# Reachabilty in Succinct and Parametric One-Counter Automata

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# Parameters Everywhere

Boltzman's constant	k	
Planck's constant	ħ	
Speed of light	С	
Gravitational constant	G	



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. .

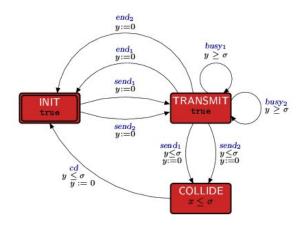


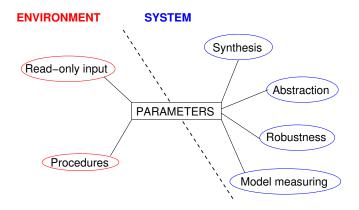
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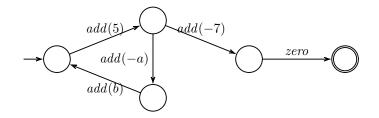
#### A More Tractable Example





# Parametric State Machines

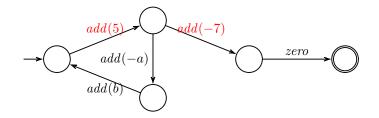
- Flat counter machines with parameters (Bozga, losif, Lakhnech 06)
- Reversal-bounded counter machines with read-only input (Dang, Ibarra 93;...)
- Timed automata with parametric guards (Alur, Henzinger, Vardi 93; André, Encrenaz, Fribourg 09)
- Counter machines with weights/costs (Xie, Dang, Ibarra 03)



One-counter automata: NFA with one counter over N

Succinct: Numbers encoded in binary

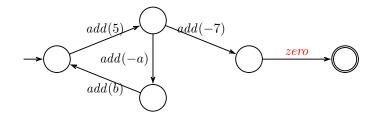
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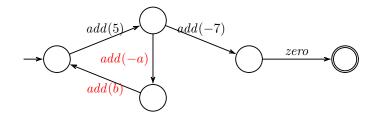
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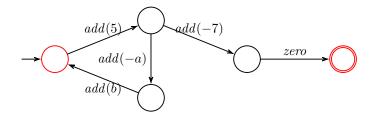
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Parametric:



Are there values for the parameters such that a final configuration is reachable from an initial configuration?

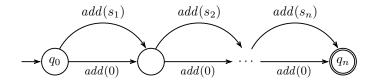
# Main result

#### Theorem The reachability problem for parametric one-counter automata is NP-complete.

#### **NP-Hardness**

Reduction from SUBSETSUM:

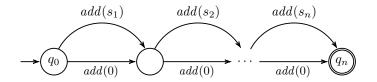
Instance:  $S = \{s_1, s_2, ..., s_n\} \subseteq \mathbb{N}$  and target  $t \in \mathbb{N}$ Question: Is there  $S' \subseteq S$  such that  $\sum_{s \in S'} s = t$ ?



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Problem becomes NLOGSPACE-complete when numbers are encoded in unary (Lafourcade *et al.*, 2004)

# Presburger Arithmetic

- First-order theory of the natural numbers with addition is decidable (Presburger 29)
- Adding multiplication or divisibility leads to undecidability of satisfiability (Gödel 31, Robinson 49)
- Existential fragment of PA with divisibility is decidable (Lipshitz 78)
  - Terms: linear polynomials  $A(\vec{x}) = a_0 + a_1 x_1 + \ldots + a_n x_n$
  - Atomic formulas:  $A(\vec{x}) \leq B(\vec{x})$  and  $A(\vec{x})|B(\vec{x})$
  - Formulas:  $\exists x_1 \cdots \exists x_n : \varphi(\vec{x})$

**Idea.** Given  $\varphi(\vec{x})$ , construct counter machine  $C_{\varphi}$  with parameters  $\vec{x}$  such that  $\varphi(\vec{x})$  iff  $(q_s, 0) \rightsquigarrow (q_t, 0)$ :

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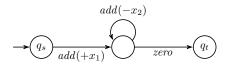
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 $x_1 | x_2$ 

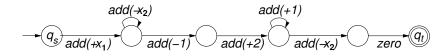


**Idea.** Given formula  $\varphi(\vec{x})$ , construct counter machine  $C_{\varphi}$  such that  $\varphi(\vec{x})$  holds iff  $(q_s, 0) \rightsquigarrow (q_t, 0)$  in  $C_{\varphi}$ .

