

# Around dot depth two

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# Regular languages

Language  $L$  over  $\Sigma^*$ .

- Recognized by automata
- Defined by regular expressions
- Specified by a formula of classical or temporal logic
  - Every  $a$  is eventually followed by  $b$
  - $G(a \Rightarrow F b)$
  - $\forall x. (a(x) \Rightarrow \exists y. (x < y \wedge b(y)))$
- Specified algebraically by its syntactic monoid.

**Expressiveness** Language classes and their corresponding expressions/logics/algebra/automata.

# Subclasses of regular languages

Some well known results.

Logic	Interval TL	TL	Automata	Algebra
$MSO[<]$	QDDC	QPTL	DFA	Monoids
$FO[<], FO^3[<]$	ITL	LTL[X,Y,U,S]	CFA	Aperiodic Monoids
$FO^2[<, S]$	?	LTL[X,Y,F,P]	?	DA*D
$FO^2[<]$	?	LTL[F,P]	<i>po2dfa</i>	DA

## Answer

- A fragment of ITL/SF with left- and right-deterministic marked concatenation which we call **Unambiguous interval temporal logic/ Unambiguous starfree expressions**
- An extension of *po2dfa* which **look around** which we call *po2dla*

# More questions

We have worked out our results in the framework of interval temporal logic and believe that a similar story can be told for starfree expressions.

- Membership for SF/ITL is Ptime-complete (Petersen)
- Satisfiability for QDDC and even for ITL is nonelementary (Stockmeyer and Meyer)
- What about membership and satisfiability for unambiguous interval temporal logic?

**Motivation** Modelchecker DCVALID worked more efficiently because formulas enhanced with “marking” propositions generated small automata (Krishna and Pandya)

**Example** Let  $w = \triangleright ddabdabddcb \triangleleft$ . Matched by the pattern  $\underline{bdd}$ .

# Logic ITL

- Word  $w \in \Sigma^+$
- $pos(w)$  set of positions in the word.
- $INTV(w) \stackrel{\text{def}}{=} \{[i, j] \in pos(w)^2 \mid i \leq j\}$
- **Satisfaction**  $w, [i, j] \models D$
- $w \models D$  iff  $w, [1, \#w] \models D$ .  
 $L(D) = \{w \in \Sigma^+ \mid w \models D\}$

# Syntax and semantics

Let  $a \in \Sigma$ . Let  $D, D_1, D_2$  range over formulas in *ITL*.

Abstract syntax of *ITL*:

$$pt \mid [a] \mid D_1; D_2 \mid D_1 \vee D_2 \mid \neg D$$

Semantics of *ITL*:

$$w, [i, j] \models pt \text{ iff } i = j$$

$$w, [i, j] \models [a] \text{ iff for all } k : i \leq k \leq j : w[k] = a$$

$$w, [i, j] \models D_1; D_2 \text{ iff for some } k : i \leq k \leq j \text{ and}$$

$$w, [i, k] \models D_1 \text{ and } w, [k, j] \models D_2$$

# Results

TL	Satisfiability	Interval TL	Membership	Satisfiability
LTL[X,U]	Pspace	ITL	Pspace	Nonelementary
LTL[X,Y,F,P]	Pspace	LITL	LogDCFL	NP/Pspace
LTL[F,P]	NP	UITL	LogDCFL	NP

- ITL subclasses expressively equivalent to  $FO^2$
- Direct effective translations from interval logics to *po2dla*, *po2dfa* (unlike  $FO^2$ , unary LTL)

UITL results presented at IFIP TCS Conference, Milano, Sep '08

# Unambiguous languages

- Studied by Schützenberger (1976)
- A concatenation  $e_1e_2$  is *unambiguous* if for any word  $w$  in  $L(e_1e_2)$ , there is a unique factorization  $w = uv$  such that  $u \in L(e_1)$  and  $v \in L(e_2)$
- An unambiguous language is recognized by a finite monoid which satisfies for some  $n$  the equation
$$(xyz)^{2n} = (xyz)^n y (xyz)^n$$
- Such monoids are said to belong to the pseudovariety **DA**
- Conversely, every monoid in **DA** recognizes an unambiguous language
- Unambiguous languages are precisely those definable in  $FO^2[<]$  (Thérien and Wilke 1998)



