

Dynamic Programming

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Programming and Data Structures with Python

Lecture 22, 09 Nov 2023

Memoizing recursive implementations

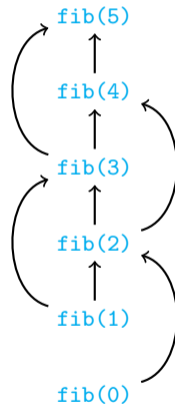
```
def fib(n):  
    if n in fibtable.keys():  
        return(fibtable[n])  
    if n <= 1:  
        value = n  
    else:  
        value = fib(n-1) + fib(n-2)  
    fibtable[n] = value  
    return(value)
```

In general

```
def f(x,y,z):  
    if (x,y,z) in ftable.keys():  
        return(ftable[(x,y,z)])  
    recursively compute value  
    from subproblems  
    ftable[(x,y,z)] = value  
    return(value)
```

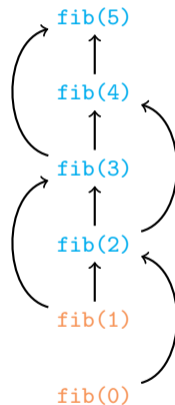
- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic

Evaluating `fib(5)`



- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic
- Solve subproblems in appropriate order
 - Start with base cases — no dependencies

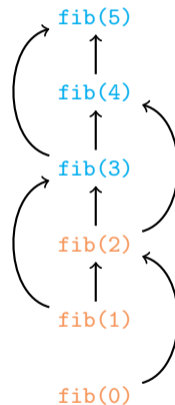
Evaluating `fib(5)`



Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
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 - Evaluate a value after all its dependencies are available

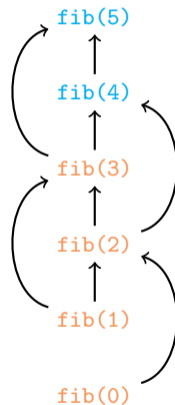
Evaluating `fib(5)`



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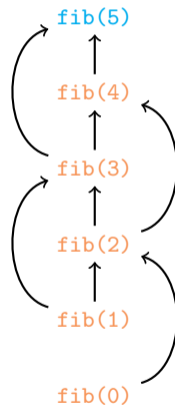
Evaluating `fib(5)`



Dynamic programming

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Evaluating `fib(5)`

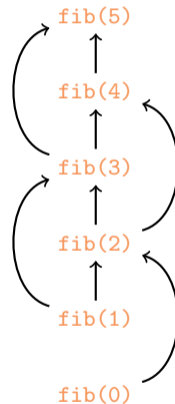


Dynamic programming

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 - Derive from inductive definition
 - Dependencies are acyclic
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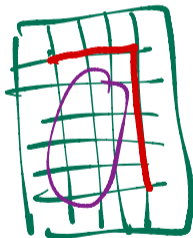
n	0	1	2	3	4	5
$fib(n)$	0	1	1	2	3	5

Evaluating $fib(5)$

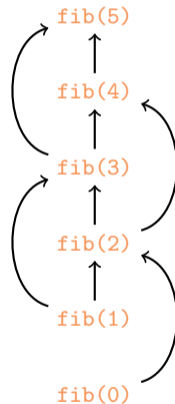


Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic
- Solve subproblems in appropriate order
 - Start with base cases — no dependencies
 - Evaluate a value after all its dependencies are available
 - Fill table iteratively
 - Never need to make a recursive call



Evaluating `fib(5)`



Longest common subword

- Given two strings, find the (length of the) longest common subword
 - "secret", "secretary" — "secret", length 6
 - "bisect", "trisect" — "isect", length 5
 - "bisect", "secret" — "sec", length 3
 - "director", "secretary" — "ec", "re", length 2

Longest common subword

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 - "director", "secretary" — "ec", "re", length 2
- Formally
 - $u = a_0a_1 \dots a_{m-1}$
 - $v = b_0b_1 \dots b_{n-1}$

