

# Keeping Track of the Latest Gossip: Bounded Time-Stamps Suffice

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**Abstract.** Consider a distributed system consisting of  $N$  independent communicating agents. Periodically, agents synchronize and exchange information, both about each other and about agents they have talked to earlier. As a result, an agent  $a_i$  may receive *indirect* information about another agent  $a_j$  which is more recent than the information exchanged in the last *direct* synchronization between  $a_i$  and  $a_j$ . The problem is to ensure that agents always come away from a synchronization with the latest possible information about all other agents. This requires that when  $a_i$  and  $a_j$  meet, they should decide which of them has more recent information about any other agent  $a_k$ . We propose an algorithm to solve this problem which is finite-state and local. Formally, this means our algorithm can be implemented by an asynchronous automaton.

**Keywords:** Distributed algorithms, synchronous communication, bounded time-stamping, asynchronous automata.

## 1 Introduction

Consider  $N$  agents  $a_1, \dots, a_N$  which synchronize with each other from time to time and exchange information about themselves and others. Whenever an agent  $a_i$  talks to another agent  $a_j$  the two of them must decide which of them has the latest information, direct or indirect, about every other agent  $a_k$ .

This is easily accomplished if the agents decide to locally time-stamp every call and pass these time-stamps along with each exchange of information. But as time progresses the time-stamp values increase without bound and most of the agents' time would be consumed in passing on large numbers, as opposed to actual gossip.

We propose a time-stamping algorithm in which the values are bounded. Despite this restriction, any pair of agents can always decide which of them has better information about every other agent. Thus, in essence, the agents may be finite state machines. Further, the algorithm itself does not induce any additional communications, and thus works for *all* communication sequences. The algorithm is implemented using asynchronous automata [Z]—a powerful and natural model for concurrent systems. The algorithm implies that we can extend the range of systems modelled by these automata to include those which require us to keep track of the latest information flow between agents.

We point out that “bounded time-stamps” have been studied, but in contexts very different from ours. Israeli and Li [IL] introduced them for creating “atomic registers” which are fundamental for algorithms on distributed systems. However, their work and that of others [CS, DS] is based on a shared-memory model, which is quite different in spirit from the asynchronous automaton model.

The paper is organized as follows. Section 2 formalizes the problem and describes the automata which run our algorithm. Sections 3 and 4 analyse the problem and present our algorithm. We conclude with a discussion of our result.

## 2 Preliminaries

Let  $\mathcal{A} = \{a_1, a_2, \dots, a_N\}$  be a set of *agents*. These agents communicate with each other synchronously. For simplicity, we assume that agents only synchronize in pairs. This restriction is not crucial: multi-way synchronizations are similarly handled. Details can be found in [MS].

Let a communication between agents  $a_i$  and  $a_j$  be denoted by  $c_{\{i,j\}}$ , abbreviated as  $c_{ij}$  or  $c_{ji}$ . Thus, if all pairs of agents can communicate with each other, we have a set of communication actions  $\Sigma = \{c_{\{i,j\}} \mid i, j \in \{1, 2, \dots, N\} \text{ and } i \neq j\}$ . For  $c_{ij} \in \Sigma$ , let  $\text{dom}(c_{ij})$  (the domain of  $c_{ij}$ ) denote the set  $\{a_i, a_j\}$ . A word  $\alpha \in \Sigma^*$  represents a finite sequence of communications between the agents.

### 2.1 Asynchronous automata

Associate a finite set (of *local states*)  $Q_i$  with each agent  $a_i$ , for  $i \in \{1, 2, \dots, N\}$ . Each  $Q_i$  contains a distinguished initial state  $q_{in}^i$ . Let  $Q_G = Q_1 \times Q_2 \times \dots \times Q_N$ .  $Q_G$  represents the set of possible *global states* of the system. For  $\bar{q} = (q_1, q_2, \dots, q_N) \in Q_G$ , let  $\bar{q}[i]$  denote the  $i^{\text{th}}$  component  $q_i$  of  $\bar{q}$ . With each pair of agents  $a_i$  and  $a_j$ , we associate a deterministic transition function  $\delta_{ij} : (Q_i \times Q_j) \rightarrow (Q_i \times Q_j)$ . Let  $\delta = \{\delta_{ij} \mid i, j \in \{1, 2, \dots, N\}, i < j\}$ .

An asynchronous automaton [Z] over  $\Sigma$  is a structure  $\mathcal{M} = (\Sigma, Q_1, Q_2, \dots, Q_N, \delta, (q_{in}^1, q_{in}^2, \dots, q_{in}^N))$ .

We associate with  $\mathcal{M}$  a global transition function  $\Delta : Q_G \times \Sigma \rightarrow Q_G$  such that  $\Delta((q_1, q_2, \dots, q_N), c) = (q'_1, q'_2, \dots, q'_N)$  iff for  $a_i, a_j \in \text{dom}(c)$ ,  $\delta_{ij}(q_i, q_j) = (q'_i, q'_j)$  and for all  $a_k \notin \text{dom}(c)$ ,  $q_k = q'_k$ .

For  $\alpha \in \Sigma^*$ , let  $|\alpha|$ , the length of  $\alpha$ , be  $M$ . We may then regard  $\alpha$  as a function  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$ . The  $n^{\text{th}}$  element of  $\alpha$ ,  $1 \leq n \leq M$ , is denoted by  $\alpha(n)$ .

A run of  $\mathcal{M}$  on  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$  is a function  $\rho : \{0, 1, \dots, M\} \rightarrow Q_G$  such that  $\rho(0) = (q_{in}^1, q_{in}^2, \dots, q_{in}^N)$  and  $\rho(\ell) = \Delta(\rho(\ell-1), \alpha(\ell))$ ,  $1 \leq \ell \leq M$ . Since  $\mathcal{M}$  is deterministic, each word  $\alpha$  gives rise to a unique run, which we denote  $\rho_\alpha$ .

