

Stacks, Queues, Priority Queues, Heaps

Madhavan Mukund

<https://www.cmi.ac.in/~madhavan>

Programming and Data Structures with Python

Lecture 18, 26 Oct 2023

Stack

- Stack is a last-in, first-out sequence
- `push(s, x)` — add `x` to stack `s`
- `pop(s)` — return most recently added element

`s.push(x)`

`v ← s.pop()`

`isempty(s)`

`l.pop()` in Python

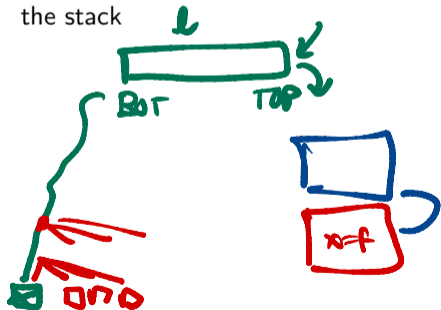
- Stack is a last-in, first-out sequence
- `push(s, x)` — add `x` to stack `s`
- `pop(s)` — return most recently added element
- Maintain stack as list, push and pop from the right
 - `push(s, x)` is `s.append(x)`
 - `s.pop()` — Python built-in, returns last element

- Stack is a last-in, first-out sequence
- `push(s, x)` — add `x` to stack `s`
- `pop(s)` — return most recently added element
- Maintain stack as list, push and pop from the right
 - `push(s, x)` is `s.append(x)`
 - `s.pop()` — Python built-in, returns last element
- Stack defined using classes:
`s.push(x)`, `s.pop()`

Stack

- Stack is a last-in, first-out sequence
- `push(s, x)` — add `x` to stack `s`
- `pop(s)` — return most recently added element
- Maintain stack as list, push and pop from the right
 - `push(s, x)` is `s.append(x)`
 - `s.pop()` — Python built-in, returns last element
- Stack defined using classes:
`s.push(x)`, `s.pop()`

- Stacks are natural to keep track of local variables through function calls
 - Each function call pushes current **frame** onto a stack
 - When function exits, pop its frame off the stack



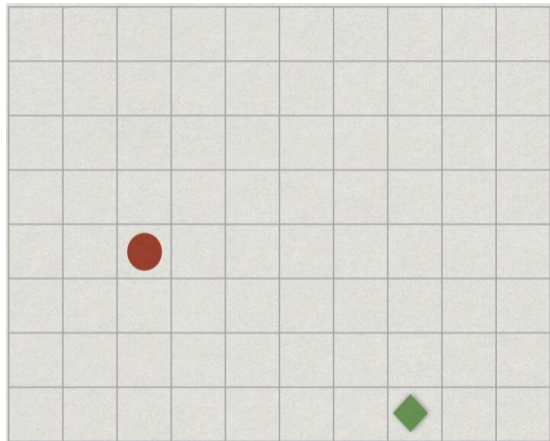
Queue

- First-in, first-out sequence
- `addq(q, x)` — adds `x` to rear of queue `q`
- `removeq(q)` — removes element at head of `q`

- First-in, first-out sequence
- `addq(q,x)` — adds `x` to rear of queue `q`
- `removeq(q)` — removes element at head of `q`
- Using Python lists, left is rear, right is front
 - `addq(q,x)` is `q.insert(0,x)`
 - `insert(j,x)`, insert `x` before position `j`
 - `removeq(q)` is `q.pop()`

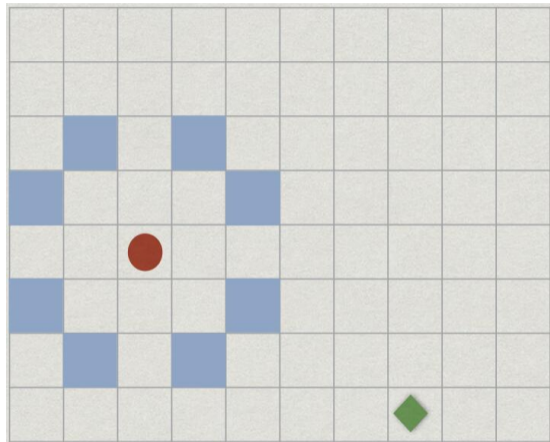
Systematic exploration

- Rectangular $m \times n$ grid
- Chess knight starts at (sx, sy) ●
- Usual knight moves
- Can it reach a target square (tx, ty) ? ◆



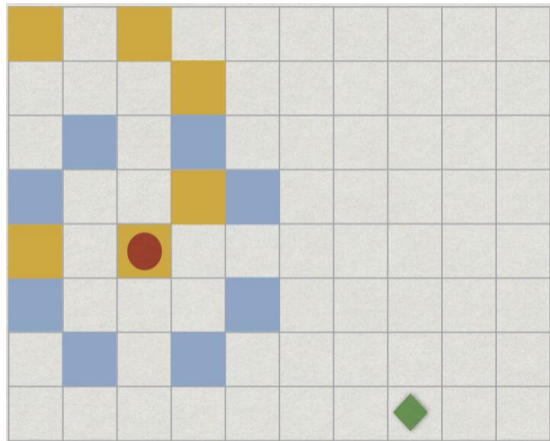
Systematic exploration

- Rectangular $m \times n$ grid
- Chess knight starts at (sx, sy) ●
- Usual knight moves
- Can it reach a target square (tx, ty) ? ◆



