathrm{pr}_{1}$ and $\mathrm{pr}_{2}$ are birational (and of course, proper), in particular, surjective. Therefore, $\left(\mathrm{pr}_{1}\right)_{\bar{y}}$ and $\left(\mathrm{pr}_{2}\right)_{\bar{y}}$ are surjective too.
1.22. Lemma. - In the above setup,
(i) For each $1 \leqslant i \leqslant r$, there exists a unique $1 \leqslant j(i) \leqslant t$ so that $T_{j(i)} \rightarrow X_{i}$ is surjective. Also, there exists an open $V \subset X$ meeting $X_{i}$ densely such that $\operatorname{pr}_{2}^{-1}(V) \cong V$.
(*) Moreoever, $T_{j(i)} \rightarrow \mathscr{C}_{\bar{y}}$ is not constant.
(ii) For each $1 \leqslant \alpha \leqslant s$, there exists a unique $1 \leqslant \gamma(\alpha) \leqslant t$ so that $T_{\gamma(\alpha)} \rightarrow \mathscr{C}_{\alpha}$ is surjective. Also, there exists an open $W \subset \mathscr{C}$ meeting $\mathscr{C}_{\alpha}$ densely such that $\operatorname{pr}_{1}^{-1}(W) \cong W$.

The three-point assumption P6 (e) is going to be crucially used in the proof of Lemma 1.22 (*). We first note the main corollary-
1.23. Corollary. - $\left(\mathrm{pr}_{1}\right)_{\bar{y}}$ is quasi-finite, and hence, $\mathrm{pr}_{1}$ is an isomorphism.

Proof. If $\left(\mathrm{pr}_{1}\right)_{\bar{y}}$ is not quasi-finite, it maps some component $T_{j}$ to a point in $\mathscr{C}_{\bar{y}}$. By Lemma 1.22 (*), $T_{j}$ maps to a point under $\left(\mathrm{pr}_{2}\right)_{\bar{y}}$. This is not possible because $T_{j}$ is a curve sitting in the fibered product $\mathscr{C}_{\bar{y}} \times{ }_{\bar{y}} X_{\bar{y}}$ over the algebraically closed field $\kappa(\bar{y})$.
1.24. Proof of Lemma 1.22 (i) and (ii). By symmetry of the situation, it suffices to prove (i). Item (ii) will follow similarly. Recall that $\left(\mathrm{pr}_{2}\right)_{\bar{y}}$ is surjective. We claim that it is sufficient to find an open $V \subset X$ meeting $X_{\bar{y}}$ densely such that $\operatorname{pr}_{2}^{-1}(V) \rightarrow V$ is an isomorphism. Indeed, if $V$ is such a set, then two distinct components $T_{j}$ and $T_{j^{\prime}}$ cannot both map onto $X_{i}$ under $\left(\operatorname{pr}_{2}\right)_{\bar{y}}$ since $T_{j} \cap T_{j^{\prime}}$ is finite, which would imply that the fibers of $\mathrm{pr}_{2}^{-1}\left(X_{i}\right) \rightarrow X_{i}$ have size at least 2 almost always. This can't be because $\mathrm{pr}_{2}$ is birational.

By previous constructions, $(X / Y)^{\mathrm{sm}}$ has dense intersection with $X_{\bar{y}}$. Denote

$$
A:=\left\{x \in X: \operatorname{dim} \operatorname{pr}_{2}^{-1}(x)=0\right\} \subset X
$$

