

# *Distributive encryption*

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# Outline

- 1 *Introduction*
- 2 *The Dolev-Yao model*
- 3 *Size lower bounds*
- 4 *Complexity lower bound*
- 5 *Proof normalization*
- 6 *Upper bound proofs*

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- 1 *Introduction*
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## Cryptographic operations – viewed logically

- **Encryption** is used to hide information

$$\frac{t \quad k}{\{t\}_k} \text{ encrypt}$$

- **Decryption** requires the corresponding inverse key

$$\frac{\{t\}_k \quad \text{inv}(k)}{t} \text{ decrypt}$$

- Want to bundle some data together? **Concatenate** them!

$$\frac{t_1 \quad t_2}{(t_1, t_2)} \text{ pair}$$

- You can **split** a bundle anytime you want to

$$\frac{(t_0, t_1)}{t_i} \text{ split}_i \quad (i = 0, 1)$$

## Cryptographic operations ...

- Useful protocols can be built by composing these operations

$$A \rightarrow B: \{(id_A, n)\}_{pubk_B}$$

$$B \rightarrow A: \{n\}_{pubk_A}$$

- But we want more – for some applications like electronic voting
- Can  $A$  get  $B$ 's signature on a note  $n$ , without revealing the contents to  $B$ ?

## Blind signatures

- $A$  picks a random number  $r$ , and sends  $[\{r\}_{pubk_B}, n]$  to  $B$
- $[a, b]$  is a different kind of bundle – can be unbundled only by someone who has at least one of the components
- $B$  signs the bundle –  $\{[\{r\}_{pubk_B}, n]\}_{privk_B}$
- But magically the signature seeps through –  $[r, \{n\}_{privk_B}]$
- There are implementations with all these properties – standard RSA encryption along with multiplication serving as the special bundling
- $A$  receives the signed term and can retrieve  $\{n\}_{privk_B}$  from it, since she has  $r$

## *Blind pairs*

- One can form blind pairs

$$\frac{t_1 \quad t_2}{[t_1, t_2]} \textit{blindpair}$$

- One can unpack blind pairs, provided one of the components is already in one's possession

$$\frac{[t_0, t_1] \quad t_i \downarrow}{t_{1-i}} \textit{blindsplit}_i$$

- All encryptions seep into blind pairs

$$\{[t, t']\}_k = [\{t\}_k, \{t'\}_k]$$

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## The basic model

|   |   |
|---|---|
| $\frac{}{X \vdash t} Ax \ (t \in X)$  |   |
| $\frac{X \vdash (t_0, t_1)}{X \vdash t_i} \textit{split}_i \ (i = 0, 1)$              | $\frac{X \vdash t_0 \quad X \vdash t_1}{X \vdash (t_0, t_1)} \textit{pair}$ |
| $\frac{X \vdash \{t\}_k \quad X \vdash \textit{inv}(k)}{X \vdash t} \textit{decrypt}$ | $\frac{X \vdash t \quad X \vdash k}{X \vdash \{t\}_k} \textit{encrypt}$     |
| destruction rules   | construction rules  |

Figure: Derivation rules

## Decidability

- The passive intruder deduction problem: given  $X$  and  $t$ , check if there is proof of  $X \vdash t$
- This problem is decidable.
  - A notion of normal proofs.
  - If  $X \vdash t$  is provable, there is a normal proof of  $X \vdash t$ .
  - Every term  $r$  occurring in a normal proof of  $X \vdash t$  is a subterm of  $X \cup \{t\}$ .
  - Derive bounds on the size of normal proofs from this.

## Non-normal proofs

- An example:

$$\frac{\frac{\frac{\text{--- } Ax}{t} \quad \frac{\text{--- } Ax}{t}}{\text{---}} \textit{pair}}{\frac{(t, t)}{t}} \textit{split}_0$$

## Non-normal proofs

- An example:

$$\frac{\frac{\frac{}{t} Ax}{t} \quad \frac{}{t} Ax}{\text{pair}}}{\frac{(t, t)}{t} \text{split}_0}$$

- Another one:

$$\frac{\frac{\frac{}{t} Ax}{t} \quad \frac{}{k} Ax}{\text{encrypt}} \quad \frac{}{k} Ax}{\frac{\{t\}_k}{t} \text{decrypt}}$$

## Normalization rules

$$\frac{\frac{\begin{array}{c} \cdot \\ \vdots \\ \pi_1 \\ \cdot \\ t \end{array} \quad \begin{array}{c} \cdot \\ \vdots \\ \pi_2 \\ \cdot \\ t' \end{array}}{\text{pair}} \quad (t, t')}{\text{split}_0} \quad t$$