Next we define when a function is locally computable by such automata.

**Definition 2.1.** Let  $Val$  be a set of values. A  $\Sigma$ -indexed family of functions is a set  $\mathcal{F}_\Sigma = \{f_c : \Sigma^* \rightarrow Val \mid c \in \Sigma\}$ .

$\mathcal{F}_\Sigma$  is locally computable if we can find an asynchronous automaton  $\mathcal{M}$  (as above) and a family of local functions  $\mathcal{G}_\Sigma = \{g_c \mid c \in \Sigma\}$ , with each  $g_c$  of the form  $g_c : Q_{i_1} \times Q_{i_2} \rightarrow \text{Val}$ , where  $\text{dom}(c) = \{a_{i_1}, a_{i_2}\}$ , such that:

$$\forall \alpha : \{1, 2, \dots, M\} \rightarrow \Sigma. f_c(\alpha) = g_c(\rho_\alpha(M)[i_1], \rho_\alpha(M)[i_2])$$

In other words, for any  $\alpha \in \Sigma^*$  and  $c \in \Sigma$ , the agents in  $\text{dom}(c)$  can compute  $f_c(\alpha)$  by synchronizing and checking their local states at the end of the run  $\rho_\alpha$ .

## 2.2 The problem

**Definition 2.2.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$ . A path  $\pi$  from  $a_i$  to  $a_j$  in  $\alpha$  is a sequence of pairs  $(k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$  such that  $M \geq k_1 \geq k_2 \geq \cdots \geq k_n \geq 1$  and  $\ell_m \in \{1, 2, \dots, N\}$  for  $m \in \{1, 2, \dots, n\}$  satisfying:

- (i)  $\text{dom}(\alpha(k_1)) = \{a_i, a_{\ell_1}\}$ .
- (ii)  $\forall m \in \{2, \dots, n\}. \text{dom}(\alpha(k_m)) = \{a_{\ell_{m-1}}, a_{\ell_m}\}$ .
- (iii)  $a_{\ell_n} = a_j$ .

We say that  $\text{source}(\pi) = k_1$ ,  $\text{target}(\pi) = k_n$  and that the length of  $\pi$  is  $n$ .

Note that  $k_i = k_{i+1}$  is expressly permitted. Let  $\pi_1 = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$  be a path from  $a_i$  to  $a_j$  and  $\pi_2 = (k'_1, a_{\ell'_1})(k'_2, a_{\ell'_2}) \cdots (k'_m, a_{\ell'_m})$  be a path from  $a_j$  to  $a_k$ , such that  $k_n \geq k'_1$ . Then we can concatenate the two paths to obtain a path  $\pi_1\pi_2 = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})(k'_1, a_{\ell'_1}) \cdots (k'_m, a_{\ell'_m})$  from  $a_i$  to  $a_k$ .

**Definition 2.3.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$ . A path  $\pi$  from  $a_i$  to  $a_j$  in  $\alpha$  is good if for any other path  $\pi'$  from  $a_i$  to  $a_j$ ,  $\text{target}(\pi') \leq \text{target}(\pi)$ .

Thus, a good path from  $a_i$  to  $a_j$  terminates at the latest communication that  $a_j$  took part in which  $a_i$  has heard about. If  $\pi$  and  $\pi'$  are both good paths from  $a_i$  to  $a_j$ , then  $\text{target}(\pi) = \text{target}(\pi')$ .

With each  $a_i \in \mathcal{A}$  we can associate a function  $\text{latest}_{a_i} : \Sigma^* \times \mathcal{A} \rightarrow \mathbf{N}_0$  such that:

$$\forall \alpha \in \Sigma^*. \forall a_j \in \mathcal{A}. \text{latest}_{a_i}(\alpha, a_j) = \begin{cases} k & \text{if there is a good path } \pi \text{ from} \\ & a_j \text{ to } a_i \text{ in } \alpha \text{ and } \text{target}(\pi) = k \\ 0 & \text{otherwise} \end{cases}$$

So,  $\text{latest}_{a_i}(\alpha, a_j)$  indicates the most recent information  $a_j$  has about  $a_i$  after  $\alpha$ .

**Example 2.4.** Let  $N = 5$  and  $\alpha$  be the communication sequence  $c_{12}c_{13}c_{14}c_{45}c_{25}c_{24}$ . So  $|\alpha| = 6$ . Some paths from  $a_2$  to  $a_1$  are the following:

$$(1, a_1), (5, a_5)(4, a_4)(3, a_1), (6, a_4)(4, a_5)(4, a_4)(3, a_1), (6, a_4)(3, a_1).$$

Of these, all except the first are good paths. The paths  $(4, a_4)(3, a_1)$   $(2, a_3)$  from  $a_5$  to  $a_3$  and  $(2, a_1)(1, a_2)$  from  $a_3$  to  $a_2$  may be concatenated to get  $(4, a_4)(3, a_1)(2, a_3)(2, a_1)(1, a_2)$  from  $a_5$  to  $a_2$ . Note that this path traverses  $\alpha(2)$  in both directions.

$\text{latest}_{a_1}(\alpha, a_2) = 3$ , whereas  $\text{latest}_{a_1}(\alpha, a_3) = 2$ .

It is useful to draw the timing diagram of a communication sequence. Each agent's history is represented by a horizontal line, with time increasing from left to right. Vertical edges connecting pairs of agents correspond to communications. In the diagram, to trace a path from  $a_i$  to  $a_j$ , we begin on the horizontal line for  $a_i$  and move vertically and/or left along lines in the diagram, to reach the line  $a_j$ . A good path from  $a_i$  to  $a_j$  terminates as far right as possible on the line  $a_j$ .

