

Lecture 09, 12 September 2023

Arrays ¶

- Contiguous block of memory
- Typically size is declared in advance, all values are uniform
- `a[0]` points to first memory location in the allocated block
- Locate `a[i]` in memory using index arithmetic
 - Skip i blocks of memory, each block's size determined by value stored in array
- **Random access** -- accessing the value at `a[i]` does not depend on i
- Useful for procedures like sorting, where we need to swap out of order values `a[i]` and `a[j]`
 - `a[i], a[j] = a[j], a[i]`
 - Cost of such a swap is constant, independent of where the elements to be swapped are in the array
- Inserting or deleting a value is expensive
- Need to shift elements right or left, respectively, depending on the location of the modification

Lists

- Each location is a *cell*, consisting of a value and a link to the next cell
 - Think of a list as a train, made up of a linked sequence of cells
- The name of the list `l` gives us access to `l[0]`, the first cell
- To reach cell `l[i]`, we must traverse the links from `l[0]` to `l[1]` to `l[2]` ... to `l[i-1]` to `l[i]`
 - Takes time proportional to i
- Cost of swapping `l[i]` and `l[j]` varies, depending on values i and j
- On the other hand, if we are already at `l[i]` modifying the list is easy
 - *Insert* - create a new cell and reroute the links
 - *Delete* - bypass the deleted cell by rerouting the links
- Each insert/delete requires a fixed amount of local "plumbing", independent of where in the list it is performed

Dictionaries

- Values are stored in a fixed block of size m
- Keys are mapped to $\{0, 1, \dots, m-1\}$
- Hash function $h: K \rightarrow S$ maps a *large* set of keys K to a *small* range S
- Simple hash function: interpret $k \in K$ as a bit sequence representing a number n_k in binary, and compute $n_k \bmod m$, where $|S| = m$
- Mismatch in sizes means that there will be *collisions* -- $k_1 \neq k_2$, but $h(k_1) = h(k_2)$
- A good hash function maps keys "randomly" to minimize collisions
- Hash can be used as a *signature* of authenticity
 - Modifying k slightly will drastically alter $h(k)$
 - No easy way to reverse engineer a k' to map to a given $h(k)$
 - Use to check that large files have not been tampered with in transit, either due to network errors or malicious intervention
- Dictionary uses a hash function to map key values to storage locations
- Lookup requires computing $h(k)$ which takes roughly the same time for any k
 - Compare with computing the offset `a[i]` for any index i in an array
- Collisions are inevitable, different mechanisms to manage this, which we will not discuss now
- Effectively, a dictionary combines flexibility with random access

Lists in Python

- Flexible size, allow inserting/deleting elements in between
- However, implementation is an array, rather than a list
- Initially allocate a block of storage to the list
- When storage runs out, double the allocation
- `l.append(x)` is efficient, moves the right end of the list one position forward within the array
- `l.insert(0, x)` inserts a value at the start, expensive because it requires shifting all the elements by 1
- We will run experiments to validate these claims

Measuring execution time

- Call `time.perf_counter()`
- Actual return value is meaningless, but difference between two calls measures time in seconds

```
In [1]: import time
```

- 10^7 appends to an empty Python list

```
In [2]: start = time.perf_counter()
l = []
for i in range(10000000):
    l.append(i)
elapsed = time.perf_counter() - start
print(elapsed)
```

3.1834037989901844

- Doubling the work approximately doubles the time, linear

```
In [3]: start = time.perf_counter()
l = []
for i in range(20000000):
    l.append(i)
elapsed = time.perf_counter() - start
print(elapsed)
```

5.753009960986674

- 10^5 inserts at the beginning of a Python list

```
In [4]: start = time.perf_counter()
l = []
for i in range(100000):
    l.insert(0,i)
elapsed = time.perf_counter() - start
print(elapsed)
```

5.5166299150150735

- Doubling and tripling the work multiplies the time by 4 and 9, respectively, so quadratic

```
In [5]: start = time.perf_counter()
l = []
for i in range(200000):
    l.insert(0,i)
elapsed = time.perf_counter() - start
print(elapsed)
```