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 - $u = a_0a_1 \dots a_{m-1}$
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 - Common subword of length k — for some positions i and j ,
 $a_i a_{i+1} a_{i+k-1} = b_j b_{j+1} b_{j+k-1}$

Longest common subword

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 - Common subword of length k — for some positions i and j ,
 $a_i a_{i+1} \dots a_{i+k-1} = b_j b_{j+1} \dots b_{j+k-1}$
 - Find the largest such k — length of the longest common subword

abdbab
acdcac
 $k=1$

Brute force

- $u = a_0a_1 \dots a_{m-1}$
- $v = b_0b_1 \dots b_{n-1}$
- Find the largest k such that for some positions i and j ,
 $a_ia_{i+1}a_{i+k-1} = b_jb_{j+1}b_{j+k-1}$

Brute force

- $u = a_0a_1 \dots a_{m-1}$
- $v = b_0b_1 \dots b_{n-1}$
- Find the largest k such that for some positions i and j ,
 $a_ia_{i+1}a_{i+k-1} = b_jb_{j+1}b_{j+k-1}$
- Try every pair of starting positions i in u , j in v
 - Match $(a_i, b_j), (a_{i+1}, b_{j+1}), \dots$ as far as possible
 - Keep track of longest match

Brute force

- $u = a_0a_1 \dots a_{m-1}$
- $v = b_0b_1 \dots b_{n-1}$
- Find the largest k such that for some positions i and j ,
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- Try every pair of starting positions i in u , j in v
 - Match $(a_i, b_j), (a_{i+1}, b_{j+1}), \dots$ as far as possible
 - Keep track of longest match
- Assuming $m > n$, this is $O(mn^2)$
 - mn pairs of starting positions
 - From each starting position, scan could be $O(n)$

$m=n$

$O(n^3)$

Inductive structure

- $u = a_0a_1 \dots a_{m-1}$
- $v = b_0b_1 \dots b_{n-1}$
- Find the largest k such that for some positions i and j ,
 $a_ia_{i+1}a_{i+k-1} = b_jb_{j+1}b_{j+k-1}$

$a_i \neq b_j$? 0

$a_i = b_j$?

1 + $LC -$
 $JH -$

$a_i a_{i+1} \dots a_{m-1}$
 $b_j b_{j+1} \dots b_{n-1}$

Inductive structure

- $u = a_0a_1 \dots a_{m-1}$
- $v = b_0b_1 \dots b_{n-1}$
- Find the largest k such that for some positions i and j ,
 $a_ia_{i+1}a_{i+k-1} = b_jb_{j+1}b_{j+k-1}$
- $LCW(i, j)$ — length of longest common subword in $a_ia_{i+1} \dots a_{m-1}$, $b_jb_{j+1} \dots b_{n-1}$
 - If $a_i \neq b_j$, $LCW(i, j) = 0$
 - If $a_i = b_j$, $LCW(i, j) = 1 + LCW(i+1, j+1)$

$$LCW(0, 0) = 0$$

bisect
trisection

$$LCW(2, 3) = 4$$
$$\Rightarrow LCW(1, 2) = 1 + 4 = 5$$

Inductive structure

- $u = a_0a_1 \dots a_{m-1}$
- $v = b_0b_1 \dots b_{n-1}$
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 - In general, $LCW(i, n) = 0$ for all $0 \leq i \leq m$

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 - Base case: $LCW(m, n) = 0$
 - In general, $LCW(i, n) = 0$ for all $0 \leq i \leq m$
 - In general, $LCW(m, j) = 0$ for all $0 \leq j \leq n$

Equivalently formulate
for

$LCW(i, j)$

$a_0 \dots a_i$
 $b_0 \dots b_j$

Subproblem dependency

- Subproblems are $LCW(i, j)$, for $0 \leq i \leq n, 0 \leq j \leq n$

\leq covers the base cases $LCW(m, j)$
 $LCW(i, n)$

Subproblem dependency

- Subproblems are $LCW(i, j)$, for $0 \leq i \leq m, 0 \leq j \leq n$
- Table of $(m + 1) \cdot (n + 1)$ values