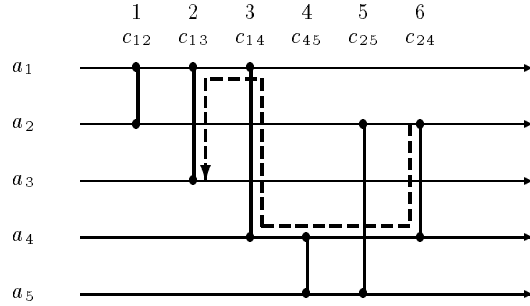


Fig. 1. Timing diagram for Example 2.4, showing a good path

Let  $Val = (\mathcal{A} \cup \{*\})^N$ . We define a family of functions  $\mathcal{F}_\Sigma = \{f_c \mid c \in \Sigma\}$ , such that  $f_c = best_c : \Sigma^* \rightarrow Val$  as follows:

Let  $c \in \Sigma$ , with  $dom(c) = \{a_i, a_j\}$ .

Then  $\forall \alpha \in \Sigma^*. best_c(\alpha) = (a_{k_1}, a_{k_2}, \dots, a_{k_N})$  where

$$\forall m \in \{1, 2, \dots, N\}. a_{k_m} = \begin{cases} a_i & \text{if } latest_{a_m}(\alpha, a_j) < latest_{a_m}(\alpha, a_i) \\ a_j & \text{if } latest_{a_m}(\alpha, a_i) < latest_{a_m}(\alpha, a_j) \\ * & \text{otherwise} \end{cases}$$

So, if  $dom(c) = \{a_i, a_j\}$  and  $best_c(\alpha)[m] = a_i$  (respectively  $a_j$ ), then  $a_i$  (respectively,  $a_j$ ) has heard from  $a_m$  more recently than  $a_j$  (respectively  $a_i$ ) in the communication sequence  $\alpha$ .  $best_c(\alpha)[m] = *$  signifies that both have exactly the same information about  $a_m$  after  $\alpha$ . The main result of this paper is the following.

**Theorem 2.5.** *The  $\Sigma$ -indexed family of functions  $\mathcal{F}_\Sigma = \{best_c \mid c \in \Sigma\}$  is locally computable.*

### 3 Global analysis

We will now analyse the “global” structure of good paths in the system. As we saw earlier, a communication sequence  $\alpha \in \Sigma^*$  may have several good paths from  $a_i$  to  $a_j$  in  $\alpha$ . Let us fix a canonical good path as follows.

**Definition 3.1.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$ . Path  $\pi = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$  from  $a_i$  to  $a_j$  is an ideal path from  $a_i$  to  $a_j$  if the following conditions hold:

- (i)  $\pi$  is a good path from  $a_i$  to  $a_j$ .
- (ii) For every  $m \in \{1, 2, \dots, n-1\}$ , the path  $\pi_m = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_m, a_{\ell_m})$  is a good path from  $a_i$  to  $a_{\ell_m}$ .

We denote that  $\pi$  is an ideal path from  $a_i$  to  $a_j$  by  $\pi : a_i \rightsquigarrow a_j$ .

**Example 3.2.** Consider the communication sequence of Example 2.4 (see Figure 1.) The path marked in it is the ideal path  $a_2 \rightsquigarrow a_3 = (6, a_4)(3, a_1)(2, a_3)$ . The prefixes  $(6, a_4)(3, a_1)$  and  $(6, a_4)$  of this path constitute the ideal paths  $a_2 \rightsquigarrow a_1$  and  $a_2 \rightsquigarrow a_4$  respectively. The other ideal path from  $a_2$  is  $a_2 \rightsquigarrow a_5 = (5, a_5)$ .

The following two observations are immediate.

**Proposition 3.3.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$  and  $a_i, a_j \in \mathcal{A}$ . Let  $\pi = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$  be an ideal path from  $a_i$  to  $a_j$  in  $\alpha$ . Then, for each  $m \in \{1, 2, \dots, n-1\}$ ,  $\pi_m = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_m, a_{\ell_m})$  is an ideal path from  $a_i$  to  $a_{\ell_m}$ .

**Proposition 3.4.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$  and  $a_i, a_j \in \mathcal{A}$ . If  $\text{latest}_{a_j}(\alpha, a_i) \neq 0$  then there is a unique ideal path  $\pi : a_i \rightsquigarrow a_j$  in  $\alpha$ .

A vertical edge in the timing diagram for  $\alpha$  which lies on some ideal path is said to be live. Formally, we have:

**Definition 3.5.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$  and  $k \in \{1, 2, \dots, M\}$ .  $k$  is live in  $\alpha$  for  $a_i \in \mathcal{A}$  iff there exists  $a_j \in \mathcal{A}$  such  $\pi = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_m, a_{\ell_m})$  is the ideal path  $a_i \rightsquigarrow a_j$  and  $k = k_n$  for some  $n \in \{1, 2, \dots, m\}$ .

Let  $\text{Live}_i(\alpha) = \{k \mid k \text{ is live in } \alpha \text{ for } a_i\}$  and  $\text{Live}(\alpha) = \bigcup_{i \in \{1, 2, \dots, N\}} \text{Live}_i(\alpha)$ .

**Proposition 3.6.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$ .  $\forall i \in \{1, 2, \dots, N\}$ .  $|\text{Live}_i(\alpha)| \leq N-1$ . So there are at most  $N(N-1)$  live communications in  $\alpha$ .

*Proof.* Use Propositions 3.3 and 3.4. □

**Lemma 3.7.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$  and  $\alpha' : \{1, 2, \dots, M+1\} \rightarrow \Sigma$  be communication sequences such that  $\alpha'(M+1) = c$  for some  $c \in \Sigma$  and  $\alpha'(m) = \alpha(m)$  for  $m \in \{1, 2, \dots, M\}$ .

