

Database Management Systems

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Lecture 7, 3 November 2023



Constraints on a Single Relation

- **not null**
- **primary key**
- **unique**
- **check (P)**, where P is a predicate



Not Null Constraints

- **not null**
 - Declare *name* and *budget* to be **not null**
name **varchar(20) not null**
budget **numeric(12,2) not null**



Unique Constraints

- **unique** (A_1, A_2, \dots, A_m)
 - The unique specification states that the attributes A_1, A_2, \dots, A_m form a candidate key.
 - Candidate keys are permitted to be null (in contrast to primary keys).



The check clause

- The **check** (P) clause specifies a predicate P that must be satisfied by every tuple in a relation.
- Example: ensure that semester is one of fall, winter, spring or summer

create table *section*

```
(course_id varchar (8),  
sec_id varchar (8),  
semester varchar (6),  
year numeric (4,0),  
building varchar (15),  
room_number varchar (7),  
time slot id varchar (4),  
primary key (course_id, sec_id, semester, year),  
check (semester in ('Fall', 'Winter', 'Spring', 'Summer')))
```



Referential Integrity

- Ensures that a value that appears in one relation for a given set of attributes also appears for a certain set of attributes in another relation.
 - Example: If “Biology” is a department name appearing in one of the tuples in the *instructor* relation, then there exists a tuple in the *department* relation for “Biology”.
- Let A be a set of attributes. Let R and S be two relations that contain attributes A and where A is the primary key of S. A is said to be a **foreign key** of R if for any values of A appearing in R these values also appear in S.



Referential Integrity (Cont.)

- Foreign keys can be specified as part of the SQL **create table** statement
 - foreign key** (*dept_name*) **references** *department*
- By default, a foreign key references the primary-key attributes of the referenced table.
- SQL allows a list of attributes of the referenced relation to be specified explicitly.
 - foreign key** (*dept_name*) **references** *department*
(*dept_name*)



Cascading Actions in Referential Integrity

- When a referential-integrity constraint is violated, the normal procedure is to reject the action that caused the violation.
- An alternative, in case of delete or update is to cascade

```
create table course (  
    (...  
    dept_name varchar(20),  
    foreign key (dept_name) references department  
        on delete cascade  
        on update cascade, || propagate the change  
    ...)
```

- Instead of cascade we can use :
 - **set null,**
 - **set default**



Built-in Data Types in SQL

- **date:** Dates, containing a (4 digit) year, month and date
 - Example: **date** '2005-7-27'
- **time:** Time of day, in hours, minutes and seconds.
 - Example: **time** '09:00:30' **time** '09:00:30.75'
- **timestamp:** date plus time of day
 - Example: **timestamp** '2005-7-27 09:00:30.75'
- **interval:** period of time
 - Example: interval '1' day
 - Subtracting a date/time/timestamp value from another gives an interval value
 - Interval values can be added to date/time/timestamp values

- Many other features
 - Transactions
 - Assertions and triggers
 - ...

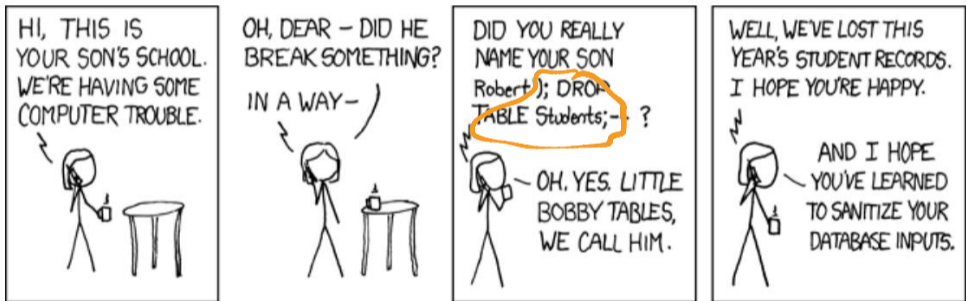
- Many other features
 - Transactions
 - Assertions and triggers
 - ...
- Can call SQL from other programming languages
 - Almost every language has library functions to invoke SQL
 - Transfer data between online forms and databases
 - ...