# Po2DFA which look around

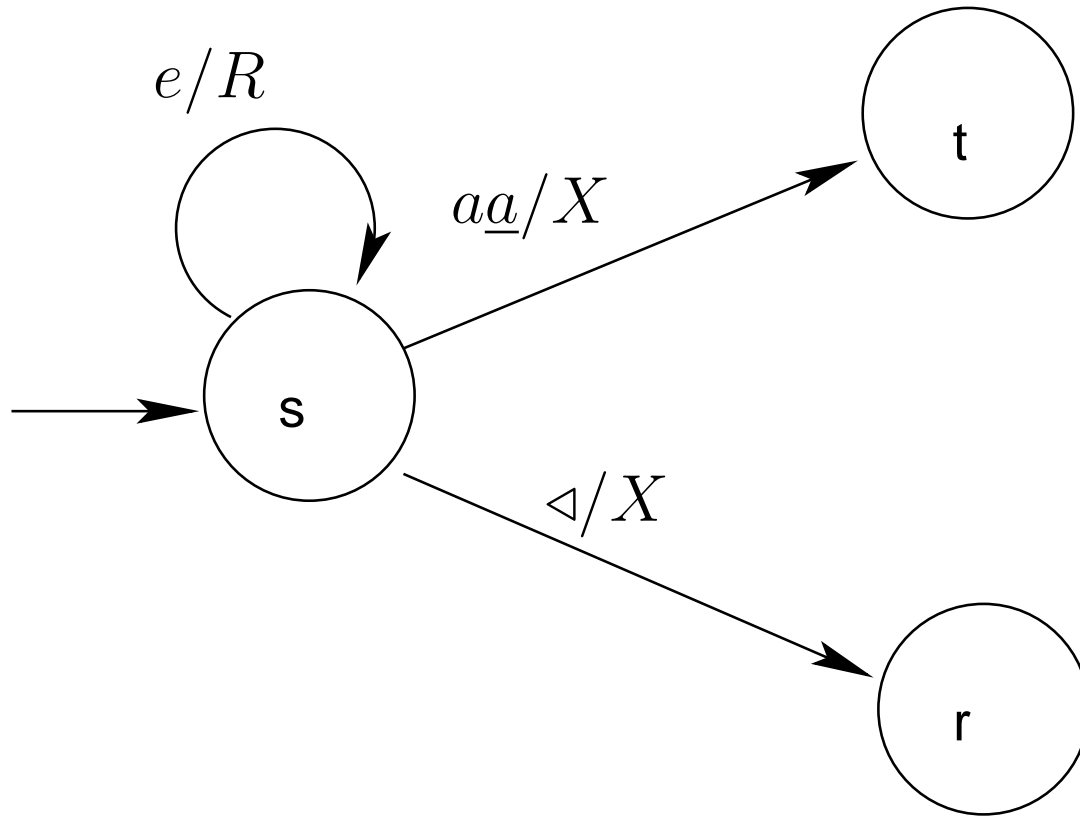
Generalize partially ordered two-way DFA introduced by Schwentick, Thérien and Vollmer (2001).

A *po2dfa*  $M = (Q, \leq, \delta, s, t, r)$  over  $\Sigma \cup \{\triangleright, \triangleleft\}$  where

- $(Q, \leq)$  is a poset of states with  $s$  (start),  $r$  (reject) and  $t$  (accept), the latter two minimal elements
- $\delta(q, u\underline{a}v) = (q', \_) \in Q \times \{L, R, X\}$  implies  $q' < q$  (once a state is exited, it is not re-entered)
- $\delta(q, e) = (q', \_) \in Q \times \{L, R\}$  implies  $q' \leq q$  (else skip to the next letter)
- Endmarkers to prevent falling off the end
- Overlapping of  $u\underline{a}v$ 's disallowed for determinism
- Maximum lookahead ( $|u|$  or  $|v|$ ) is  $k$