Let  $\text{dom}(c) = \{a_i, a_j\}$ . Then the following statements hold:

- (i) For  $a_k \notin \{a_i, a_j\}$ , for all  $a_\ell \in \mathcal{A} \setminus \{a_k\}$ , if  $\pi : a_k \rightsquigarrow a_\ell$  is an ideal path in  $\alpha$ , then  $\pi$  remains the ideal path  $a_k \rightsquigarrow a_\ell$  in  $\alpha'$ .
- (ii) For  $a_i$  and  $a_j$ , the new ideal paths in  $\alpha'$  are computed as follows.  
Let  $a_k \in \mathcal{A} \setminus \{a_i, a_j\}$  and let  $\pi_{ik} : a_i \rightsquigarrow a_k$  and  $\pi_{jk} : a_j \rightsquigarrow a_k$  be ideal paths in  $\alpha$ . Then the ideal paths  $\pi'_{ik} : a_i \rightsquigarrow a_k$  and  $\pi'_{jk} : a_j \rightsquigarrow a_k$  in  $\alpha'$  are given as follows: Either  $\pi'_{ik} = \pi_{ik}$  and  $\pi'_{jk} = (M+1, a_i)\pi_{ik}$ , or  $\pi'_{jk} = \pi_{jk}$  and  $\pi'_{ik} = (M+1, a_j)\pi_{jk}$ .

*Proof.* Part (i) is immediate, since  $\alpha'$  has no new paths originating at  $a_k$  for  $a_k \notin \{a_i, a_j\}$ .

To show part (ii), let  $a_k \in \mathcal{A} \setminus \{a_i, a_j\}$ .

*Case 1* Suppose that  $\text{target}(\pi_{jk}) < \text{target}(\pi_{ik})$ . Then clearly,  $\pi_{ik}$  remains the ideal path  $a_i \rightsquigarrow a_k$  in  $\alpha'$ . It is also obvious that  $(M+1, a_i)\pi_{ik}$  is a good path from  $a_j$  to  $a_k$ . It is not difficult to show that this is in fact the ideal path  $a_j \rightsquigarrow a_k$  in  $\alpha'$ .

*Case 2* The case  $\text{target}(\pi_{ik}) < \text{target}(\pi_{jk})$  is symmetric to Case 1.

*Case 3* The last case is when  $\text{target}(\pi_{ik}) = \text{target}(\pi_{jk})$ .

Let  $\pi_{ik} = (k_1, a_{\ell_1}) \cdots (k_m, a_{\ell_m})$  and  $\pi_{jk} = (k'_1, a_{\ell'_1}) \cdots (k'_{m'}, a_{\ell'_{m'}})$ . We know that  $\text{dom}(\alpha(k_m)) = \{a_{\ell_{m-1}}, a_{\ell_m}\}$  and  $\text{dom}(\alpha(k'_{m'})) = \{a_{\ell'_{m'-1}}, a_{\ell'_{m'}}\}$ . Since  $\text{target}(\pi_{ik}) = \text{target}(\pi_{jk})$ , we have  $a_{\ell_m} = a_{\ell'_{m'}} = a_k$  and  $k_m = k'_{m'}$ . It follows that  $a_{\ell'_{m'-1}} = a_{\ell_{m-1}}$ .

We “step back” on both  $\pi_{ik}$  and  $\pi_{jk}$  and look at the paths  $\pi_{ik}^{m-1} = (k_1, a_{\ell_1}) \cdots (k_{m-1}, a_{\ell_{m-1}})$  and  $\pi_{jk}^{m'-1} = (k'_1, a_{\ell'_1}) \cdots (k'_{m'-1}, a_{\ell'_{m'-1}})$ . Let  $a_{\ell''} = a_{\ell_{m-1}} = a_{\ell'_{m'-1}}$ . Then the two paths  $\pi_{ik}^{m-1}$  and  $\pi_{jk}^{m'-1}$  are ideal paths  $a_i \rightsquigarrow a_{\ell''}$  and  $a_j \rightsquigarrow a_{\ell''}$  in  $\alpha$  (by Proposition 3.3) and we induce on the length of the paths.

We argue for the base case, when we reach  $\pi_{ik}^0$  or  $\pi_{jk}^0$ —i.e., either  $\pi_{ik}$  or  $\pi_{jk}$  becomes empty. Without loss of generality, assume that  $\pi_{ik}$  becomes empty. At this point we have  $\pi_{jk}^{m'-m} : a_j \rightsquigarrow a_i$  in  $\alpha$ . We replace the path  $\pi_{jk}^{m'-m}$  with the unit path  $(M+1, a_i)$  to get a new ideal path  $(M+1, a_i)\pi_{ik} : a_j \rightsquigarrow a_k$  in  $\alpha'$ .  $\square$

So, agents  $a_i$  and  $a_j$  can update their ideal paths purely locally provided they know which of them has the better path to each agent  $a_k \notin \{a_i, a_j\}$ .

**Corollary 3.8.** *Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$  and  $\alpha' : \{1, 2, \dots, M+1\} \rightarrow \Sigma$  be communication sequences such that  $\alpha'(M+1) = c$  for some  $c \in \Sigma$  and  $\alpha'(m) = \alpha(m)$  for  $m \in \{1, 2, \dots, M\}$ .*

*Then the following statements hold:*

- (i)  $M+1$  is live in  $\alpha'$ .
- (ii)  $\text{Live}(\alpha') \subseteq \text{Live}(\alpha) \cup \{M+1\}$ .

*Proof.* Immediate from Lemma 3.7.  $\square$

## 4 Local Analysis

The analysis of the previous section immediately gives us an (unbounded) time-stamping algorithm by which agents may locally update ideal paths. Every communication  $c_{ij}$  is time-stamped by agents  $a_i$  and  $a_j$  with their local times. Each agent  $a_i$  maintains its ideal paths  $a_i \rightsquigarrow a_k$  as a sequence of communications distinguished by the time-stamps given to them. When  $a_i$  and  $a_j$  synchronize after  $\alpha$ , they first time-stamp the new synchronization action  $c_{ij}$ . Let  $\pi_i : a_i \rightsquigarrow a_k$  and  $\pi_j : a_j \rightsquigarrow a_k$  in  $\alpha$ . Both  $\pi_i$  and  $\pi_j$  end with a communication involving  $a_k$ . So,

the time-stamps given by  $a_k$  to the last communications in the sequences  $\pi_i$  and  $\pi_j$  will reveal which is later. Once this is known, the new ideal paths  $\pi'_i : a_i \rightsquigarrow a_k$  and  $\pi'_j : a_j \rightsquigarrow a_k$  in  $\alpha'$  may be computed, as in the proof of Lemma 3.7.