Systematic exploration

- Rectangular $m \times n$ grid
- Chess knight starts at (sx, sy) ●
- Usual knight moves
- Can it reach a target square (tx, ty) ? ◆

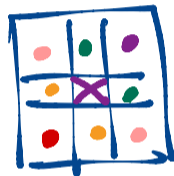


Systematic exploration

- X_1 — all squares reachable in one move from (sx, sy)
- X_2 — all squares reachable from X_1 in one move
- ...
- Don't explore an already marked square

Systematic exploration

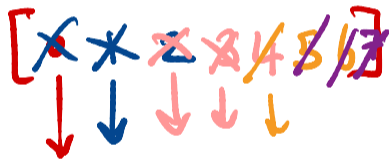
- X_1 — all squares reachable in one move from (sx, sy)
- X_2 — all squares reachable from X_1 in one move
- ...
- Don't explore an already marked square
- When do we stop?
 - If we reach target square
 - What if target is not reachable?



Systematic exploration

- X_1 — all squares reachable in one move from (sx, sy)
- X_2 — all squares reachable from X_1 in one move
- ...
- Don't explore an already marked square
- When do we stop?
 - If we reach target square
 - What if target is not reachable?

- Maintain a queue Q of cells to be explored
- Initially Q contains only start node (sx, sy)



Systematic exploration

- X_1 — all squares reachable in one move from (sx, sy)
- X_2 — all squares reachable from X_1 in one move
- ...
- Don't explore an already marked square
- When do we stop?
 - If we reach target square
 - What if target is not reachable?
- Maintain a queue Q of cells to be explored
- Initially Q contains only start node (sx, sy)
 - Remove (ax, ay) from head of queue
 - Mark all squares reachable in one step from (ax, ay)
 - Add all newly marked squares to the queue

Systematic exploration

- X_1 — all squares reachable in one move from (sx, sy)
- X_2 — all squares reachable from X_1 in one move
- ...
- Don't explore an already marked square
- When do we stop?
 - If we reach target square
 - What if target is not reachable?
- Maintain a queue Q of cells to be explored
- Initially Q contains only start node (sx, sy)
 - Remove (ax, ay) from head of queue
 - Mark all squares reachable in one step from (ax, ay)
 - Add all newly marked squares to the queue
- When the queue is empty, we have finished

Dealing with priorities

Job scheduler

- A job scheduler maintains a list of pending jobs with their priorities

Dealing with priorities

Job scheduler

- A job scheduler maintains a list of pending jobs with their priorities
- When the processor is free, the scheduler picks out the job with maximum priority in the list and schedules it

Dealing with priorities

Job scheduler

- A job scheduler maintains a list of pending jobs with their priorities
- When the processor is free, the scheduler picks out the job with maximum priority in the list and schedules it
- New jobs may join the list at any time

Dealing with priorities

Job scheduler

- A job scheduler maintains a list of pending jobs with their priorities
- When the processor is free, the scheduler picks out the job with maximum priority in the list and schedules it
- New jobs may join the list at any time
- How should the scheduler maintain the list of pending jobs and their priorities?

Dealing with priorities

Job scheduler

- A job scheduler maintains a list of pending jobs with their priorities
- When the processor is free, the scheduler picks out the job with maximum priority in the list and schedules it
- New jobs may join the list at any time
- How should the scheduler maintain the list of pending jobs and their priorities?

Priority queue

- Need to maintain a collection of items with priorities to optimise the following operations
- `delete_max()`
 - Identify and remove item with highest priority
 - Need not be unique
- `insert()`
 - Add a new item to the collection

Implementing priority queues with one dimensional structures

- `delete_max()`
 - Identify and remove item with highest priority
 - Need not be unique
- `insert()`
 - Add a new item to the list

Implementing priority queues with one dimensional structures

- Unsorted list

- `insert()` is $O(1)$
- `delete_max()` is $O(n)$

- `delete_max()`

- Identify and remove item with highest priority
- Need not be unique

- `insert()`

- Add a new item to the list

Implementing priority queues with one dimensional structures

■ Unsorted list

- `insert()` is $O(1)$
- `delete_max()` is $O(n)$

■ Sorted list

- `delete_max()` is $O(1)$
- `insert()` is $O(n)$

■ `delete_max()`

- Identify and remove item with highest priority
- Need not be unique

■ `insert()`

- Add a new item to the list

Implementing priority queues with one dimensional structures

■ Unsorted list

- `insert()` is $O(1)$
- `delete_max()` is $O(n)$

■ Sorted list

- `delete_max()` is $O(1)$
- `insert()` is $O(n)$

■ Processing n items requires $O(n^2)$

■ `delete_max()`

- Identify and remove item with highest priority
- Need not be unique

■ `insert()`

- Add a new item to the list

Moving to two dimensions

First attempt

- Assume N processes enter/leave the queue

Moving to two dimensions

First attempt

- Assume N processes enter/leave the queue
- Maintain a $\sqrt{N} \times \sqrt{N}$ array

$$N = 25$$

3	19	23	35	58
12	17	25	43	67
10	13	20 15		
11	16	28	49	
6	14			

Moving to two dimensions

First attempt

- Assume N processes enter/leave the queue
- Maintain a $\sqrt{N} \times \sqrt{N}$ array
- Each row is in sorted order

$$N = 25$$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

insert()

- Keep track of the size of each row

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

5
5
3
4
2

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

5
5
3
4
2

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine
- Insert 15

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

5
5
3
4
2

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine
- Insert 15

$N = 25$

15	3	19	23	35	58	5			
	12	17	25	43	67		5		
	10	13	20					3	
	11	16	28	49					4
	6	14							