It is clear that $A$ is open by upper-semicontinuity of fiber dimension. Also $A$ intersects $X_{\bar{y}}$ in a dense set. Indeed, $\left(\mathrm{pr}_{2}\right)_{\bar{y}}$ only has finitely many positive dimensional fibers due to Noetherean reasons. We claim that $V=A \cap(X / Y)^{\mathrm{sm}}$ works. Note that $\mathrm{pr}_{2}^{-1} V \rightarrow V$ is finite birational. The morphism $V \rightarrow Y$ is surjective and smooth, hence faithfully flat in particular, therefore $V$ is normal as $Y$ is normal. By Zariski's main theorem, $\mathrm{pr}_{2}^{-1} V \rightarrow V$ is an isomorphism and proof is complete.
1.25. Proof of Lemma 1.22 (*). For each $i$, we have a unique $T_{j(i)}$ mapping surjectively onto $X_{i}$. Assume the contrary that $\left(\operatorname{pr}_{1}\right)_{\bar{y}}\left(T_{j(i)}\right)=\{c\}$ for some $c \in \mathscr{C}_{\bar{y}}$. Let $1 \leqslant \alpha<\beta<\gamma \leqslant n$ be such that $x_{\alpha}=\sigma_{\alpha}(\bar{y}), x_{\beta}=\sigma_{\beta}(\bar{y})$, and $x_{\gamma}=\sigma_{\gamma}(\bar{y})$ lie in $X_{i} \cap(X / Y)^{\mathrm{sm}}$. We are using P6 (e) here. If $T \rightarrow X^{\prime} \rightarrow X$ is the Stein factorization of $\mathrm{pr}_{2}$ then $X^{\prime} \rightarrow X$ is a finite modification, hence an isomorphism over the normal locus of $X$ by Zariski's main theorem. In particular, $\mathrm{pr}_{2}^{-1}(x)$ is connected for any smooth point $x \in(X / Y)^{\mathrm{sm}}$. Note that $t_{\alpha}=\left(\tau_{\alpha}(\bar{y}), \sigma_{\alpha}(\bar{y})\right) \in T_{\bar{y}}$ and similarly for $\beta, \gamma$. Finally, put $c_{\alpha}=\left(\operatorname{pr}_{1}\right)_{\bar{y}}\left(t_{\alpha}\right)=\tau_{\alpha}(\bar{y})$ and likewise. We remark that $\left(\operatorname{pr}_{2}\right)_{\bar{y}}^{-1}(x) \rightarrow\left(\operatorname{pr}_{1}\right)_{\bar{y}}\left(\left(\operatorname{pr}_{2}\right)_{\bar{y}}^{-1}(x)\right)$ is an isomorphism for any $x \in X_{\bar{y}}$ simply because $\left(\operatorname{pr}_{2}\right)_{\bar{y}}^{-1}(x) \subset \mathscr{C}_{\bar{y}} \times \bar{y}\{x\}$.

- Case 1. $c \notin\left\{c_{\alpha}, c_{\beta}, c_{\gamma}\right\}$. In this case, $z_{\alpha}=\left(\operatorname{pr}_{1}\right)_{\bar{y}}\left(\left(\operatorname{pr}_{2}\right)_{\bar{y}}^{-1}\left(x_{\alpha}\right)\right)$ is a connected set containing both $c_{\alpha}$ and $c$. So, there is a positive dimensional irreducible component of $z_{\alpha}$ passing through $c$. A similar statement holds for $\beta$ and $\gamma$, contradicting the fact that $\mathscr{C}_{\bar{y}}$ is a nodal curve. Here, one has to observe that $z_{\alpha}, z_{\beta}, z_{\gamma}$ have no irreducible components in common. This is easy to see because $\left(\operatorname{pr}_{2}\right)_{\bar{y}}^{-1}\left(x_{\alpha}\right),\left(\operatorname{pr}_{2}\right)_{\bar{y}}^{-1}\left(x_{\beta}\right),\left(\operatorname{pr}_{2}\right)_{\bar{y}}^{-1}\left(x_{\gamma}\right)$ are disjoint and $\left(\mathrm{pr}_{1}\right)_{\bar{y}}$, being birational, has only finitely many non-singleton fibers.
- Case 2. $c \in\left\{c_{\alpha}, c_{\beta}, c_{\gamma}\right\}$. Say $c=c_{\alpha}$. We see that the curves $z_{\beta}$ and $z_{\gamma}$ meet at the point $c=c_{\alpha} \in \mathscr{C}_{\bar{y}}^{\text {sm }}$. This is a contradiction to smoothness.