	$i \rightarrow$	0	1	2	3	4	5	6
$j \downarrow$		s	e	c	r	e	t	•
0	b							
1	i							
2	s							
3	e							
4	c							
5	t							
6	•							

Handwritten annotations on the table:

- A purple vertical line is drawn from the cell (2, 3) to the cell (4, 3).
- A green vertical line is drawn from the cell (2, 3) to the cell (2, 4).
- A green horizontal line is drawn from the cell (3, 2) to the cell (3, 4).
- A purple horizontal line is drawn from the cell (4, 2) to the cell (4, 3).
- A purple arrow points from the cell (4, 3) to the cell (4, 4).
- A green dot is placed at the intersection of the purple and green lines at cell (2, 3).
- The text $LCW(2, 3)$ is written in green next to the dot.
- The text $i+?$ is written in purple below the cell (4, 3).

Subproblem dependency

- Subproblems are $LCW(i, j)$, for $0 \leq i \leq m, 0 \leq j \leq n$
- Table of $(m + 1) \cdot (n + 1)$ values
- $LCW(i, j)$ depends on $LCW(i+1, j+1)$

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b							
1	i							
2	s							
3	e							
4	c							
5	t							
6	•							

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- $LCW(i, j)$ depends on $LCW(i+1, j+1)$
- Start at bottom right and fill row by row or column by column

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b						0	0
1	i						0	0
2	s						0	0
3	e						0	0
4	c						0	0
5	t						1	0
6	•							0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b						0	0
1	i						0	0
2	s						0	0
3	e					1	0	0
4	c					0	0	0
5	t					0	1	0
6	•					0	0	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b					0	0	0
1	i					0	0	0
2	s					0	0	0
3	e					1	0	0
4	c					0	0	0
5	t					0	1	0
6	•					0	0	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b				0	0	0	0
1	i				0	0	0	0
2	s				0	0	0	0
3	e				0	1	0	0
4	c				0	0	0	0
5	t				0	0	1	0
6	•				0	0	0	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b			0	0	0	0	0
1	i			0	0	0	0	0
2	s			0	0	0	0	0
3	e			0	0	1	0	0
4	c			1	0	0	0	0
5	t			0	0	0	1	0
6	•			0	0	0	0	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b		0	0	0	0	0	0
1	i		0	0	0	0	0	0
2	s		0	0	0	0	0	0
3	e		2	0	0	1	0	0
4	c		0	1	0	0	0	0
5	t		0	0	0	0	1	0
6	•		0	0	0	0	0	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
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1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

Subproblem dependency

- Subproblems are $LCW(i, j)$, for $0 \leq i \leq m, 0 \leq j \leq n$
- Table of $(m + 1) \cdot (n + 1)$ values
- $LCW(i, j)$ depends on $LCW(i+1, j+1)$
- Start at bottom right and fill row by row or column by column

Reading off the solution

- Find entry (i, j) with largest LCW value

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

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Reading off the solution

- Find entry (i, j) with largest LCW value
- Read off the actual subword diagonally

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

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1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

Implementation

```
def LCW(u,v):
    import numpy as np
    (m,n) = (len(u),len(v))
    lcw = np.zeros((m+1,n+1))

    maxlcw = 0

    for j in range(n-1,-1,-1):
        for i in range(m-1,-1,-1):
            if u[i] == v[j]:
                lcw[i,j] = 1 + lcw[i+1,j+1]
            else:
                lcw[i,j] = 0
            if lcw[i,j] > maxlcw:
                maxlcw = lcw[i,j]

    return(maxlcw)
```

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Complexity

Implementation

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Complexity

- Recall that brute force was $O(mn^2)$

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Complexity

- Recall that brute force was $O(mn^2)$
- Inductive solution is $O(mn)$, using dynamic programming or memoization