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine
- Insert 15

$N = 25$

	3	19	23	35	58	
	12	17	25	43	67	
15	10	13	20			5
	11	16	28	49		5
	6	14				3
						4
						2

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine
- Insert 15

$N = 25$

	3	19	23	35	58	5
	12	17	25	43	67	5
15	10	13	20			3
	11	16	28	49		4
	6	14				2

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine
- Insert 15

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	15	20	
11	16	28	49	
6	14			

5
5
3
4
2

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine
- Insert 15

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	15	20	
11	16	28	49	
6	14			

5
5
4
4
2

insert()

- Keep track of the size of each row
- Insert into the first row that has space
 - Use size of row to determine
- Insert 15
- Takes time $O(\sqrt{N})$
 - Scan size column to locate row to insert, $O(\sqrt{N})$
 - Insert into the first row with free space, $O(\sqrt{N})$

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	15	20	
11	16	28	49	
6	14			

5
5
4
4
2

delete_max()

- Maximum in each row is the last element

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	15	20	
11	16	28	49	
6	14			

5
5
4
4
2

delete_max()

- Maximum in each row is the last element
- Position is available through size column

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	15	20	
11	16	28	49	
6	14			

5
5
4
4
2

delete_max()

- Maximum in each row is the last element
- Position is available through size column
- Identify the maximum amongst these

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	15	20	
11	16	28	49	
6	14			

5
5
4
4
2

delete_max()

- Maximum in each row is the last element
- Position is available through size column
- Identify the maximum amongst these
- Delete it

$N = 25$

3	19	23	35	58
12	17	25	43	
10	13	15	20	
11	16	28	49	
6	14			

5
4
4
4
2

delete_max()

- Maximum in each row is the last element
- Position is available through size column
- Identify the maximum amongst these
- Delete it
- Again $O(\sqrt{N})$
 - Find the maximum among last entries, $O(\sqrt{N})$
 - Delete it, $O(1)$

$N = 25$

3	19	23	35	58
12	17	25	43	
10	13	15	20	
11	16	28	49	
6	14			

5
4
4
4
2

Summary

- 2D $\sqrt{N} \times \sqrt{N}$ array with sorted rows
 - `insert()` is $O(\sqrt{N})$
 - `delete_max()` is $O(\sqrt{N})$
 - Processing N items is $O(N\sqrt{N})$

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

Summary

- 2D $\sqrt{N} \times \sqrt{N}$ array with sorted rows
 - `insert()` is $O(\sqrt{N})$
 - `delete_max()` is $O(\sqrt{N})$
 - Processing N items is $O(N\sqrt{N})$
- Can we do better?

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

Summary

- 2D $\sqrt{N} \times \sqrt{N}$ array with sorted rows
 - `insert()` is $O(\sqrt{N})$
 - `delete_max()` is $O(\sqrt{N})$
 - Processing N items is $O(N\sqrt{N})$
- Can we do better?
- Maintain a special binary tree — **heap**
 - Height $O(\log N)$
 - `insert()` is $O(\log N)$
 - `delete_max()` is $O(\log N)$
 - Processing N items is $O(N \log N)$

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

Summary

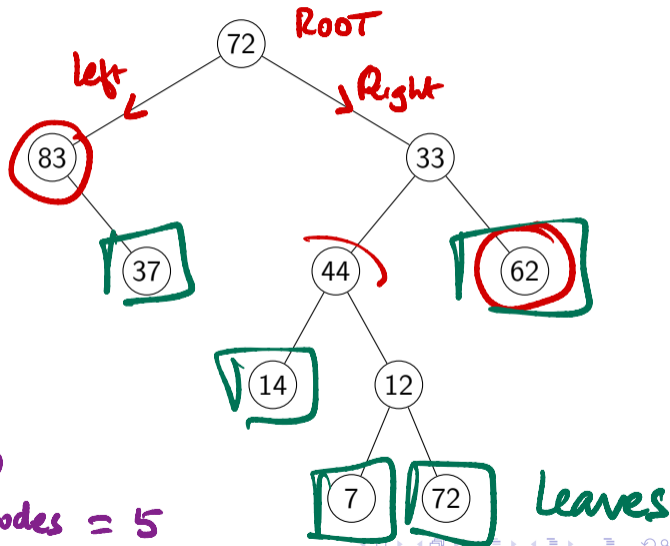
- 2D $\sqrt{N} \times \sqrt{N}$ array with sorted rows
 - `insert()` is $O(\sqrt{N})$
 - `delete_max()` is $O(\sqrt{N})$
 - Processing N items is $O(N\sqrt{N})$
- Can we do better?
- Maintain a special binary tree — **heap**
 - Height $O(\log N)$
 - `insert()` is $O(\log N)$
 - `delete_max()` is $O(\log N)$
 - Processing N items is $O(N \log N)$
- Flexible — need not fix N in advance

$N = 25$

3	19	23	35	58
12	17	25	43	67
10	13	20		
11	16	28	49	
6	14			

Binary trees

- Values are stored as nodes in a rooted tree
- Each node has up to two children
 - Left child and right child
 - Order is important
- Other than the root, each node has a unique parent
- Leaf node — no children
- Size — number of nodes = 10
- Height — number of levels In nodes = 5