17.979196411994053

```
In [6]: start = time.perf_counter()
l = []
for i in range(300000):
    l.insert(0,i)
elapsed = time.perf_counter() - start
print(elapsed)
```

43.46195148699917

- Creating 10^7 entries in an empty dictionary

```
In [7]: start = time.perf_counter()
d = {}
for i in range(10000000,0,-1):
    d[i] = i
elapsed = time.perf_counter() - start
print(elapsed)
```

3.8069355089974124

- Doubling the operations, doubles the time, so linear
- Dictionaries are effectively random access

```
In [8]: start = time.perf_counter()
d = {}
for i in range(20000000,0,-1):
    d[i] = i
elapsed = time.perf_counter() - start
print(elapsed)
```

9.057193082000595

Implementing a "real" list using dictionaries

```
In [9]: def createlist(): # Equivalent of l = [] is l = createlist()
        return({})

def listappend(l,x):
    if l == {}:
        l["value"] = x
        l["next"] = {}
        return

    node = l
    while node["next"] != {}:
        node = node["next"]

    node["next"]["value"] = x
    node["next"]["next"] = {}
    return

def listinsert(l,x):
    if l == {}:
        l["value"] = x
        l["next"] = {}
        return

    newnode = {}
    newnode["value"] = l["value"]
    newnode["next"] = l["next"]
    l["value"] = x
    l["next"] = newnode
    return

def printlist(l):
    print("{",end="")

    if l == {}:
        print("}")
        return
    node = l

    print(node["value"],end="")
    while node["next"] != {}:
        node = node["next"]
        print(", ",node["value"],end="")
    print("}")
    return
```

- Display a small list as nested dictionaries

```
In [10]: start = time.perf_counter()
l = createlist()
for i in range(10):
    listappend(l,i)
elapsed = time.perf_counter() - start
print(elapsed)
print(l)
```

```
0.020103318995097652
{'value': 0, 'next': {'value': 1, 'next': {'value': 2, 'next': {'value': 3, 'next': {'value': 4, 'next': {'value': 5, 'next': {'value': 6, 'next': {'value': 7, 'next': {'value': 8, 'next': {'value': 9, 'next': {}}}}}}}}}}}
```

- Insert 10^7 elements at the beginning in this implementation of a list

```
In [11]: start = time.perf_counter()
l = createlist()
for i in range(1000000):
    listinsert(l,i)
elapsed = time.perf_counter() - start
print(elapsed)
```

```
3.375442454998847
```

- Doubling the work doubles the time, so linear

```
In [12]: start = time.perf_counter()
l = createlist()
for i in range(2000000):
    listinsert(l,i)
elapsed = time.perf_counter() - start
print(elapsed)
```

```
6.131248404999496
```

- Append 10^4 elements in this implementation of a list

```
In [13]: start = time.perf_counter()
l = createlist()
for i in range(10000):
    listappend(l,i)
elapsed = time.perf_counter() - start
print(elapsed)
```

9.82448883599136

- Halving the work takes 1/4 of the time, so quadratic

```
In [14]: start = time.perf_counter()
l = createlist()
for i in range(5000):
    listappend(l,i)
elapsed = time.perf_counter() - start
print(elapsed)
```

2.685035665985197

Defining our own data structures

- We have implemented a "linked" list using dictionaries
- The fundamental functions like `listappend`, `listinsert`, `listdelete` modify the underlying list
- Instead of `mylist = {}`, we wrote `mylist = createlist()`
- To check empty list, use a function `isempty()` rather than `mylist == {}`
- Can we clearly separate the **interface** from the **implementation**
- Define the data structure in a more "modular" way

Set comprehension

- Defining new sets from old
- $\{x^2 \mid x \in \mathbb{Z}, x \geq 0 \wedge (x \bmod 2) = 0\}$
 - $x \in \mathbb{Z}$, generating set
 - $x \geq 0 \wedge (x \bmod 2) = 0$, filtering condition
 - x^2 , output transformation
- More generally $\{f(x) \mid x \in S, p(x)\}$
 - generating set S
 - filtering predicate $p()$
 - transformer function $f()$