$\rightsquigarrow$

$$\begin{array}{c} \cdot \\ \vdots \\ \pi_1 \\ \cdot \\ t \end{array}$$

$$\frac{\frac{\begin{array}{c} \cdot \\ \vdots \\ \pi_1 \\ \cdot \\ t \end{array} \quad \begin{array}{c} \cdot \\ \vdots \\ \pi_2 \\ \cdot \\ k \end{array}}{\text{pair}} \quad \begin{array}{c} \cdot \\ \vdots \\ \pi_3 \\ \cdot \\ \text{inv}(k) \end{array}}{\text{decrypt}} \quad \frac{\{t\}_k}{t}$$

$\rightsquigarrow$

$$\begin{array}{c} \cdot \\ \vdots \\ \pi_1 \\ \cdot \\ t \end{array}$$

## Subterm property

### Lemma

If  $\pi$  is a normal proof of  $X \vdash t$  and  $r$  occurs in  $\pi$ :

- $r \in st(X \cup \{t\})$
- if  $\pi$  ends in a destruction rule, then  $r \in st(X)$ .

## Subterm property

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- if  $\pi$  ends in a destruction rule, then  $r \in st(X)$ .

$$\frac{\begin{array}{c} \cdot \\ \vdots \pi_1 \\ t \end{array} \quad \begin{array}{c} \cdot \\ \vdots \pi_2 \\ k \end{array}}{\{t\}_k} \text{encrypt}$$

- if  $r$  occurs in  $\pi_1$ ,  
 $r \in st(X \cup \{t\})$
- if  $r$  occurs in  $\pi_2$ ,  
 $r \in st(X \cup \{k\})$
- therefore, if  $r$  occurs in  $\pi$ ,  
 $r \in st(X \cup \{\{t\}_k\})$

## Subterm property

### Lemma

If  $\pi$  is a normal proof of  $X \vdash t$  and  $r$  occurs in  $\pi$ :

- $r \in st(X \cup \{t\})$
- if  $\pi$  ends in a destruction rule, then  $r \in st(X)$ .

$$\frac{\begin{array}{c} \vdots \pi_1 \\ \{t\}_k \end{array} \quad \begin{array}{c} \vdots \pi_2 \\ inv(k) \end{array}}{t} \text{decrypt}$$

- if  $r$  occurs in  $\pi_1$  or  $\pi_2$ ,  
 $r \in st(X \cup \{\{t\}_k\})$
- since  $\pi$  is normal,  $\pi_1$  does not end with the *encrypt* rule
- so it ends with a destruction rule, and  $\{t\}_k \in st(X)$
- so any  $r$  occurring in  $\pi$  is in  $st(X)$ .



## *A polynomial-time algorithm*

- The height of a normal proof of  $X \vdash t$  is bounded by  $n = |st(X \cup \{t\})|$ .
- Let  $X_0 = X$
- Compute  $X_i = \text{one-step-derivable}(X_{i-1}) \cap st(X \cup \{t\})$ , for  $i \leq n$
- Check if  $t \in X_n$ !

## *Distributive encryption in Dolev-Yao*

$$\mathcal{T} ::= m \mid (t_1, t_2) \mid [t_1, t_2] \mid \{t\}_k$$

**Normal terms:** Terms that do not contain a subterm of the form  $\{[t_1, t_2]\}_k$ .  
For a term  $t$ , get its normal form  $t\downarrow$  by **pushing encryptions over blind pairs, all the way inside**.

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 For a term  $t$ , get its normal form  $t\downarrow$  by **pushing encryptions over blind pairs, all the way inside.**

|  |  |  |  |
|--|--|--|--|
| $\frac{[t, t'] \quad k}{\{t\}_k\downarrow, \{t'\}_k\downarrow} \text{encrypt}$ | $\frac{\{t\}_k\downarrow \quad \text{inv}(k)}{t} \text{decrypt}$ | $\frac{(t_0, t_1)}{t_i} \text{split}_i$        | $\frac{[t_0, t_1]\downarrow \quad t_i\downarrow}{t_{1-i}} \text{blindsplit}_i$ |
| $\frac{}{t} \text{Ax } (t \in X)$  | $\frac{t \quad k}{\{t\}_k\downarrow} \text{encrypt}$             | $\frac{t_1 \quad t_2}{(t_1, t_2)} \text{pair}$ | $\frac{t_1 \quad t_2}{[t_1, t_2]} \text{blindpair}$                            |

Figure: **analz** and **synth** rules for normal terms (with assumptions from  $X \subseteq \mathcal{T}$ )

## Alternative theories

- A simpler system. Delaune, Kremer, Ryan 2009, Baskar, Ramanujam, Suresh 2007.

$$\frac{[t, \{m\}_k] \quad \text{inv}(k)}{[\{t\}_{\text{inv}(k)}, m]}$$

Passive intruder deduction is **ptime** decidable.