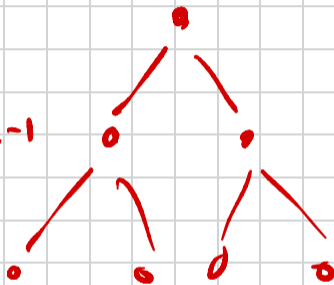
Complete binary tree

Size $\approx 2^{\text{height}}$
 height = \log size

$$1 + 2 + 4 + \dots$$

$$2^0 + 2^1 + 2^2 + 2^3 + \dots + 2^{k-1}$$

$$= 2^k - 1$$



size 1 height 1

size 3 height 2

size 7 height 3

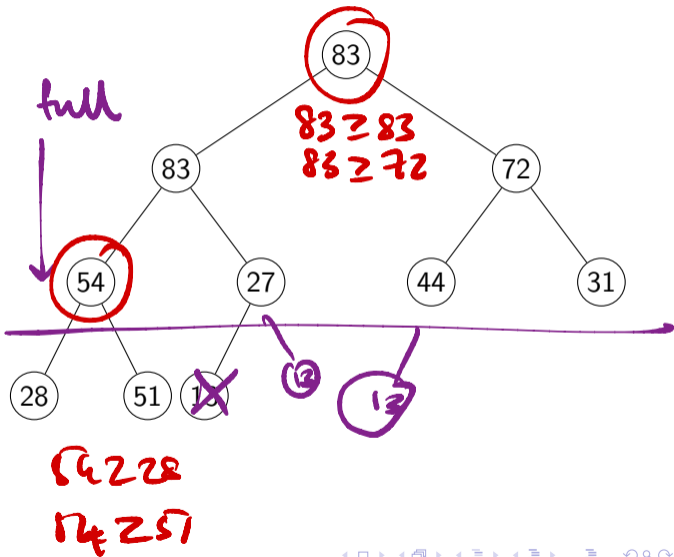


size $2^k - 1$ height k

2^k 1 0 - - - 0

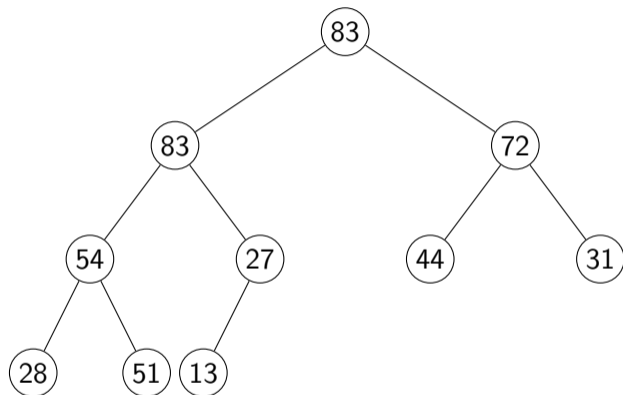
Heap

- Binary tree filled level by level, left to right *Structural constraint*
- The value at each node is at least as big the values of its children *Value constraint*
 - max-heap



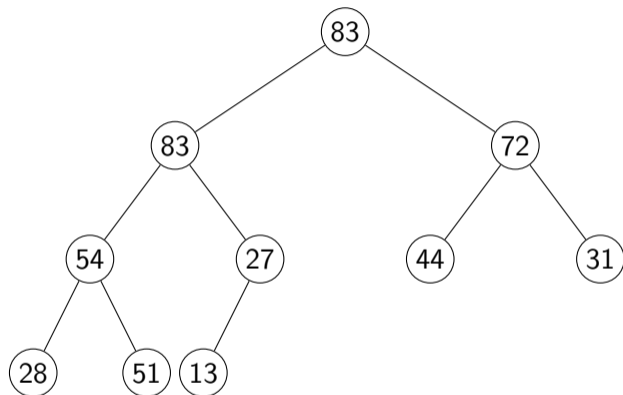
Heap

- Binary tree filled level by level, left to right
- The value at each node is at least as big the values of its children
 - **max-heap**
- Binary tree on the right is an example of a heap



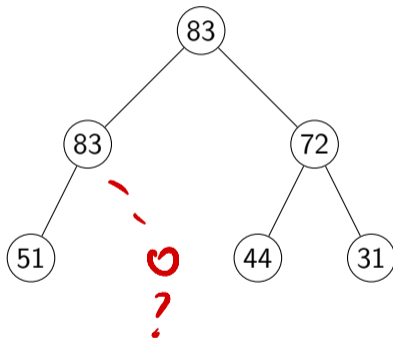
Heap

- Binary tree filled level by level, left to right
- The value at each node is at least as big the values of its children
 - **max-heap**
- Binary tree on the right is an example of a heap
- Root always has the largest value
 - By induction, because of the **max-heap** property



