

Memoization

Madhavan Mukund

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Programming and Data Structures with Python

Lecture 21, 07 Nov 2023

■ Factorial

- $fact(0) = 1$

- $fact(n) = n \times fact(n - 1)$

■ Insertion sort

- $isort([]) = []$

- $isort([x_0, x_1, \dots, x_n]) =$
 $insert(isort([x_0, x_1, \dots, x_{n-1}]), x_n)$

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 $insert(isort([x_0, x_1, \dots, x_{n-1}]), x_n)$

```
def fact(n):  
    if n <= 0:  
        return(1)  
    else:  
        return(n * fact(n-1))
```

Inductive definitions, recursive programs, subproblems

■ Factorial

- $fact(0) = 1$
- $fact(n) = n \times fact(n - 1)$

■ Insertion sort

- $isort([]) = []$
- $isort([x_0, x_1, \dots, x_n]) = insert(isort([x_0, x_1, \dots, x_{n-1}]), x_n)$

```
def fact(n):  
    if n <= 0:  
        return(1)  
    else:  
        return(n * fact(n-1))
```

■ $fact(n-1)$ is a subproblem of $fact(n)$

- So are $fact(n-2)$, $fact(n-3)$, \dots , $fact(0)$

■ $isort([x_0, x_1, \dots, x_{n-1}])$ is a subproblem of $isort([x_0, x_1, \dots, x_n])$

- So is $isort([x_0, \dots, x_j])$ for any $0 < j < n$

■ Solution to original problem can be derived by combining solutions to subproblems

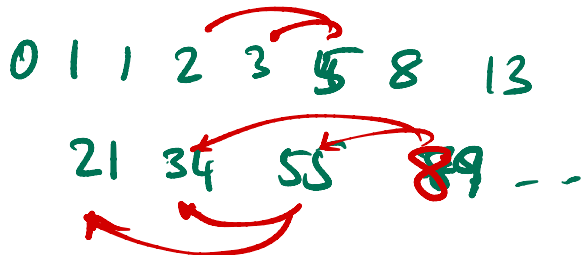
Evaluating subproblems

- Fibonacci numbers

- $fib(0) = 0$

- $fib(1) = 1$

- $fib(n) = fib(n-1) + fib(n-2)$



Evaluating subproblems

- Fibonacci numbers

- $fib(0) = 0$

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```
def fib(n):  
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Evaluating subproblems

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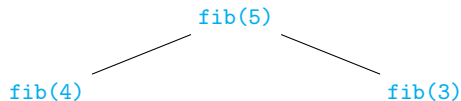
Evaluating `fib(5)`

`fib(5)`

Evaluating subproblems

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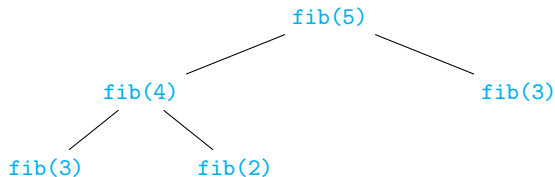
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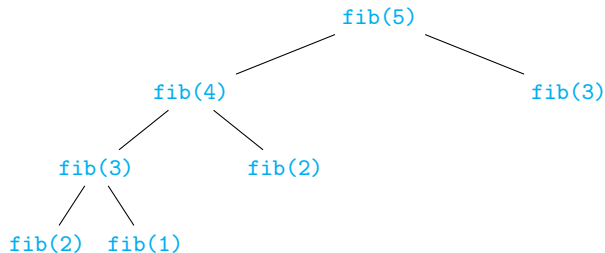
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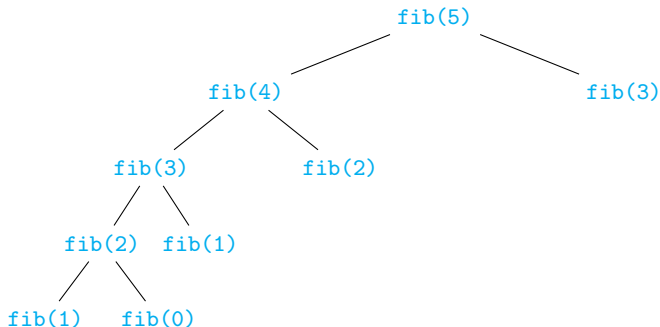
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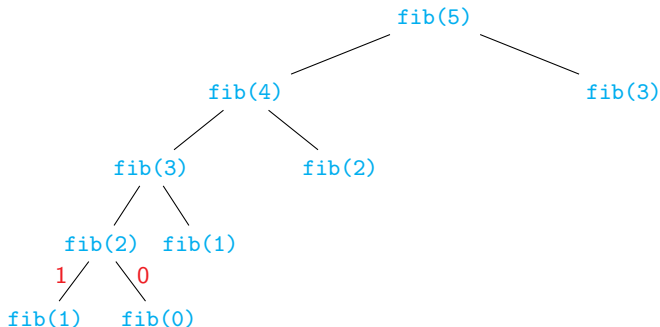
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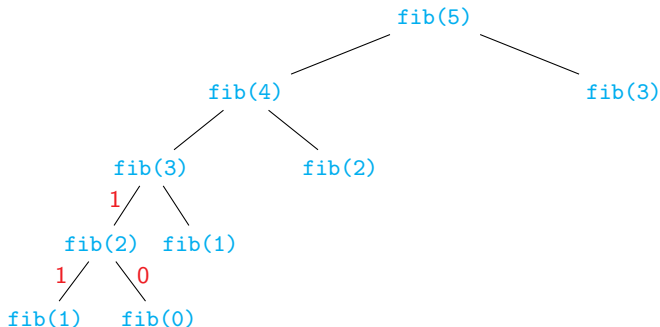
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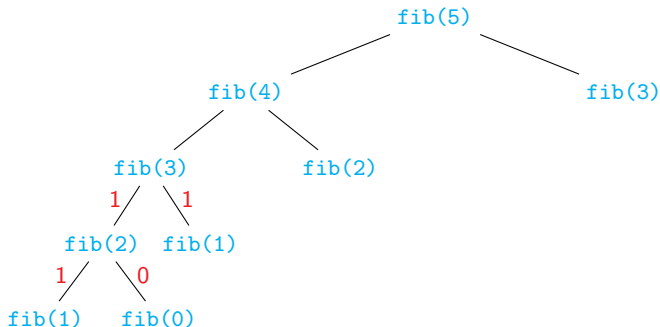
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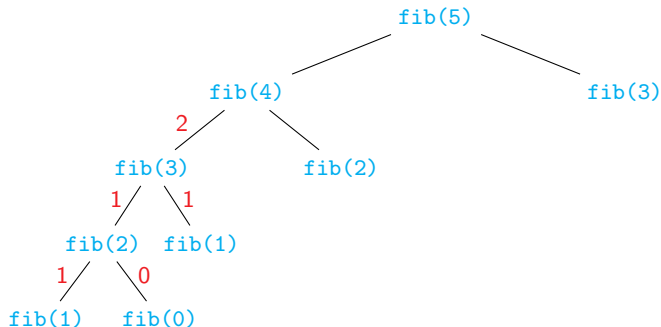
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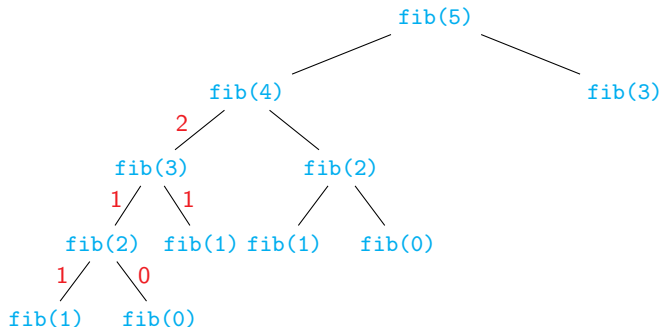
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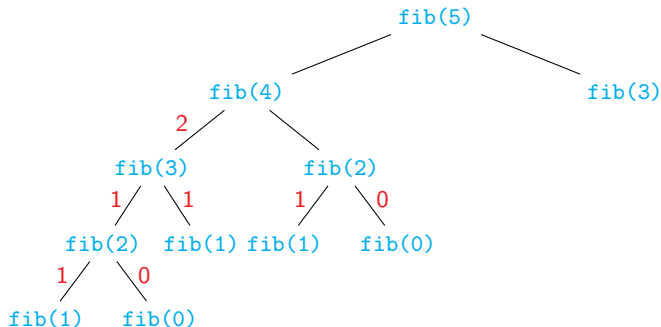
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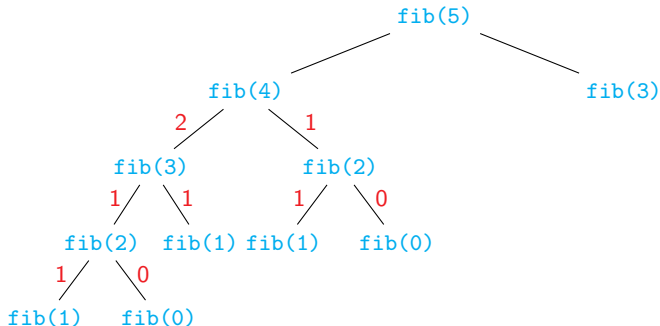
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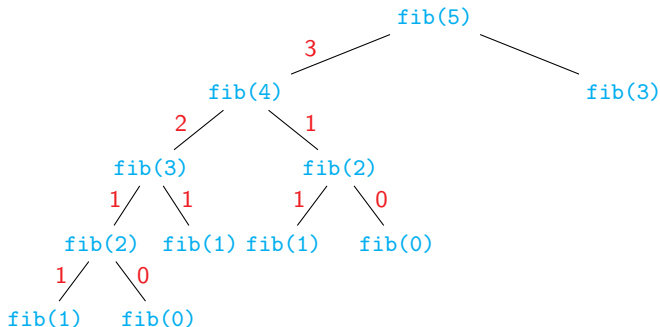
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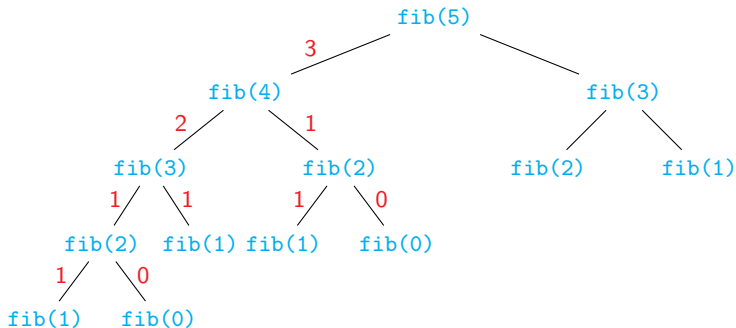
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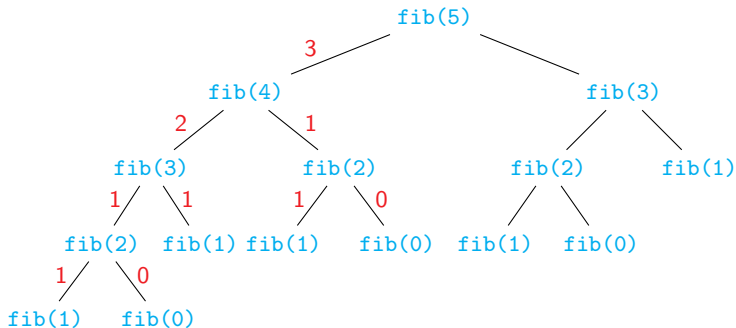
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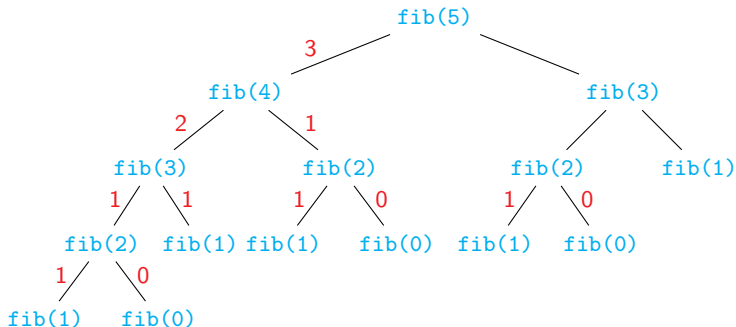
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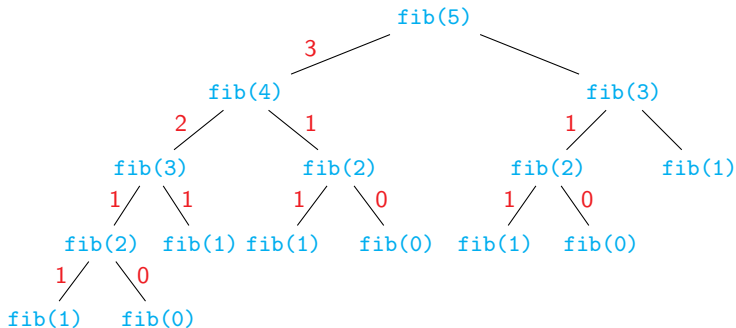
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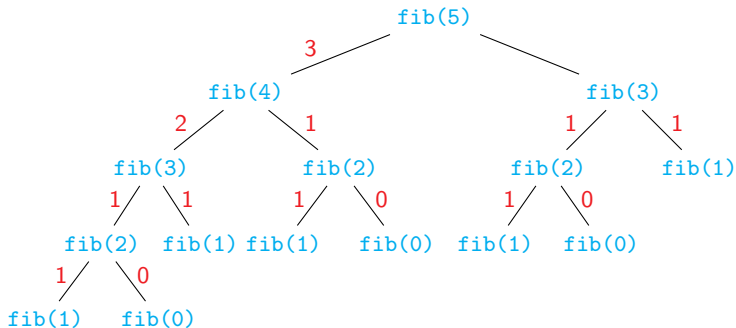
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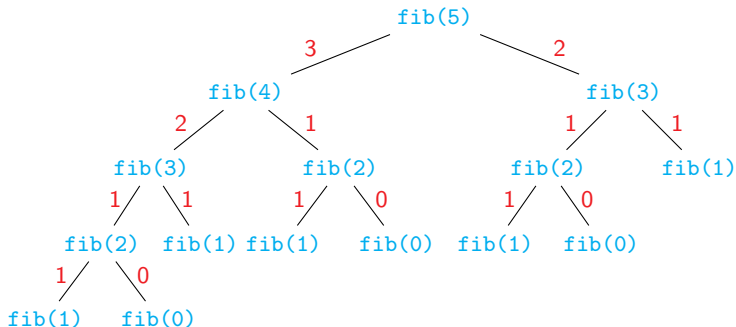
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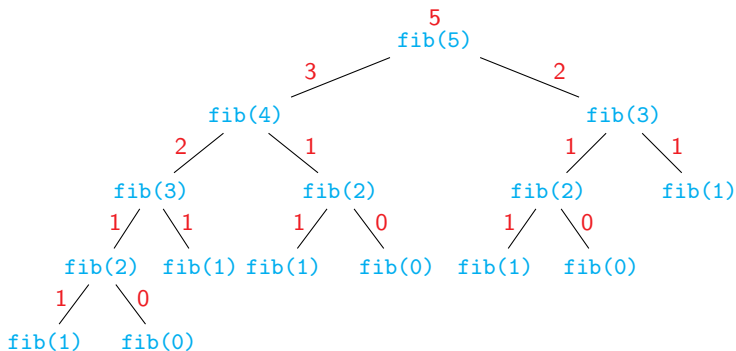
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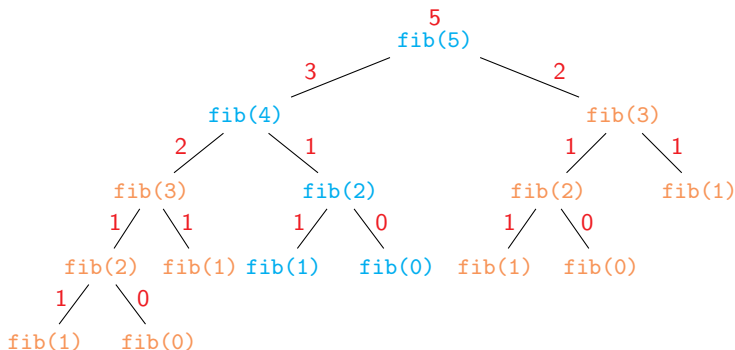


