

## LECTURE - 3

L3-1

Recall from last lecture: A weighted automata with  $n$  states over a semiring  $\mathbb{S}$  and alphabet  $\Sigma$  can be represented as  $\langle \lambda, \mu, \gamma \rangle$ .

where  $\lambda$  is a  $1 \times n$  row vector

$\gamma$  is a  $n \times 1$  column vector

$\mu_a$  is a  $n \times n$  matrix

- this is the weight of the transition on  $a$ .

If not present, consider it to be 0.

Suppose  $u \in \Sigma^*$ . Then,  $\mu(u)_{ij}$  [as defined in the last lecture] is the sum of weights of all paths from  $i$  to  $j$  on  $u$ .

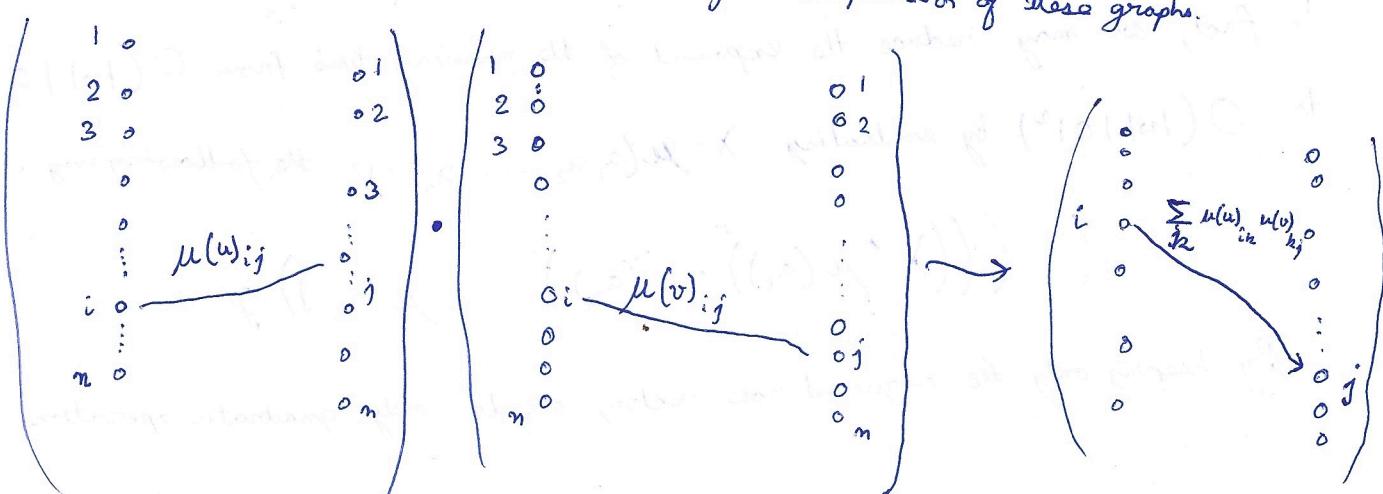
Note that

$$\mu(u) \cdot \stackrel{\text{matrix multiplication}}{\downarrow} \mu(v) = \mu(u \cdot v)$$

↑ concat

One may also think of  $\mu(u)$  as a bipartite graph where the edge from  $Q_i$  to  $Q_j$  would be  $\mu(u)_{ij}$ , which is an abstraction of all the paths from  $i$  to  $j$  on  $u$  together.

Viewed this way, matrix multiplication is just composition of these graphs.



Given  $w$ , you can compute  $\mu(w)$  by multiplying matrices.

The "initial weight" vector  $\lambda$  takes a linear combination of the rows in  $\mu(w)$ .

$$\lambda \begin{bmatrix} 0 & 0 & a & \dots & b & \dots & 0 \end{bmatrix} \begin{array}{c} \uparrow \\ i \end{array} \begin{array}{c} \uparrow \\ j \end{array} R_i \left[ \begin{array}{c} \text{---} \\ \text{---} \\ \vdots \\ \text{---} \end{array} \right] R_j = a R_i + b R_j$$

Now, the "final weights" vector again computes a linear combination of the components.

$$\lambda \cdot \mu(w) \gamma \begin{bmatrix} \dots & a & \dots & b & \dots & c & \dots \end{bmatrix} \begin{array}{c} \uparrow \\ i \end{array} \begin{array}{c} \uparrow \\ j \end{array} \begin{array}{c} \uparrow \\ k \end{array} \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} \begin{array}{c} \leftarrow i \\ \leftarrow j \\ \leftarrow k \end{array} = \alpha a + \beta b + \gamma c$$

This gives an algorithm for the evaluation problem. Given  $\langle \lambda, \mu, \gamma \rangle$  and  $w \in \Sigma^*$ , we may compute  $\mu(w)$  in time  $|w| |Q|^3$  and then  $\lambda \cdot \mu(w) \cdot \gamma$ .