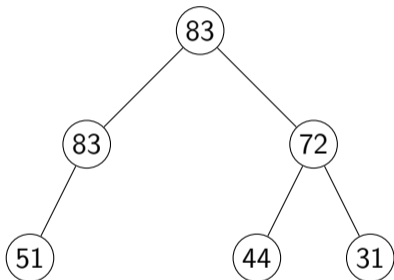
Non-examples

No "holes" allowed

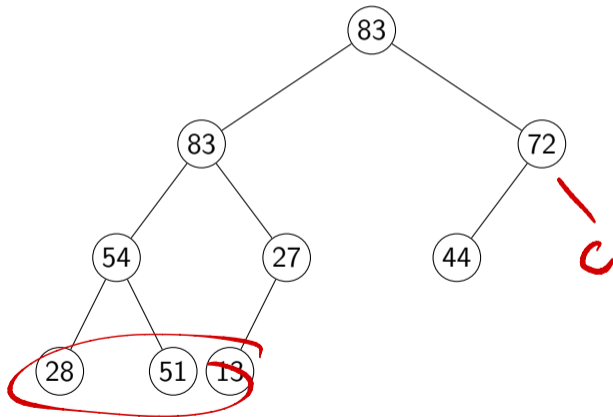


Non-examples

No "holes" allowed

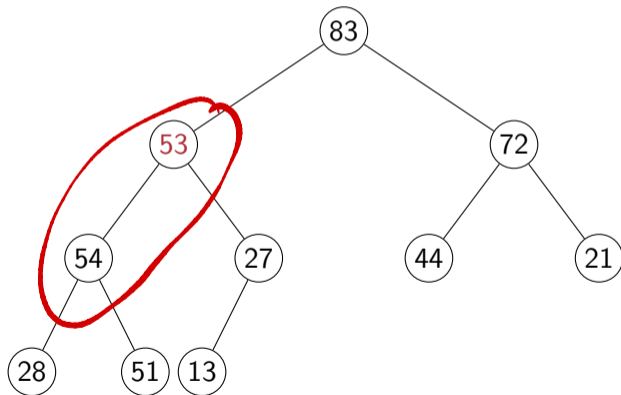


Cannot leave a level incomplete



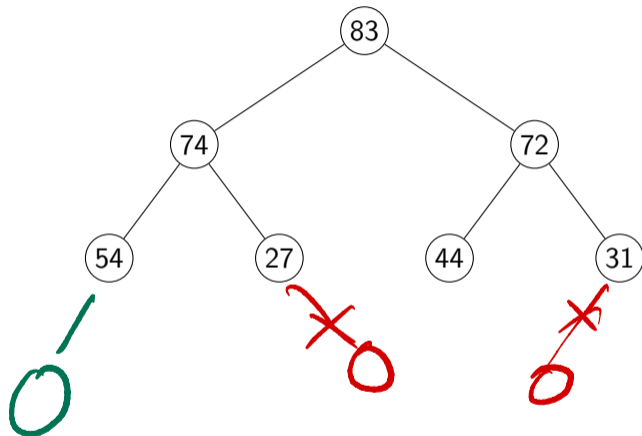
Non-examples

Heap property is violated



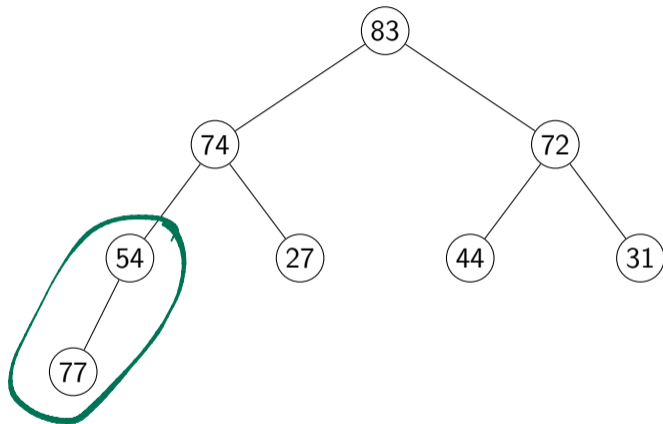
insert()

- insert(77)



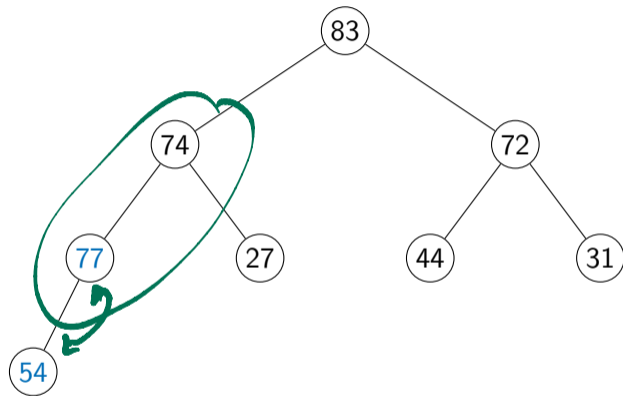
insert()

- `insert(77)`
- Add a new node at dictated by heap structure



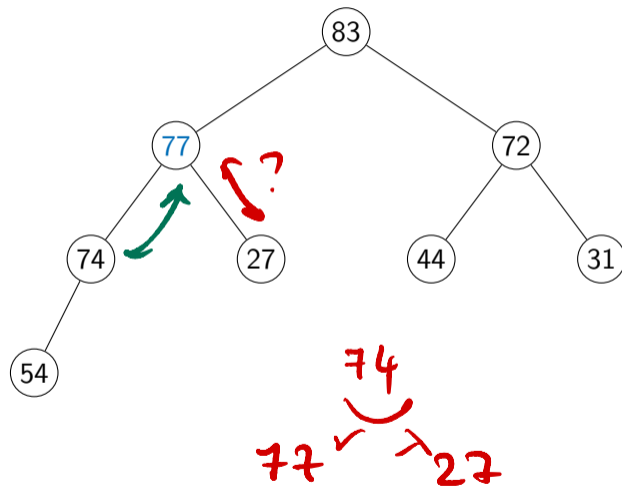
insert()

- insert(77)
- Add a new node at dictated by heap structure
- Restore the heap property along path to the root



