Ranking based Techniques for Disambiguating Büchi Automata

Hrishikesh Karmarkar

Supratik Chakraborty

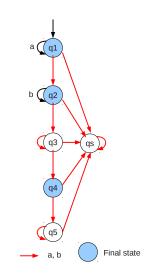
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Hrishikesh Karmarkar Supratik Chakraborty Ranking based Techniques for Disambiguating Büchi Automata

Non-deterministic Büchi automata over words (NBW)

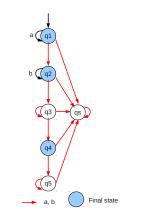
A 5-tuple (Σ , Q, Q_0 , δ , F), where

- Σ : Input alphabet
- Q : Finite set of states
- $Q_0 \subseteq Q$: Initial states
- $\delta \subseteq Q \times \Sigma \times Q$: State transition relation
- F : Set of final/accepting states



Runs and acceptance

- A run of \mathcal{A} on $\alpha \in \Sigma^{\omega}$ is a sequence $\rho : \mathbb{N} \to Q$ such that
 - ρ(0) ∈ Q₀
 ρ(i + 1) ∈ δ(ρ(i), α(i))



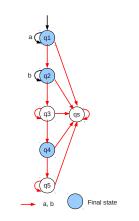
• $\alpha = abbbbb \cdots$, $\rho_1 = q_1 q_2 q_2 q_2 q_2 q_2 q_2 \cdots$

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- An automaton may have several runs on *α*.



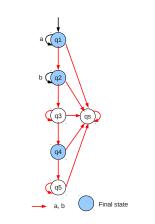
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• $\rho_2 = q_1 q_1 q_2 q_2 q_2 q_2 q_2 \cdots$

Runs and acceptance

- A run of \mathcal{A} on $\alpha \in \Sigma^{\omega}$ is a sequence $\rho : \mathbb{N} \to Q$ such that
 - ρ(0) ∈ Q₀
 ρ(i + 1) ∈ δ(ρ(i), α(i))
- An automaton may have several runs on α.
- ρ is accepting iff inf $(\rho) \cap F \neq \emptyset$
- α is accepted by \mathcal{A} ($\alpha \in L(\mathcal{A})$) iff there is an accepting run of \mathcal{A} on α .



• $\alpha = abbbbb \cdots$, $\rho_1 = q_1 q_2 q_2 q_2 q_2 q_2 q_2 q_2 \cdots$

$$\rho_2 = q_1 q_1 q_2 q_2 q_2 q_2 q_2 \cdots$$

Ambiguous automata

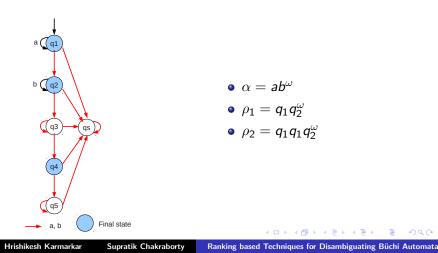
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An ambiguous NBW



- Final run of \mathcal{A} on α : A run ρ starting from any state in Q such that $\inf(\rho) \cap F \neq \emptyset$.
 - A word ∉ L(A) may have 0 or more final runs
 - A word ∈ L(A) has ≥ 1 final runs

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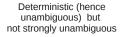
- Final run of A on α: A run ρ starting from any state in Q such that inf(ρ) ∩ F ≠ Ø.
 - A word ∉ L(A) may have 0 or more final runs
 - A word ∈ L(A) has ≥ 1 final runs
- NBW A is strongly unambiguous if for every α ∈ Σ^ω, there is exactly one final run.

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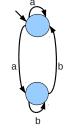
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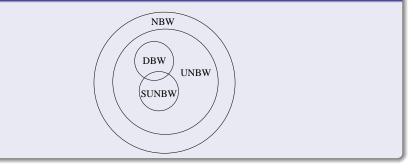
Containment relations

UNBW: Unambiguous NBW, SUNBW: Strongly unambiguous NBW, DBW: Deterministic Büchi automata over words

Expressive power-wise

$$\mathsf{DBW} \subsetneq \mathsf{NBW} \equiv \mathsf{UNBW} \equiv \mathsf{SUNBW}$$

Automata structure-wise



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Given an NBW, construct UNBW accepting the same language and using as few states as possible.