For a fixed automaton, this is actually linear time.

In fact, we may reduce the exponent of the running time from  $O(|w| |Q|^3)$  to  $O(|w| |Q|^2)$  by evaluating  $\lambda \cdot \mu(a_1 a_2 \dots a_n) \cdot \gamma$  the following way:

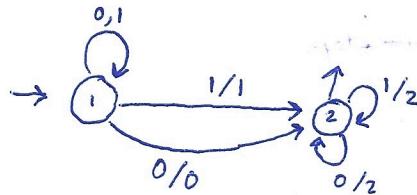
$$(\dots (((\lambda \cdot \mu(a_1)) \cdot \mu(a_2)) \dots \mu(a_n)) \gamma$$

By keeping only the required row vector, we do only quadratic operations every time.

This representations may be useful to prove claims about the function  $\lceil A \rceil$  rigorously.

### Example

Let  $b_n b_{n-1} \dots b_1 b_0$  be a sequence of binary digits. Then,  $(b_n \dots b_0)_2$  is defined as  $\sum_{i=0}^n b_i 2^i$ . Consider the following automaton:



Any run can be seen as a sequence of states  $q_1$ , followed by a sequence of  $q_2$ 's. If there are  $(n-i) q_1$ 's and  $(i) q_2$ 's, then the weight of the corresponding run is  $2^{n-i} \cdot 2^i$  if  $b_i = 1$  and 0 otherwise.

This shows that the automaton computes the represented number.

A formal proof of this would be to look at the representation as follows:

$$\lambda = \begin{pmatrix} 1 & 0 \end{pmatrix} \quad \gamma = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$M_0 = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \quad M_1 = \begin{pmatrix} 1 & 1 \\ 0 & 2 \end{pmatrix}$$

Claim: If  $w = b_n b_{n-1} \dots b_0$ , then  $\mu(w) = \begin{pmatrix} 1 & \text{bin}(w) \\ 0 & 2^{\lceil w \rceil} \end{pmatrix}$

Proof By induction.

Base Case True for  $w = 1$  and  $w = 0$

Induction Step

Suppose this is true for  $w$ .

Then, we may check that  $\mu(w0) = \mu(w)\mu(0) = \begin{pmatrix} 1 & \text{bin}(w) \\ 0 & 2^{\lceil w \rceil} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$

$$= \begin{pmatrix} 1 & 2 \cdot \text{bin}(w) \\ 0 & 2 \cdot 2^{\lceil w \rceil} \end{pmatrix} = \begin{pmatrix} 1 & \text{bin}(w0) \\ 0 & 2^{\lceil w0 \rceil} \end{pmatrix}$$

$$\begin{aligned} \mu(w) &= \begin{pmatrix} 1 & \text{bin}(w) \\ 0 & 2^{\text{len}(w)} \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 2 \end{pmatrix} = \begin{pmatrix} 1 & 2\text{bin}(w)+1 \\ 0 & 2 \cdot 2^{\text{len}(w)} \end{pmatrix} \\ &= \begin{pmatrix} 1 & \text{Bin}(w) \\ 0 & 2^{\text{len}(w)} \end{pmatrix} \end{aligned}$$

This proves the inductive claim step.

QED

### Support languages

We wish to use weighted automata as an intermediate device for defining qualitative languages. Supports are one such mechanism.

Given  $A$ , an automata, we have  $\llbracket A \rrbracket : \Sigma^* \rightarrow S$

Define  $\text{Support}(\llbracket A \rrbracket) = \{w \mid \llbracket A \rrbracket(w) \neq 0\}$

Can a support language be non-regular?

Yes! Consider  $\{w \in \Sigma^* \mid |w|_a \neq |w|_b\}$

This is the support of the automaton discussed in LECTURE-2 that computes  $|w|_a - |w|_b$  over  $(\mathbb{Z}, +, \times)$

Can a support language be non-context-free?

Yes! Consider

$\boxed{\{w \in \{a, b, c\}^* \mid |w|_a \neq |w|_b \text{ or } |w|_a \neq |w|_c\}}$

↑ this is context-free!

Algorithmic Question: Given  $A$ , is the support language of  $A$  non-empty?

### Partial Solution

Def A semiring  $S$  is 0-sumfree if  $\forall a, b \in S, a, b \neq 0 \Rightarrow a+b \neq 0$

On semirings which are 0-sum free, the existence of a path with no 0 edges from an initial state with non-zero weight to a state with non-zero final weight is sufficient.

This formulation of the non-emptiness problem over 0-sum free semirings puts it in NLOGSPACE.

This is a direct generalization of the approach for non-emptiness of standard NFA. This approach works since the Boolean semiring  $(\{B, 1, V\}, \wedge, \vee)$  is 0-sum free. Another example of a 0-sum free semiring is  $(\mathbb{N} \cup \{\infty\}, \min, +)$ .