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- Wasteful recomputation
- Computation tree grows exponentially

Evaluating `fib(5)`

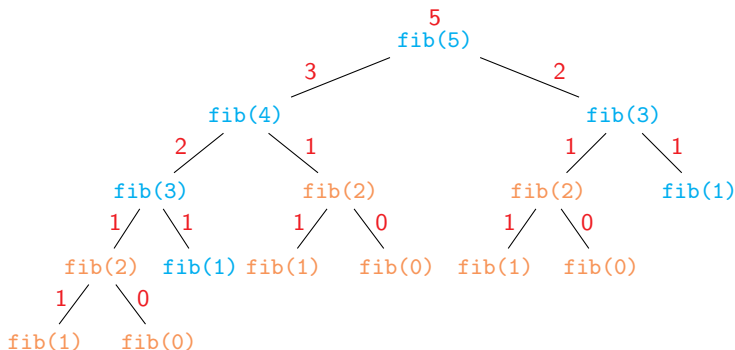


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Evaluating subproblems

- Build a table of values already computed
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Evaluating subproblems

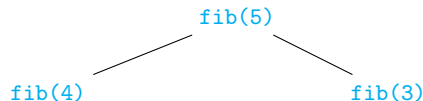
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fib(5)

k						
fib(k)						

Evaluating subproblems

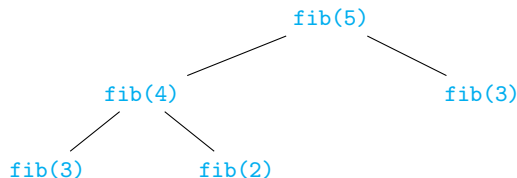
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Evaluating subproblems

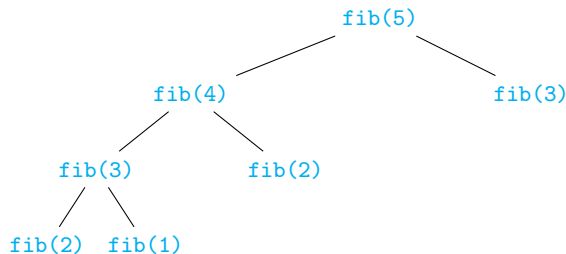
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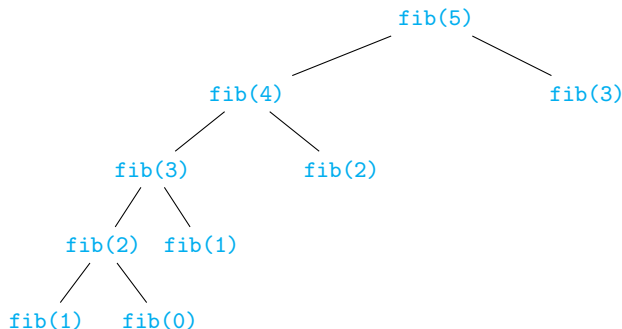
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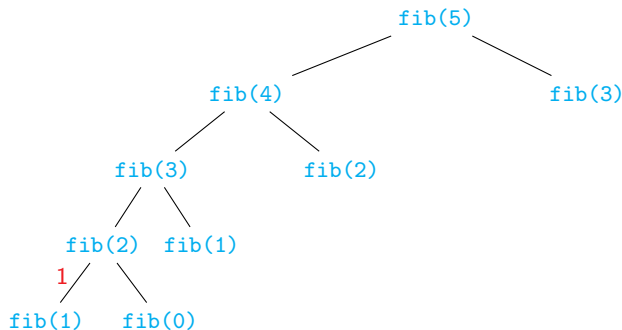
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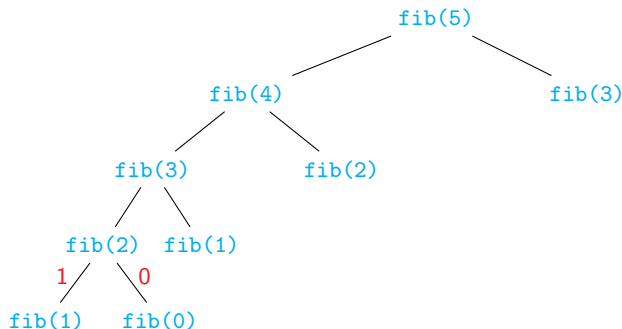
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k	1					
fib(k)	1					

Evaluating subproblems

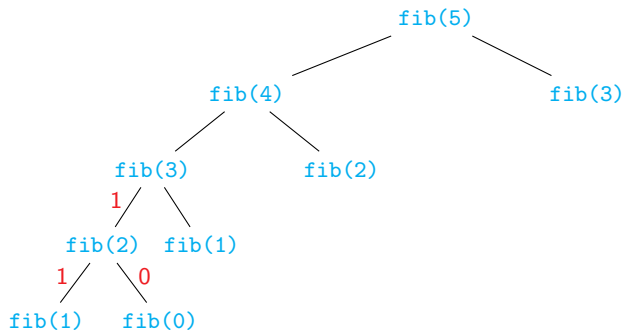
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k	1	0				
fib(k)	1	0				