We have arranged for $\mathrm{pr}_{1}$ to be an isomorphism (Corollary 1.23). Therefore, $\beta$ extends to a morphism $\mathscr{C} \rightarrow X$ which is an isomorphism over $U$.
1.26. Reducing to a family of stable curves. Finally, we replace $(X, Z)$ by $\left(\mathscr{C}, \beta^{-1}(Z)\right)$. Here, we may lose the finiteness of $Z \rightarrow Y$ but that's a non-issue. One can then use induction on dimension to change $Y$ to a regular scheme. The resulting $X$ has very simple singularities, and its desingularization can be carried out by hand. The reader is referred to [dJ, §4.23-4.28] for the explicit blow-ups constructed.

## 2 Resolution of Embedded Curves

Most of this section is taken from [Stacks, Tag 0BI3] and the results are presented in high generality. The goal is to prove the following theorem-
2.1. Theorem (Embedded resolutions). - Let $X$ be a Noetherian scheme and $Y \subseteq X$ a reduced closed subscheme of pure dimension 1 with irreducible components $Y_{1}, Y_{2}, \ldots, Y_{r}$. Suppose there are regular integral schemes $X_{i}$ for each $Y_{i}$ along with finite morphisms $X_{i} \rightarrow Y_{i}$. Then there is a finite sequence $X^{\prime}=X_{n} \rightarrow X_{n-1} \rightarrow \cdots \rightarrow X_{1} \rightarrow X$ of blow-ups at closed points such that the proper transform $Y^{\prime} \subseteq X^{\prime}$ of $Y$ is a disjoint union of regular integral one dimensional schemes.

We begin with a few useful lemmas.
2.2. Lemma (Blow-ups of curves are finite). - Let $Y$ be a one dimensional integral Noetherian scheme and $p \in Y$ a closed point. Then the blow-up $\pi: \mathrm{Bl}_{p} Y \rightarrow Y$ is finite.

There's a quick way to see this. Note that the exceptional divisor, which is an effective Cartier divisor on a curve, is a finite set. Hence, $\mathrm{Bl}_{p} Y \rightarrow Y$ is projective and quasi-finite and so it's finite.
2.3. Lemma (Resolution by blow-ups). - Let $Y$ be a one dimensional integral Noetherian scheme. Suppose there exists a finite morphism $\pi: X \rightarrow Y$ for some regular one dimensional (Noetherian) integral scheme $X$. Then $\pi$ can be factored as a finite sequence $X \rightarrow Y_{n} \rightarrow Y_{n-1} \rightarrow \cdots \rightarrow Y_{1} \rightarrow Y_{0}=Y$ where $Y_{i}$ is a blow-up of $Y_{i-1}$ at a closed point for all $1 \leqslant i \leqslant n$ and $Y_{n}$ is regular.

Proof. Set $Y_{0}=Y$. For each positive integer $i$, define $Y_{i}$ to be the blow-up of $Y_{i-1}$ at an arbitrary singular closed point $p_{i-1}$, if exists, else let $p_{i-1}$ be any (regular) closed point. First we show that $\pi$ factors as $X \rightarrow Y_{1} \rightarrow Y$.

As $X$ is Noetherian, $\pi^{-1}\left(p_{0}\right) \subseteq X$ is a finite set of closed points, i.e., an effective Cartier divisor. By the universal property of blow-ups (see appendix), it follows that $\pi$ factors through $Y_{1}$. We can iterate the above argument with $Y_{i+1}$ replaced by $Y_{i}$ for each $i=0,1,2, \ldots$, in that order, to get a (possibly infinite) factorization $X \rightarrow \cdots \rightarrow Y_{2} \rightarrow Y_{1} \rightarrow Y_{0}=Y$, where each morphism $Y_{i+1} \rightarrow Y_{i}$ is finite (Lemma 2.2). Of course, strictly speaking, a factorisation being infinite has no meaning. What is meant is an "infinite" commutative diagram of the form


Let $\pi_{i}$ be the morphism $Y_{i} \rightarrow Y$. Pushing forward all the structure sheaves to $Y$, we get a chain

$$
\mathscr{O}_{Y} \subseteq \pi_{1, *} \mathscr{O}_{Y_{1}} \subseteq \pi_{2, *} \mathscr{O}_{Y_{2}} \subseteq \cdots \subseteq \pi_{*} \mathscr{O}_{X} .
$$