- A much harder system. Lafourcade, Lugiez, Treinen 2007.

$$\frac{t_1 + \dots + t_\ell \quad k}{\{t_1\}_k + \dots + \{t_\ell\}_k}$$

$$\frac{t_1 + \dots + t_\ell + \dots + t_m \quad t_\ell + \dots + t_m + \dots + t_n}{t_1 + \dots + t_{\ell-1} - t_{m+1} - \dots - t_n}$$

Decidable but non-elementary upper bound.

- Our system: Decidable with a **dexptime** upper bound and a **dexptime** lower bound.

## *Related work*

- What about other cryptographic primitives?
- Diffie-Hellman encryption, exclusive or, homomorphic encryption, blind signatures, ...
- A large body of results: Rusinowitch & Turuani 2003, Millen & Shmatikov 2001, Comon & Shmatikov 2003, Chevalier, Küsters, Rusinowitch & Turuani 2005, Delaune & Jacquemard 2006, Bursuc, Comon & Delaune 2007
- But distributive encryption is an especially hard case that is not subsumed by these theories

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*No subterm property!*

$$\frac{\frac{\overline{[a, b]} \quad Ax}{[a, b]} \quad \frac{\overline{k} \quad Ax}{k} \quad \text{encrypt}}{\frac{\overline{[\{a\}_k, \{b\}_k]} \quad Ax}{[\{a\}_k, \{b\}_k]} \quad \frac{\overline{\{b\}_k} \quad Ax}{\{b\}_k} \quad \text{blindsplit}_1} {\{a\}_k}$$

## Proof size lower bounds

### Theorem

For every  $n$ , there exist  $X_n, t_n$  such that:

- 1  $size(X_n, t_n)$  is  $O(n)$
- 2  $X_n \vdash t_n$
- 3 Any proof of  $X_n \vdash t_n$  is of size at least  $2^n$ .



## Exponential size proof

- $K = \{k, k', k_0, k_1\}$ . 0 will denote  $k_0$ , 1 will denote  $k_1$
- $\underline{m}$  is the reverse of the  $n$ -bit representation of  $m \in \{0, \dots, 2^n - 1\}$
- $X_0$  is the following set:

$$\{a\}_{k_0 k'}$$

$$[\{b_1\}_0, a], [\{b_2\}_0, b_1], \dots, [\{b_n\}_0, b_{n-1}]$$

$$[\{b_1\}_1, a], [\{b_2\}_1, b_1], \dots, [\{b_n\}_1, b_{n-1}]$$

$$[\{a\}_k, b_n], [\{c\}_{\underline{2^n-1}}, a]$$

- The following sequent can be derived:

$$X_0, K \vdash \{c\}_{\underline{2^n-1}k_i r k \dots k_i 0 k_0 k'}$$

## Exponential size proof ...

- $X_1$  is the following set (where  $\ell$  ranges over  $\{k_0, k_1, k\}$ ):

$$\{e\}_{k'}, [\{e\}_\ell, e]$$

$$[\{g_0\}_0, e], [\{g_1\}_\ell, g_0], \dots, [\{g_{n+1}\}_\ell, g_n]$$

$$[\{f_0\}_1, e], [\{f_1\}_\ell, f_0], \dots, [\{f_{n+1}\}_\ell, f_n]$$

- The following derivations are possible, where  $x, y \in \{k, k_0, k_1\}^*$ ,  $|y| = n + 1$ :

$$X_1, K \vdash \{e\}_{xk_0k'}$$

$$X_1, K \vdash \{g_n\}_{y_0xk_0k'}$$

$$X_1, K \vdash \{f_n\}_{y_1xk_0k'}$$

## *Exponential size proof ...*

- $X_2$  is the following set :

$$[[c, \{c\}_0], f_n], [[d, \{c\}_1], g_n]$$

$$[[d, \{d\}_0], g_n], [[d, \{d\}_1], f_n]$$

- The following derivation is possible:

$$X_1, X_2, K, \{c\}_{\underline{i+1}k\underline{ixk}'} \vdash \{c\}_{\underline{ixk}'}$$