Our algorithm is a modification of this procedure. When  $a_i$  and  $a_j$  synchronize, they label the current communication—this label is chosen from a sufficiently large, but finite, set  $\mathcal{L}$ . Agents maintain mildly enhanced versions of ideal paths called primary paths (Definition 4.1). To detect if  $a_i$  has a better ideal path to  $a_k$  than  $a_j$ , it suffices to check if some label from the ideal path of  $a_j$  is present on the primary paths of  $a_i$  (Lemma 4.3). In other words,  $a_i$  and  $a_j$  have only to check for *equality* of labels along their primary paths to compare their ideal paths.

The main complication is for  $a_i$  and  $a_j$  to decide which labels from  $\mathcal{L}$  are currently in use (i.e., appear along primary paths for other agents) and which may be reused. This is decided by secondary paths maintained by each agent (Definition 4.4, Lemma 4.5). The update of the primary and secondary paths is similar to that of ideal paths and needs no additional information.

Henceforth, we assume we have a set of labels  $\mathcal{L}$ . Different occurrences of  $c \in \Sigma$  in a communication sequence  $\alpha : \{1, 2, \dots, M\} \rightarrow \Sigma$  will be assigned distinct labels from  $\mathcal{L}$ . In other words, we regard  $\alpha$  as an injective map from  $\{1, 2, \dots, M\}$  to  $\Sigma \times \mathcal{L}$ . We may regard the set  $\Sigma \times \mathcal{L}$  as a set of *events*  $\mathcal{E}$ . Given an event  $e = (c, l) \in \Sigma \times \mathcal{L}$ , we shall say  $a_i \in \text{dom}(e)$  to mean  $a_i \in \text{dom}(c)$ . Initially we assume that we have an infinite set of distinct labels  $\mathcal{L} = \{\lambda_1, \lambda_2, \dots\}$ . Later we show that it suffices to use a finite set of labels which may be “recycled”.

We now represent a communication sequence  $\alpha$  as a sequence of events, i.e.,  $\alpha : \{1, 2, \dots, M\} \rightarrow \mathcal{E}$ . Given a path  $\pi = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$ , we let  $\sigma_\pi$  denote the sequence of events  $\alpha(k_1)\alpha(k_2) \dots \alpha(k_n)$ .

**Definition 4.1.** *Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \mathcal{E}$  be a communication sequence and  $a_i \in \mathcal{A}$ . A primary path for  $a_i$  is a path  $\pi = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})(k_{n+1}, a_{\ell_{n+1}})$  such that for some  $a_j, a_k \in \mathcal{A}$  we have:*

- (i)  $a_{\ell_n} = a_j$  and  $\pi_n = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$  is the ideal path  $a_i \rightsquigarrow a_j$  in  $\alpha$ .
- (ii)  $a_{\ell_{n+1}} = a_k$  and for all  $m \in \{k_{n+1}+1, k_{n+1}+2, \dots, k_n\}$ ,  $\text{dom}(\alpha(m)) \neq \{a_j, a_k\}$ .

*We say that  $\pi$  is a primary path from  $a_i$  to  $a_j$  to  $a_k$  and denote this by  $a_i \rightsquigarrow a_j \rightarrow a_k$ .*

So, a primary path  $a_i \rightsquigarrow a_j \rightarrow a_k$  is an ideal path  $\pi : a_i \rightsquigarrow a_j$  extended with the most recent  $c_{jk}$  communication before and including the point  $\text{target}(\pi)$ . Notice that the definition above does not rule out the case  $k_{n+1} = k_n$ .

**Example 4.2.** *In Example 2.4 (see Figure 1),  $a_2 \rightsquigarrow a_1 = (6, a_4)(3, a_1)$ . The primary path  $a_2 \rightsquigarrow a_1 \rightarrow a_3$  is given by  $(6, a_4)(3, a_1)(2, a_3)$ . On the other hand,  $a_2 \rightsquigarrow a_1 \rightarrow a_4 = (6, a_4)(3, a_1)(3, a_4)$ ; i.e., the communication  $a_1 \rightarrow a_4$  in  $a_2 \rightsquigarrow a_1 \rightarrow a_4$  is the same as the last communication in  $a_2 \rightsquigarrow a_1$ .*

**Lemma 4.3.** *Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \mathcal{E}$ , with  $\pi_i : a_i \rightsquigarrow a_k$  and  $\pi_j : a_j \rightsquigarrow a_k$  two ideal paths in  $\alpha$ , for  $a_i, a_j, a_k \in \mathcal{A}$ . Let  $\sigma_{\pi_i} = e'_1 e'_2 \dots e'_m$  and  $\sigma_{\pi_j} = e''_1 e''_2 \dots e''_n$ . Then:*

- (i) *target( $\pi_i$ )  $\leq$  target( $\pi_j$ ) iff for some  $e'_\ell \in \sigma_{\pi_i}$ ,  $e'_\ell$  also appears on some primary path for  $a_j$ .*
- (ii) *target( $\pi_j$ )  $\leq$  target( $\pi_i$ ) iff for some  $e'_\ell \in \sigma_{\pi_j}$ ,  $e'_\ell$  also appears on some primary path for  $a_i$ .*

*Proof.* Since the cases (i) and (ii) are symmetric, we prove (i).

