

A short exposition on jump systems

Ankit Gayen

Chennai Mathematical Institute

April 2024

Definition (*Box*)

Let $V = \{1, 2, \dots, n\}$. For $x, y \in \mathbb{Z}^V$, define
 $[x, y] = \{x' \mid \min(x_i, y_i) \leq x'_i \leq \max(x_i, y_i), \forall i \in V\}$. $[x, y]$ is a **box**

Definition ((x, y) – *step*)

A point $x' \in \mathbb{Z}^V$ is a (x, y) – *step*, if $x' \in [x, y]$ and $d(x, x') = 1$, where
 $d(x, y) = \sum_{i \in V} |x_i - y_i|$

Definition (*Jump system*)

A nonempty set $\mathcal{J} \subseteq \mathbb{Z}^V$ is called a *Jump System* if it obeys the following axiom (any point $x \in \mathcal{J}$ is called *feasible*):

two-step axiom: Given $x, y \in \mathcal{J}$ and a (x, y) -step x' , either $x' \in \mathcal{J}$, or there exists a (x', y) -step x'' such that $x'' \in \mathcal{J}$

Examples



Fig. 1: A jump system and a set that is *not* a jump system

Mention only those examples which you need/can justify.

- **Jump systems in \mathbb{Z} :** \mathcal{J} is a jump system in \mathbb{Z} if and only if between any two *feasible* points with distance > 2 , there is atleast one *feasible* point
- **Matroids and delta-matroids:** Jump systems contained in the *unit box* ($\{0, 1\}^V$) are *delta-matroids*. Among them, those *delta-matroids* with constant coordinate-sum are equivalent to *matroids* and vice versa. (The feasible points are characteristic vectors of *bases*)
- **Degree systems of Graphs:** Let H be a spanning subgraph of a graph G . Define the *degree sequence* of H to be $deg_H \in \mathbb{Z}^V$ such that $deg_H(v)$ equals the degree of v in H . Set of all *degree sequences* of spanning subgraphs of G is called the *degree system* of G . We will see why it's a *jump system* in a moment!

Operations on Jump systems

Mention only the necessary operations. Develop some intuition on board for jump systems before this.

Jump systems are closed under the following (*not exhaustive*):

- **Translation:** Add an integral vector b to every *feasible* point.
- **Reflection:** For some i , replace i^{th} coordinate x_i by $-x_i$ for every *feasible* x .
- **Intersection with a box:** Given a *box* B , $\mathcal{J} \cap B$ is a jump system if it is nonempty.
- **Projection:** Given $S \subseteq V$, replace every feasible point by its restriction to S .
- **Sum:** If \mathcal{J}_1 and \mathcal{J}_2 are jump systems, then so is $\mathcal{J}_1 + \mathcal{J}_2 = \{x + y \mid x \in \mathcal{J}_1, y \in \mathcal{J}_2\}$
- **Closest points to a box:** Given a *box* B , $\mathcal{J}_B = \{x \in \mathcal{J} \mid d(x, B) = d(\mathcal{J}, B)\}$ is also a jump system.

Now we see why degree systems are jump systems: For a graph G , its *degree system* is the sum of *degree systems* of all its one-edge spanning subgraphs (which are trivially jump systems).

A greedy algorithm for linear optimization in jump systems

- Jump systems acquire one special trait of *matroids* — For an integral vector c , $c^T x$ can be maximized in **polynomial time** over any jump system (assuming it is bounded!).

Greedy

- 1: Order V as $\{j_1, \dots, j_n\}$ where
$$c_{j_1} \geq c_{j_2} \geq \dots c_{j_k} > 0 = c_{j_{k+1}} = \dots = c_{j_n}$$
- 2: $\mathcal{J}_0 \leftarrow \mathcal{J}$ Do the superscripts and subscripts of J mean different things?
- 3: **for** $i = 1$ to k **do**
- 4: Set $\alpha = \max\{x_{j_i} : x \in \mathcal{J}^{i-1}\}$
- 5: Set $\mathcal{J}_i = \{x \in \mathcal{J}^{i-1} : x_{j_i} = \alpha\}$
- 6: **end for**
- 7: **return** \mathcal{J}^k

Theorem

Each feasible point $x \in \mathcal{J}^k$ maximizes $c^T x$ over \mathcal{J}

Algorithm Contd.

- But this looks strange! How could it run in polynomial time, if we to calculate *Step 4*(Afterall \mathcal{J} could have size exponential in V !).
- It might be possible that we are given \mathcal{J} as input, but then this algorithm is meaningless! In one scan we find the answer to the optimization problem!
- Not to worry Shioura and Tanaka proved that provided we have access to membership oracle for \mathcal{J} , one has the following:

Theorem (*Shioura, Tanaka '07*)

The algorithm Greedy finds an optimal solution in $O(n^2 \log \phi(\mathcal{J}))$ time, provided a vector in \mathcal{J} is given. (where size $\phi(S)$ of S is

$$\phi(S) = \max_{v \in V} \{ \max_{y \in S} y(v) - \min_{y \in S} y(v) \} \text{ and } n = |V| .$$

- But , we will neither explain the correctness, not the claimed running time here.

Largest restricted factor problem

Definition (2-factor and ≤ 2 -factor)

A 2-factor(respectively, ≤ 2 -factor) of a graph $G = (V, E)$ is a set $S \subseteq E$ such that every vertex of G is incident with *exactly* two(respectively, *atmost* two) edges of X

Definition (k -restricted factor)

Is this definition meant for graphs?
Otherwise what does circuit mean?

For a positive integer k , a factor X is k -restricted if every *circuit* formed by the edges of X has length *atleast* $k + 1$.