Evaluating subproblems

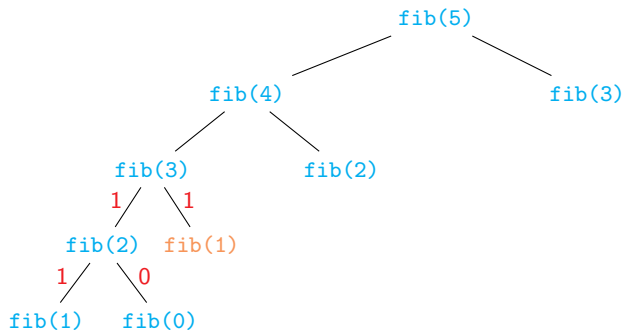
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k	1	0	2			
fib(k)	1	0	1			

Evaluating subproblems

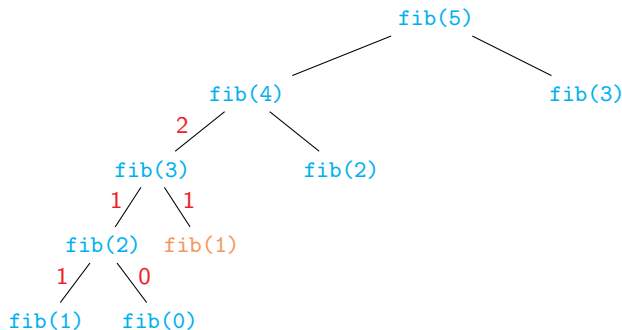
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k	1	0	2			
fib(k)	1	0	1			

Evaluating subproblems

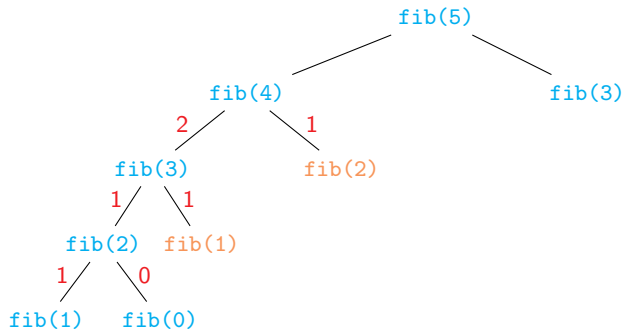
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k	1	0	2	3		
fib(k)	1	0	1	2		

Evaluating subproblems

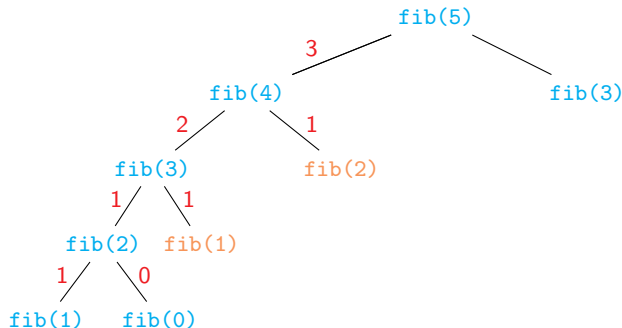
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Evaluating subproblems

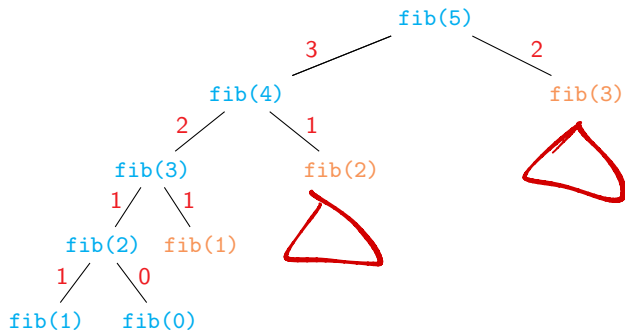
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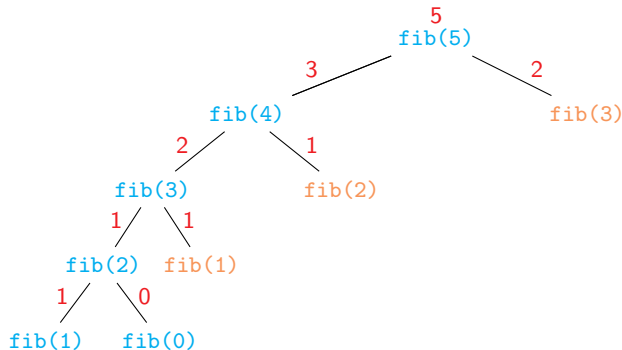
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k	1	0	2	3	4	5
fib(k)	1	0	1	2	3	5

Memoizing recursive implementations

```
def fib(n):  
    if n <= 1:  
        value = n  
    else:  
        value = fib(n-1) + fib(n-2)  
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```


Memoizing recursive implementations

fibtable = {}

```
def fib(n):  
    if n in fibtable.keys():  
        return(fibtable[n])  
  
    if n <= 1:  
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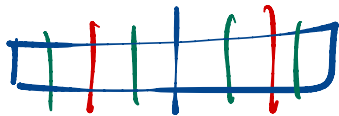
In general

```
def f(x,y,z):  
    if (x,y,z) in ftable.keys():  
        return(ftable[(x,y,z)])  
    recursively compute value  
    from subproblems  
    ftable[(x,y,z)] = value  
    return(value)
```

Divide & Conquer vs functions like Fibonacci



merge sort



Disjoint
subproblems



overlapping subproblems

$f(n)$

$f(n-1)$

$f(n-2)$



Dynamic Programming

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 - Dependencies are acyclic

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Evaluating `fib(5)`

`fib(5)`

`fib(4)`

`fib(3)`

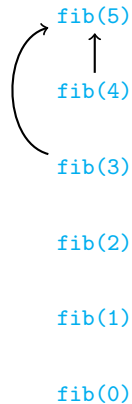
`fib(2)`

`fib(1)`

`fib(0)`

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 - Derive from inductive definition
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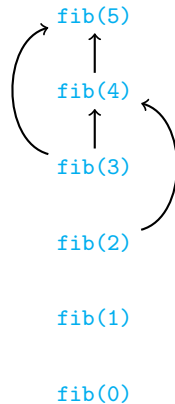
Evaluating `fib(5)`



Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic

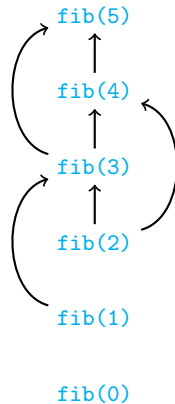
Evaluating `fib(5)`



Dynamic programming

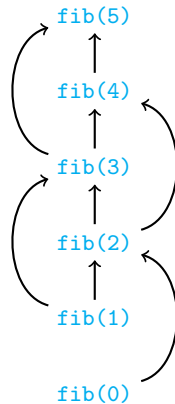
- Anticipate the structure of subproblems
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Evaluating `fib(5)`



- Anticipate the structure of subproblems
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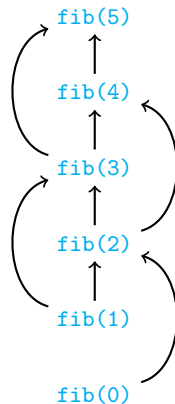
Evaluating `fib(5)`



Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic
- Solve subproblems in appropriate order

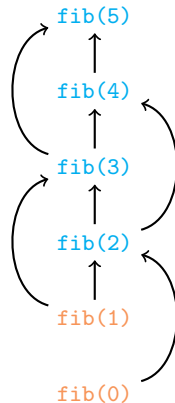
Evaluating `fib(5)`



Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic
- Solve subproblems in appropriate order
 - Start with base cases — no dependencies

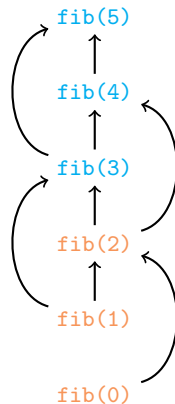
Evaluating `fib(5)`



Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic
- Solve subproblems in appropriate order
 - Start with base cases — no dependencies
 - Evaluate a value after all its dependencies are available

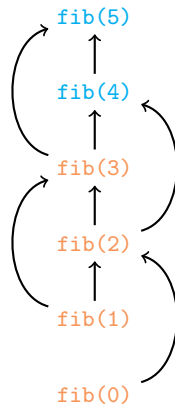
Evaluating `fib(5)`



Dynamic programming

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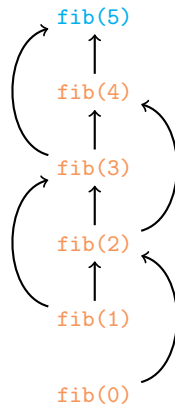
Evaluating `fib(5)`



Dynamic programming

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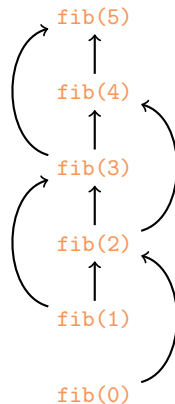
Evaluating `fib(5)`



Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic
- Solve subproblems in appropriate order
 - Start with base cases — no dependencies
 - Evaluate a value after all its dependencies are available

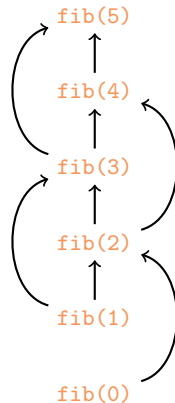
Evaluating `fib(5)`



Dynamic programming

- Anticipate the structure of subproblems
 - Derive from inductive definition
 - Dependencies are acyclic
- Solve subproblems in appropriate order
 - Start with base cases — no dependencies
 - Evaluate a value after all its dependencies are available
 - Fill table iteratively
 - Never need to make a recursive call