## *Exponential size proof ...*

- $X_2$  is the following set :

$$[[c, \{c\}_0], f_n], [[d, \{c\}_1], g_n]$$

$$[[d, \{d\}_0], g_n], [[d, \{d\}_1], f_n]$$

- The following derivation is possible:

$$X_1, X_2, K, \{c\}_{\underline{i+1}k\underline{ixk}'} \vdash \{c\}_{\underline{ixk}'}$$

- To prevent accidental decryptions, we actually take  $X_2$  to be:

$$[[[[[c, \{c\}_0], f_n], \{c\}_0], f_n], [[d, \{c\}_1], g_n], \{c\}_1], g_n], \dots$$

## *Exponential size proof ...*

- $X = X_0 \cup X_1 \cup X_2 \cup K$
- $X \vdash \{c\}_{0k'}$
- One can also prove that every derivation of the above contains the term  $\{c\}_{\underline{2}^n - 1 \underline{k}_r \underline{k} \dots \underline{k}_i \underline{k}_0 \underline{k}'}$ , but arbitrary derivations are hard to analyze!
- **Strategy:** Show that every proof can be transformed to a normal proof without introducing new terms in the proof, and analyze normal proofs.

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## Alternating pushdown systems

### Definition

An **alternating pushdown system** is a triple  $\mathcal{P} = (P, \Gamma, \hookrightarrow)$  where:

- $P$  is a *finite set of control locations*,
- $\Gamma$  is a *finite stack alphabet*,
- and  $\hookrightarrow \subseteq P \times \Gamma^* \times 2^{(P \times \Gamma^*)}$  is a *finite set of transition rules*.

Transitions are written  $(a, x) \hookrightarrow \{(b_1, x_1), \dots, (b_n, x_n)\}$ .

## Alternating pushdown systems ...

### Definition

A *configuration* is a pair  $(a, x)$  where  $a \in P$  and  $x \in \Gamma^*$ . Given a set of configurations  $C$ , a configuration  $(a, x)$ , and  $i \geq 0$ , we say that  $(a, x) \Rightarrow_{\mathcal{P}, i} C$  iff:

- $(a, x) \in C$  and  $i = 0$ , or
- there is a transition  $(a, y) \hookrightarrow \{(b_1, y_1), \dots, (b_n, y_n)\}$  of  $\mathcal{P}$ ,  $z \in \Gamma^*$ , and  $i_1, \dots, i_n$  such that  $i = i_1 + \dots + i_n + 1$  and  $x = yz$  and  $(b_j, y_j z) \Rightarrow_{\mathcal{P}, i_j} C$  for all  $j \in \{1, \dots, n\}$ .

We say that  $(a, x) \Rightarrow_{\mathcal{P}} C$  iff  $(a, x) \Rightarrow_{\mathcal{P}, i} C$  for some  $i \geq 0$ .



## Alternating pushdown systems ...

*Theorem (Suwimonterabuth, Schwoun, Esparza 2006)*

The backwards-reachability problem for alternating pushdown systems, which asks, given an APDS  $\mathcal{P}$  and configurations  $(s, x_s)$  and  $(f, x_f)$ , whether  $(s, x_s) \Rightarrow_{\mathcal{P}} (f, x_f)$ , is dextime-complete.

## The reduction

Given an APDS  $\mathcal{P} = (P, \Gamma, \hookrightarrow)$ , with rules in  $\hookrightarrow$  are numbered 1 to  $\ell$  and two configurations  $(s, x_s)$  and  $(f, x_f)$ .

Take  $M = P \cup \{\mathbf{c}_m \mid 1 \leq m \leq \ell\}$  to be a set of atomic terms, and  $K = \Gamma \cup \{d, e\}$  to be a set of *non-symmetric keys*.

Suppose the  $m^{\text{th}}$  rule is:

$$(a, x) \hookrightarrow \{(b_1, x_1), \dots, (b_n, x_n)\}$$

This gets translated to the following term  $\mathbf{r}_m$ :

$$\mathbf{r}_m = [[\dots[[\mathbf{r}'_m, \{b_1\}_{x_1}], \{b_2\}_{x_2}], \dots, \{b_{n-1}\}_{x_{n-1}}], \{b_n\}_{x_n}], \text{ where}$$
$$\mathbf{r}'_m = [[\dots[[\{\mathbf{c}_m\}_d, \{a\}_x], \{b_1\}_{x_1}], \dots, \{b_{n-1}\}_{x_{n-1}}], \{b_n\}_{x_n}].$$

## The reduction ...

We take  $X$  to be the set

$$\{\mathbf{r}_m \mid 1 \leq m \leq \ell\} \cup \{\{f\}_{x_f e}\} \cup \{\{\mathbf{c}_m\}_d \mid 1 \leq m \leq \ell\} \cup \Gamma \cup \{e\}.$$

