### Quicksort

#### Madhavan Mukund

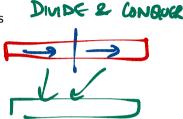
#### https://www.cmi.ac.in/~madhavan

### Programming and Data Structures with Python Lecture 17, 24 Oct 2023

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### Shortcomings of merge sort

- Merge needs to create a new list to hold the merged elements
  - No obvious way to efficiently merge two lists in place
  - Extra storage can be costly
- Inherently recursive
  - Recursive calls and returns are expensive



T(n) = 2T(n/2) + n

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- Inherently recursive
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- Merging happens because elements in the left half need to move to the right half and vice versa
   6, 0, 4, 2, 9, 3, 5, 1
  - Consider an input of the form [0,2,4,6,1,3,5,9]

### Shortcomings of merge sort

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  - No obvious way to efficiently merge two lists in place
  - Extra storage can be costly
- Inherently recursive
  - Recursive calls and returns are expensive
- Merging happens because elements in the left half need to move to the right half and vice versa
  - Consider an input of the form [0,2,4,6,1,3,5,9]
- Can we divide the list so that everything on the left is smaller than everything on the right?
  - No need to merge!

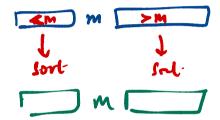
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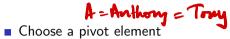
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- How do we find the median?
  - Sort and pick up the middle element
  - But our aim is to sort the list!
- Instead pick some value in L pivot
  - Split L with respect to the pivot element



- - Typically the first element in the array

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### High level view of quicksort

 Input list

 43
 32
 22
 78
 63
 57
 91
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High level view of quicksort

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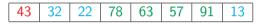
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Identify pivot

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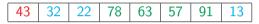


- Identify pivot
- Mark lower elements and upper elements

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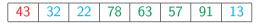
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- Rearrange the elements as lower-pivot-upper

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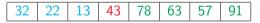
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Recursively sort the lower and upper partitions

Scan the list from left to right

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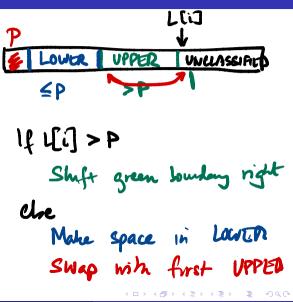
Image: A matrix

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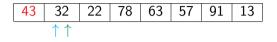
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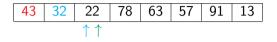
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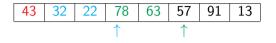
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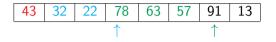
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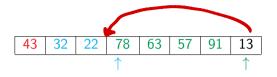
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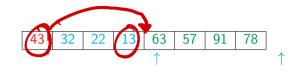
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# Partitioning

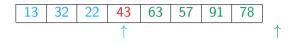
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- Pivot is always the first element
- Maintain two indices to mark the end of the Lower and Upper segments
- After partitioning, exchange the pivot with the last element of the Lower segment

### Quicksort code

- Scan the list from left to right
- Four segments: Pivot, Lower, Upper, Unclassified
- Classify the first unclassified element
  - If it is larger than the pivot, extend Upper to include this element
  - If it is less than or equal to the pivot, exchange with the first element in Upper. This extends Lower and shifts Upper by one position.

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def quicksort(L,1,r): # Sort L[1:r]
 if (r - l <= 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r): - I to m-1
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
      # Shift both segments
      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
  lower = lower-1
  # Recursive calls
  quicksort(L,1,lower)
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Quicksort uses divide and conquer, like merge sort

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- The partitioning strategy we described is not the only one used in the literature
  - Can build the lower and upper segments from opposite ends and meet in the middle



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- We can also provide an iterative implementation to avoid the cost of recursive calls
- The partitioning strategy we described is not the only one used in the literature
  - Can build the lower and upper segments from opposite ends and meet in the middle
- Need to analyse the complexity of quick sort

Partitioning with respect to the pivot takes time O(n)

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- If the pivot is the median
  - T(n) = 2T(n/2) + n
  - T(n) is  $O(n \log n)$
- Worst case? Pivot is maximum or minimum
  - Partitions are of size 0, n-1
  - T(n) = T(n-1) + n
  - $T(n) = n + (n-1) + \cdots + 1$

• T(n) is  $O(n^2)$ 

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  - $T(n) = n + (n-1) + \cdots + 1$
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- Already sorted array: worst case!

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   O(n log n)
- Sorting is a rare situation where we can compute this
  - Values don't matter, only relative order is important
  - Analyze behaviour over permutations of {1, 2, ..., n}
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- Sorting is a rare situation where we can compute this
  - Values don't matter, only relative order is important
  - Analyze behaviour over permutations of {1, 2, ..., n}
  - Each input permutation equally likely
- Expected running time is O(n log n)

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### Randomization

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- Instead, choose pivot position randomly at each step

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      (lower,upper) = (lower+1,upper+1)
  # Move pivot between lower and upper
  (L[1], L[lower-1]) = (L[lower-1], L[1])
 lower = lower-1
 # Recursive calls
  quicksort(L,1,lower)
  quicksort(L,lower+1,upper)
 return(L)
```

### Randomization

- Any fixed choice of pivot allows us to construct worst case input
- Instead, choose pivot position randomly at each step
- Expected running time is again
   O(n log n)

```
def quicksort(L,l,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
  (pivot, lower, upper) = (L[1], l+1, l+1)
 for i in range(l+1,r):
    if L[i] > pivot: # Extend upper segment
      upper = upper+1
    else: # Exchange L[i] with start of upper segment
      (L[i], L[lower]) = (L[lower], L[i])
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## Iterative quicksort

- Recursive calls work on disjoint segments
  - No recombination of results is required

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def quicksort(L,l,r): # Sort L[1:r]
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  (pivot, lower, upper) = (L[1], 1+1, 1+1)
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# Iterative quicksort

- Recursive calls work on disjoint segments
  - No recombination of results is required
- Can explicitly keep track of left and right endpoints of each segment to be sorted

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# Quicksort in practice

In practice, quicksort is very fast

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```

# Quicksort in practice

In practice, quicksort is very fast

- Very often the default algorithm used for in-built sort functions
  - Sorting a column in a spreadsheet
  - Library sort function in a programming language

```
def quicksort(L,l,r): # Sort L[1:r]
 if (r - 1 \le 1):
   return(L)
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- The worst case complexity of quicksort is  $O(n^2)$
- However, the average case is  $O(n \log n)$
- Randomly choosing the pivot is a good strategy to beat worst case inputs
- Quicksort works in-place and can be implemented iteratively
- Very fast in practice, and often used for built-in sorting functions
  - Good example of a situation when the worst case upper bound is pessimistic