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Relevant earlier work:

- Arnold 1983: UNBW expressively equivalent to NBW
- Carton & Michel 2003: Effective construction of SUNBW, size bound O((12n)ⁿ)
- Kähler and Wilke 2008: Effective construction of UNBW, size bound O((3n)ⁿ).
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Our contribution

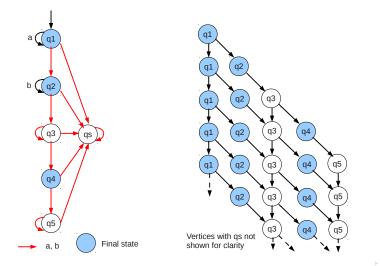
- Effective construction of UNBW, size bound $O(n^2.(0.76n)^n)$
 - Same as best known bound for NBW complementation!

- Of course, a theoretically interesting problem
- Can it lead to a better understanding of what kinds of NBW admit easy determinization?
- Practical application? Seek inputs from the audience.

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Run DAGs





Hrishikesh Karmarkar

Supratik Chakraborty

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 - Schewe (2009) used this approach to match upper bound of NBW complementation within $O(n^2)$ of lower bound
 - We use Kupferman-Vardi style rankings

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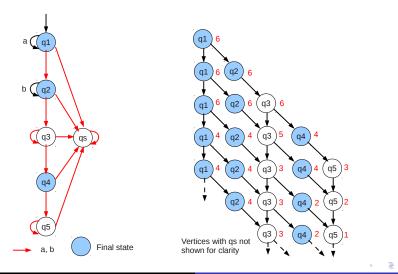
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- Vertices corresponding to final states must not get odd ranks
- Ranking cannot increase along any path in run DAG
- Odd ranking: Every path eventually trapped in an odd rank
- Even ranking otherwise

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Example of KV-ranking

Example KV-ranking of run DAG for a^{ω}



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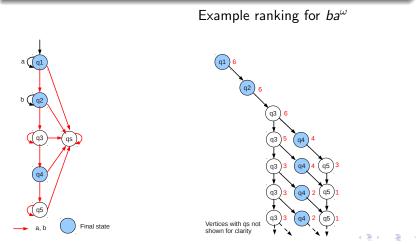
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Ranking based Techniques for Disambiguating Büchi Automata

Ranking based complementation

Theorem (Kupferman-Vardi 2001)

An ω -word $\alpha \in \overline{L(A)}$ iff there is an odd ranking of the run DAG of A on α



Hrishikesh Karmarkar

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Ranking based Techniques for Disambiguating Büchi Automata

• Series of followup work on NBW complementation using KV-ranking

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- Several optimizations possible on basic construction
- One such set of optimizations leads to an **unambiguous complementation** construction, and a **disambiguation** construction too!
 - Achieves same bound of $O(n^2, (0.76n)^n)$.

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Extending KV-ranks

Recall KV-ranking

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• Every path eventually trapped in odd rank or in ∞

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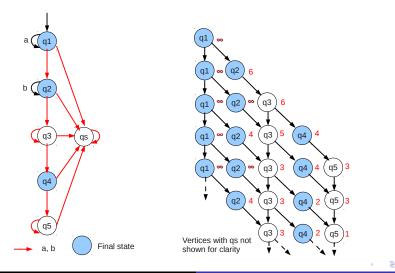
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We call this a **full ranking** of the run DAG.

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Example full ranking for a^{ω}



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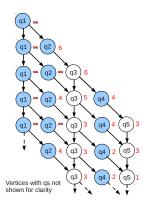
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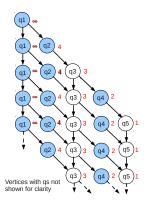
Minimal full rankings

Given run DAG G, full ranking r^* of G is **minimal** iff for all full rankings r of G, $r^*(v) \le r(v)$ for all vertices v in G.

