

# Defining Gromov Witten invariants

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# Outline of this lecture

Review of last lecture

Cotangent complex

*GW* obstruction space

Smoothness of  $\overline{M}_{0,n}(\mathbb{P}^N, d)$

Expected dimension

*GW* expected dimension and virtual pullback

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- ▶ If  $f : X \rightarrow Y$  is smooth at  $x \in X$  then it is *unobstructed*, i.e., zero is an obstruction space. The converse is also true (formal smoothness).
- ▶ If  $f : X \rightarrow Y$  is étale at  $x$  then  $h_{X,x}(A) \rightarrow h_{Y,y}(A)$  is a bijection for every  $A$  in  $(\text{Art})$ . The converse is also true.



# Cotangent complex -1

## Theorem

For a morphism of schemes  $\phi : X \rightarrow Y$  which factorizes as  $X \xrightarrow{i} M \xrightarrow{p} Y$ , with  $i$  a closed embedding and  $p$  smooth we can set

$$\tilde{L}_\phi := i^* J \rightarrow i^* \Omega_p$$

and use it to compute  $T^1, T^2$ .

## Proof.

- ▶ Statement is Zariski (and indeed étale) local in both  $X$  and  $Y$ . We may assume  $\phi$  is affine; then it is true for any factorisation with  $M = \mathbb{A}^N \times Y$ .
- ▶ Given two factorizations  $\phi = p_1 \circ i_1 = p_2 \circ i_2$ , we can compare both to  $\phi = p \circ i$  where  $M := M_1 \times_Y M_2$ . We get a commutative diagram:



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with  $q$  a smooth morphism. Let  $I := \mathcal{I}_{X/M}$  and  $I_1 := \mathcal{I}_{X/M_1}$ .

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$$\begin{array}{ccccccc} 0 & \longrightarrow & i_1^* I_1 & \longrightarrow & i^* I & \longrightarrow & \Omega_q \longrightarrow 0 \\ & & \downarrow \psi_1 & & \downarrow \psi & & \parallel \\ 0 & \longrightarrow & i_1^* \Omega_{p_1} & \longrightarrow & i^* \Omega_p & \longrightarrow & \Omega_q \longrightarrow 0. \end{array}$$

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commutes and has exact, hence (since  $\Omega_q$  is locally free) locally split rows. Hence it induces isomorphisms  $\ker \psi_1 \rightarrow \ker \psi$  and  $\operatorname{coker} \psi_1 \rightarrow \operatorname{coker} \psi$ , and the same is true for its arbitrary pullbacks and their duals.

## Cotangent complex -3

If you know the definition of derived category, we just proved that to every morphism of schemes  $\phi : X \rightarrow Y$  admitting a factorisation as a closed embedding followed by a smooth map (e.g., any morphism of quasiprojective schemes) we can associate a well defined object  $\tilde{L}_\phi \in D_{coh}^{-1,0}(X)$ .

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In fact, one can more generally define the cotangent complex (Illusie, 1968)  $L_\phi \in D_{oh}^{\leq 0}(X)$  for an arbitrary morphism of DM type of algebraic stacks, in such a way that  $\tilde{L}_\phi = \tau_{\geq -1} L_\phi$ .

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The cotangent complex has a technically demanding definition and good functorial properties; it should be seen as the answer to the question "Given morphisms of schemes  $h = g \circ f$ , how do we extend the short exact sequence

$$f^* \Omega_g \rightarrow \Omega_h \rightarrow \Omega_f \rightarrow 0$$

to a long exact sequence?"

## $T^1$ and $T^2$ for $\text{Mor}_X(C, V)$ -1

Let  $p : C \rightarrow X$  and  $q : V \rightarrow X$  be quasiprojective morphisms, with  $p$  flat and  $q$  smooth. Let  $x_0 \in X$ , and  $C_0, V_0$  the fibres of  $p$  and  $q$  at  $x_0$ .



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Let  $g := h_{X, x_0} : (\text{Art}) \rightarrow (\text{sets})$ , i.e.

$$g(A) := \{a : \text{Spec } A \rightarrow X \mid \text{Im}(a)_{\text{red}} = x_0\}.$$

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### Lemma

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## $T^1$ and $T^2$ for $\text{Mor}_X(C, V)$ -2

### Proof.

Given a semismall extension  $A \rightarrow B$  with kernel  $I$ , an element  $a \in g(A)$  and a morphism  $u_B : C_B \rightarrow V_B$ , we need to prove that there is a functorial obstruction in  $T^2 \otimes I$  to the existence of  $u_A : C_A \rightarrow V_A$  such that  $u_A|_{C_B} = u_B$ . Moreover the set of such  $u_A$  (if non-empty) is a principal homogeneous space under  $T^1$ . We outline the main steps of the proof.