*Theorem*

$$(s, x_s) \Rightarrow_{\mathcal{P}} (f, x_f) \text{ iff } X \vdash \{s\}_{x_s e}.$$

*Theorem*

*The passive intruder deduction problem is dexptime-hard.*

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## Proof normalization

|   |   |
|---|---|
| $\frac{\frac{\frac{\vdots \pi' \quad \vdots \pi''}{t' \quad t''} \text{blindpair} \quad \vdots \delta}{[t', t'']} k}{[\{t'\}_{k\downarrow}, \{t''\}_{k\downarrow}]}$ <p style="text-align: right; margin-right: 20px;"><i>encrypt</i></p> | $\frac{\frac{\frac{\vdots \pi' \quad \vdots \delta}{t' \quad k} \text{encrypt} \quad \frac{\vdots \pi'' \quad \vdots \delta}{t'' \quad k} \text{encrypt}}{\{t'\}_{k\downarrow} \quad \{t''\}_{k\downarrow}} \text{blindpair}}{[\{t'\}_{k\downarrow}, \{t''\}_{k\downarrow}]}$ |
| $\frac{\frac{\frac{\vdots \pi' \quad \vdots \pi''}{\{t'\}_{k\downarrow} \quad \{t''\}_{k\downarrow}} \text{blindpair} \quad \vdots \delta}{[\{t'\}_{k\downarrow}, \{t''\}_{k\downarrow}] \text{inv}(k)} \text{decrypt}}{[t', t'']}$       | $\frac{\frac{\frac{\vdots \pi' \quad \vdots \delta}{\{t'\}_{k\downarrow} \text{inv}(k)} \text{decrypt} \quad \frac{\vdots \pi'' \quad \vdots \delta}{\{t''\}_{k\downarrow} \text{inv}(k)} \text{decrypt}}{t' \quad t''} \text{blindpair}}{[t', t'']}$                         |

Figure: The normalization rules I

## Proof normalization ...

|   |  |
|---|--|
| $  \frac{\frac{\frac{\vdots \pi'}{[t, t']}}{t} \quad \frac{\vdots \pi''}{t'}}{\{t\}_{k\downarrow}} \text{ blindsplit} \quad \frac{\vdots \delta}{k}}{\{t\}_{k\downarrow}} \text{ encrypt}  $  | $  \frac{\frac{\frac{\vdots \pi'}{[t, t']} \quad \frac{\vdots \delta}{k}}{[\{t'\}_{k\downarrow}, \{t'\}_{k\downarrow}]} \text{ encrypt} \quad \frac{\frac{\vdots \pi''}{t'} \quad \frac{\vdots \delta}{k}}{\{t'\}_{k\downarrow}} \text{ blindsplit}}{\{t\}_{k\downarrow}}  $ |
| $  \frac{\frac{\frac{\vdots \pi'}{[\{t'\}_{k\downarrow}, \{t'\}_{k\downarrow}]} \quad \frac{\vdots \pi''}{\{t'\}_{k\downarrow}}}{\{t\}_{k\downarrow}} \text{ blindsplit} \quad \frac{\vdots \delta}{\text{inv}(k)}}{\{t\}_{k\downarrow}} \text{ decrypt}  $ | $  \frac{\frac{\frac{\vdots \pi'}{[\{t'\}_{k\downarrow}, \{t'\}_{k\downarrow}]} \quad \frac{\vdots \delta}{\text{inv}(k)}}{[t, t']} \text{ decrypt} \quad \frac{\frac{\vdots \pi''}{\{t'\}_{k\downarrow}} \text{ blindsplit}}{t'}}{t}  $                                     |

Figure: The normalization rules II

## *Proof normalization ...*

### *Lemma*

*Whenever  $X \vdash t$ , there is a normal proof of  $t$  from  $X$ .*

## Proof normalization ...

### Lemma

Whenever  $X \vdash t$ , there is a normal proof of  $t$  from  $X$ .

### Lemma

Let  $\pi$  be a normal proof of  $t$  from  $X$ , and let  $\delta$  be a sub-proof of  $\pi$  with root labelled  $r$ . Then the following hold:

- 1 If  $\delta$  ends with an *analz* rule, then for every  $u$  occurring in  $\delta$  there is  $p \in st(X)$  and keyword  $x$  such that  $u = \{p\}_x \downarrow$ .
- 2 If  $\delta$  ends with a *synth* rule, then for every  $u$  occurring in  $\delta$ , either  $u \in st(X \cup \{r\})$  or there is  $p \in st(X)$  and keyword  $x$  such that  $u = \{p\}_x \downarrow$ .
- 3 If the last rule of  $\delta$  is *decrypt* or *split* with major premise  $r_1$ , then  $r_1 \in st(X)$ .



