

Recursive Insertion Sort

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Programming and Data Structures with Python

Lecture 16, 19 Oct 2023

Insertion sort

- You are the TA for a course
 - Instructor has a pile of evaluated exam papers
 - Papers in random order of marks
 - Your task is to arrange the papers in descending order of marks

Strategy 2



- Move the first paper to a new pile
- Second paper
 - Lower marks than first paper? Place below first paper in new pile
 - Higher marks than first paper? Place above first paper in new pile
- Third paper
 - **Insert** into correct position with respect to first two
- Do this for the remaining papers
 - **Insert** each one into correct position in the second pile

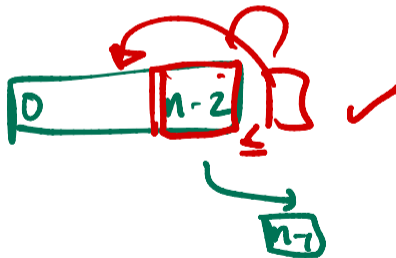
Insertion sort

- Start building a new sorted list
- Pick next element and **insert** it into the sorted list
- An iterative formulation
 - Assume `L[:i]` is sorted
 - Insert `L[i]` in `L[:i]`

```
def InsertionSort(L):
    n = len(L)
    if n < 1:
        return(L)
    for i in range(n):
        # Assume L[:i] is sorted
        # Move L[i] to correct position in L
        j = i
        while(j > 0 and L[j] < L[j-1]):
            (L[j],L[j-1]) = (L[j-1],L[j])
            j = j-1
        # Now L[:i+1] is sorted
    return(L)
```

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- A recursive formulation
 - Inductively sort $L[:i]$ 
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```
def Insert(L,v):  
    n = len(L)  
    if n == 0:  
        return([v])  
    if v >= L[-1]:  
        return(L+[v])  
    else:  
        return(Insert(L[: -1],v)+L[-1:])
```

```
def ISort(L):  
    n = len(L)  
    if n < 1:  
        return(L)  
    L = Insert(ISort(L[: -1]),L[-1])  
    return(L)
```

Analysis of recursive insertion sort

- For input of size n , let
 - $T_I(n)$ be the time taken by `Insert`
 - $T_S(n)$ be the time taken by `ISort`

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Analysis of recursive insertion sort

- For input of size n , let
 - $T_I(n)$ be the time taken by `Insert`
 - $T_S(n)$ be the time taken by `ISort`
- First calculate $T_I(n)$ for `Insert`
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 - $T_I(n) = T_I(n - 1) + 1$

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Analysis of recursive insertion sort

- For input of size n , let
 - $TI(n)$ be the time taken by `Insert`
 - $TS(n)$ be the time taken by `ISort`
- First calculate $TI(n)$ for `Insert`
 - $TI(0) = 1$
 - $TI(n) = TI(n - 1) + 1$
 - Unwind to get $TI(n) = n$
- Set up a recurrence for $TS(n)$
 - $TS(0) = 1$
 - $TS(n) = TS(n - 1) + TI(n - 1)$ $n-1$ ✓

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- Unwind to get $1 + 2 + \dots + n - 1$

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Merge Sort

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Beating the $O(n^2)$ barrier

- Both selection sort and insertion sort take time $O(n^2)$
- This is infeasible for $n > 10000$
- How can we bring the complexity below $O(n^2)$?

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Strategy 3

- Divide the list into two halves
- Separately sort the left and right half
- Combine the two sorted halves to get a fully sorted list

Combining two sorted lists

- Combine two sorted lists **A** and **B** into a single sorted list **C**

Combining two sorted lists

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Combining two sorted lists

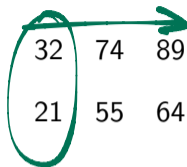
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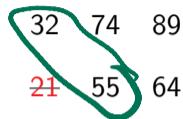
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- Merging **A** and **B**

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- Let n be the length of L

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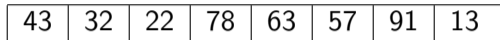
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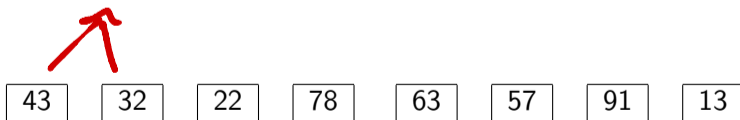
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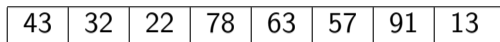
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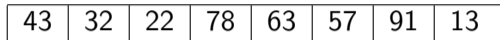


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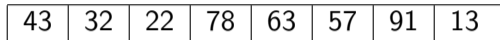
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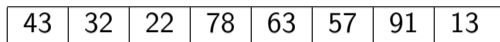
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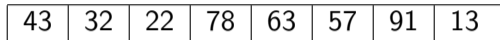


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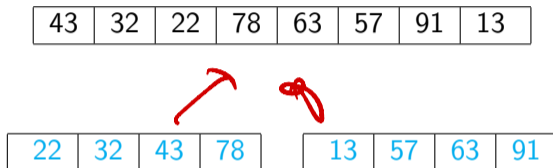
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Divide and Conquer

- Break up the problem into disjoint parts
- Solve each part separately
- Combine the solutions efficiently

Merging sorted lists

- Combine two sorted lists **A** and **B** into **C**

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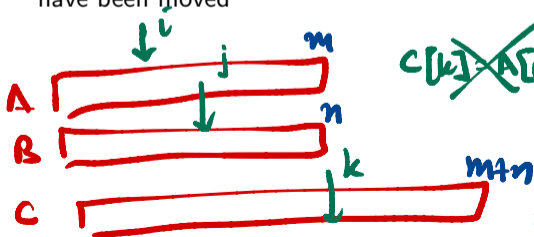
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 - Repeat till all elements of **A** and **B** have been moved

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```
def merge(A,B):
    (m,n) = (len(A),len(B))
    (C,i,j,k) = ([],0,0,0) ←
    while k < m+n: ← len(C) < m+n
        if i == m:
            C.extend(B[j:])
            k = k + (n-j)
        elif j == n:
            C.extend(A[i:])
            k = k + (m-i)
        elif A[i] < B[j]:
            C.append(A[i])
            (i,k) = (i+1,k+1)
        else:
            C.append(B[j])
            (j,k) = (j+1,k+1)
    return(C)
```