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Cover  $C_A$  and  $V_A$  by open affines  $C_{A,i}$  and  $V_{A,i}$  such that  $u_0(C_{0,i}) \subset V_{0,i}$ . Use previous case and Čech cohomology. □

# GW obstruction space

## Theorem

Let  $F : \mathfrak{M}_{g,n}(V, d) \rightarrow \mathfrak{M}_{g,n}$  be the forgetful functor. Let  $p_0 \in \mathfrak{M}_{g,n}(V, d)$  be given by a prestable map  $(C_0, x_i^0, f_0)$ . Then  $H^0(C_0, f_0^* T_V)$  and  $H^1(C_0, f_0^* T_V)$  are tangent and obstruction spaces for  $F$  at  $p_0$ .

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We call  $H^1(C_0, f_0^* T_V)$  the *Gromov-Witten (GW) obstruction space* for  $F$  at  $p_0$ .

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Tensor by  $L$  the exact sequence

$$0 \rightarrow \mathcal{O}_C \rightarrow \mathcal{O}_X \oplus \mathcal{O}_Y \rightarrow \mathcal{O}_p \rightarrow 0$$

and take cohomology.

# Smoothness of $\overline{M}_{0,n}(\mathbb{P}^N, d)$

## Theorem

*For any  $n, N$  and  $d$  the stack  $\overline{M}_{0,n}(\mathbb{P}^N, d)$  is smooth of dimension  $(N + 1)d + N + n - 3$ .*

# Smoothness of $\overline{M}_{0,n}(\mathbb{P}^N, d)$

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For any  $n, N$  and  $d$  the stack  $\overline{M}_{0,n}(\mathbb{P}^N, d)$  is smooth of dimension  $(N+1)d + N + n - 3$ .

**Smoothness** Since  $\mathfrak{M}_{0,n}$  is smooth, it is enough to show that the map  $F : \overline{M}_{0,n}(\mathbb{P}^N, d) \rightarrow \mathfrak{M}_{0,n}$  is smooth. The obstruction space at a point  $p \in \overline{M}_{0,n}(\mathbb{P}^N, d)$  corresponding to a stable map  $(C, x_i, f)$  is  $H^1(C, f^* T_{\mathbb{P}^N})$ . Pullback the Euler sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^N} \rightarrow \bigoplus_{j=0}^N \mathcal{O}_{\mathbb{P}^N}(1) \rightarrow T_{\mathbb{P}^N} \rightarrow 0$$

via  $f$ , take cohomology, and note that  $f^*(\mathcal{O}_{\mathbb{P}^N}(1))$  has non-negative degree on every component of  $X$ .

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**Dimension** The relative tangent space  $T_p F$  has dimension

$$h^0(C, f^* T_{\mathbb{P}^N}) = \chi(C, f^* T_{\mathbb{P}^N}) = (N+1)d + N.$$

The fact that  $\mathfrak{M}_{0,n}$  has dimension  $n - 3$  completes the proof.

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Let  $F : X \rightarrow Y$  be a morphism of schemes,  $x \in X$ ,  $y := f(x)$ . Let  $n := \dim T_x F$ , and assume we are given an obstruction space  $T_x^2 F$  of dimension  $r$ .

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The statement is unchanged if we replace  $X$  by any affine open which contains  $x$ . Hence we can assume that

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The expected dimension is  $n - r$  and the result follows by induction on  $r$  and Lüroth's theorem.

## Expected dimension -2

### Definition

Let  $F : X \rightarrow Y$  be a morphism of schemes. For  $x \in X$  let  $T_x^1 F := T_x F$  the relative tangent space, and assume that at every point of  $x \in X$  we are given a finite dimensional obstruction space  $T_x^2 F$ , such that

$$d(x) := \dim T_x^1 X - \dim T_x^2 X \text{ is a constant } d.$$

We then say that  $F$  has *relative expected dimension*  $d$ . Note that  $d \in \mathbb{Z}$  may be negative.