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## *Decidability: the proof idea*

- Show that every term in a normal proof of  $X \vdash t$  is of the form  $\{p\}_x$  where  $p \in st(X \cup \{t\})$  and  $x$  is a sequence of keys from  $st(X \cup \{t\})$ .
- Show that for each  $p \in st(X \cup \{t\})$ ,  $\mathcal{L}_p = \{x \in \mathcal{K}^* \mid X \vdash \{p\}_x\}$  is a regular set.
- To check whether  $X \vdash t$ , check whether  $\varepsilon \in \mathcal{L}_t$ .

## Decidability: the proof idea

- Show that every term in a normal proof of  $X \vdash t$  is of the form  $\{p\}_x$  where  $p \in st(X \cup \{t\})$  and  $x$  is a sequence of keys from  $st(X \cup \{t\})$ .
- Show that for each  $p \in st(X \cup \{t\})$ ,  $\mathcal{L}_p = \{x \in \mathcal{K}^* \mid X \vdash \{p\}_x\}$  is a regular set.
- To check whether  $X \vdash t$ , check whether  $\varepsilon \in \mathcal{L}_t$ .
- Properties of the  $\mathcal{L}_p$ :
  - $kx \in \mathcal{L}_p$  iff  $x \in \mathcal{L}_{\{p\}_k}$
  - if  $x \in \mathcal{L}_p$  and  $x \in \mathcal{L}_{[p,p']}$ , then  $x \in \mathcal{L}_{p'}$
  - if  $x \in \mathcal{L}_p$  and  $\varepsilon \in \mathcal{L}_k$ , then  $xk \in \mathcal{L}_p$
  - if  $\varepsilon \in \{t\}_k$  and  $\varepsilon \in inv(k)$  then  $\varepsilon \in t$ .

## *An example*

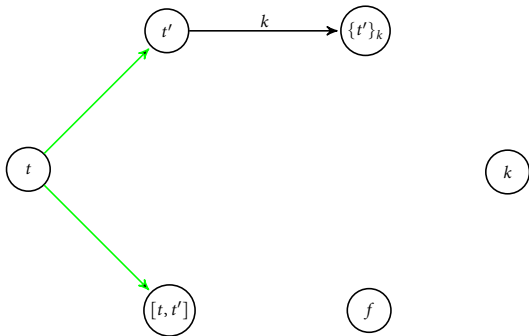
$$\{[t, t'], \{t'\}_k, k\} \vdash \{t\}_k$$



the set of subterms

## An example

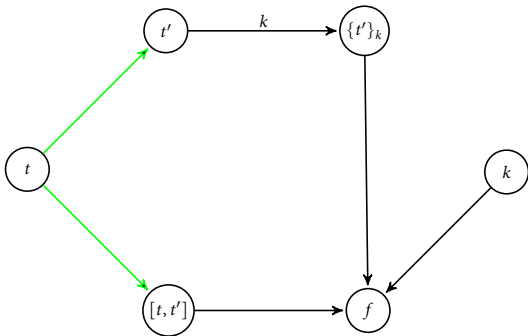
$$\{[t, t'], \{t'\}_k, k\} \vdash \{t\}_k$$



$t', [t, t'] \vdash t$  and  $t'$  encrypted with  $k$  is  $\{t'\}_k$

## An example

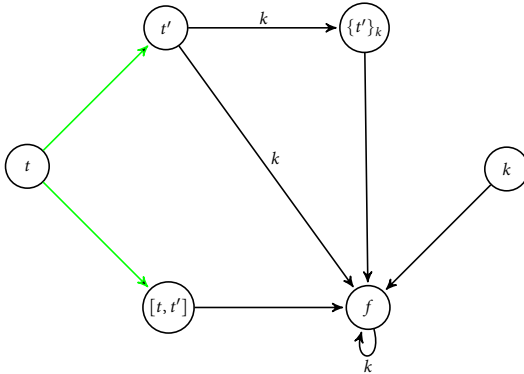
$$\{[t, t'], \{t'\}_k, k\} \vdash \{t\}_k$$