# Example *po2dla*

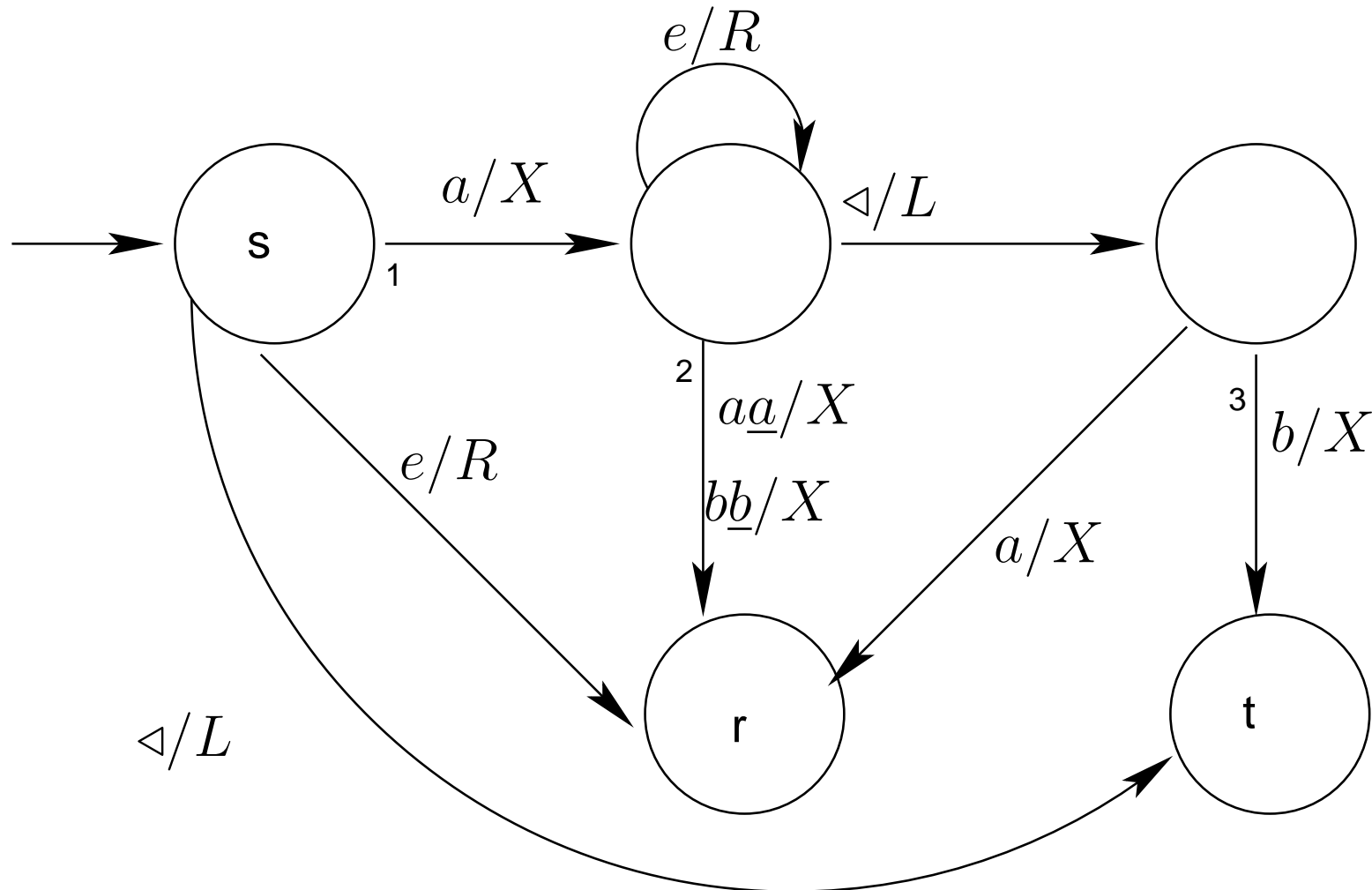
Accepting the language  $\Sigma^*aa\Sigma^*$ .



# Example *po2dla*

Accepting the language  $(ab)^*$ .

Transition 1 checks if the word begins with  $a$ , transition 2 rejects the word if there are consecutive  $a$ 's or  $b$ 's, and transition 3 accepts if it ends with a  $b$ .