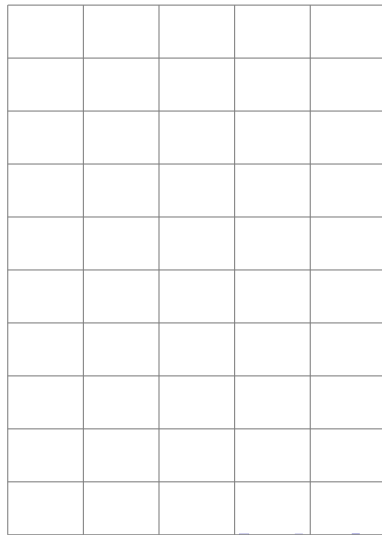
Evaluating `fib(5)`



Grid paths

- Rectangular grid of one-way roads

$(0, 0)$

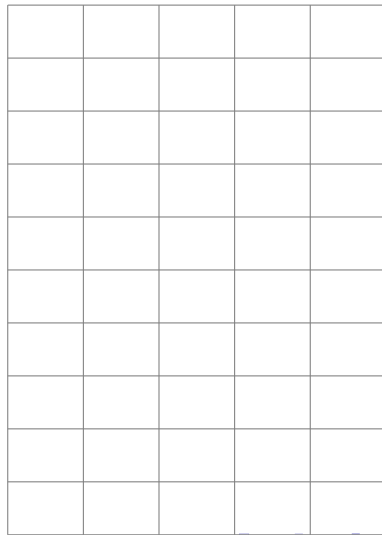


$(5, 10)$

Grid paths

- Rectangular grid of one-way roads
- Can only go up and right

(0, 0)

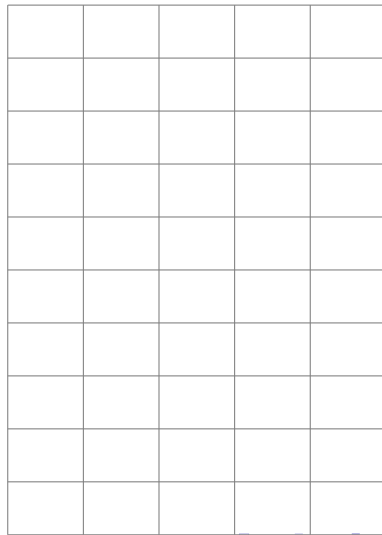


(5, 10)

Grid paths

- Rectangular grid of one-way roads
- Can only go up and right
- How many paths from $(0, 0)$ to (m, n) ?

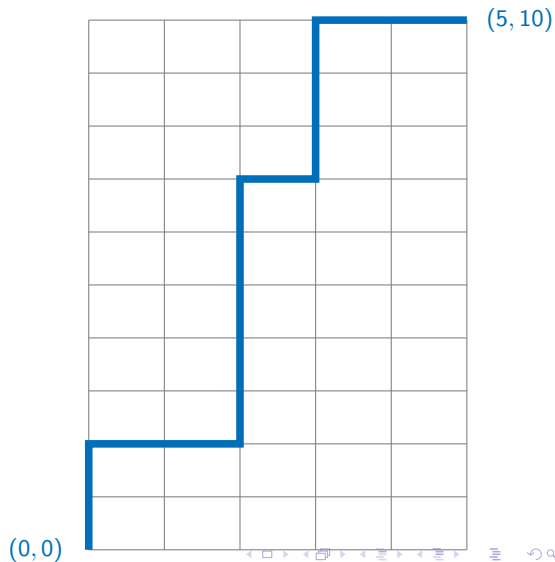
$(0, 0)$



$(5, 10)$

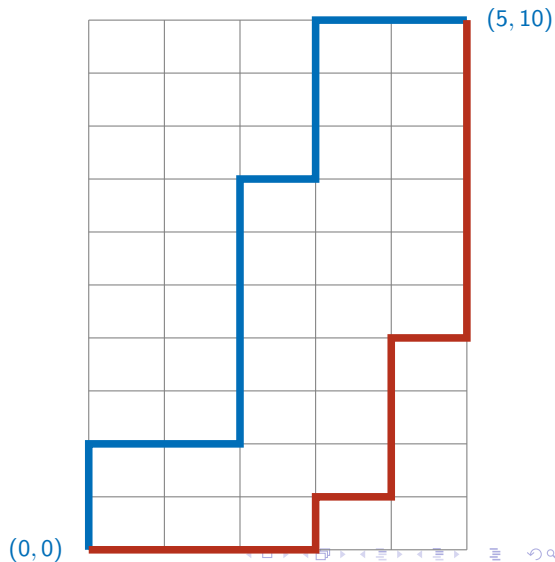
Grid paths

- Rectangular grid of one-way roads
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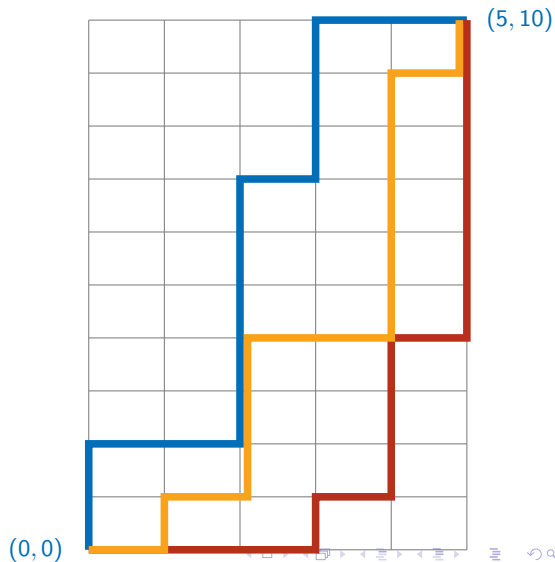
Grid paths

- Rectangular grid of one-way roads
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Grid paths

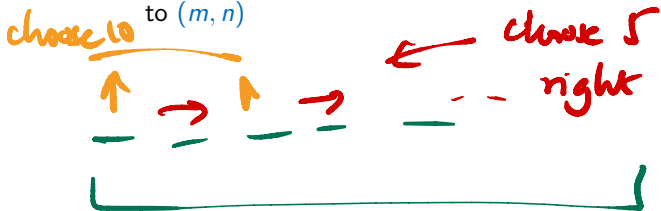
- Rectangular grid of one-way roads
- Can only go up and right
- How many paths from $(0, 0)$ to (m, n) ?



Combinatorial solution

- Every path from $(0,0)$ to $(5,10)$ has 15 segments

- In general $m+n$ segments from $(0,0)$ to (m,n)

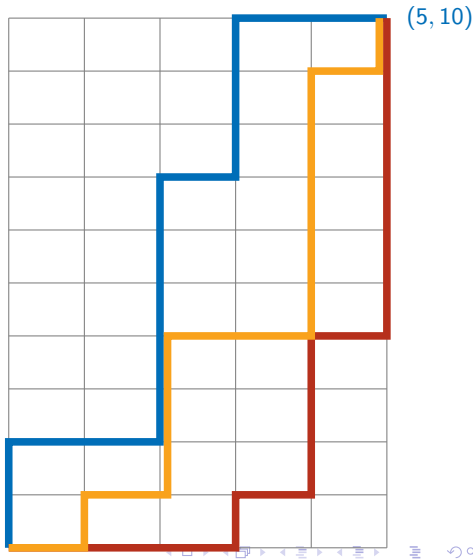


$$\binom{15}{10}$$

15 steps

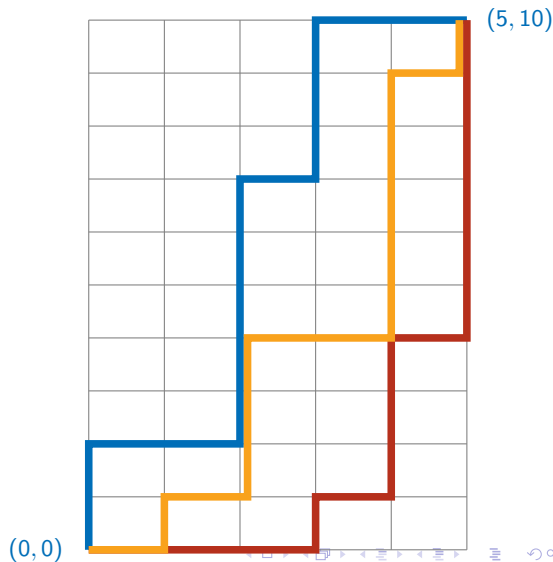
$$\binom{15}{5}$$

$(0,0)$



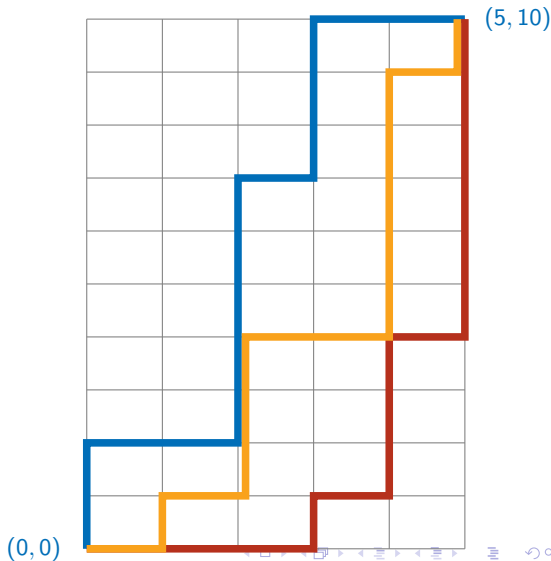
Combinatorial solution

- Every path from $(0, 0)$ to $(5, 10)$ has 15 segments
 - In general $m+n$ segments from $(0, 0)$ to (m, n)
- Out of 15, exactly 5 are right moves, 10 are up moves



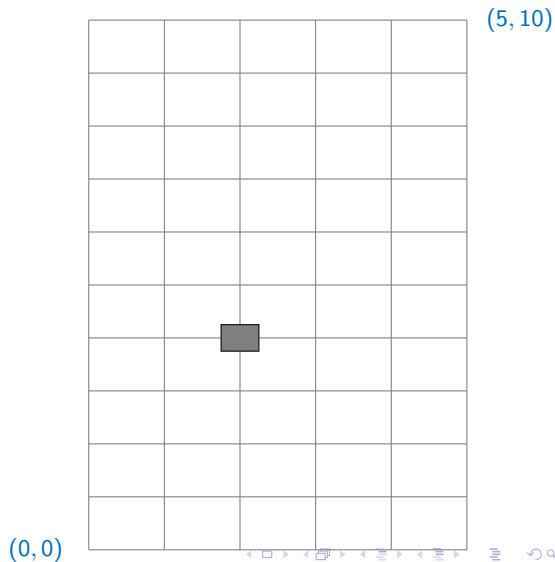
Combinatorial solution

- Every path from $(0, 0)$ to $(5, 10)$ has 15 segments
 - In general $m+n$ segments from $(0, 0)$ to (m, n)
- Out of 15, exactly 5 are right moves, 10 are up moves
- Fix the positions of the 5 right moves among the 15 positions overall
 - $\binom{15}{5} = \frac{15!}{10! \cdot 5!} = 3003$
 - Same as $\binom{15}{10}$ — fix the 10 up moves