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```

Complexity

- Recall that brute force was $O(mn^2)$
- Inductive solution is $O(mn)$, using dynamic programming or memoization
 - Fill a table of size $O(mn)$
 - Each table entry takes constant time to compute

Longest common subsequence

- **Subsequence** — can drop some letters in between
- Given two strings, find the (length of the) longest common subsequence
 - "secret", "secretary" — "secret", length 6
 - "bisect", "trisection" — "isect", length 5
 - "bisect", "secret" — sect, length 4
 - "director", "secretary" — ectr, retr, length 4

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- Given two strings, find the (length of the) longest common subsequence
 - "secret", "secretary" — "secret", length 6
 - "bisect", "trisect" — "isect", length 5
 - "bisect", "secret" — "sect", length 4
 - "director", "secretary" — "ectr", "retr", length 4
- LCS is the longest path connecting non-zero LCW entries, moving right/down

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

Longest common subsequence

- **Subsequence** — can drop some letters in between
- Given two strings, find the (length of the) longest common subsequence
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4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
6	•	0	0	0	0	0	0	0

Applications

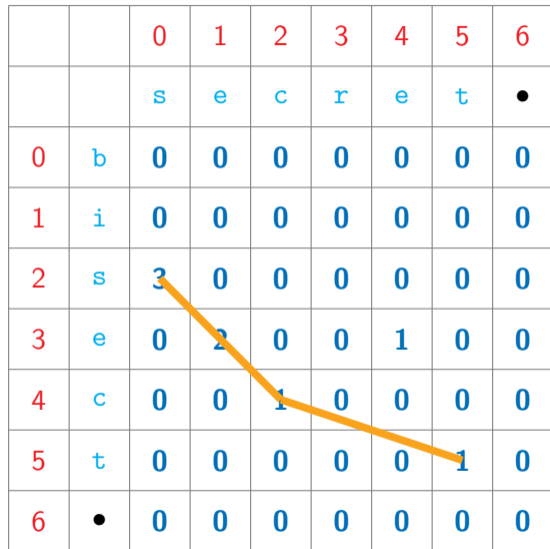
- Analyzing genes
 - DNA is a long string over A, T, G, C
 - Two species are similar if their DNA has long common subsequences

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
5	t	0	0	0	0	0	1	0
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Applications

- Analyzing genes
 - DNA is a long string over **A, T, G, C**
 - Two species are similar if their DNA has long common subsequences
- `diff` command in Unix/Linux
 - Compares text files
 - Find the longest matching subsequence of lines
 - Each line of text is a “character”

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	0	0	0	0	0	0	0
1	i	0	0	0	0	0	0	0
2	s	3	0	0	0	0	0	0
3	e	0	2	0	0	1	0	0
4	c	0	0	1	0	0	0	0
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Inductive structure

- $u = a_0a_1 \dots a_{m-1}$

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secret
busect

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 - Which one should we drop?
 - Solve $LCS(i, j+1)$ and $LCS(i+1, j)$ and take the maximum
- Base cases as with LCW
 - $LCS(i, n) = 0$ for all $0 \leq i \leq m$
 - $LCS(m, j) = 0$ for all $0 \leq j \leq n$

$$a_i \neq b_j$$
$$LCS(i, j)$$
$$= \max \{LCS(i+1, j), LCS(i, j+1)\}$$

Subproblem dependency

- Subproblems are $LCS(i, j)$, for $0 \leq i \leq m, 0 \leq j \leq n$

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b							
1	i							
2	s							
3	e							
4	c							
5	t							
6	•							

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b							0
1	i							0
2	s							0
3	e							0
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		s	e	c	r	e	t	•
0	b					2	1	0
1	i					2	1	0
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3	e					2	1	0
4	c					1	1	0
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0	b				2	2	1	0
1	i				2	2	1	0
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		s	e	c	r	e	t	•
0	b			2	2	2	1	0
1	i			2	2	2	1	0
2	s			2	2	2	1	0
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2	s		3	2	2	2	1	0
3	e		3	2	2	2	1	0
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5	t		1	1	1	1	1	0
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Reading off the solution