Can do this manually for lists

- List of squares of even numbers from 0 to 19
- Initialize output list as `[]`
- Run through a loop and append elements to output list

```
In [15]: evensqlist = []
for i in range(20):
    if i % 2 == 0:
        evensqlist.append(i*i)
print(evensqlist)
```

[0, 4, 16, 36, 64, 100, 144, 196, 256, 324]

Operating on each element of a list

- `map(f,l)` applies a function `f` to each element of a list `l`
- `filter(p,l)` extracts elements `x` from `l` for which `p(x)` is `True`

```
In [16]: def even(x):
return(x%2 == 0)

def odd(x):
return(not(even(x)))

def square(x):
return(x*x)

N = 20
l1 = list(range(N))
l2 = list(filter(odd,l1)) # Note that we can pass a function name as an argument
l3 = list(map(square,l1))

# Combine map and filter
l4 = list(map(square,filter(even,l1)))
```

```
In [17]: l1
```

```
Out[17]: [0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19]
```

In [18]: 12

Out[18]: [1, 3, 5, 7, 9, 11, 13, 15, 17, 19]

In [19]: 13

Out[19]: [0, 1, 4, 9, 16, 25, 36, 49, 64, 81, 100, 121, 144, 169, 196, 225, 256, 289, 324, 361]

In [20]: 14

Out[20]: [0, 4, 16, 36, 64, 100, 144, 196, 256, 324]

List comprehension

- [f(x) for x in ... if p(x)]

In [21]: [square(x) for x in range(20) if even(x)]

Out[21]: [0, 4, 16, 36, 64, 100, 144, 196, 256, 324]

In [22]: *# A zero vector of length N*
[0 for i in range(20)] *# The map function can be a constant function*

Out[22]: [0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]

- List comprehension can be nested
- A 2 dimensional list : A list of M lists of N zeros

In [23]: M,N = 3,5
onedim = [0 for i in range(N)] *# A list of N zeros*
twodim = [[0 for i in range(N)] for j in range(M)]

In [24]: onedim, twodim

Out[24]: ([0, 0, 0, 0], [[0, 0, 0, 0], [0, 0, 0, 0], [0, 0, 0, 0]])

All Pythagorean triples with value less than n

- (x, y, z) such that $x^2 + y^2 = z^2$, $x, y, z \leq n$

Using nested loops

- Run through all possible (x,y,z)
- To avoid duplicates like (3,4,5) and (4,3,5) enumerate y starting from x
- z must be at least y, enumerate z starting from y

In [25]: N = 20
triples = []
for x in range(1,N+1):
 for y in range(x,N+1):
 for z in range(y,N+1):
 if x*x + y*y == z*z:
 triples.append((x,y,z))

In [26]: triples

Out[26]: [(3, 4, 5), (5, 12, 13), (6, 8, 10), (8, 15, 17), (9, 12, 15), (12, 16, 20)]

Pythagorean triples via list comprehension

- Multiple generators for x, y and z
- As before start generator for y at x and generator for z at y

```
In [27]: N = 20  
[ (x,y,z) for x in range(1,N+1) for y in range(x,N+1) for z in range(y,N+1) if x*x + y*y == z*z]
```

```
Out[27]: [(3, 4, 5), (5, 12, 13), (6, 8, 10), (8, 15, 17), (9, 12, 15), (12, 16, 20)]
```

Uses of list comprehension

List comprehension notation is compact and useful in a number of contexts

- Pull out all dictionary values where the keys satisfy some property: e.g. all marks below 50
 - [d[k] for k in d.keys() if p(k)]
- Symmetrically, keys whose values satisfy some property: e.g. all roll numbers where marks are below 50
 - [k for k in d.keys() if p(d[k])]
- Or, extract (key,value) pairs of interest
 - [(k,d[k]) for k in d.keys() if p(d[k])]