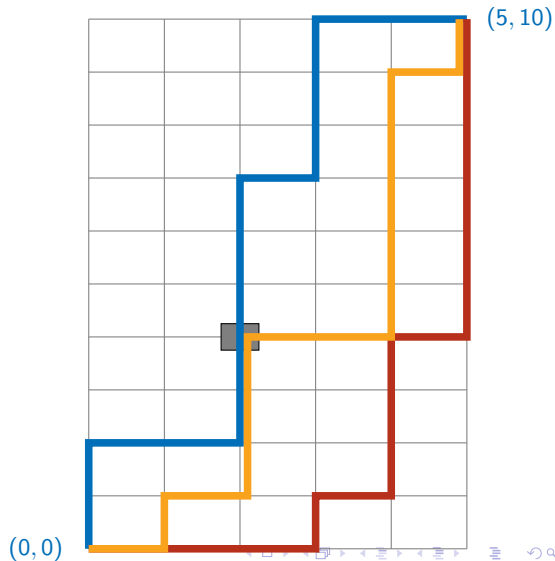
Holes

- What if an intersection is blocked?
 - For instance, $(2, 4)$



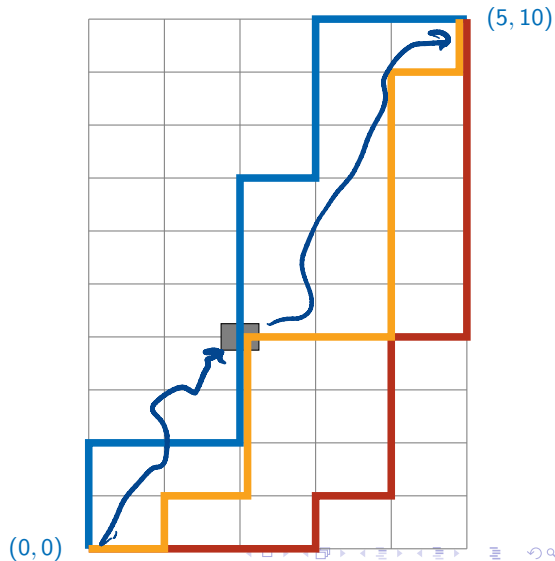
Holes

- What if an intersection is blocked?
 - For instance, $(2, 4)$
- Need to discard paths passing through $(2, 4)$
 - Two of our earlier examples are invalid paths



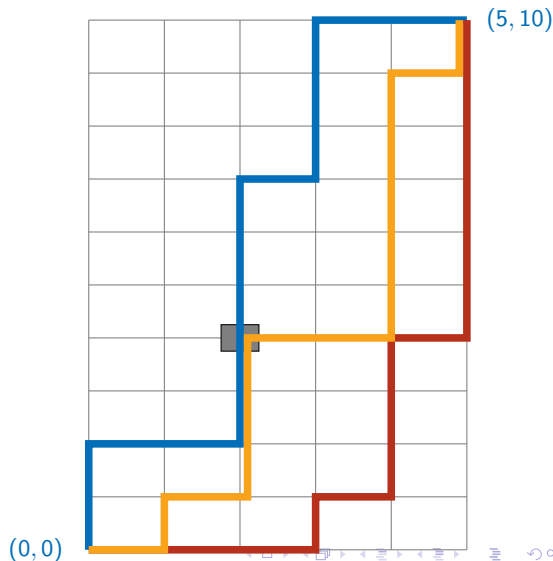
Combinatorial solution for holes

- Discard paths passing through $(2, 4)$



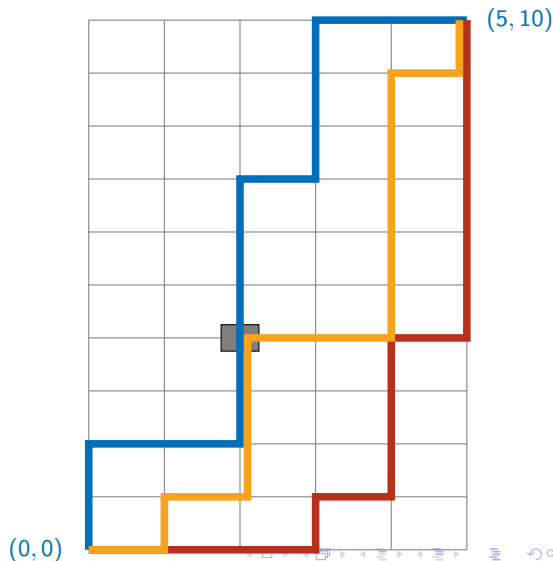
Combinatorial solution for holes

- Discard paths passing through $(2, 4)$
- Every path via $(2, 4)$ combines a path from $(0, 0)$ to $(2, 4)$ with a path from $(2, 4)$ to $(5, 10)$
 - Count these separately
 - $\binom{2+4}{2} = 15$ paths $(0, 0)$ to $(2, 4)$
 - $\binom{3+6}{3} = 84$ paths $(2, 4)$ to $(5, 10)$



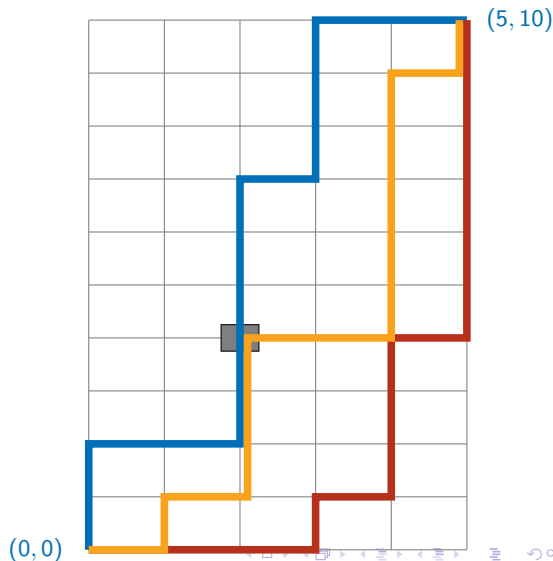
Combinatorial solution for holes

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- $15 \times 84 = 1260$ paths via $(2, 4)$



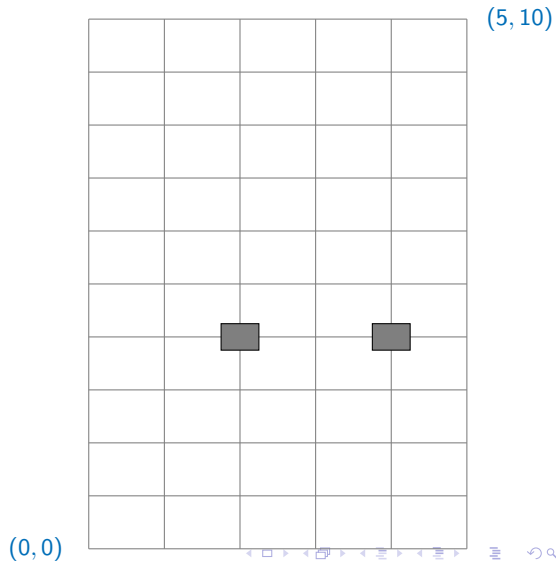
Combinatorial solution for holes

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 - $\binom{2+4}{2} = 15$ paths $(0, 0)$ to $(2, 4)$
 - $\binom{3+6}{3} = 84$ paths $(2, 4)$ to $(5, 10)$
- $15 \times 84 = 1260$ paths via $(2, 4)$
- $3003 - 1260 = 1743$ valid paths avoiding $(2, 4)$



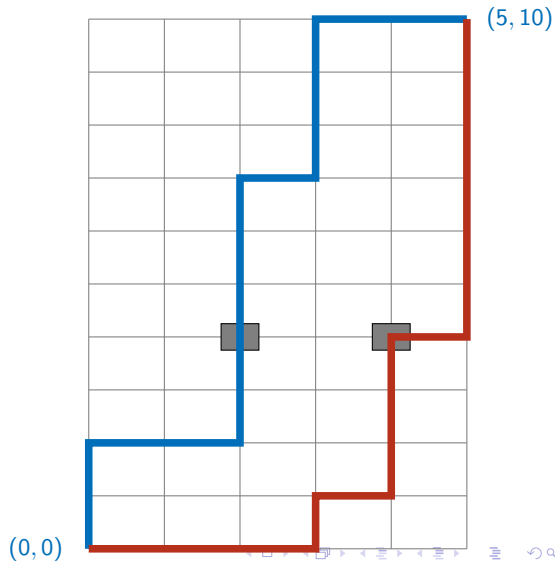
More holes

- What if two intersections are blocked?



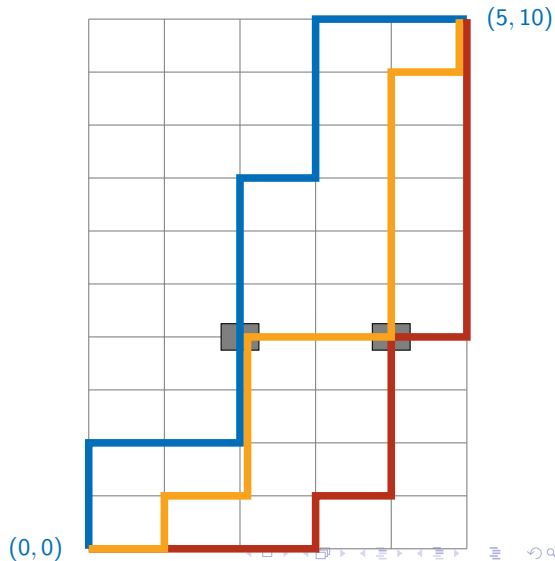
More holes

- What if two intersections are blocked?
- Discard paths via $(2, 4)$, $(4, 4)$



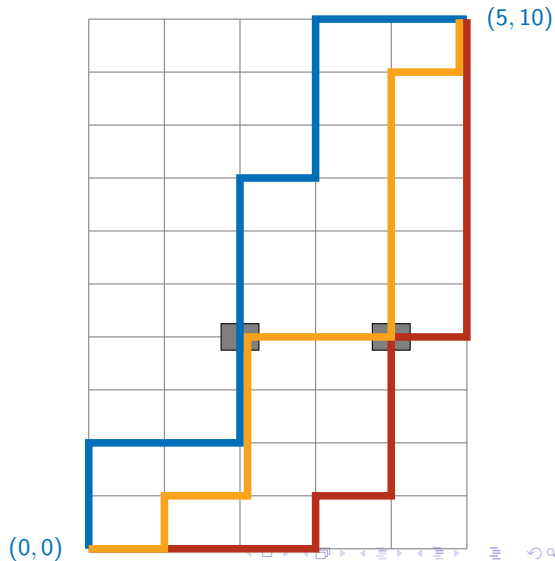
More holes

- What if two intersections are blocked?
- Discard paths via $(2, 4)$, $(4, 4)$
 - Some paths are counted twice