# Results for *po2dla*

Consider a *po2dla* with  $n$  states, lookahead  $k$  and a word of length  $m$ .

- Membership in time  $nm + 2k + 1$ , and in space linear in  $k$  and logarithmic in  $m, n$
- Small model of size  $n|\Sigma|^{2k+1}$
- Nonemptiness in Pspace (NP for fixed lookahead)
- Boolean operations cause polynomial blowup in size: compositional way of constructing *po2dla*, extending the turtle expressions originally defined by Schwentick, Thérien and Vollmer (2001).

# Extended turtle expressions

- $Acc$  accepts and  $Rej$  rejects without moving the head.
- Scan for a pattern  $u\underline{a}v$  while *disallowing* another pattern (or a specified set of patterns)
- $P?Q, R$  executes  $P$  first. If  $P$  accepts at position  $j$  then  $Q$  is executed from head position  $j$ . Otherwise  $R$  is executed from head position  $j$ .

# Logic *LITL*

Let  $a \in \Sigma$ . Let  $D, D_1, D_2$  range over formulas in *LITL*.

Abstract syntax of *LITL*:

$$\begin{array}{l} \top \mid pt \mid D_1 F_{u\underline{a}v} D_2 \mid D_1 L_{u\underline{a}v} D_2 \\ \mid D_1 \vee D_2 \mid \neg D \mid \oplus D \mid \ominus D \end{array}$$

**Examples**  $\top F_{\underline{a}a} \top$  holds if there is an occurrence of the factor  $aa$

$\neg(\top L_{\underline{b}b} \top) F_{\underline{a}a} \top$  says that the factor  $bb$  does not occur before the first occurrence of the factor  $aa$

# Semantics

$w, [i, j] \models D_1 F_{uav} D_2$  iff for some  $k : i \leq k \leq j$ .  $w[* , k, *] = uav$   
and (for all  $m : i \leq m < k$ .  $w[* , m, *] \neq uav$ )  
and  $w, [i, k] \models D_1$  and  $w, [k, j] \models D_2$

$w, [i, j] \models D_1 L_{uav} D_2$  iff for some  $k : i \leq k \leq j$ .  $w[* , k, *] = uav$   
and (for all  $m : k < m \leq j$ .  $w[* , m, *] \neq uav$ )  
and  $w, [i, k] \models D_1$  and  $w, [k, j] \models D_2$

$w, [i, j] \models \oplus D$  iff  $i < j$  and  $w, [i + 1, j] \models D$

$w, [i, j] \models \ominus D$  iff  $i < j$  and  $w, [i, j - 1] \models D$

# Results for *LITL*

- Automaton characterization: *po2dla* are partially ordered two-way DFA which can check factors in their context (extending ideas used for locally testable languages).
- Membership in LogDCFL, emptiness in Pspace (NP for fixed lookahead)
- Ptime construction  $A : LITL \rightarrow po2dla$  such that  $L(D) = L(A(D))$ . The size  $|A(D)| = \mathcal{O}(|D|^2)$  states and same lookahead size as formula.
- Exptime construction  $D : po2dla \rightarrow LITL$  such that  $L(A) = L(D(A))$ . The size  $|D(A)| = \mathcal{O}(2^{|A|})$  and same lookahead size as automaton.