- Trace back the path by which each entry was filled

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	3	2	2	2	1	0
1	i	4	3	2	2	2	1	0
2	s	4	3	2	2	2	1	0
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Reading off the solution

- Trace back the path by which each entry was filled
- Each diagonal step is an element of LCS

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	3	2	2	2	1	0
1	i	4	3	2	2	2	1	0
2	s	4	3	2	2	2	1	0
3	e	3	3	2	2	2	1	0
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Implementation

```
def LCS(u,v):
    import numpy as np
    (m,n) = (len(u),len(v))
    lcs = np.zeros((m+1,n+1))

    for j in range(n-1,-1,-1):
        for i in range(m-1,-1,-1):
            if u[i] == v[j]:
                lcs[i,j] = 1 + lcs[i+1,j+1]
            else:
                lcs[i,j] = max(lcs[i+1,j],
                              lcs[i,j+1])
    return(lcs[0,0])
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Complexity

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- Again $O(mn)$, using dynamic programming or memoization

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```

Complexity

- Again $O(mn)$, using dynamic programming or memoization
 - Fill a table of size $O(mn)$
 - Each table entry takes constant time to compute

Document similarity

- “The students were able to appreciate the concept optimal substructure property and its use in designing algorithms”
- “The lecture taught the students to appreciate how the concept of optimal substructures can be used in designing algorithms”

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- In our example, 24 characters inserted, 18 ~~deleted~~, 2 substituted

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Edit distance

- Minimum number of edit operations needed
- In our example, 24 characters inserted, 18 ~~deleted~~, 2 substituted
- Edit distance is at most 44

Edit distance

- Minimum number of editing operations needed to transform one document to the other
 - Insert a character
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Edit distance and LCS

- Longest common subsequence of u, v
 - Minimum number of deletes needed to make them equal

The diagram shows two words, 'resect' and 'secret', written in purple. The word 'resect' is positioned above 'secret'. A red horizontal line is drawn under the 'e' in 'resect'. A green 'r' is written above the 'e' in 'resect'. A green 'a' is written below the 'e' in 'resect'. In the word 'secret', a red 'X' is drawn over the 'e' and 'c' characters. A green 'r' is written above the 'e' in 'secret'.

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 - Delete `b`, `i` in `bisect` and `r`, `e` in `secret`
 - Delete `b`, `i` and then insert `r`, `e` in `bisect`
- From LCS, we can compute edit distance without substitution

Inductive structure for edit distance

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- $v = b_0 b_1 \dots b_{n-1}$

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- If $a_i \neq b_j$,

$$LCS(i, j) = \max[LCS(i, j+1), \\ LCS(i+1, j)]$$

Inductive structure for edit distance

- $u = a_0a_1 \dots a_{m-1}$

- $v = b_0b_1 \dots b_{n-1}$

- Recall LCS

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- Edit distance — aim is to transform u to v

- If $a_i = b_j$, nothing to be done

- If $a_i \neq b_j$, best among
 - Substitute a_i by b_j

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 - Delete a_i

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- Delete a_i

- Insert b_j before a_i

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- Base cases
 - $ED(m, n) = 0$

Inductive structure for edit distance

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 - $ED(m, n) = 0$
 - $ED(i, n) = m - i$ for all $0 \leq i \leq m$
Delete $a_ia_{i+1} \dots a_{m-1}$ from u

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- Base cases
 - $ED(m, n) = 0$
 - $ED(i, n) = m - i$ for all $0 \leq i \leq m$
Delete $a_ia_{i+1} \dots a_{m-1}$ from u