( $\Leftarrow$ ): Suppose that for some  $e'_\ell \in \sigma_{\pi_i}$ ,  $e'_\ell$  also appears on some primary path for  $a_j$ . Then, there is a path  $\tilde{e}_1 \tilde{e}_2 \dots \tilde{e}_{m'}$  originating from  $a_j$  such that  $\tilde{e}_{m'} = e'_\ell$ . So, the sequence  $\tilde{e}_1 \tilde{e}_2 \dots \tilde{e}_{m'-1} e'_\ell e'_{\ell+1} \dots e'_m$  corresponds to a path  $\tilde{\pi}$  from  $a_j$  to  $a_k$  such that  $\text{target}(\tilde{\pi}) = \text{target}(\pi_i)$ .  $\text{target}(\pi_j)$  must be at least as large as  $\text{target}(\tilde{\pi})$  since it is an ideal path  $a_j \rightsquigarrow a_k$ . So  $\text{target}(\pi_i) \leq \text{target}(\pi_j)$  as required.

( $\Rightarrow$ ): Suppose  $\text{target}(\pi_i) \leq \text{target}(\pi_j)$ . We then have to show that for some  $e'_\ell \in \sigma_{\pi_i}$ ,  $e'_\ell$  also appears on some primary path for  $a_j$ . We proceed by induction on  $m$ , the length of  $\pi_i$ .

$m = 1$ : Then  $\pi_i = (k_1, a_{\ell_1})$ . Let  $\pi_j = (k'_1, a_{\ell'_1})(k'_2, a_{\ell'_2}) \dots (k'_n, a_{\ell'_n})$ . We know that  $a_{\ell_1} = a_{\ell'_n}$  and  $k_1 \leq k'_n$ . So, we have a path  $\pi' = \pi_j(k_1, a_i)$  from  $a_j$  to  $a_i$  such that  $k_1 = \text{target}(\pi')$ .

This means that the ideal path  $\tilde{\pi} : a_j \rightsquigarrow a_i$  is such that  $k_1 = \text{target}(\pi') \leq \text{target}(\tilde{\pi})$ . Then  $\alpha(k_1)$  must be the most recent communication  $c_{ik}$  before  $\text{target}(\tilde{\pi})$ . So  $e'_1 = \alpha(k_1)$  appears on the primary path  $a_j \rightsquigarrow a_i \rightarrow a_k$ .

$m > 1$ : Let  $\pi_i = (k_1, a_{\ell_1}) \dots (k_m, a_{\ell_m})$  and  $\pi_j = (k'_1, a_{\ell'_1}) \dots (k'_n, a_{\ell'_n})$ . Inductively assume that our claim holds for all ideal paths of length less than  $m$  which originate from  $a_i$ .

We know that  $a_{\ell_m} = a_{\ell'_n} = a_k$  and  $k_m \leq k'_n$ . So, we have a path  $\pi' = \pi_j(k_m, a_{\ell_{m-1}})$  from  $a_j$  to  $a_{\ell_{m-1}}$ .

The timing diagram we have is somewhat like the one in Figure 2.

Let  $\tilde{\pi} : a_j \rightsquigarrow a_{\ell_{m-1}}$  in  $\alpha$ . Then, we know that  $k_m = \text{target}(\pi') \leq \text{target}(\tilde{\pi})$ .

Suppose  $\text{target}(\tilde{\pi}) \leq k_{m-1}$ . Then, the communication  $\alpha(k_m)$  must be the most recent  $c_{\ell_{m-1}k}$  communication before  $\text{target}(\tilde{\pi})$ —if there were a more recent communication of this kind, it would appear on the ideal path  $\pi_i$ . So, the event  $e_m = \alpha(k_m)$  appears on the primary path  $a_j \rightsquigarrow a_{\ell_{m-1}} \rightarrow a_k$ .

On the other hand, suppose  $k_{m-1} < \text{target}(\tilde{\pi})$ . We know that the path  $\pi_i^{m-1} = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \dots (k_{m-1}, a_{\ell_{m-1}})$  is the ideal path  $a_i \rightsquigarrow a_{\ell_{m-1}}$ . But  $\text{target}(\pi_i^{m-1}) \leq \text{target}(\tilde{\pi})$  and the length of  $\pi_i^{m-1}$  is less than  $m$ . So, by the induction hypothesis, there is an event in the sequence  $e'_1 e'_2 \dots e'_{m-1}$  which occurs on some primary path for  $a_j$  and we are done. □

So, to compare  $\pi_i : a_i \rightsquigarrow a_k$  and  $\pi_j : a_j \rightsquigarrow a_k$ , we just have to look for events which are common to  $\sigma_{\pi_i}$  and  $\sigma_{\pi'}$ , for some primary path  $\pi'$  of  $a_j$ , or common to  $\sigma_{\pi_j}$  and  $\sigma_{\pi''}$ , for some primary path  $\pi''$  of  $a_i$ .



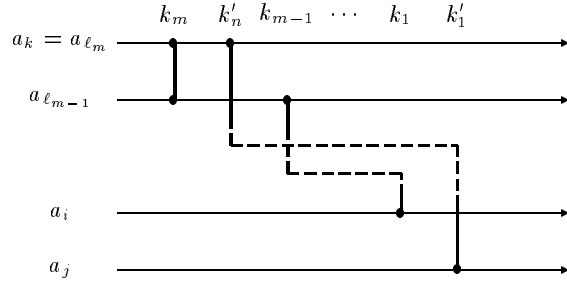


Fig. 2. Timing diagram for Lemma 4.3

In other words, to compare ideal paths, we need only test *equality* of events. The method works as long as communications in the primary paths are consistently labelled as distinct events. A slight extension of the arguments used in Lemma 3.7 and Corollary 3.8 establishes that communications which become dead (i.e., disappear from all primary paths in the system) do not become live again (i.e., reappear on some agent's primary paths).