Indeed, it is appropriate to write $\pi_{i+1, *} \mathscr{O}_{Y_{i+1}} \subseteq \pi_{i, *} \mathscr{O}_{Y_{i}}$ since $Y_{i+1} \rightarrow Y_{i}$, being a dominant morphism of integral schemes, induces an injection on structure sheaves. This is a sequence of coherent sheaves on a Noetherian scheme, which must stabilize. Consequently, $Y_{i+1} \rightarrow Y_{i}$ is an isomorphism for some $i$. This means that $p_{i}$ is already an effective Cartier divisor on $Y_{i}$ and $\mathscr{O}_{Y_{i}, p_{i}}$ is a DVR. Thus, $Y_{i}$ is regular and the proof is complete.
2.4. Lemma. - Let $X$ be a Noetherian scheme. Let $Y \subseteq X$ be an one-dimensional integral closed subscheme such that there is a regular integral scheme $Y_{1}$ along with a finite morphism $Y_{1} \rightarrow Y$. Then there exists a finite sequence $X^{\prime}=X_{n} \rightarrow X_{n-1} \rightarrow \cdots \rightarrow X_{1} \rightarrow X$ of blow-ups at closed points such that the proper transform $Y^{\prime}$ of $Y$ in $X^{\prime}$ is a regular integral scheme of dimension 1.

Proof. Obvious by Lemma 2.3 and Blow-up Closure Lemma (see appendix).

Let $X$ be a locally Noetherian scheme and $Y, Z \subset X$ be closed subschemes. Assume $Y$ is integral of dimension 1 and $Y$ is not contained in $Z$. For a closed point $p \in X$, denote the intersection multiplicity

$$
\operatorname{mult}_{p} Y \cap Z=\operatorname{length}_{\mathscr{O}_{X, p}} \mathscr{O}_{Y \cap Z, p}
$$

This is finite by the theory of associated primes because $\mathscr{O}_{Y \cap Z, p}=\mathscr{O}_{X, p} /\left(\mathscr{I}_{Y / X, p}+\mathscr{I}_{Z \mid X, p}\right)$ has singleton support. We remark that if $p$ is a regular point of $Y$ then $\operatorname{mult}_{p} Y \cap Z$ is equal to the valuation of $\mathscr{I}_{Z I X, p} \mathscr{O}_{Y, p}$ in the discrete valuation ring $\mathscr{O}_{Y, p}$.
2.5. Lemma. - Using above notations, let $X^{\prime} \rightarrow X$ be the blow up of $X$ at $p$ and $Y^{\prime}, Z^{\prime} \subseteq X^{\prime}$ be the proper transforms of $Y, Z$. Also assume that $\mathscr{O}_{Y, p}$ is regular. Then
(1) $Y^{\prime} \rightarrow Y$ is an isomorphism,
(2) $Y^{\prime}$ intersects the exceptional divisor at exactly one point $q \in X^{\prime}$,
(3) $\operatorname{mult}_{q} Y^{\prime} \cap Z^{\prime}<\operatorname{mult}_{p} Y \cap Z$.