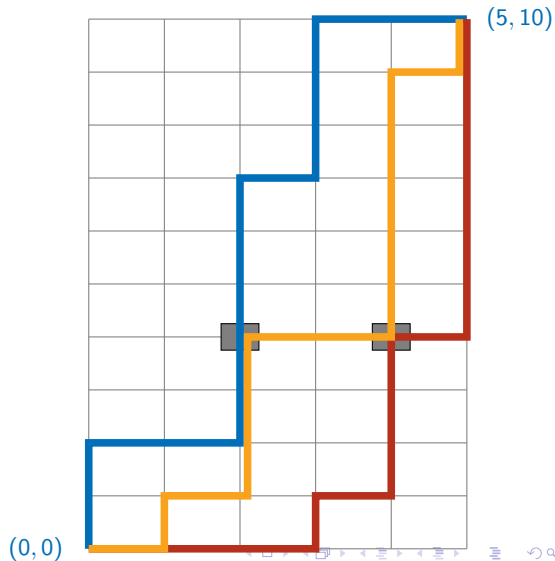
More holes

- What if two intersections are blocked?
- Discard paths via $(2, 4)$, $(4, 4)$
 - Some paths are counted twice
- Add back the paths that pass through both holes



More holes

- What if two intersections are blocked?
- Discard paths via $(2, 4)$, $(4, 4)$
 - Some paths are counted twice
- Add back the paths that pass through both holes
- **Inclusion-exclusion** — counting is messy



Inductive formulation

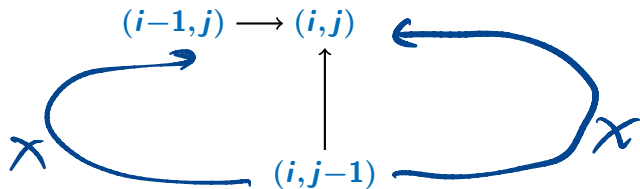
- How can a path reach (i,j)

Inductive formulation

- How can a path reach (i, j)
 - Move up from $(i, j - 1)$

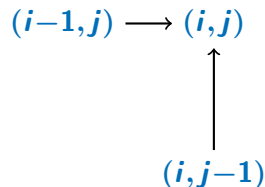
Inductive formulation

- How can a path reach (i, j)
 - Move up from $(i, j - 1)$
 - Move right from $(i - 1, j)$



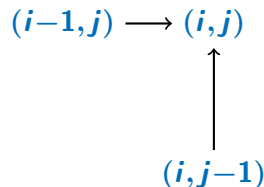
Inductive formulation

- How can a path reach (i, j)
 - Move up from $(i, j - 1)$
 - Move right from $(i - 1, j)$
- Each path to these neighbours extends to a unique path to (i, j)



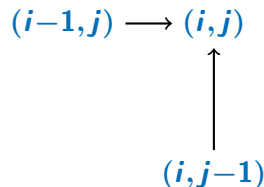
Inductive formulation

- How can a path reach (i, j)
 - Move up from $(i, j - 1)$
 - Move right from $(i - 1, j)$
- Each path to these neighbours extends to a unique path to (i, j)
- Recurrence for $P(i, j)$, number of paths from $(0, 0)$ to (i, j)
 - $P(i, j) = P(i - 1, j) + P(i, j - 1)$



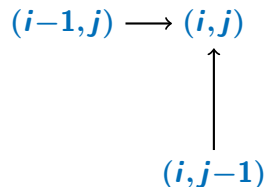
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 - $P(i, j) = P(i - 1, j) + P(i, j - 1)$
 - $P(0, 0) = 1$ — base case



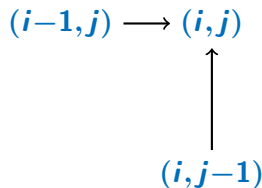
Inductive formulation

- How can a path reach (i, j)
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Inductive formulation

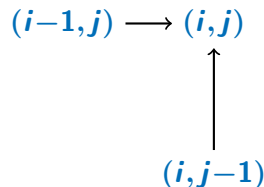
- How can a path reach (i, j)
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 - $P(0, 0) = 1$ — base case
 - $P(i, 0) = P(i - 1, 0)$ — bottom row
 - $P(0, j) = P(0, j - 1)$ — left column



$$P(i, j) = P(\max(L-1, 0), j) + P(i, \max(j-1, 0))$$

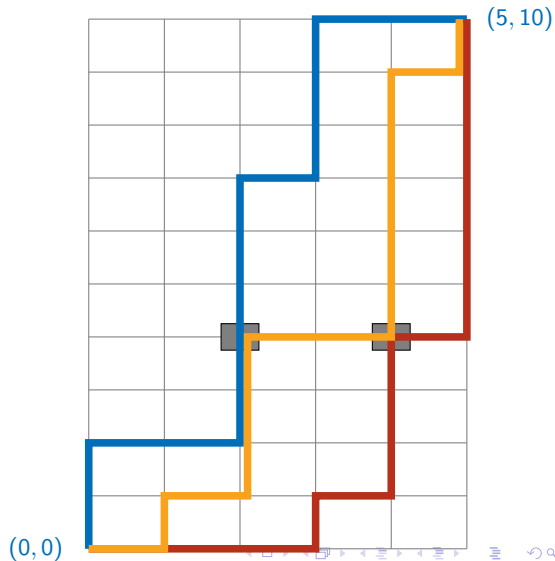
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 - $P(i, j) = P(i - 1, j) + P(i, j - 1)$
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 - $P(i, 0) = P(i - 1, 0)$ — bottom row
 - $P(0, j) = P(0, j - 1)$ — left column
- $P(i, j) = 0$ if there is a hole at (i, j)



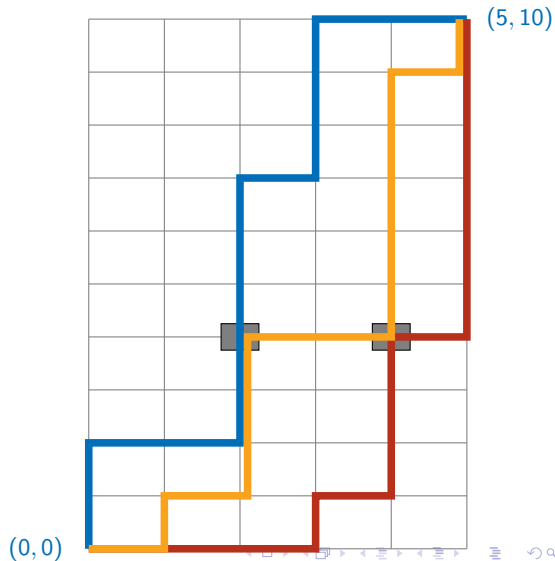
Computing $P(i, j)$

- Naive recursion recomputes same subproblem repeatedly



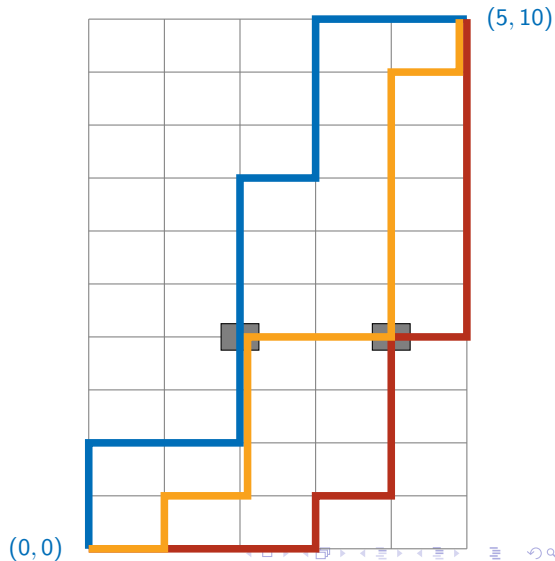
Computing $P(i, j)$

- Naive recursion recomputes same subproblem repeatedly
 - $P(5, 10)$ requires $P(4, 10)$, $P(5, 9)$



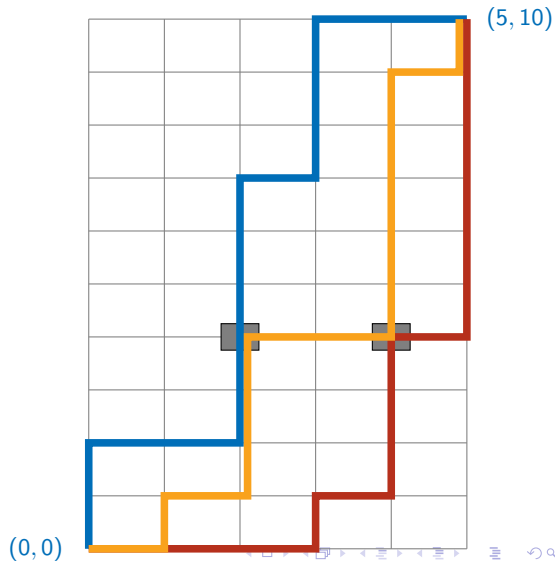
Computing $P(i, j)$

- Naive recursion recomputes same subproblem repeatedly
 - $P(5, 10)$ requires $P(4, 10), P(5, 9)$
 - Both $P(4, 10), P(5, 9)$ require $P(4, 9)$



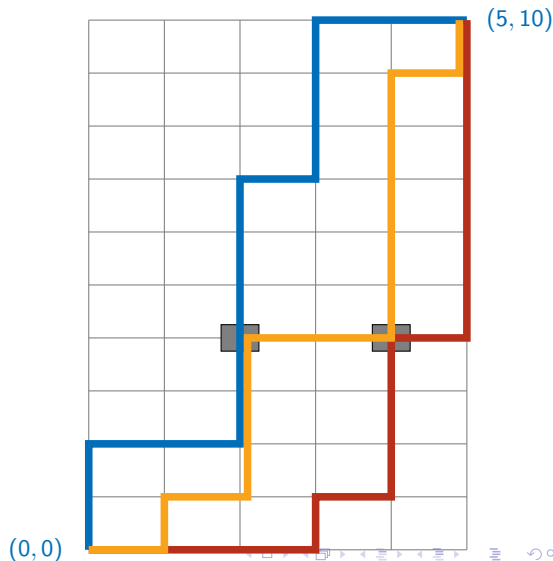
Computing $P(i, j)$

- Naive recursion recomputes same subproblem repeatedly
 - $P(5, 10)$ requires $P(4, 10)$, $P(5, 9)$
 - Both $P(4, 10)$, $P(5, 9)$ require $P(4, 9)$
- Use memoization ...