 - $ED(m, j) = n - j$ for all $0 \leq j \leq n$
Insert $b_jb_{j+1} \dots b_{n-1}$ into u

Subproblem dependency

- Subproblems are $ED(i, j)$, for $0 \leq i \leq m, 0 \leq j \leq n$

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b							
1	i							
2	s							
3	e							
4	c							
5	t							
6	•							

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		s	e	c	r	e	t	•
0	b							
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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b							6
1	i							5
2	s							4
3	e							3
4	c							2
5	t							1
6	•							0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b						5	6
1	i						4	5
2	s						3	4
3	e						2	3
4	c						1	2
5	t						0	1
6	•						1	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b					4	5	6
1	i					3	4	5
2	s					2	3	4
3	e					1	2	3
4	c					1	1	2
5	t					1	0	1
6	•					2	1	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b				4	4	5	6
1	i				3	3	4	5
2	s				2	2	3	4
3	e				2	1	2	3
4	c				2	1	1	2
5	t				2	1	0	1
6	•				3	2	1	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b			4	4	4	5	6
1	i			3	3	3	4	5
2	s			3	2	2	3	4
3	e			3	2	1	2	3
4	c			2	2	1	1	2
5	t			3	2	1	0	1
6	•			4	3	2	1	0

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		s	e	c	r	e	t	•
0	b		4	4	4	4	5	6
1	i		4	3	3	3	4	5
2	s		3	3	2	2	3	4
3	e		2	3	2	1	2	3
4	c		3	2	2	1	1	2
5	t		4	3	2	1	0	1
6	•		5	4	3	2	1	0

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		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	4	4	4	4	5	6
1	i	3	4	3	3	3	4	5
2	s	2	3	3	2	2	3	4
3	e	3	2	3	2	1	2	3
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5	t	5	4	3	2	1	0	1
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Reading off the solution

- Transform `bisect` to `secret`

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	4	4	4	4	5	6
1	i	3	4	3	3	3	4	5
2	s	2	3	3	2	2	3	4
3	e	3	2	3	2	1	2	3
4	c	4	3	2	2	1	1	2
5	t	5	4	3	2	1	0	1
6	•	6	5	4	3	2	1	0

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Reading off the solution

- Transform `bisect` to `secret`
- Delete `b`

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	4	4	4	4	5	6
1	i	3	4	3	3	3	4	5
2	s	2	3	3	2	2	3	4
3	e	3	2	3	2	1	2	3
4	c	4	3	2	2	1	1	2
5	t	5	4	3	2	1	0	1
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Reading off the solution

- Transform **bisect** to **secret**
- Delete **b**, Delete **i**

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	4	4	4	4	5	6
1	i	3	4	3	3	3	4	5
2	s	2	3	3	2	2	3	4
3	e	3	2	3	2	1	2	3
4	c	4	3	2	2	1	1	2
5	t	5	4	3	2	1	0	1
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Reading off the solution

- Transform **bisect** to **secret**
- Delete **b** , Delete **i** , Insert **r**

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	4	4	4	4	5	6
1	i	3	4	3	3	3	4	5
2	s	2	3	3	2	2	3	4
3	e	3	2	3	2	1	2	3
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Reading off the solution

- Transform **bisect** to **secret**
- Delete **b** , Delete **i** , Insert **r** , Insert **e**

		0	1	2	3	4	5	6
		s	e	c	r	e	t	•
0	b	4	4	4	4	4	5	6
1	i	3	4	3	3	3	4	5
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Implementation

```
def ED(u,v):
    import numpy as np
    (m,n) = (len(u),len(v))
    ed = np.zeros((m+1,n+1))

    for i in range(m-1,-1,-1):
        ed[i,n] = m-i
    for j in range(n-1,-1,-1):
        ed[m,j] = n-j

    for j in range(n-1,-1,-1):
        for i in range(m-1,-1,-1):
            if u[i] == v[j]:
                ed[i,j] = ed[i+1,j+1]
            else:
                ed[i,j] = 1 + min(ed[i+1,j+1],
                                   ed[i,j+1],
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    return(ed[0,0])
```

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Complexity

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Complexity

- Again $O(mn)$, using dynamic programming or memoization

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```

Complexity

- Again $O(mn)$, using dynamic programming or memoization
 - Fill a table of size $O(mn)$
 - Each table entry takes constant time to compute