Proof. The universal property of blow-ups and the blow-up closure lemma (see appendix) immediately give (1) and (2). Blow-ups can be computed locally, so we may replace $X$ by a small-enough affine open neighborhood $U=\operatorname{Spec} A$ of $p$ such that $Y \cap U$ is cut out by $I, Z \cap U$ is cut out by $J, \mathfrak{m} \subseteq A$ is the maximal ideal corresponding to $p$, and the image of some section, say $x_{1} \in A$, in $\mathscr{O}_{Y, p}=A / I \otimes_{A} A_{\mathfrak{m}}$ is a uniformizer. Note that $\mathfrak{m}=I+\left(x_{1}\right)$. Let $x_{2}, x_{3}, \ldots, x_{n} \in A$ be a generating set of $I$. In this situation, $X^{\prime}=\mathrm{Bl}_{p} \operatorname{Spec} A=\operatorname{Proj}_{A} A[\mathfrak{m} t]$. Consider the affine patch $\operatorname{Spec} A\left[\mathfrak{m} t / x_{1} t\right]$. The total transform of $Y$ is cut
out by $\left(x_{1} \frac{x_{2} t}{x_{1} t}, \ldots, x_{1} \frac{x_{n} t}{x_{1} t}\right)=x_{1}\left(\frac{x_{2} t}{x_{1} t}, \ldots, \frac{x_{n} t}{x_{1} t}\right)$ in Spec $A\left[\mathfrak{m} t / x_{1} t\right]$. The factor $x_{1}$ corresponds to the exceptional divisor. Hence, the strict transform $Y^{\prime}$ is cut out by $I^{\prime}=\left(\frac{x_{2} t}{x_{1} t}, \ldots, \frac{x_{n} t}{x_{1} t}\right)$ in $\operatorname{Spec} A\left[\mathfrak{m} t / x_{1} t\right]$. Therefore, point $q$ corresponds to the maximal ideal $\mathfrak{m}^{\prime}=\left(x_{1}, \frac{x_{2} t}{x_{1} t}, \ldots, \frac{x_{n} t}{x_{1} t}\right)$. Now let $f \in J$ be such that its image under $A \rightarrow A / I$ has valuation $r=\operatorname{mult}_{p} Y \cap Z$ at $\mathfrak{m}$. We can write $f=x_{1}^{r} u+v$ where $u \notin \mathfrak{m}$ and $g \in\left(x_{2}, \ldots, x_{n}\right)$. Certainly $f t / x_{1} t$ is an element of the ideal $J^{\prime} \subseteq A\left[\mathfrak{m} t / x_{1} t\right]$ cutting out $Z^{\prime}$, as $J \subseteq \mathfrak{m}$. The image of $f t / x_{1} t$ in $A\left[\mathfrak{m} t / x_{1} t\right] / I^{\prime}$ is $x_{1}^{k} u t / x_{1} t$. Clearly, $u t / x_{1} t$ is not a valid element of $A\left[\mathfrak{m} t / x_{1} t\right]$ because $u \notin \mathfrak{m}$. Thus, the valuation of $f\left(\bmod I^{\prime}\right)$ at $q$ in $A\left[\mathfrak{m} t / x_{1} t\right] / I^{\prime}$ is one less than $r$. This finishes the proof of (3).
2.6. Proof of Theorem 2.1. Applying Lemma 2.4, we can assume $Y_{i}$ is regular for each $0 \leqslant i \leqslant r$. For every $i \neq j$ and closed point $p \in Y_{i} \cap Y_{j}$, consider the number $\operatorname{mult}_{p} Y_{i} \cap Y_{j}$. If the maximum of these numbers is larger than 1 , then we can decrease it by blowing up at all the points where the maximum is attained (Lemma 2.5). If the maximum is 1 then we can separate the $Y_{i}$ 's using the same lemma by blowing up at all such points $p$. Indeed, blow-ups are isomorphism away from the exceptional divisor, so disjoint $Y_{i}$ 's remain disjoint.

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## Appendix

Blow-ups. Let $X \hookrightarrow Y$ be a closed embedding corresponding to a finite type quasicoherent sheaf of ideals. The blow-up of $X \hookrightarrow Y$ is a Cartesian diagram, which we call a blow-up diagram,

such that $\mathrm{E}_{X} Y$ is an effective Cartier divisor on $\mathrm{Bl}_{X} Y$, such that any other such Cartesian diagram

where $D$ is an effective Cartier divisor on $W$, factors uniquely through it:


We call $\mathrm{Bl}_{X} Y$ the blow-up of $Y$ along $X$ and $\mathrm{E}_{X} Y$ the exceptional divisor. If $Z \rightarrow Y$ is any morphism then $Z \times_{Y} \mathrm{Bl}_{X} Y$ is the total transform of $Z$ and $\overline{(Z \backslash X) \times{ }_{Y} \mathrm{Bl}_{X} Y}$ the proper transform or strict transform of $Z$.