# Unambiguous interval temporal logic

Obtained by disallowing lookahead,  $a$  instead of  $u\underline{a}v$

**Property** Between the last  $a$  and subsequent first  $d$  there must be no  $b$ .

**Language** Let  $\Sigma = \{a, b, c, d\}$   
 $\Sigma^*ac^*d\{b, c, d\}^*$

**Formula**  $D \stackrel{\text{def}}{=} (\top L_a((\neg(\top F_b \top))F_d \top))$

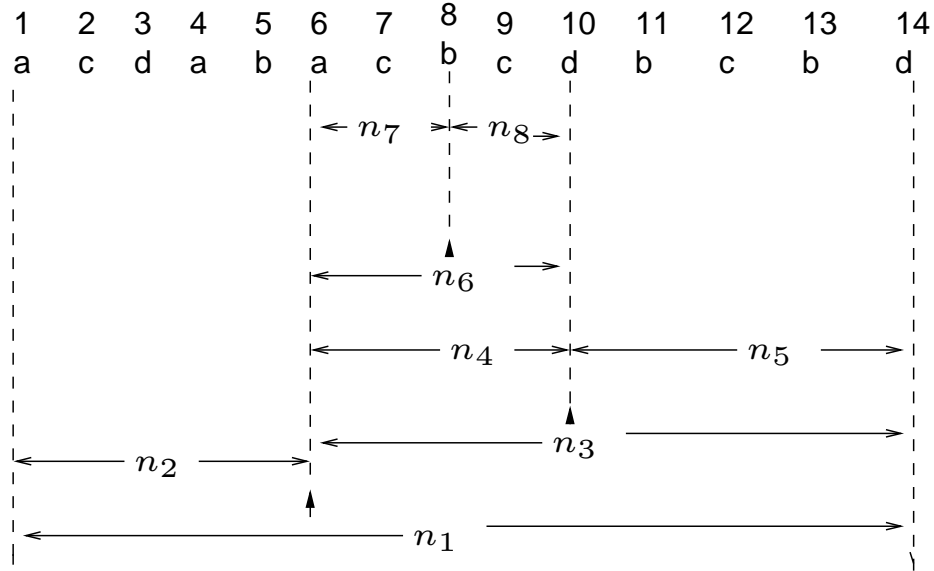
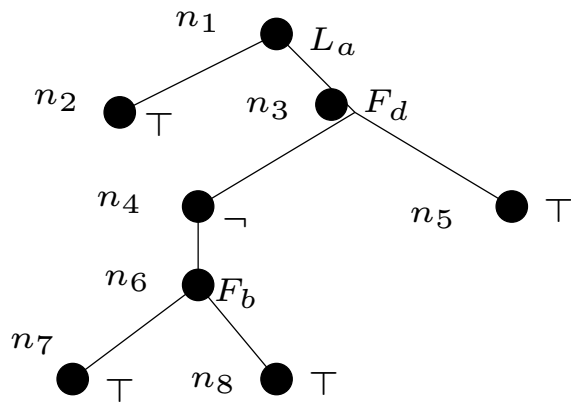
Let  $a \in \Sigma$ . Let  $D, D_1, D_2$  range over formulas in *UITL*.  
(We use  $\top$  for “true”).

Abstract syntax of *UITL*:

$\top$  |  $pt$  |  $D_1F_aD_2$  |  $D_1L_aD_2$  |  $D_1 \vee D_2$  |  $\neg D$  |  $\oplus D$  |  $\ominus D$

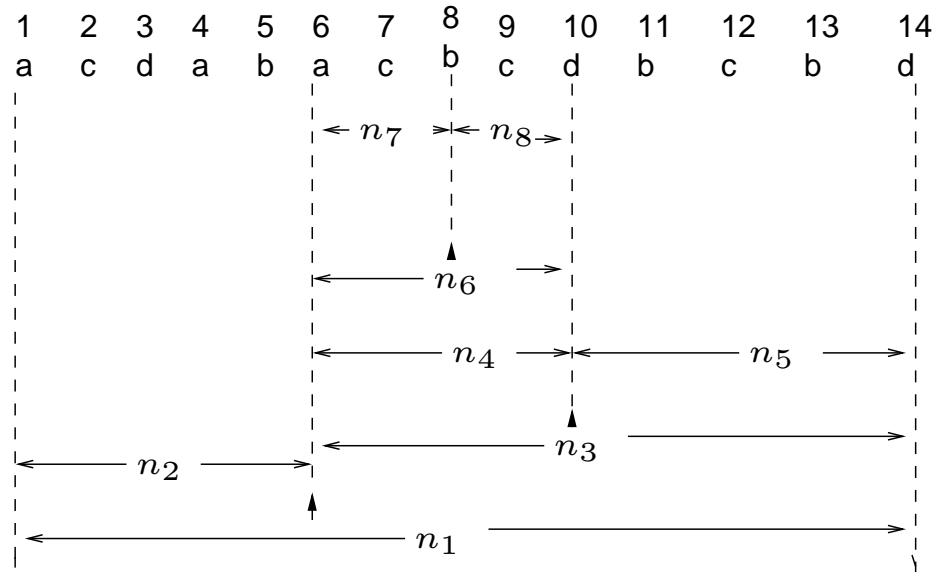
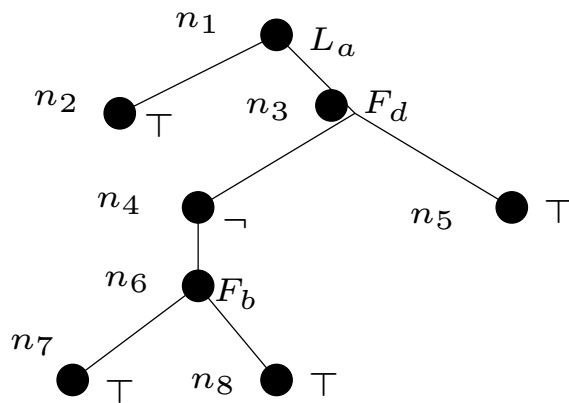
# Example