the initial set of terms  $X$

# An example

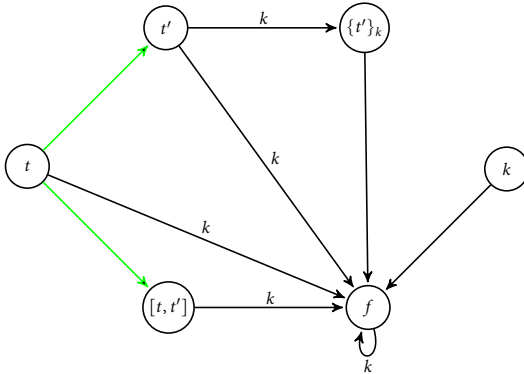
$$\{[t, t'], \{t'\}_k, k\} \vdash \{t\}_k$$



$$k \in X \text{ and } t' \xRightarrow{k} f$$

# An example

$$\{[t, t'], \{t'\}_k, k\} \vdash \{t\}_k$$

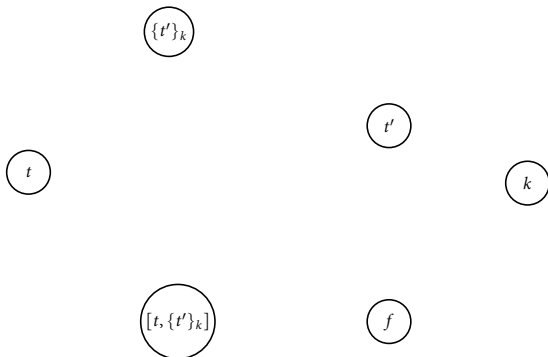


$$[t, t'] \xRightarrow{k} f \text{ and } t \xRightarrow{k} f$$



## Another example

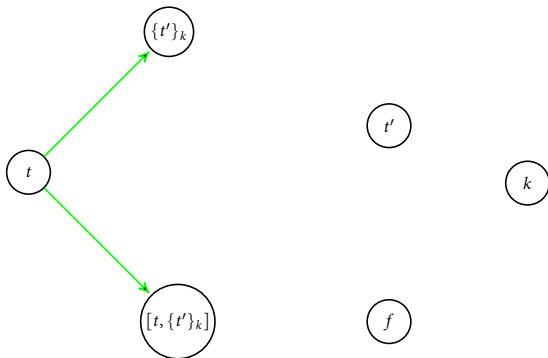
$$\{[t, \{t'\}_k], t', k\} \vdash t$$



the set of subterms

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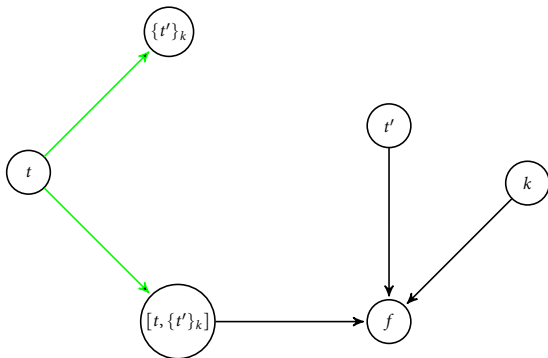
$$\{[t, \{t'\}_k], t', k\} \vdash t$$



$$\{t'\}_k, [t, \{t'\}_k] \vdash t$$

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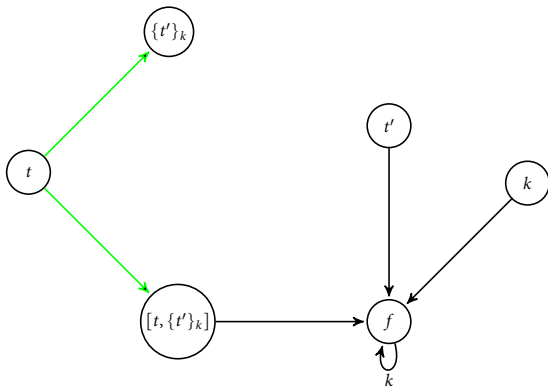
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the initial set of terms  $X$

*Another example*

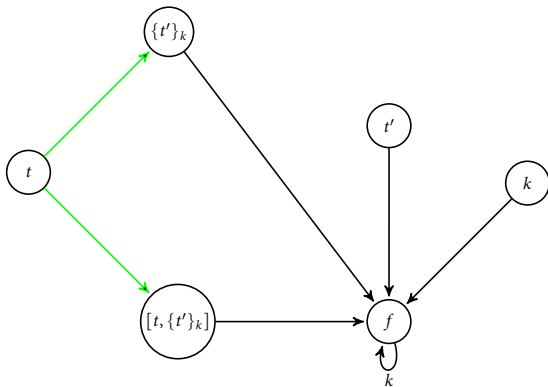
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$$k \in X$$