Of course, the above is not really a definition. One needs to construct the blow-up.

$$
\begin{aligned}
\mathrm{Bl}_{X} Y & =\operatorname{Proj}_{A} A \oplus I t \oplus I^{2} t^{2} \oplus \cdots \\
\mathrm{E}_{X} Y & =\operatorname{Proj}_{A} \frac{A}{I} \oplus \frac{I}{I^{2}} t \oplus \frac{I^{2}}{I^{3}} t^{2} \oplus \cdots
\end{aligned}
$$

where $t$ is a dummy variable indicating the grading. The blow-up algebra or Rees algebra $A \oplus I t \oplus I^{2} t^{2} \oplus \cdots$ is also denoted $A[I t]$. Further, for any $f \in I$, the zero-degree part of $\left(A \oplus I t \oplus I^{2} t^{2} \oplus \cdots\right)_{f t}$ is denoted $A[I t / f t]$, which is called an affine blow-up algebra. It can be verified that the above construction satisfies the required universal property [FOAG, §23.3.2]. One can then glue these pieces to define blow-up for general schemes using a relative $\mathcal{P r o j}$ construction. The blow-up $\mathrm{Bl}_{X} Y \rightarrow Y$ is an isomorphism away from $X$. Of course, this means that the blow-up is a birational morphism when $Y$ is integral and $X \neq Y$. Another important (but easy) fact is that blow-up preserves irreducibility and reducedness. See [FOAG, §23.2.1, 23.2.A, 23.2.B, 23.2.2, 23.2.C] for details. The most important and useful fact for us about blow-ups is the following-

Blow-up Closure Lemma. Let $X \hookrightarrow Y$ be a closed embedding of schemes and $Z \rightarrow Y$ be any arbitrary morphism. Consider the following commutative diagram


Here, $\bar{Z}$ is the proper transform of $Z$, i.e., the scheme-theoretic closure of $\left(Z \times_{Y} \mathrm{Bl}_{X} Y\right) \backslash\left(Z \times_{Y} \mathrm{E}_{X} Y\right)$ in $Z \times_{Y} \mathrm{Bl}_{X} Y$, and $\mathrm{E}_{\bar{Z}}$ is the pullback of $\bar{Z}$ along the closed embedding $Z \times{ }_{Y} \mathrm{E}_{X} Y \hookrightarrow Z \times{ }_{Y} \mathrm{Bl}_{X} Y$. Then $\bar{Z}=\mathrm{Bl}_{X_{\times_{Y}} Z} Z$ and $\mathrm{E}_{\bar{Z}}$ is the exceptional divisor on $\bar{Z}$.

Proof. See [Stacks, Tag 080E] for a proof when $Z \rightarrow Y$ is a closed embedding. The general case can be proved similarly; see [FOAG, §23.2.6, 23.2.G].

### 2.7. Examples.

(i) Resolving the planar nodal cubic. Consider the nodal cubic $y^{2}=x^{3}+x^{2}$ in $\mathbb{A}^{2}$. Then $\mathrm{Bl}_{(0,0)} \mathbb{A}^{2}$ is cut out by $x Y-X y=0$ in $\mathbb{A}^{2} \times \mathbb{P}^{1}$ where $\mathbb{A}^{2}=\operatorname{Spec} k[x, y]$ and $\mathbb{P}^{1}=\operatorname{Proj} k[X, Y]$. This has two patches- $[X: Y]=[s: 1]$ or $[1: t]$. The first patch is $U_{1}=\operatorname{Spec} k[x, y, s] /(s y-x)$ and the second is $U_{2}=\operatorname{Spec} k[x, y, t] /(y-x t)$. The exceptional divisor is cut out by $(y)$ in $U_{1}$ and by $(x)$ in $U_{2}$. Therefore, the total transform of $y^{2}=x^{3}+x^{2}$ is cut out by $y^{2}-s^{2} y^{2}-s^{3} y^{3}=0$ in $U_{1}$. We remove the factor of $y$ to get the strict transform- the strict transform of $y^{2}=x^{3}+x^{2}$ is cut out by $1-s^{2}-s^{3} y=0$
in $U_{1}$. It is easy to see that this is a nonsingular curve. One can similarly compute the local equations on $U_{2}$ to verify that the node is resolved.