Formula  $D \stackrel{\text{def}}{=} (\top L_a((\neg(\top F_b \top))F_d \top))$



# Example

Formula  $D \stackrel{\text{def}}{=} (\top L_a((\neg(\top F_b \top)) F_d \top))$



$w, [6, 14] \models n_4 F_d n_5$  iff  $w, [6, 10] \models n_4$  and  $w, [10, 14] \models n_5$ .

# Unique parsability

Given a word  $w$ ,

- Each node has unique associated interval (or none).

$$\text{Intv}_w : \text{Nodes} \rightarrow \text{INTV}(w) \cup \{\mathbf{u}\}$$

$\text{Intv}_w(n)$  depends only on the context of  $n$ .

- Each node of the form  $F_a$  or  $L_a$  has a unique “chopping” position (or none).

$$\text{cPos}_w : \text{MNodes} \rightarrow \text{INTV}(w) \cup \{\mathbf{u}\}$$

- Truth of a node in its unique interval

$$\text{Eval}_w : \text{Nodes} \rightarrow \{\mathbf{t}, \mathbf{f}, \mathbf{u}\}.$$

# Results for *UITL*

- Membership in LogDCFL, satisfiability in NP
- Ptime construction  $A : \text{UITL} \rightarrow \text{po2dfa}$  such that  $L(D) = L(A(D))$ . The size  $|A(D)| = \mathcal{O}(|D|^2)$  states
- Exptime construction  $D : \text{po2dfa} \rightarrow \text{UITL}$  such that  $L(A) = L(A(D))$ . The size  $|D(A)| = \mathcal{O}(2^{|A|})$ .

# Subformula automaton

**Theorem** For each node  $n$  we construct *po2dfa*  $\mathcal{M}(n)$  such that

- If  $Eval_w(n) = t$  then  $\mathcal{M}(n)(w, *) = (t, j)$  for some  $j$ .
- If  $Eval_w(n) = f$  then  $\mathcal{M}(n)(w, *) = (r, j)$  for some  $j$ .

**Construction of  $\mathcal{M}(n)$**  By structural Induction.

- $\mathcal{M}(\top) = Acc.$
- $\mathcal{M}(\neg n) = \mathcal{M}(n)?Rej, Acc.$
- $\mathcal{M}(n_1 \vee n_2) = \mathcal{M}(n_1)?Acc, \mathcal{M}(n_2).$

# Automaton for $F_a$ construct

Let  $n = n_1 F_a n_2$ . Then  $\mathcal{M}(n) =$

Reach left boundary of  $n$ ; Forward scan for  $a$ ;

Check if head is in  $Intv_w(n)$ ;

$\mathcal{M}(n_1)$ ;  $\mathcal{M}(n_2)$

**Main difficulty:** How to check if current head position is in  $Intv_w(n)$ ?

**Proof idea:** Carry the context around (the sequence of  $F_a$  and  $L_a$  operators going up to the root from node  $n$ ) using a stack while doing the automaton construction.