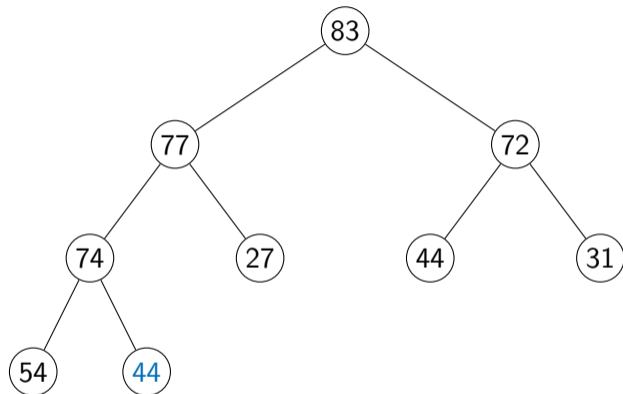
insert()

- insert(77)
- Add a new node at dictated by heap structure
- Restore the heap property along path to the root



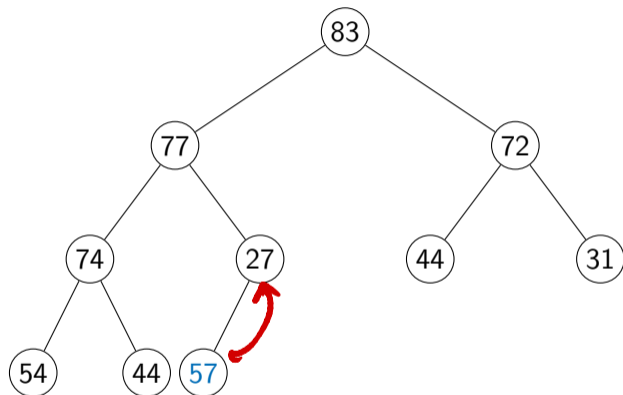
insert()

- `insert(77)`
- Add a new node as dictated by heap structure
- Restore the heap property along path to the root
- `insert(44)`



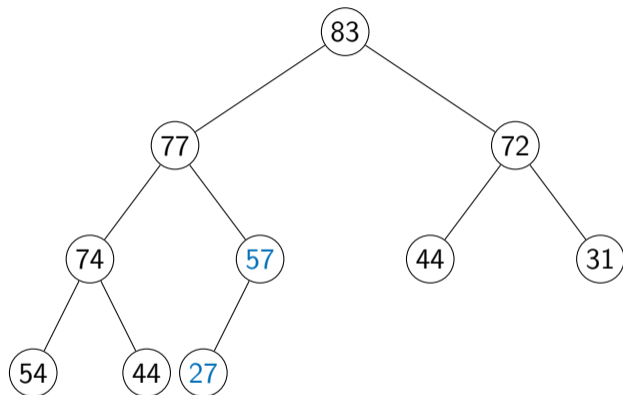
insert()

- insert(77)
- Add a new node at dictated by heap structure
- Restore the heap property along path to the root
- insert(44)
- insert(57)



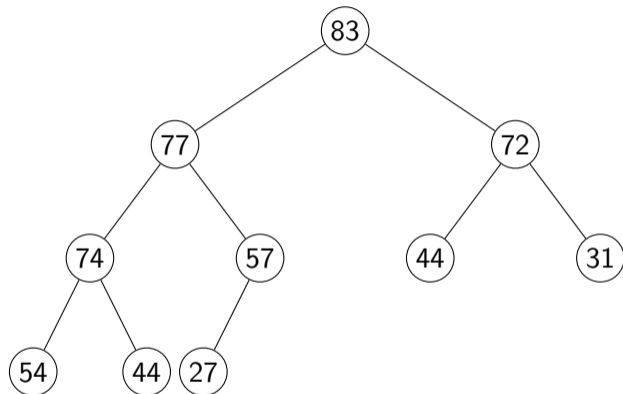
insert()

- insert(77)
- Add a new node at dictated by heap structure
- Restore the heap property along path to the root
- insert(44)
- insert(57)



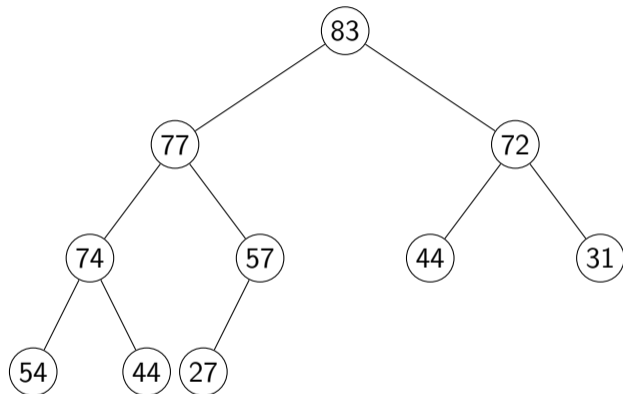
Complexity of insert()

- Need to walk up from the leaf to the root
 - Height of the tree



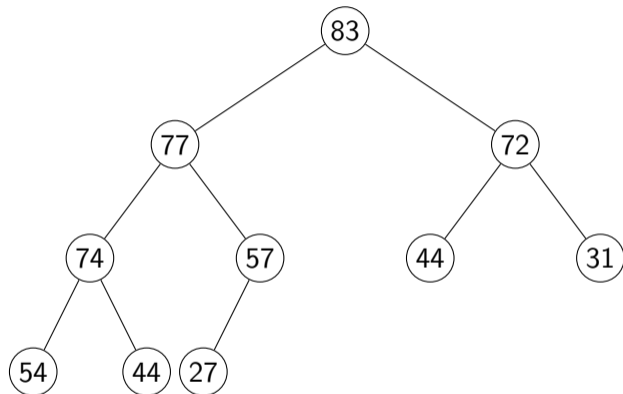
Complexity of insert()