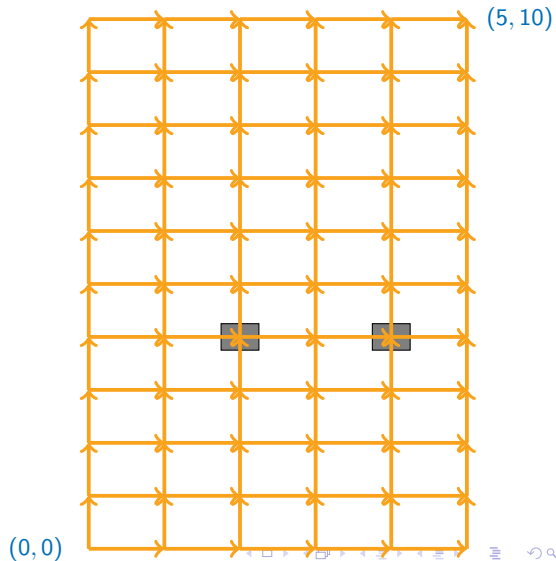
Computing $P(i, j)$

- Naive recursion recomputes same subproblem repeatedly
 - $P(5, 10)$ requires $P(4, 10)$, $P(5, 9)$
 - Both $P(4, 10)$, $P(5, 9)$ require $P(4, 9)$
- Use memoization ...
- ... or find a suitable order to compute the subproblems



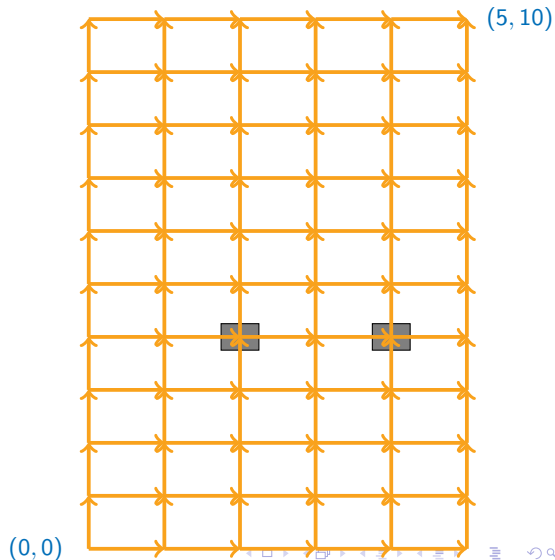
Dynamic programming

- Identify subproblem structure



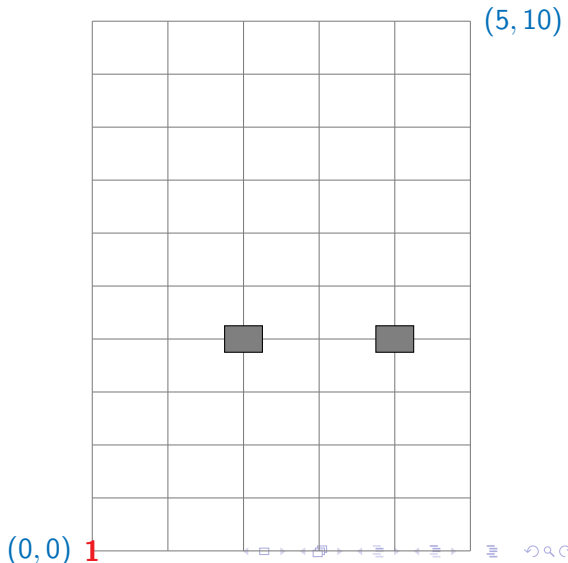
Dynamic programming

- Identify subproblem structure
- $P(0,0)$ has no dependencies



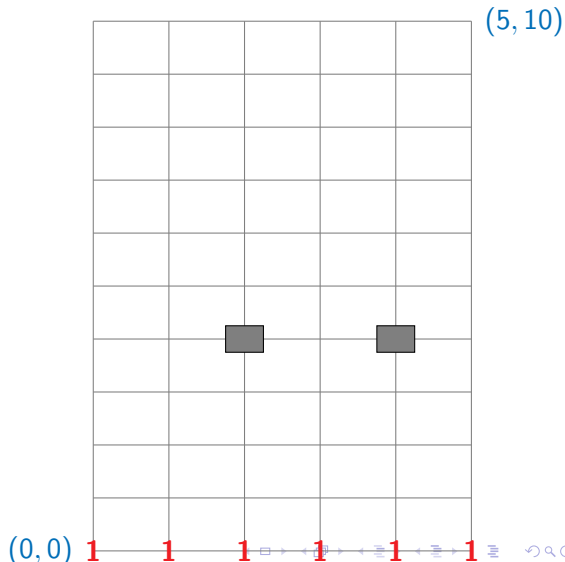
Dynamic programming

- Identify subproblem structure
- $P(0,0)$ has no dependencies
- Start at $(0,0)$



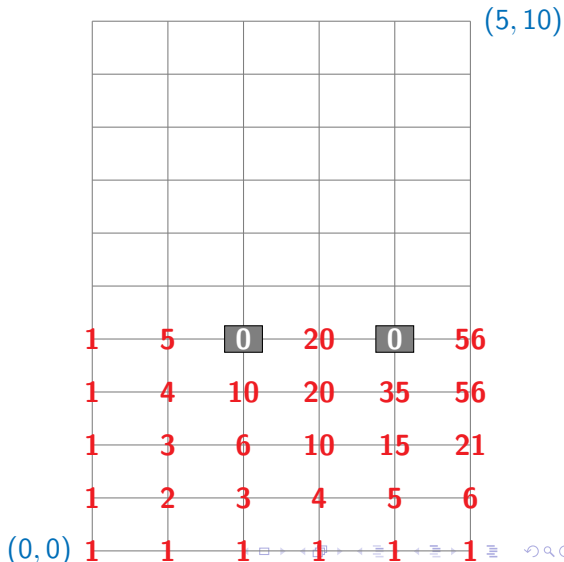
Dynamic programming

- Identify subproblem structure
- $P(0,0)$ has no dependencies
- Start at $(0,0)$
- Fill row by row



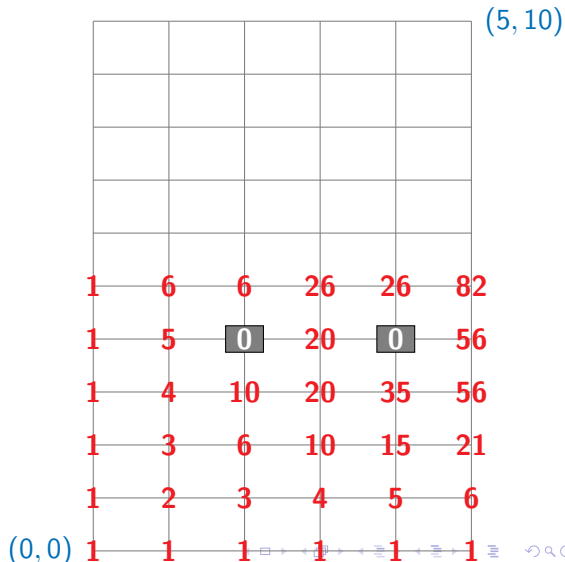
Dynamic programming

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Dynamic programming

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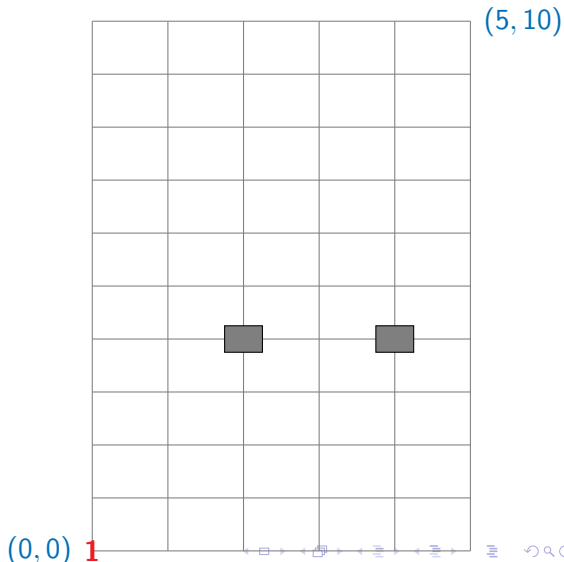
Dynamic programming

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- $P(0,0)$ has no dependencies
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	1	11	51	181	526	1358	$(5,10)$
	1	10	40	130	345	832	
	1	9	30	90	215	487	
	1	8	21	60	125	272	
	1	7	13	39	65	147	
	1	6	6	26	26	82	
	1	5	0	20	0	56	
	1	4	10	20	35	56	
	1	3	6	10	15	21	
	1	2	3	4	5	6	
$(0,0)$	1	1	1	1	1	1	

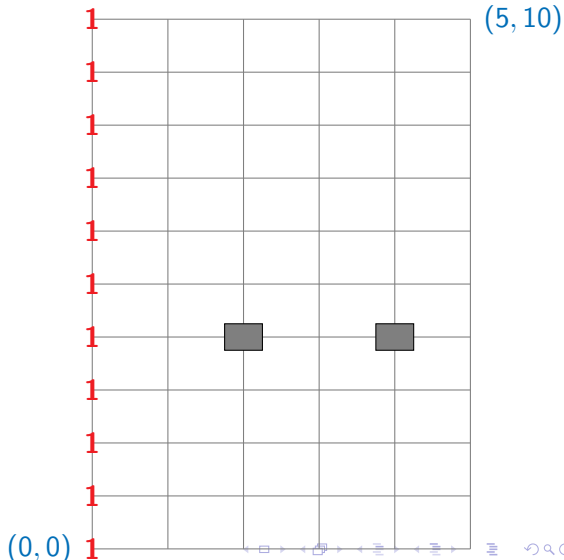
Dynamic programming

- Identify supproblem structure
- $P(0,0)$ has no dependencies
- Start at $(0,0)$
- Fill row by row
- Fill column by column