**Context lemma:** Matching the context in the stack can be done by a linear-sized extended turtle expression.

# Converse

- Effective construction  $D : po2dfa \rightarrow UITL$  such that  $L(A) = L(D(A))$ . The size  $|D(A)| = \mathcal{O}(2^{|A|})$ .
- **Proof idea:** Describe the run of the  $po2dfa$  in  $UITL$ .
- **Proof:** Carry the context around. This is considerably more tedious than in the forward direction and yields a disjunction over many cases which in general gives an exponential upper bound for the size of the formula.



# First order logic with two variables ...

A context  $u\underline{a}v$  can be written in  $FO^2[S]$  as a formula.

For a formula  $D_1 F_{u\underline{a}v} D_2$ , the chop point is characterized by a formula  $Mid_{\beta, \varepsilon}(y)$  which uses two formulae  $\beta$  and  $\varepsilon$  with one free variable as parameters standing for its beginning and ending points:

$$uav(y, z) \wedge \exists w \geq z : \varepsilon(w) \wedge \forall x < y (uav(x, z) \supset \exists y > x : \beta(y)).$$

$w$  and  $z$  are metavariables standing for  $x$  or  $y$ , depending on whether the length of  $u, v$  is even or odd.

# Getting there ...

**Translation** A recursive version of the Gabbay trick of rotating variables:

$$\text{Tran}_{\beta,\varepsilon}(D_1 F_{u\underline{a}v} D_2) = \begin{array}{l} \exists y \geq x (\text{Mid}_{\beta,\varepsilon}(y) \wedge \text{Tran}_{\beta(x), \text{Mid}_{\beta,\varepsilon}(y)}(D_1)) \wedge \\ \exists x \leq y (\text{Mid}_{\beta,\varepsilon}(x) \wedge \text{Tran}_{\text{Mid}_{\beta,\varepsilon}(x), \varepsilon(y)}(D_2)). \end{array}$$

Finally,  $\beta_0(x)$  and  $\varepsilon_0(y)$  express away the two ends of a word.

# ... and coming back

**Theorem** An  $FO^2[<, S]$ -definable language is saturated by a Thérien-Wilke  $d, k$ -congruence for some  $k > 0$ , where  $d - 1$  is the nesting depth of successor formulae.

**Proof:** Applying Thérien-Wilke on Rhodes expansions of words.

**Construction of formulae** For each  $d, k$ -equivalence class by induction on  $k$  and the  $d$ -content.

# Summary

- Subclasses of starfree expressions with the same expressive power as the unambiguous languages of Schützenberger (1976), languages definable in  $FO^2[<]$  and  $FO^2[<, S]$ .
- Automata for these classes with polynomial constructions.
- A “deterministic” logic admitting unique parsing of its models.
- A tractable fragment of ITL giving size  $O(n^2)$  *po2dla* construction and polynomial time modelchecking.
- Not succinct. To describe an automaton of  $n$  states requires an  $O(2^n)$  length formula.

# Summary and questions

TL	Satisfiability	Interval TL	Membership	Satisfiability
LTL[X,U]	Pspace	ITL	Pspace	Nonelementary
LTL[X,Y,F,P]	Pspace	LITL	LogDCFL	NP/Pspace
LTL[F,P]	NP	UITL	LogDCFL	NP

- Can we improve the succinctness?
- We have an  $NC^1$  lower bound for membership (the same as for propositional logic). Can we narrow the gap?
- Is there a more expressive logic with NP satisfiability and Ptime membership?