Security — SQL injection attacks

- User input can be malicious commands to corrupt database
- Always validate data entered in a form before passing on to SQL

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- Set of attributes that one needs to keep track of

Relational database design

- Set of attributes that one needs to keep track of
- Why not combine into a single table?

Relational database design

| <i>ID</i> | <i>name</i> | <i>dept_name</i> | <i>salary</i> |
|-----------|-------------|------------------|---------------|
| 10101 | Srinivasan | Comp. Sci. | 65000 |
| 12121 | Wu | Finance | 90000 |
| 15151 | Mozart | Music | 40000 |
| 22222 | Einstein | Physics | 95000 |
| 32343 | El Said | History | 60000 |
| 33456 | Gold | Physics | 87000 |
| 45565 | Katz | Comp. Sci. | 75000 |
| 58583 | Califieri | History | 62000 |
| 76543 | Singh | Finance | 80000 |
| 76766 | Crick | Biology | 72000 |
| 83821 | Brandt | Comp. Sci. | 92000 |
| 98345 | Kim | Elec. Eng. | 80000 |

| <i>dept_name</i> | <i>building</i> | <i>budget</i> |
|------------------|-----------------|---------------|
| Biology | Watson | 90000 |
| Comp. Sci. | Taylor | 100000 |
| Elec. Eng. | Taylor | 85000 |
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- Combine these into a single table?

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- Redundant storage

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Relational database design

- Redundant storage
- Maintaining consistency
 - Updates
 - Inserts and deletes

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Decomposition and information

- `(customer_name,regd_phone,regd_email)`

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Decomposition and information

- $(customer_name, regd_phone, regd_email)$
- Decompose as $(customer_name, regd_phone)$ and $(customer_name, regd_email)$
- Name is not unique — loss of **information**

| | | |
|---|----|----|
| N | P1 | E1 |
| N | P2 | E2 |

| | |
|---|----|
| N | P1 |
| N | P2 |

| | |
|---|----|
| N | E1 |
| N | E2 |

Decomposition and information

- `(customer_name,regd_phone,regd_email)`
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- Recombining decomposed relation should not add tuples

Decomposition and information

- $(\text{customer_name}, \text{regd_phone}, \text{regd_email})$
- Decompose as $(\text{customer_name}, \text{regd_phone})$ and $(\text{customer_name}, \text{regd_email})$
- Name is not unique — loss of **information**
- Recombining decomposed relation should not add tuples
- **Lossless decomposition**
 - Decompose R as R_1 and R_2
 - Want $R = R_1 \bowtie R_2$

Functional dependencies

- $A_1, A_2, \dots, A_k \rightarrow B_1, B_2, \dots, B_m$
 - LHS attributes uniquely fix RHS attributes
 - Must hold for **every instance**
— semantic property of attributes

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 - LHS attributes uniquely fix RHS attributes
 - Must hold for **every instance** — semantic property of attributes
- Need not correspond to superkeys
 - `dept_name` \rightarrow `building`
 - `dept_name` \rightarrow `budget`

| <i>ID</i> | <i>name</i> | <i>salary</i> | <i>dept_name</i> | <i>building</i> | <i>budget</i> |
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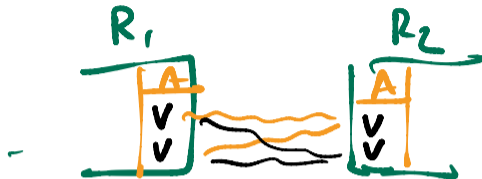
Functional dependencies

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 - LHS attributes uniquely fix RHS attributes
 - Must hold for **every instance** — semantic property of attributes
- Need not correspond to superkeys
 - $dept_name \rightarrow building$
 - $dept_name \rightarrow budget$
- Use to identify sources of redundancy, guide decomposition

| <i>ID</i> | <i>name</i> | <i>salary</i> | <i>dept_name</i> | <i>building</i> | <i>budget</i> |
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Lossless decomposition and functional dependencies