Boundary

Real merge

Merge sort

- To sort A into B , both of length n

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise

not $n=0$

else you will keep
splitting singleton list

Merge sort

- To sort A into B , both of length n
- If $n \leq 1$, nothing to be done
- Otherwise
 - Sort $A[:n//2]$ into L

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- Otherwise
 - Sort $A[:n//2]$ into L
 - Sort $A[n//2:]$ into R
 - Merge L and R into B

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 - Sort $A[:n//2]$ into L
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 - Merge L and R into B

```
def mergesort(A):  
    n = len(A)  
  
    if n <= 1:  
        return(A)  
  
    L = mergesort(A[:n//2])  
    R = mergesort(A[n//2:])  
  
    B = merge(L,R)  
  
    return(B)
```

Analysing merge

- Merge A of length m , B of length n

merge(A, B)

if A == []:

return B

elif B == []:

return A

elif A[0] < B[0]:

return [A[0]] + merge(A[1:], B)

--

```
def merge(A,B):
    (m,n) = (len(A),len(B))
    (C,i,j,k) = ([],0,0,0)
    while k < m+n:
        if i == m:
            C.extend(B[j:])
            k = k + (n-j)
        elif j == n:
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            C.append(A[i])
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    return(C)
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Analysing merge

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- Output list C has length $m + n$

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    (m,n) = (len(A),len(B))
    (C,i,j,k) = ([],0,0,0)
    while k < m+n:
        if i == m:
            C.extend(B[j:])
            k = k + (n-j)
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- Recall that $m + n \leq 2(\max(m, n))$
- If $m \approx n$, `merge` take time $O(n)$

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- Let $T(n)$ be the time taken for input of size n
 - For simplicity, assume $n = 2^k$ for some k

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def mergesort(A):  
    n = len(A)  
  
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 - $T(0) = T(1) = 1$
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- Unwind the recurrence to solve

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⋮

$$= 2^k T(n/2^k) - \text{kn}$$

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- When $k = \log n$, $T(n/2^k) = T(1) = 1$
- $T(n) = 2^{\log n} T(1) + (\log n)n = n + n \log n$
- Hence $T(n)$ is $O(n \log n)$

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\dots

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 - Extra storage can be costly
- Inherently recursive
 - Recursive calls and returns are expensive

l_1 .extend(l_2)

↓
 $l_1 + l_2$

↓
 l_1 .append(v)
 $l_1 + [v]$