Non-minimal full ranking

Minimal full ranking





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For every run DAG, there exists a unique minimal full ranking. A word α is accepted by A iff the minimal full ranking of the run DAG assigns ∞ as the rank of the root vertex.

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Local properties (successors)

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- Every vertex that is not a *F*-vertex has a successor with the same rank
- Every even ranked vertex either has a successor with the same rank or one with the next lower odd rank

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Hrishikesh Karmarkar Supratik Chakraborty Ranking based Techniques for Disambiguating Büchi Automata

• Every even ranked vertex has at least one descendant with the next lower odd rank

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- Every even ranked vertex has at least one descendant with the next lower odd rank
- Every odd ranked (> 1) vertex has at least one *F*-vertex descendant with the next lower even rank

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- Every odd ranked (> 1) vertex has at least one *F*-vertex descendant with the next lower even rank
- Every path from every even ranked vertex eventually encounters a vertex with a lower rank

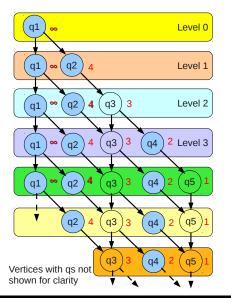
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- Every odd ranked (> 1) vertex has at least one *F*-vertex descendant with the next lower even rank
- Every path from every even ranked vertex eventually encounters a vertex with a lower rank
- Every ∞ ranked vertex has at least one ∞ ranked F-vertex descendant
- Every ∞ ranked vertex has at least one descendant with the largest non-infinity rank in range of the ranking function.

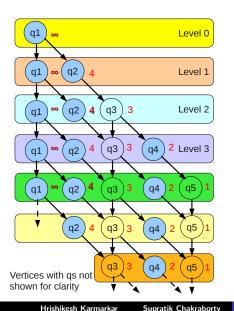
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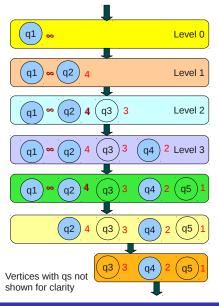
Intuition of disambiguation construction



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Ranking based Techniques for Disambiguating Büchi Automata

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 - Decompose every global property of an infinite run into properties of finite segments of the run, which can then be concatenated.
 - Ensure that each finite segment satisfies relevant property checkable over finite steps

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 - Decompose every global property of an infinite run into properties of finite segments of the run, which can then be concatenated.
 - Ensure that each finite segment satisfies relevant property checkable over finite steps
- Acceptance condition simply ensures that every finite segment of an infinite run satisfies relevant properties and root vertex is ranked ∞

State representation

State of resulting automaton:

(S, O, X, f, i), where

- S : subset of states of NBW in current level
- f : ranking function at current level
- *i* : rank of vertices for which (decomposed) global properties are currently being checked
- O ⊆ S : subset of states with rank *i* for which global properties yet to be checked
- X ⊆ S : subset of states being used to check global property of one state with rank i

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Total count of states is $O(n^2.(0.76n)^n)$

• Uses a modification of a counting argument used by Schewe (2009) for NBW complementation

Why is it unambiguous?

Hrishikesh Karmarkar Supratik Chakraborty Ranking based Techniques for Disambiguating Büchi Automata

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• Recall minimal full-ranking for every run DAG is unique.

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- Our construction accepts only those runs that enforce both local and global properties of minimal full-ranking
 - Accepted full-ranking is minimal
- Any two accepting runs must differ in the ranking of at least one level
- Since minimal ranking is unique, only one accepting run possible

- Using a variant of KV-ranking (similar to that used by Carton and Michel), we obtain a UNBW (not SUNBW) with better bound than reported in the literature
- We conjecture that this matches the lower bound for disambiguation
- Shows potential close connection between disambiguation and complementation

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