Suppose agents  $a_i$  and  $a_j$  can decide that a label  $\lambda \in \mathcal{L}$  assigned to a previous  $c_{ij}$  action is no longer being used—i.e., the event  $(c_{ij}, \lambda)$  is not on a primary path for any agent. Then,  $\lambda$  can be re-used for a later synchronization. Since no “old” copy of  $(c_{ij}, \lambda)$  is present on any primary path, this re-use of  $\lambda$  will not introduce any inconsistency into the procedure for comparing ideal paths.

**Definition 4.4.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \mathcal{E}$  be a communication sequence and  $\pi = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$  a path in  $\alpha$ .  $\pi$  is a secondary path for  $a_i \in \mathcal{A}$  if we can find agents  $a_j, a_k, a_m \in \mathcal{A}$  such that  $a_i \neq a_j$ ,  $a_j \neq a_k$  and  $a_k \neq a_m$ ,  $\pi$  is a path from  $a_i$  to  $a_m$  and for some  $n_j \in \{1, 2, \dots, n-1\}$  the following two conditions are satisfied.

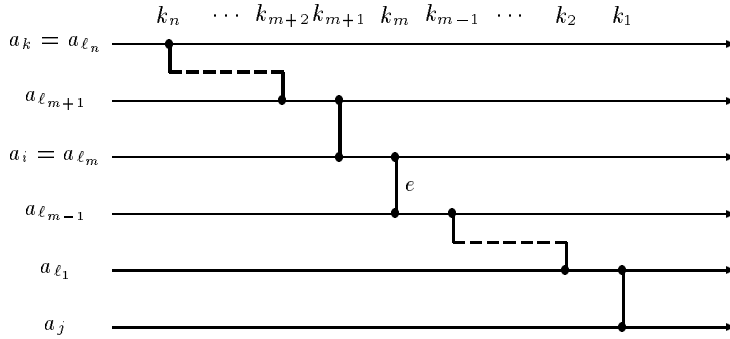
- (i)  $\pi_{n_j} = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_{n_j}, a_{\ell_{n_j}})$  is the ideal path  $a_i \rightsquigarrow a_j$  in  $\alpha$ .
- (ii) Let  $\alpha_{n_j} : \{1, 2, \dots, k_{n_j}\} \rightarrow \mathcal{E}$ —i.e., the prefix of  $\alpha$  upto  $k_{n_j}$ . Then, the path  $(k_{n_j+1}, a_{\ell_{n_j+1}}) \cdots (k_n, a_{\ell_n})$  is the primary path  $a_j \rightsquigarrow a_k \rightarrow a_m$  in  $\alpha_{n_j}$ .

We say that  $\pi$  is a secondary path from  $a_i$  to  $a_j$  to  $a_k$  to  $a_m$  and denote this by  $\pi : a_i \rightsquigarrow a_j \rightsquigarrow a_k \rightarrow a_m$ .

**Lemma 4.5.** Let  $\alpha : \{1, 2, \dots, M\} \rightarrow \mathcal{E}$ . Suppose  $e$  appears on a primary path for  $a_j$  and  $a_i \in \text{dom}(e)$ . Then,  $e$  appears either on a primary path or a secondary path for  $a_i$ .

*Proof.* Suppose  $e$  is on a primary path  $\pi : a_j \rightsquigarrow a_k \rightarrow a_\ell$ . Let  $\pi = (k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_{n+1}, a_{\ell_{n+1}})$ . Then, for some  $m \in \{1, 2, \dots, n+1\}$ ,  $e = \alpha(k_m)$ . So, either  $a_{\ell_{m-1}} = a_i$  or  $a_{\ell_m} = a_i$ . Without loss of generality, we assume that  $a_{\ell_m} = a_i$ .

**Case 1.** ( $m \leq n$ ): Then  $(k_1, a_{\ell_1})(k_2, a_{\ell_2}) \cdots (k_n, a_{\ell_n})$ , the ideal path  $a_j \rightsquigarrow a_k$  in  $\alpha$ , passes through  $a_i$ . This path is shown in Figure 3.



**Fig. 3.** Timing diagram for Lemma 4.5

We claim that for some  $m' \in \{1, 2, \dots, m-1\}$ ,  $e$  lies on the secondary path  $a_i \rightsquigarrow a_{\ell_{m'}} \rightsquigarrow a_k \rightarrow a_{\ell}$ . To see this, consider the ideal path  $\pi_{ij} : a_i \rightsquigarrow a_j$  in  $\alpha$ . If  $k_1 \leq \text{target}(\pi_{ij})$ ,  $e$  lies on the secondary path  $a_i \rightsquigarrow a_j \rightsquigarrow a_k \rightarrow a_{\ell}$ . If  $k_1 > \text{target}(\pi_{ij})$  then, look at  $\pi_{i\ell_1} : a_i \rightsquigarrow a_{\ell_1}$ . We know that  $\text{target}(\pi_{i\ell_1}) < k_1$ —otherwise we would be able to reach the point  $k_1$  on  $a_j$  from  $a_i$ .

If  $k_2 \leq \text{target}(\pi_{i\ell_1}) < k_1$ , then  $e$  lies on the secondary path  $a_i \rightsquigarrow a_{\ell_1} \rightsquigarrow a_k \rightarrow a_{\ell}$ . On the other hand, if  $\text{target}(\pi_{i\ell_1}) < k_2$ , we look at  $\pi_{i\ell_2} : a_i \rightsquigarrow a_{\ell_2}$  and repeat the analysis for  $\pi_{i\ell_1}$ .

In the worst case we come upto  $\pi_{i\ell_{m-1}} : a_i \rightsquigarrow a_{\ell_{m-1}}$ . We know that  $\text{target}(\pi_{i\ell_{m-1}}) < k_{m-1}$  by the above analysis. On the other hand,  $\alpha(k_m) = c_{i\ell_{m-1}}$ . So we definitely have  $k_m \leq \text{target}(\pi_{i\ell_{m-1}}) < k_{m-1}$ . So we must have  $e$  lying on the secondary path  $a_i \rightsquigarrow a_{\ell_{m-1}} \rightsquigarrow a_k \rightarrow a_{\ell}$ .