- Decompose R as R_1 and R_2



Lossless decomposition and functional dependencies

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 - $R_1 \cap R_2 \rightarrow R_1$
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 - $R_1 \cap R_2 \rightarrow R_1$
 - $R_1 \cap R_2 \rightarrow R_2$
- Decompose **Instructor-Department** as **Instructor** and **Department**
 - **Instructor** \cap **Department** is **dept_name**
 - **dept_name** is primary key for **Department**

Lossless decomposition and functional dependencies

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- $R_1 \cap R_2 \rightarrow R_1$
 - $R_1 \cap R_2 \rightarrow R_2$
- } To achieve lossless decomp

- Decompose **Instructor-Department** as **Instructor** and **Department**

- **Instructor** \cap **Department** is **dept_name**
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- In general need to compute all implied dependencies

- From $A \rightarrow B$ and $B \rightarrow C$, conclude that $A \rightarrow C$

- **Closure** of a set of dependencies F — denoted F^+

$A \rightarrow B, A \rightarrow C$
 $\downarrow \quad \uparrow ?$
 $A \rightarrow B, C$

Lossless decomposition and functional dependencies

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- In general need to compute all implied dependencies
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- **Closure** of a set of dependencies F — denoted F^+

$A \rightarrow C$
 $B \rightarrow C$
 $\checkmark ? \downarrow$ $\uparrow ? \times$
 $A, B \rightarrow C$
 a, b $c = c'$

Computing the closure of a set of attributes

- Given $\mathcal{A} = \{A_1, A_2, \dots, A_k\}$ and B , does $A_1, A_2, \dots, A_k \rightarrow B$?

Given some
functional
dependencies

Computing the closure of a set of attributes

- Given $\mathcal{A} = \{A_1, A_2, \dots, A_k\}$ and B , does $A_1, A_2, \dots, A_k \rightarrow B$?
- Iterative algorithm — check if B is in closure \mathcal{A}^+

Initialize \mathcal{A}^+ to $\{A_1, A_2, \dots, A_k\}$

repeat

 for each $\beta \rightarrow \gamma$ in F

 if $\beta \subseteq \mathcal{A}^+$, add γ to \mathcal{A}^+

 end

until no change in \mathcal{A}^+

$$\mathcal{A}^+ = \mathcal{A} \cup \{B_1, B_2, \dots, B_m\}$$

$$\mathcal{A} \rightarrow B_i \text{ for each } i$$

$$\mathcal{A} \rightarrow B \text{ for any } B \subseteq \{B_1, \dots, B_m\}$$

$$A_3, A_7 \rightarrow C$$

Add C to \mathcal{A}^+

$$A_8, C \rightarrow B$$

Add B to \mathcal{A}^+

$$A_9, B \rightarrow C$$

Normal forms

- Criteria to determine if the collection of tables is “good”

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- **Normalization** — decompose tables till they achieve a normal form

Normal forms

- Criteria to determine if the collection of tables is “good”
- **Normalization** — decompose tables till they achieve a normal form
- Guided by functional dependencies

Boyce-Codd Normal Form (BCNF)

- Relational schema R , set of functional dependencies F

Boyce-Codd Normal Form (BCNF)

- Relational schema R , set of functional dependencies F
- Write α, β to represent sequences of attributes $A_1, A_2, \dots, A_k, B_1, B_2, \dots, B_m$

Boyce-Codd Normal Form (BCNF)

- Relational schema R , set of functional dependencies F
 - Write α, β to represent sequences of attributes $A_1, A_2, \dots, A_k, B_1, B_2, \dots, B_m$
 - R is in BCNF if, for every $\alpha \rightarrow \beta \in F^+$ one of the following holds
 - $\alpha \rightarrow \beta$ is **trivial** (i.e., $\beta \subseteq \alpha$)
 - α is a superkey for R
- closure*

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- `InstructorDepartment (ID, name, salary, dept_name, building, budget)` not in BCNF



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- `InstructorDepartment (ID, name, salary, dept_name, building, budget)` not in BCNF
- `Instructor (ID, name, dept_name, salary)` and `Department (dept_name, building, budget)` are in BCNF