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- ▶ Assume that  $f$  factors as  $X \xrightarrow{i} M \xrightarrow{p} Y$  with  $i$  closed embedding and  $p$  smooth of relative dimension  $n$ . Let  $\mathcal{E}$  be a rank  $r$  locally free sheaf on  $X$  and  $\alpha : \mathcal{E} \rightarrow i^* \mathcal{I}_{X/M}$  a surjection. Then choosing  $T_x^2 X = \text{coker}(\alpha(x)^\vee)$  gives  $F$  a relative expected dimension of  $n - r$ .



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- ▶ In particular this applies if  $f$  is lci of relative dimension  $d$ , i.e., has a factorization as above such that  $i$  is a regular embedding of codimension  $r$ ; then  $i^* \mathcal{I}_{X/M}$  is locally free of rank  $r$  and we can choose  $\alpha$  to be the identity.

## Expected dimension -4

**Remark** Let  $f : X \rightarrow Y$  be a morphism of nonsingular varieties. Then it is lci with factorisation  $X \rightarrow X \times Y \rightarrow Y$ , and thus has relative dimension  $\dim X - \dim Y$ .

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**Remark** In all examples we have so far given of morphisms having relative expected dimension, Fulton-McPherson intersection theory defines a pullback map  $A_*(Y) \rightarrow A_*(X)$  of degree  $d$ , where  $d$  is the expected dimension.

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All of the above extends to strongly representable morphisms  $F : X \rightarrow Y$  of algebraic stacks, i.e. those such that for any morphism  $S \rightarrow Y$  with  $S$  a scheme, the fiber product  $X \times_S Y$  is also a scheme.

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It also extends to morphisms of DM type, i.e. those for which  $S$  scheme implies  $X \times_S Y$  is a DM algebraic stack.

## GW expected dimension and virtual pullback

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**Goal** Find a natural pullback morphism

$$F_{GW}^* : A_*(\mathfrak{M}_{g,n}) \rightarrow A_*(\overline{M}_{g,n}(V, d)) \text{ of degree } \bar{d}$$

agreeing with lci pullback when  $F$  is lci of relative dimension  $\bar{d}$ , i.e., when the GW obstruction space is minimal at every point.

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This implies we can define the *virtual fundamental class*

$$[\overline{M}_{g,n}(V, d)]^{\text{vir}} := F_{GW}^*[\mathfrak{M}_{g,n}] \in A_{\bar{d}+3g-3+n}(\overline{M}_{g,n}(V, d)),$$

use it to define GW invariants and prove their properties.

## The genus zero case -1

Fix  $V \subset \mathbb{P}^N$ ,  $n, d \geq 0$ . as usual and let  $g = 0$ . Let  $X = \overline{M}_{0,n}(V, d)$  and  $Y = \mathfrak{M}_{0,n}$ . Let  $M := \overline{M}_{0,n}(\mathbb{P}^N, d)$ ; denote by  $i : X \rightarrow M$  the natural inclusion and by  $f : X \rightarrow Y$  and  $p : M \rightarrow Y$  the forgetful morphisms. Recall that  $f$  and  $p$  are quasi projective and  $p$  is smooth.

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**Exercise** The morphism  $i$  is a closed embedding. Hint: the same is true for  $\overline{M}_{g,n}(V, d) \rightarrow \overline{M}_{g,n}(W, d)$  for every closed embedding  $V \rightarrow W$  of projective schemes. The analogous statement for stack of prestable maps is also true.

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### Lemma

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### Corollary

We can define genus zero Gromov-Witten invariants.

## The genus zero case -2

**Sketch of the proof.** Let  $p_0 \in \overline{M}_{g,n}(V, d)$ . Consider the diagram with cartesian square

$$\begin{array}{ccccc} C_0 & \xrightarrow{\quad f_0 \quad} & C & \xrightarrow{\quad f \quad} & V & \longrightarrow & \mathbb{P}^N \\ \downarrow & & \downarrow \pi & & & & \\ p_0 & \longrightarrow & \overline{M}_{g,n}(V, d) & & & & \end{array}$$

and the exact sequence

$$0 \rightarrow T_V \rightarrow T_{\mathbb{P}^N}|_V \rightarrow N \rightarrow 0.$$

The sheaf  $\mathcal{E}$  is defined to be the dual of  $\pi_* f^* N$ .