**Case 2.** ( $m = n+1$ ): So,  $a_i = a_{\ell}$ . Then, a slight modification of the argument put forward for Case 1 yields that  $e$  either lies on a secondary path  $a_i \rightsquigarrow a_{\ell_{m'}} \rightsquigarrow a_k \rightarrow a_i$  for some  $m' \in \{1, 2, \dots, n-1\}$  or  $e$  lies on the primary path  $a_i \rightsquigarrow a_k \rightarrow a_i$ . We omit the details.  $\square$

So, the number of different copies of an action  $c_{ij}$  that can be part of other agents' primary paths is bounded—by the preceding lemma, each such copy must appear on the primary or secondary paths of  $a_i$  and  $a_j$ , which are bounded in length and number. In addition, once a particular  $c_{ij}$  event disappears from the primary and secondary paths of  $a_i$  and  $a_j$ , these two agents know that the event is not present on *any* primary path in the system. So,  $a_i$  and  $a_j$  can locally decide to recycle the label assigned to that event, knowing that this will not affect the outcome of any comparison in Lemma 4.3. This implies that a finite set of labels suffices.

### The algorithm

Let  $\mathcal{L} = \{\lambda_1, \lambda_2, \dots, \lambda_K\}$  be a finite set of labels and let  $\mathcal{E} = \Sigma \times \mathcal{L}$ . We assume that each agent  $a_i \in \mathcal{A}$  maintains all primary and secondary paths (starting at  $a_i$ ) as sequences of events. In addition, each agent  $a_i$  also maintains an *immediate history* of events  $H_i = \{last_{ij} \mid j \neq i\}$ , such that for each  $a_j \neq a_i$ ,  $last_{ij} \in \mathcal{E}$  is the most recent event  $e$  in  $a_i$ 's history such that  $dom(e) = \{a_i, a_j\}$ .

Suppose agents  $a_i$  and  $a_j$  synchronize after  $\alpha$ . They then update this local information as follows.

- (i) Let  $\lambda \in \mathcal{L}$  be the first label in the sequence  $\lambda_1 \lambda_2 \dots \lambda_K$  which does not appear in any primary or secondary path for  $a_i$  or  $a_j$ . (We assume that  $\mathcal{L}$  is large enough that we can always do this. See Lemma 4.6 below.) Label the new  $c_{ij}$  communication with  $\lambda$ —i.e., the new event is  $e_\lambda = (c_{ij}, \lambda)$ .
- (ii) Update  $H_i$  to  $H'_i$  by setting  $last_{ij} = e_\lambda$ . Symmetrically update  $H_j$  to  $H'_j$  by setting  $last_{ji} = e_\lambda$ .
- (iii) Define the new primary paths  $\pi'_{ijk} : a_i \rightsquigarrow a_j \rightarrow a_k$  by  $\pi'_{ijk} = e_\lambda last_{jk}$ , for each event  $last_{jk} \in H'_j$ . Symmetrically, define the new primary paths  $\pi'_{jik}$ .
- (iv) For  $a_k \notin \{a_i, a_j\}$ , update primary paths  $\pi_{ik\ell}$  and  $\pi_{jk\ell}$  using lemmas 3.7 and 4.3. Secondary paths are updated in a similar fashion. Note that the update of the primary or secondary paths follows from the update of ideal path, i.e., Lemma 3.7.

It is not difficult to establish the following bounds on our local data structures.

**Lemma 4.6.** *Let  $a_i \in \mathcal{A}$ .*

- (i) *The primary paths of  $a_i$  can be stored as an  $\mathcal{E}$ -labelled tree with  $O(N^2)$  nodes.*
- (ii) *The secondary paths of  $a_i$  can be stored as an  $\mathcal{E}$ -labelled tree with at most  $O(N^3)$  nodes.*
- (iii) *It suffices to use  $O(N)$  labels in  $\mathcal{L}$ .*

To conclude this section, we formally relate the algorithm provided here to the Theorem in Section 2 that we set out to prove. A local state for  $a_i$  consists of a  $\mathcal{E}$ -labelled tree of primary paths and an  $\mathcal{E}$ -labelled tree of secondary paths for  $a_i$ . From Lemma 4.6, it follows that the set of possible local states for  $a_i$  is bounded. Given  $c_{ij} \in \Sigma$ , the local function  $g_{c_{ij}}$  which computes  $best_{c_{ij}}$  is just the one which applies Lemma 4.3 to the local states of  $a_i$  and  $a_j$  and compares ideal paths  $a_i \rightsquigarrow a_k$  and  $a_j \rightsquigarrow a_k$ .

## 5 Discussion

In this paper, we have demonstrated a finite-state algorithm for keeping track of the flow of information in a system where  $N$  agents communicate synchronously.

Our algorithm works on an asynchronous automaton [Z]. Asynchronous automata are closely related to trace theory [Maz], an important language-theoretic

model of concurrent systems. Versions of these automata have been used to characterize  $\omega$ -regular trace languages [GP, DM].

In addition to these connections to trace theory, versions of asynchronous automata are also used in model-checking, where one wants to verify whether a given system's behaviour corresponds to its specification [GW]. Recently, Thiagarajan [T] has developed an extension of propositional linear-time temporal logic which is interpreted over infinite traces, rather than linear sequences. This logic appears to be quite expressive, while remaining decidable. Our algorithm plays a crucial role in establishing the decidability of Thiagarajan's logic.

One interesting problem is to try and characterize the functions which are locally computable (Definition 2.1). Another line of work is to extend our approach to deal with (reliable) message-passing systems. We believe this is possible, subject to the assumption that there is an overall bound  $B$  on the number of *new* messages that  $a_i$  will send to  $a_j$  without an acknowledgment (direct or indirect) from  $a_j$ . A report of this extension is in preparation.

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