Achieving BCNF

- $\alpha \rightarrow \beta \in F^+$ is a BCNF violation for R if neither of the following holds
 - $\alpha \rightarrow \beta$ is **trivial** (i.e., $\beta \subseteq \alpha$)
 - α is a superkey for R

Achieving BCNF

- $\alpha \rightarrow \beta \in F^+$ is a BCNF violation for R if neither of the following holds
 - $\alpha \rightarrow \beta$ is **trivial** (i.e., $\beta \subseteq \alpha$)
 - α is a superkey for R
- To fix this, decompose R as

- $\alpha \cup \beta$

- $R \setminus (\beta \setminus \alpha)$

$R \setminus \beta$

$C, D \rightarrow D, E$

Dept-name \rightarrow Buldy, Budget

α

β

Table 1

| | | | |
|----------|---------|---|---|
| C | D | D | E |
| α | β | | |

Achieving BCNF

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 - $\alpha \rightarrow \beta$ is **trivial** (i.e., $\beta \subseteq \alpha$)
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- To fix this, decompose R as
 - $\alpha \cup \beta$
 - $R \setminus (\beta \setminus \alpha)$
- Example: $\text{dept_name} \rightarrow \text{building, budget}$ is a BCNF violation for $\text{InstructorDepartment}(\text{ID}, \text{name}, \text{salary}, \text{dept_name}, \text{building}, \text{budget})$

Achieving BCNF

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- Example: $\text{dept_name} \rightarrow \text{building, budget}$ is a BCNF violation for $\text{InstructorDepartment}(\text{ID}, \text{name}, \text{salary}, \text{dept_name}, \text{building}, \text{budget})$
- Decompose as
 - $\text{Department}(\text{dept_name}, \text{building}, \text{budget})$
 - $\text{Instructor}(\text{ID}, \text{name}, \text{dept_name}, \text{salary})$

$\alpha \cup \beta$
 $R \setminus \beta$

Dependency preservation

- `Advisor(student_id, faculty_id, dept_name)`
- Each faculty member is in only one department
- Students can be across multiple departments
- Each student has at most one advisor in each department

Dependency preservation

- `Advisor(student_id, faculty_id, dept_name)` - BCNF violation
- Each faculty member is in only one department
- Students can be across multiple departments
- Each student has at most one advisor in each department
- BCNF decomposition is `(student_id, faculty_id)`, `(faculty_id, dept_name)`


fac-id \rightarrow dept-name

sid, dept \rightarrow fid

R- β

α U β

Dependency preservation

- `Advisor(student_id, faculty_id, dept_name)`
 - Each faculty member is in only one department
 - Students can be across multiple departments
 - Each student has at most one advisor in each department
 - BCNF decomposition is `(student_id, faculty_id), (faculty_id, dept_name)`
 - Functional dependencies
 - `faculty_id → dept_name`
 - `student_id, dept_name → faculty_id`
- 
- requires join* ✓

Dependency preservation

■ Advisor(student_id, faculty_id, dept_name)

Key

- Each faculty member is in only one department
- Students can be across multiple departments
- Each student has at most one advisor in each department
- BCNF decomposition is (student_id, faculty_id), (faculty_id, dept_name)
- Functional dependencies
 - faculty_id → dept_name
 - student_id, dept_name → faculty_id
- Need join to check second dependency

Cannot "locally check"

Third normal form (3NF)

- R is in 3NF if, for every $\alpha \rightarrow \beta \in F^+$, one of the following holds
 - $\alpha \rightarrow \beta$ is **trivial** (i.e., $\beta \subseteq \alpha$)
 - α is a superkey for R
 - Each attribute A in $\beta \setminus \alpha$ is contained in some candidate key for R

"Mystern"

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 - Each attribute A in $\beta \setminus \alpha$ is contained in some candidate key for R
- BCNF is a stricter condition than 3NF

R in BCNF

$\Rightarrow R$ in 3NF

\Leftarrow
x

Third normal form (3NF)

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 - α is a superkey for R
 - Each attribute A in $\beta \setminus \alpha$ is contained in some candidate key for R
 - BCNF is a stricter condition than 3NF
 - Priorities
 - Lossless decomposition
 - BCNF
 - Dependency preservation
- Cannot be improved back of redundancy*