- For a given graph G and integer k , we want to find the largest k -restricted factor in G .
- **For $k \geq 5$:** Hell, Kirkpatrick, Kratchovil and Kriz proved that if the set of circuit lengths to be excluded is not a subset of $\{3, 4\}$, then the problem is \mathcal{NP} -hard
- For the *weighted restricted factor* problem, \mathcal{NP} -hardness is proved even for bipartite graphs(for $k = 4$).Here, $k = 3$ case remains open,

Connection with jump systems

- To make the link with jump systems, we ask the question: “For what values of k , the set $G(k)$ of degree sequences of restricted factors forms a jump system, for any graph G ?”. In light of this, we present the following theorem:

Theorem

For any graph G and any $k \leq 3$, $G(k)$ is a jump system. For any $k > 4$ there exists a graph G such that $G(k)$ is not a jump system.

Proof

For $k \leq 2$, restricted factors are same as normal factors, and therefore for any graph G , $G(k)$ is the intersection of its degree system with the box $\{0, 1, 2\}^V$.

For $k = 5$, consider the following graph G .

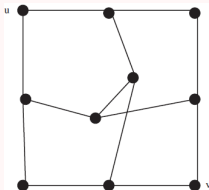
There are two cycles of length 9, avoiding u and v respectively, in G .

Take these two as x and y respectively. Obviously $x, y \in G(5)$ and

$x_u = y_v = 0$, $x_w = y_w = 2$, $\forall w \notin \{u, v\}$. Take x' as a (x, y) -step, where $x'_u = 1$ and $x'_w = x_w$ otherwise.

Connection with jump systems contd.

Proof contd.



Now, if $G(5)$ is a jump system, there exists another (x', y) -step $x'' \in G(5)$. Now, either $x''_w = 2, \forall w$, or $x''_u = x''_v = 1, x''_w = 2$ otherwise. For the first case, x'' must be a hamiltonian cycle, which clearly G doesn't have. For the second case, either there is a length 9 path from u to v , or there is a path of length < 4 from u to v . But, none of these holds! Hence $G(5)$ is not a jump system. This graph can be easily modified for $k \geq 6$ by adding more degree 2 vertices.

Connection with jump systems contd.

Proof contd.

Let us now prove $G(3)$ is a jump system. Denote $G(3)$ by \mathcal{J} .

Let $x, y \in \mathcal{J}$, and let x' be a (x, y) -step. Let u be the component on which x' differs from x (w.l.o.g. assume $x_u < y_u$ then $x'_u = x_u + 1$).

Obviously $x' \notin \mathcal{J}$. Therefore, we seek a (x', y) -step x'' such that $x'' \in \mathcal{J}$. In the following to come, we show there is an edge simple path \mathcal{P} such that $X'' = X \Delta \mathcal{P}$ works for x'' .

Consider a path from u to some vertex v . We denote the path by $\mathcal{P}_m(u = v_0, v_1, v_2, \dots, v_m = v)$ and denote the path v_0, \dots, v_i by \mathcal{P}_i .

Also, let $X_i = X \Delta \mathcal{P}_i$. We want \mathcal{P} to satisfy the following properties(\star):

1. $v_i v_{i+1} \in Y \setminus (X \cup E(\mathcal{P}_i))$ for i even
2. $v_i v_{i+1} \in X \setminus (Y \cup E(\mathcal{P}_i))$ for i odd
3. X_m is triangle-free

Connection with jump systems contd.

Proof contd.

Our philosophy is simple: start with $\mathcal{P}_0 = u$, which trivially satisfies \star , then whenever X_m does not satisfy the requirements for X'' , "increase" \mathcal{P}_m to \mathcal{P}_{m+1} .

- **When m is odd:** If X_m is a (x', y) -step we are done. Else, $\deg_{X_m}(v) = x_v + 1 > y_v \implies x_v \geq y_v$. This means \exists an edge $vq \in X \setminus (Y \cup E(\mathcal{P}_m))$. Extend \mathcal{P}_m to \mathcal{P}_{m+1} by setting $v_{m+1} = q$.
- **When m is even:** Again, if X_m is already a (x', y) -step, we stop. Else, $\deg_{X_m}(v) = x_v - 1 < y_v \implies x_v \leq y_v$. This means \exists an edge $vq \in Y \setminus (X \cup (E(\mathcal{P}_m)))$. Now, if $X_m \cup \{vq\}$ is triangle-free, we simply extend to \mathcal{P}_{m+1} by setting $v_{m+1} = q$. Otherwise, X_m contains edges qw, wv for some w forming a triangle.

Now, if $qw \in X \setminus E(\mathcal{P}_m)$, then extend \mathcal{P}_m to \mathcal{P}_{m+1} by setting $v_{m+1} = q$ and $v_{m+2} = w$. Else, $qw \in E(\mathcal{P}_m) \cap Y$. Now, $wv \notin Y$ (since Y is triangle-free), therefore we must have $wv \in X \setminus (E(\mathcal{P}_m) \cup Y)$. Now $\deg_{X_m}(v) = x_v - 1 = 1$, whereas $y_v = 2$. Therefore, there exists an edge $v_p \neq v_q$ in $Y \setminus (X \cup E(\mathcal{P}_m))$.

Connection with jump systems contd.

Proof contd.

Suppose that $X_m \cup \{vp\}$ contains a triangle. Then the triangle must have vertices v, p, w . But this would imply that $\deg_{X_m}(w) = 3$, a contradiction. Therefore, we can extend \mathcal{P}_m by putting $v_{m+1} = p$.

Since, \mathcal{P}_m is edge simple, we should eventually get the required (x', y) -step!