•  $\varphi_1 \wedge \varphi_2$ : sequential composition of  $\mathcal{C}_{\varphi_1}$  and  $\mathcal{C}_{\varphi_2}$ 

•  $\varphi_1 \lor \varphi_2$ : parallel composition of  $C_{\varphi_1}$  and  $C_{\varphi_2}$ 

 $\triangleright x_2 \nmid x_1$ 



# NP-Hardness Again

Theorem (Manders, Adelman 76). The following problem is NP-complete:

Given integers  $\alpha, \beta, \gamma$  does there exist  $x \leq \gamma$  such that

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- Easily encoded into Presburger arithmetic with divisibility
- Reachability is NP-hard on counter machines even if we fix the underlying graph of states and transitions.

# Words of Wisdom



# Words of Wisdom



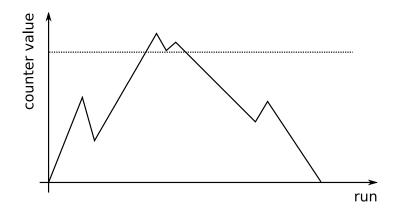
"If you can't solve a problem, there is an easier problem you can't solve." - **George Pólya** 

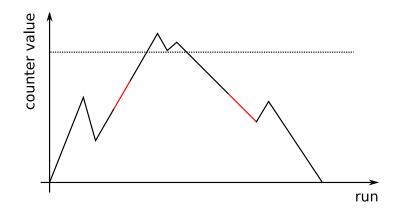
# The non-parametric case

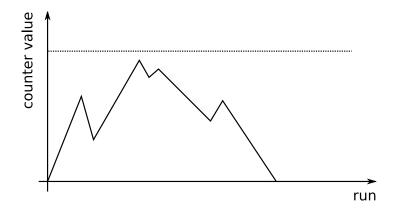
# NP-Membership of Reachability

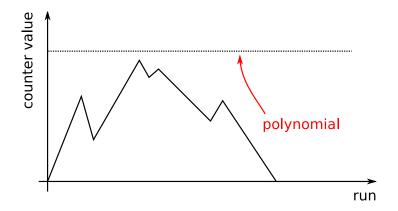
Three stages to show membership in NP:

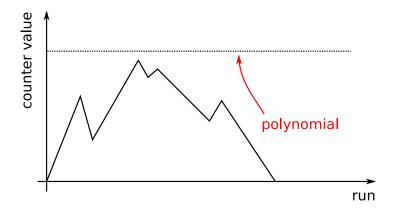
- 1. Establish a bound on the length of a run
- 2. Find certificate of a run of polynomial size
- 3. Ensure certificate can be verified in non-deterministic polynomial time











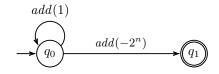
~ PSPACE upper bound for reachability

# NP-Membership of Reachability

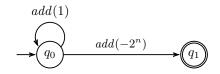
Three stages to show membership in NP:

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#### Runs of Exponential Length

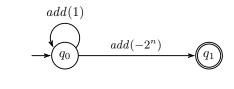


#### Runs of Exponential Length



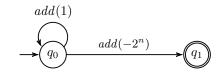
 $(q_0, 0)$ 

### Runs of Exponential Length



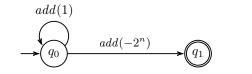
 $(\textit{q}_0,0) \rightarrow (\textit{q}_0,1)$ 