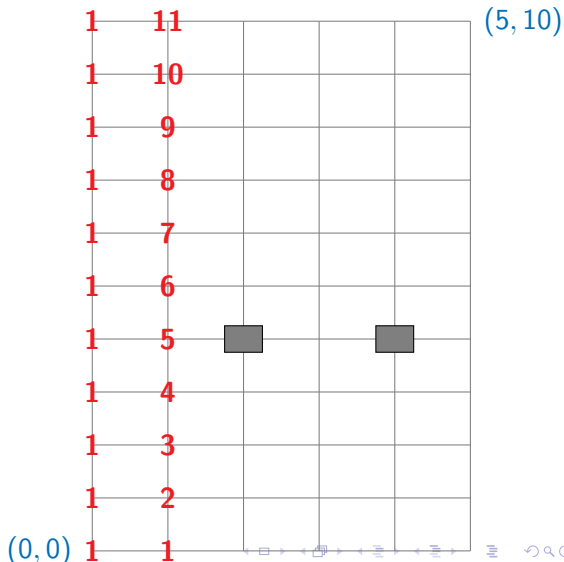
Dynamic programming

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Dynamic programming

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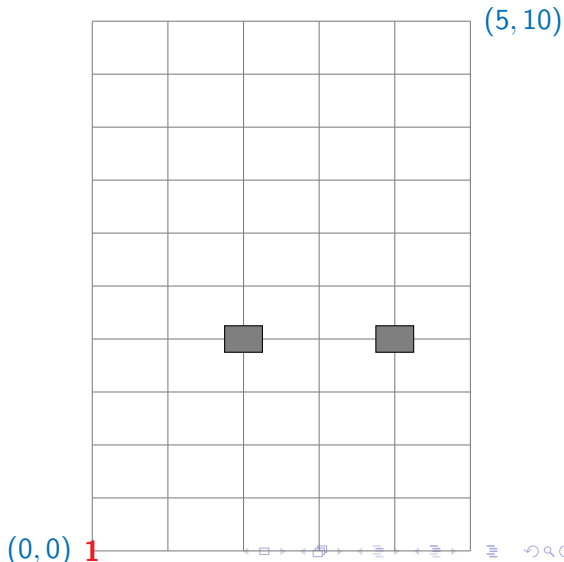
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	1	9	30	90	215	487	
	1	8	21	60	125	272	
	1	7	13	39	65	147	
	1	6	6	26	26	82	
	1	5	0	20	0	56	
	1	4	10	20	35	56	
	1	3	6	10	15	21	
	1	2	3	4	5	6	
$(0,0)$	1	1	1	1	1	1	

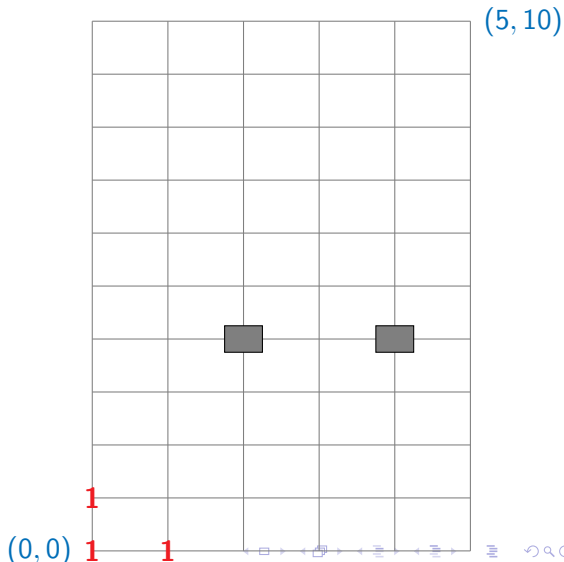
Dynamic programming

- Identify subproblem structure
- $P(0,0)$ has no dependencies
- Start at $(0,0)$
- Fill row by row
- Fill column by column
- Fill diagonal by diagonal



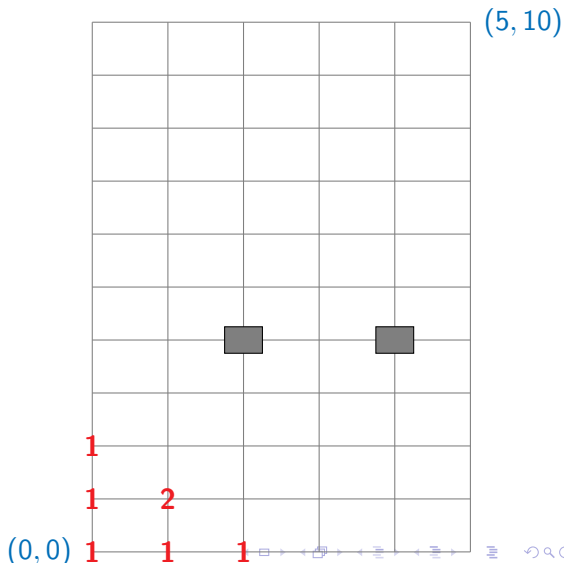
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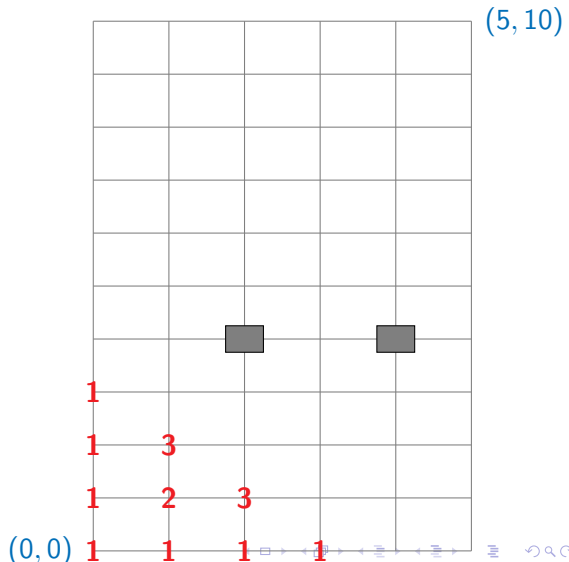
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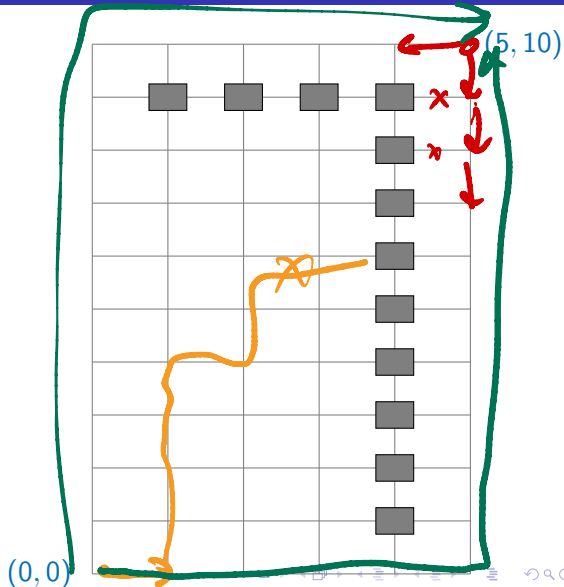
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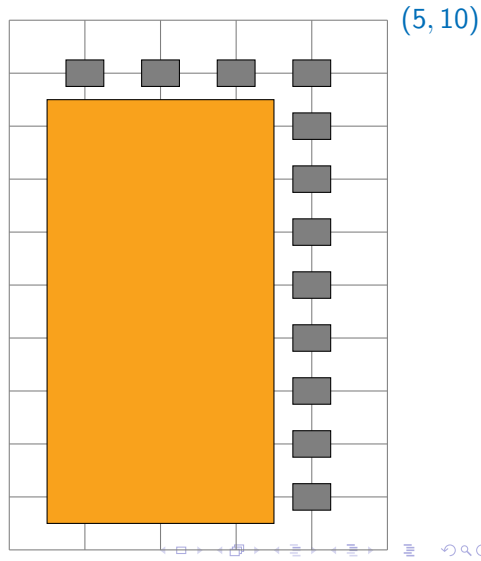
Memoization vs dynamic programming

- Barrier of holes just inside the border



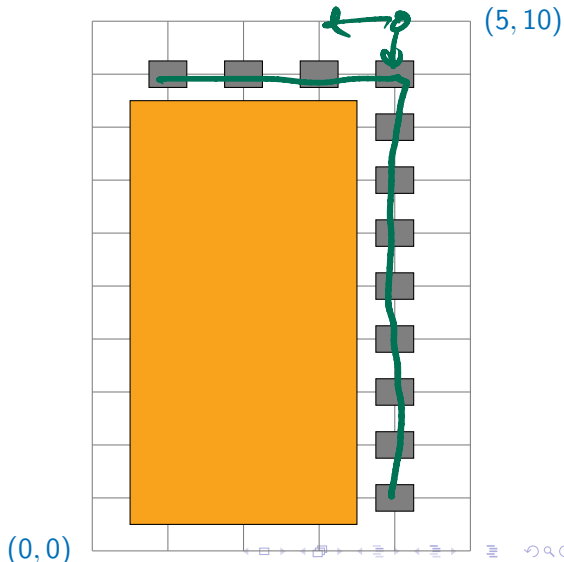
Memoization vs dynamic programming

- Barrier of holes just inside the border
- Memoization never explores the shaded region



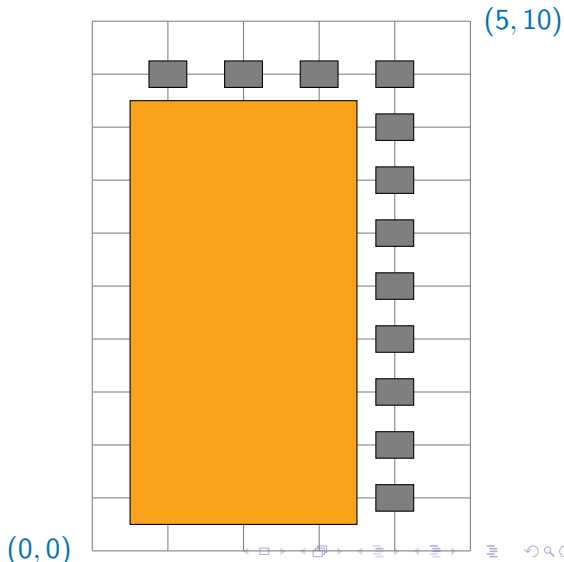
Memoization vs dynamic programming

- Barrier of holes just inside the border
- Memoization never explores the shaded region
- Memo table has $O(m + n)$ entries



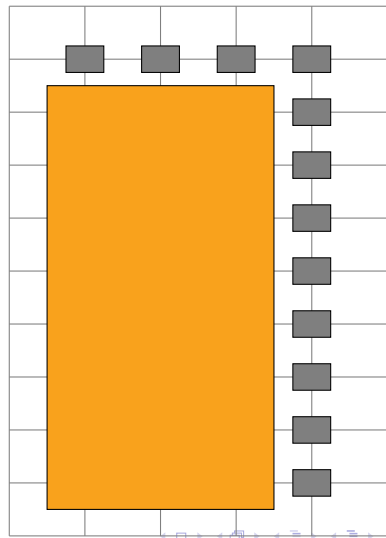
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Memoization vs dynamic programming

- Barrier of holes just inside the border
- Memoization never explores the shaded region
- Memo table has $O(m + n)$ entries
- Dynamic programming blindly fills all mn cells of the table
- Tradeoff between recursion and iteration
 - “Wasteful” dynamic programming still better in general



(5, 10)

(0, 0)