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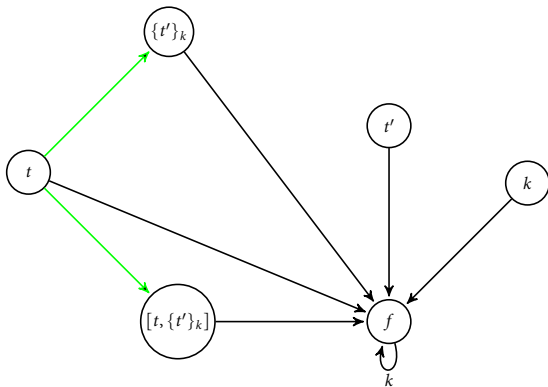
$$\{[t, \{t'\}_k], t', k\} \vdash t$$



$$t' \stackrel{k}{\Rightarrow} f$$

## Another example

$$\{[t, \{t'\}_k], t', k\} \vdash t$$



$$t \Rightarrow f$$

## *The automaton construction*

Similar to the construction in [Bouajjani, Esparza, Maler 1997]

$$\mathcal{A}_i = (Q, \Sigma, \hookrightarrow_i, F), Q = Y_0 \cup \{f\}, \Sigma = K_0, \text{ and } F = \{f\}.$$

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- - 1 if  $t \in Y_0, k \in K_0$  such that  $\{t\}_{k\downarrow} \in Y_0$ , then  $t \xrightarrow{k}_0 \{\{t\}_{k\downarrow}\}$ .
  - 2 if  $t, t', t'' \in Y_0$  such that  $t$  is the conclusion of an instance of the *blindpair* or *blindsplit*<sub>*i*</sub> rules with premises  $t'$  and  $t''$ , then  $t \xrightarrow{\varepsilon}_0 \{t', t''\}$ .



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- 1 if  $q \xRightarrow{i} C$ , then  $q \xrightarrow{i+1} C$ .
- 2 if  $\{t\}_{k\downarrow} \in Y_0$  and  $t \xRightarrow{i} C$ , then  $\{t\}_{k\downarrow} \xrightarrow{\varepsilon}_{i+1} C$ .
- 3 if  $k \in K_0$  and  $k \xRightarrow{i} \{f\}$ , then  $f \xrightarrow{k}_{i+1} \{f\}$ .
- 4 if  $\Gamma \subseteq Y_0, t \in Y_0$ , and if there is an instance  $\mathbf{r}$  of one of the rules whose set of premises is (exactly)  $\Gamma$  and conclusion is  $t$  the following holds:

$$\text{if } u \xRightarrow{\varepsilon}_i \{f\} \text{ for every } u \in \Gamma, \text{ then } t \xrightarrow{\varepsilon}_{i+1} \{f\}.$$

## Correctness of the construction

### Theorem

(Completeness) For any  $t \in Y_0$  and any keyword  $x$ , if  $X_0 \vdash \{t\}_x \downarrow$ , then there exists  $i \geq 0$  such that  $t \xRightarrow{x}_i \{f\}$ .

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### Lemma

Suppose  $i, d \geq 0$ ,  $t \in Y_0$ ,  $x, y \in K_0^*$ , and  $C \subseteq Q$  (with  $D = C \cap Y_0$ ). Suppose the following also hold: 1)  $t \xRightarrow{x}_{i,d} C$ , and 2)  $C \subseteq Y_0$  or  $X_0 \vdash y$ . Then  $X_0 \cup \{D\}_y \vdash \{t\}_{xy}$ .

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### Theorem

(Soundness) For any  $i$ , any  $t \in Y_0$ , and any keyword  $x$ , if  $t \xRightarrow{x}_i \{f\}$ , then  $X_0 \vdash \{t\}_{x\downarrow}$ .

## Complexity

### Theorem

The problem of checking whether  $X \vdash t$ , given  $X$  and  $t$ , is solvable in time  $2^{O(n)}$ , where  $n$  is the size of  $X \cup \{t\}$ .

### Proof.

The automaton saturation procedure only adds transitions, and the total number of transitions possible is  $2^{O(n)}$ . Each refinement step takes time  $2^{O(n)}$ . □

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- Interesting extension of the Dolev-Yao theory
- One of the very few lower bound results for the passive intruder deduction problem
- Both upper and lower bound proofs reveal interesting connections with some automata models
- Results can be extended to systems which use constructed keys rather than atomic keys, and also systems which treat the blind pair operator to be associative.
- **Hard problem (yet to be tackled):** Getting better upper bounds for the theory which considers an abelian group operator with distributive encryption, improving LLT2007.

*Questions?*

*Thank you!*