Figure 2.1. Resolution of a node through blow-up [Har77].
(ii) A curve requiring multiple blow-ups. Consider $y x^{2}-y^{2}=0$ in $\mathbb{A}^{2}$. This is the union of a parabola and the $x$-axis so it has a multiplicity 2 singularity at the origin. With notations same as the in the previous example, the local equation of the total transform in $U_{1}$ is $y(s y)^{2}-y^{2}=0$. Hence, the strict transform is cut out by $y s^{2}-1=0$ in $U_{1}$. This patch is nonsingular. Similarly, the same is cut out by $x t-t^{2}=0$ in $U_{2} \cong \operatorname{Spec} k[x, t]$. Of course $(0,0)$ is a singularity of $x t-t^{2}=0$ so we blow-up again. Write $\mathrm{Bl}_{(0,0)} U_{2}=\operatorname{Spec} k[x, t, u] /(t u-x) \cup \operatorname{Spec} k[x, t, v] /(t-x v)$ with usual gluing. By similar computations, we get the strict transform of $x t-t^{2}=0$ as $V(u-1) \subset \operatorname{Spec} k[x, t, u] /(t u-x)$ and $V\left(\nu-v^{2}\right) \subset \operatorname{Spec} k[x, t, v] /(t-x v)$. It is easy to check that these patches are nonsingular. Therefore, two blow-ups were needed to resolve the singularity. We remark that this computation aligns with the statement of Lemma 2.5 (3).
(iii) Estimating the number of blow-ups required. Let $C$ be an affine plane curve with a singularity of multiplicity $m$ at the origin and all other points nonsingular. We give an upper bound on the number of blow-ups necessary to get a resolution of singularities. One cannot bound the number of blow-ups required solely in terms of the multiplicity of the singularity. Indeed, consider the following example- choose $n \gg 0$. The blow-up of the hypercusp $y^{2}=x^{n}$ is given by (the Zariski closure of) $u^{2}=v^{n-2}$. We see that the multiplicity at the origin stays the same even after $\left\lfloor\frac{n}{2}\right\rfloor-1$ many blow-ups. So, we introduce another parameter- the genus $g$. Why are we choosing genus and not the degree? the answer is it doesn't really matter because they are both closely related for plane curves. After the first blow-up $\mathrm{Bl}_{(0,0)} C$, the genus drops to $g-m(m-1) / 2$. From this point onwards, every blow-up at a singular point decreases the genus by at least 1 . Therefore, we are guaranteed to resolve $C$ within $g-m(m-1) / 2+1$ many blow-ups. Even though this bound is pretty naive, it's sharp- consider $y^{2}=x^{2 n+1}$, which has a multiplicity 2 singularity at the origin. The blow-up at the origin is given by $y^{2}=x^{2 n-1}$. So after repeatedly doing the same $n$ times we get a genus 0 conic $y^{2}=x$. The genus decreases by exactly $\frac{1}{2} 2(2-1)=1$ on each blow-up, so it follows that $y^{2}=x^{2 n+1}$ has genus $g=n$. Thus, the number of blow-ups required is exactly $n=g-m(m-1) / 2+1$.

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[^0]:    ${ }^{1}$ A morphism of schemes $f: X \rightarrow Y$ is called generically étale if there is a dense open subset $U \subseteq Y$ such that $f^{-1}(U) \rightarrow U$ is étale.

[^1]:    ${ }^{2}$ An $S$-scheme $\mathscr{C}$ is called a family of nodal curves if it is of finite presentation, proper and flat, and all geometric fibers are connected reduced curves with at most nodes as singularities. A family $\mathscr{C} \rightarrow S$ of nodal curves together with sections $\sigma_{i}: S \rightarrow \mathscr{C}, i=1, \ldots, n$, is called a family of stable $n$-pointed curves of genus $g$ if (i) $\sigma_{i}(S)$ lie in the smooth locus ( $\left.\mathscr{C} / S\right)^{\mathrm{sm}}$ and are mutually disjoint, (ii) All geometric fibers have arithmetic genus $g$, and (iii) $\omega_{\mathscr{C} / S}\left(\sum \sigma_{i}(S)\right.$ ) is relatively ample.