- Need to walk up from the leaf to the root
 - Height of the tree
- Number of nodes at level 0 is $2^0 = 1$



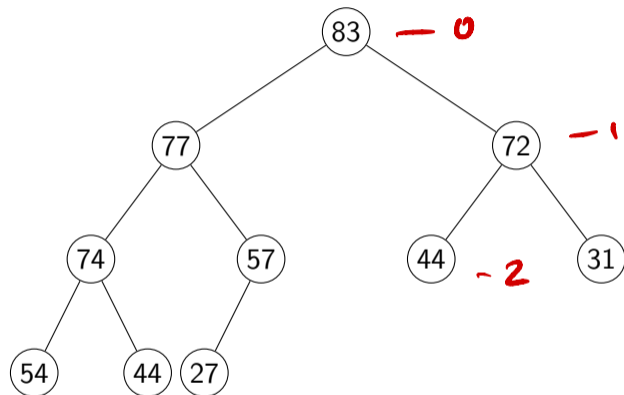
Complexity of insert()

- Need to walk up from the leaf to the root
 - Height of the tree
- Number of nodes at level 0 is $2^0 = 1$
- Number of nodes at level j is 2^j



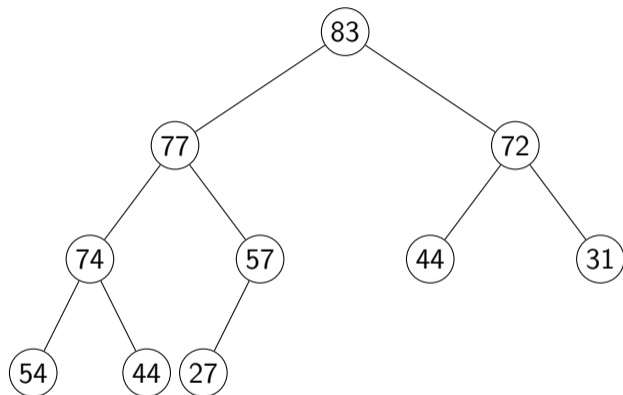
Complexity of insert()

- Need to walk up from the leaf to the root
 - Height of the tree
- Number of nodes at level 0 is $2^0 = 1$
- Number of nodes at level j is 2^j
- If we fill k levels, $2^0 + 2^1 + \dots + 2^{k-1} = 2^k - 1$ nodes



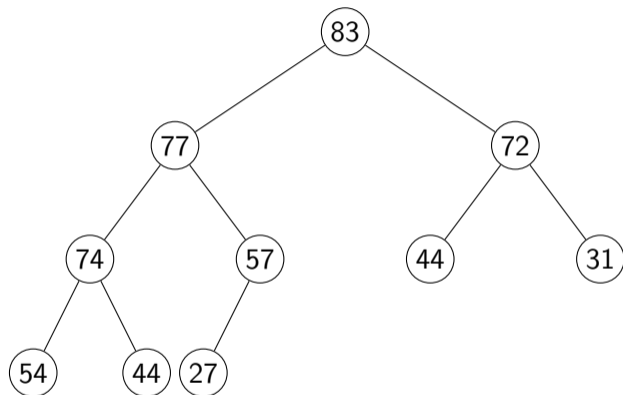
Complexity of insert()

- Need to walk up from the leaf to the root
 - Height of the tree
- Number of nodes at level 0 is $2^0 = 1$
- Number of nodes at level j is 2^j
- If we fill k levels,
 $2^0 + 2^1 + \dots + 2^{k-1} = 2^k - 1$
nodes
- If we have N nodes, at most $1 + \log N$ levels



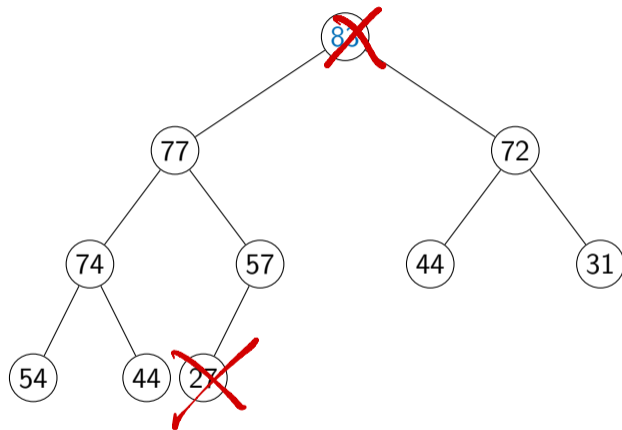
Complexity of insert()

- Need to walk up from the leaf to the root
 - Height of the tree
- Number of nodes at level 0 is $2^0 = 1$
- Number of nodes at level j is 2^j
- If we fill k levels,
 $2^0 + 2^1 + \dots + 2^{k-1} = 2^k - 1$ nodes
- If we have N nodes, at most $1 + \log N$ levels
- `insert()` is $O(\log N)$