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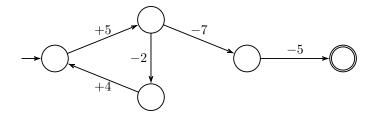


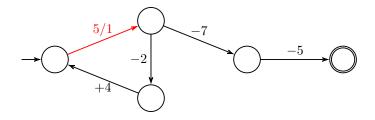
 $(\mathit{q}_0,0) \rightarrow (\mathit{q}_0,1) \rightarrow (\mathit{q}_0,2)$ 

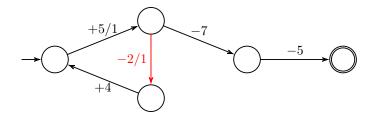
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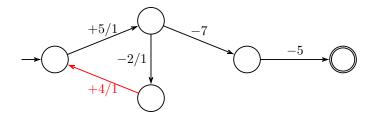


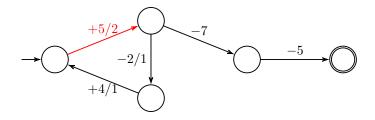
 $(q_0,0) 
ightarrow (q_0,1) 
ightarrow (q_0,2) 
ightarrow \cdots 
ightarrow (q_1,2^n) 
ightarrow (q_1,0)$ 

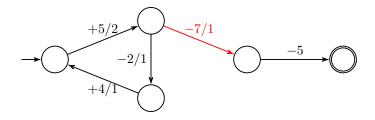


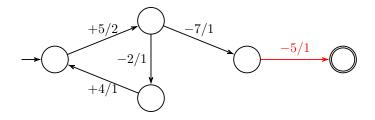


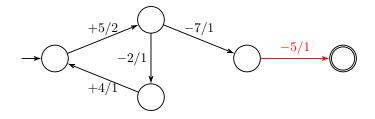




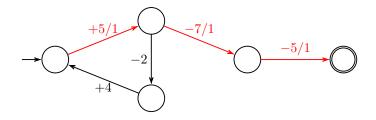








→ assign to each edge the number of times it is taken:



but flow network does not necessarily correspond to a run

### NP-Membership of Reachability

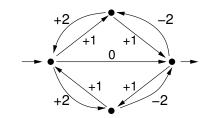
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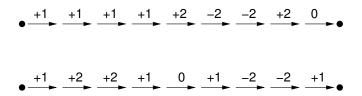
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# **Three Simple Cases**

- 1. Flow network begins with a positive cycle and ends with a negative cycle
- 2. Flow network has no positive cycles
- 3. Flow network has no negative cycles

### Positive Cycles and Positive Cycles



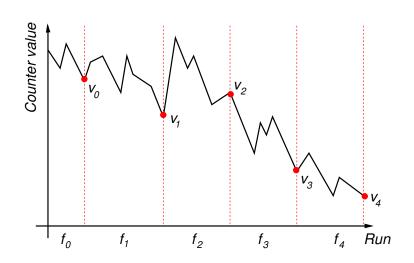


### Positive Cycle and Negative Cycle



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### Guess elimination order on vertices

►  $V_0, V_1, ..., V_4$ 

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Corresponding flow decomposition

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- Counter never goes negative:
  - value(f₀) ≥ 0

. . .

value(f<sub>0</sub> + f<sub>1</sub>) ≥ 0

# **Three Simple Cases**

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## **Decomposition Lemma**

#### Lemma

If there is a path from the initial state to the final state, then there is a path that can be written as the sum of three flow networks  $f^- + f^* + f^+$ , where

- ▶ f<sup>-</sup> contains no positive cycle
- ► f<sup>+</sup> contains no negative cycle
- f\* has a positive cycle at the "beginning" and a negative cycle at the "end"

### Kirchhoff Certificates

Kirchhoff certificate guessed and verified in NP:

- Flows  $f^-$ ,  $f^+$  and  $f^*$  guessed in polynomial time
- Bellman-Ford algorithm checks in polynomial time non-existence of positive cycles in f<sup>-</sup> and negative cycles in f<sup>+</sup>
- Elimination orderings for f<sup>+</sup> and f<sup>-</sup> guessed in polynomial time

 $\rightsquigarrow$  NP-algorithm

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→ reachability for succinct one-counter automata is NP-complete

# In Reality

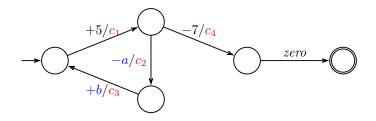


### In Reality

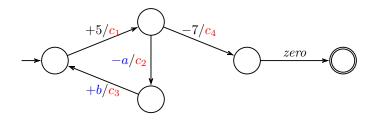


"It's only 10 pages in the LNCS style – we need another result!" - **Christoph Haase** 

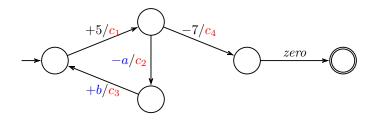
# The parametric case



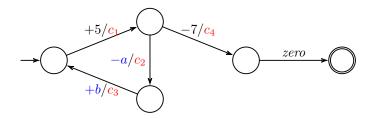
#### Symbolic representation of Kirchhoff certificates



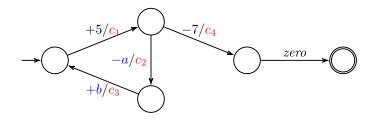
- Symbolic representation of Kirchhoff certificates
- ► Variables *c*<sub>1</sub>, *c*<sub>2</sub>, *c*<sub>3</sub>, *c*<sub>4</sub> to represent flow



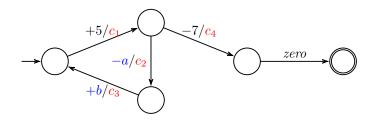
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- Variables c<sub>1</sub>, c<sub>2</sub>, c<sub>3</sub>, c<sub>4</sub> to represent flow
- Variables a, b to represent parameters



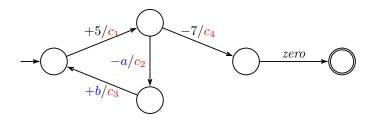
Flow constraints: e.g.  $c_1 = c_2 + c_4$ 



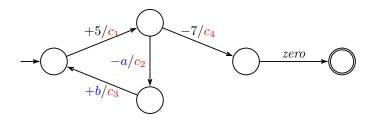
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- Cycle constraints: e.g. b a + 5 > 0



- Flow constraints: e.g.  $c_1 = c_2 + c_4$
- Cycle constraints: e.g. b a + 5 > 0
- Value constraints: value(f) > 0

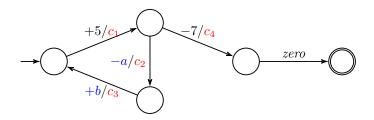


Value constraints:



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•  $value(f) = 5 \cdot c_1 - a \cdot c_2 + b \cdot c_3 - 7 \cdot c_4$ 



Value constraints:

- $\blacktriangleright value(f) = 5 \cdot c_1 a \cdot c_2 + b \cdot c_3 7 \cdot c_4$
- Quadratic Diophantine equation

### Flow Networks and Diophantine Equations

Some systems of quadratic Diophantine equations are decidable:

 $R_1 = y_1 A_1(\vec{x}) + B_1(\vec{x})$  $\vdots$  $R_k = y_k A_k(\vec{x}) + B_k(\vec{x})$ 

Given  $P \subseteq \mathbb{Z}^k$  Presburger definable, ask

 $\exists \vec{\mathbf{x}} \exists \vec{\mathbf{y}} P(R_1,\ldots,R_k)?$ 

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... translate to sentence in Presburger arithmetic with divisibility:

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#### Theorem

The reachability problem for parametric one-counter automata is NP-complete.