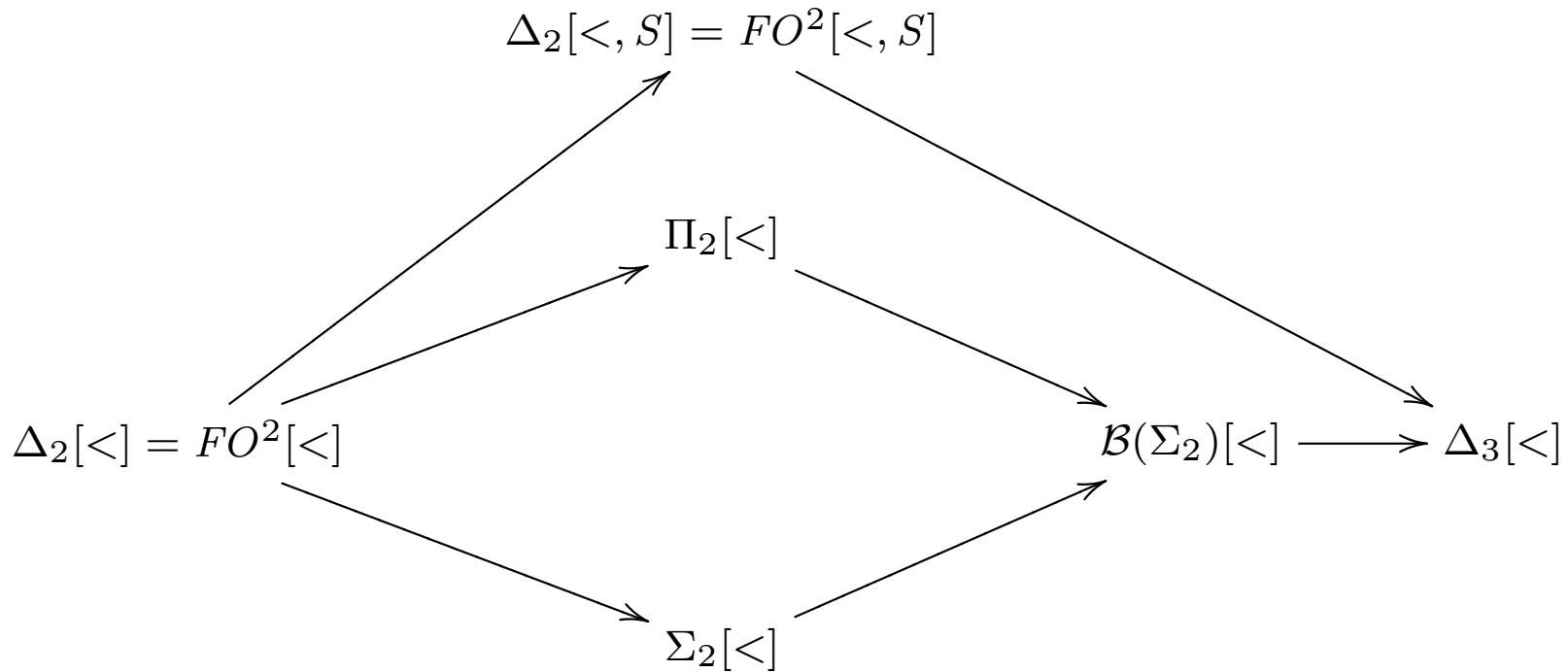
# More open questions

Languages	Interval TL	Membership	Satisfiability
SF	ITL	Nonelementary	Nonelementary
⋮	⋮		
Dotdepth 2	?	?	?
UL	UITL	LogDCFL	NP/Pspace
Dotdepth 1	?	?	?

The dotdepth hierarchy of starfree expressions matches the quantifier alternation hierarchy in  $FO[<]$ .

- How far higher can we retain NP satisfiability and Ptime membership? **Open.**
- $FO^2[<]$  corresponds to  $\Delta_2$  in FO quantifier alternation between the first and second levels of dotdepth. What is the expressiveness of  $FO^2[<, S]$ ? **Answered.**

# Going around dot depth two



**Theorem**  $(ac^*bc^*)^*$  is in  $\Pi_2[<] \setminus FO^2[<, S]$

**Theorem**  $\neg(\top L_{\underline{bb}} \top) F_{\underline{aa}} \top$  is in  $FO^2[<, S] \setminus \mathcal{B}(\Sigma_2)[<]$

**Proofs:** Ehrenfeucht-Fraïssé games.

# Acknowledgements

**Project:** The visit of Manfred Kufleitner to Chennai during Feb-Mar 2007 and of KL to Bordeaux during May-June 2007.

Discussions with Manfred and with Pascal Weil gave us a deeper understanding of the connection to the variety DA.

Pascal enlightened us on the distinction between unambiguous vs. deterministic and co-deterministic products.

Meena Mahajan sharpened our complexity arguments.

And many thanks for your attention.