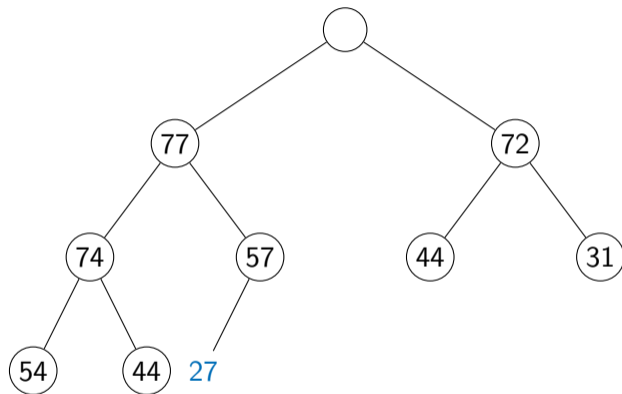
delete_max()

- Maximum value is always at the root



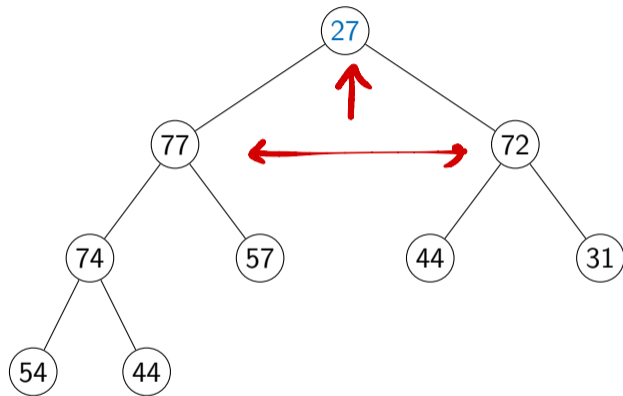
delete_max()

- Maximum value is always at the root
- After we delete one value, tree shrinks
 - Node to delete is rightmost at lowest level



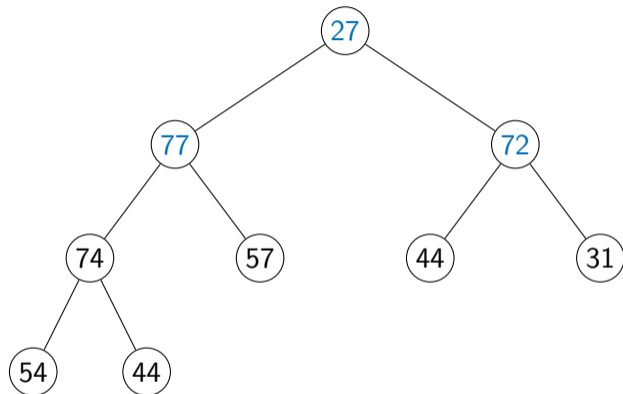
delete_max()

- Maximum value is always at the root
- After we delete one value, tree shrinks
 - Node to delete is rightmost at lowest level
- Move “homeless” value to the root



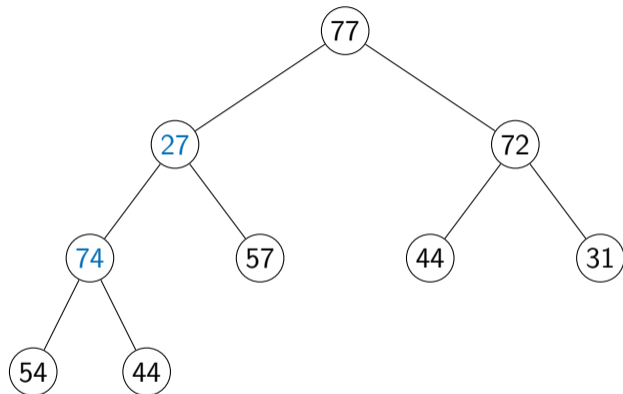
delete_max()

- Maximum value is always at the root
- After we delete one value, tree shrinks
 - Node to delete is rightmost at lowest level
- Move “homeless” value to the root
- Restore the heap property downwards



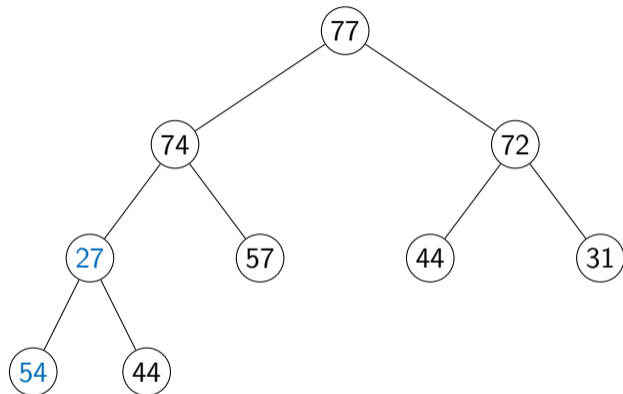
delete_max()

- Maximum value is always at the root
- After we delete one value, tree shrinks
 - Node to delete is rightmost at lowest level
- Move “homeless” value to the root
- Restore the heap property downwards
- Only need to follow a single path down
 - Again $O(\log N)$



delete_max()

- Maximum value is always at the root
- After we delete one value, tree shrinks
 - Node to delete is rightmost at lowest level
- Move “homeless” value to the root
- Restore the heap property downwards
- Only need to follow a single path down
 - Again $O(\log N)$



delete_max()

- Maximum value is always at the root
- After we delete one value, tree shrinks
 - Node to delete is rightmost at lowest level
- Move “homeless” value to the root
- Restore the heap property downwards
- Only need to follow a single path down
 - Again $O(\log N)$

