

Encoding recursion, fixpoints

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Programming Language Concepts

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 - If $f(n_1, \dots, n_k)$ is undefined, then $\neg(\mathbf{f}\langle n_1 \rangle \cdots \langle n_k \rangle \xrightarrow{*}_\beta \langle m \rangle)$ for any m

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 - Follows from more general theorems proved later
 - If $f(n_1, \dots, n_k)$ is undefined, then $\neg(\mathbf{f}\langle n_1 \rangle \cdots \langle n_k \rangle \xrightarrow{*}_\beta \langle m \rangle)$ for any m
 - Brief discussion at the end of this lecture

Encoding recursive functions

- $\langle n \rangle = \lambda f x . f^n x$
- **Zero:** $\mathbf{Z} = \lambda x . \langle 0 \rangle$
- **Successor:** $\mathbf{succ} = \lambda p f x . f(p f x)$
- **Projection:** $\mathbf{proj}_i^k = \lambda x_1 x_2 \cdots x_k . x_i$
- **Composition:** If $f : \mathbb{N}^k \rightarrow \mathbb{N}$ is defined by $f = g \circ (h_1, \dots, h_m)$

$$\mathbf{f} = \lambda \vec{x} . \mathbf{g} (\mathbf{h}_1 \vec{x}) \cdots (\mathbf{h}_m \vec{x})$$

Encoding recursive functions: primitive recursion

- **Primitive recursion:** Suppose f is defined via primitive recursion from $g : \mathbb{N} \rightarrow \mathbb{N}$ and $h : \mathbb{N}^3 \rightarrow \mathbb{N}$

$$f(0, n) = g(n)$$

$$f(i + 1, n) = h(i, f(i, n), n)$$

- We need to eliminate recursion
 - λ -calculus functions are anonymous
 - Cannot directly use name of f inside definition of f
- We convert recursion into iteration

Encoding primitive recursion

- Given m and n , generate a sequence of pairs

$$(0, f(0, n)), (1, f(1, n)), \dots, (m, f(m, n))$$

- Generate the sequence by the following recursion

$$\begin{aligned} t(0) &= (0, f(0, n)) &= (0, g(n)) \\ t(i+1) &= (i+1, f(i+1, n)) &= (i+1, h(i, f(i, n), n)) \\ &= (\text{succ}(\text{fst}(t(i))), \\ &\quad h(\text{fst}(t(i)), \text{snd}(t(i)), n)) \end{aligned}$$

- fst and snd return the first and second components of a pair
- $f(m, n)$ can be retrieved as $\text{snd}(t(m))$

Encoding recursive functions

- Generate the sequence by the following recursion

$$\begin{aligned}t(0) &= (0, f(0, n)) &= (0, g(n)) \\t(i+1) &= (i+1, f(i+1, n)) &= (i+1, h(i, f(i, n), n)) \\&= (\text{succ}(fst(t(i))), \\&\quad h(fst(t(i)), snd(t(i)), n))\end{aligned}$$

- We generate the $t(i)$'s by iteration
- Define $A = (0, g(n))$ and $S : (t(i)) \mapsto t(i+1)$
- So $t(m) = S^m(A) \dots$
- ...and $f(m, n) = snd(t(m)) = snd(S^m(A))$

Encoding pairs, *fst* and *snd*

- **pair** = $\lambda xyz \cdot zxy$
- **pair** $ab \xrightarrow{*}_{\beta} \lambda z \cdot zab$
- **fst** = $\lambda p.p(\lambda xy \cdot x)$
- **fst** (**pair** ab) $\xrightarrow{*}_{\beta} (\lambda p \cdot p(\lambda xy \cdot x))(\lambda z \cdot zab) \longrightarrow_{\beta} (\lambda z \cdot zab)(\lambda xy \cdot x)$
 $\longrightarrow_{\beta} (\lambda xy \cdot x)ab \longrightarrow_{\beta} (\lambda y \cdot a)b \longrightarrow_{\beta} a$
- **snd** = $\lambda p \cdot (p(\lambda xy \cdot y))$
- **snd** (**pair** ab) $\xrightarrow{*}_{\beta} (\lambda p \cdot p(\lambda xy \cdot y))(\lambda z \cdot zab) \longrightarrow_{\beta} (\lambda z \cdot zab)(\lambda xy \cdot y)$
 $\longrightarrow_{\beta} (\lambda xy \cdot y)ab \longrightarrow_{\beta} (\lambda y \cdot y)b \longrightarrow_{\beta} b$

Encoding primitive recursion

- $A = (o, g(n))$
- $S(t(i)) = (i + 1, f(i + 1, n)) = (succ(fst(t(i))), h(fst(t(i)), snd(t(i)), n))$
- $t(m) = S^m(A)$ and $f(m, n) = snd(t(m))$
- n is “free” in the above, but in the lambda encoding we carry an extra argument
- $\mathbf{A} = \lambda x \cdot \mathbf{pair} \langle 0 \rangle (\mathbf{g} x)$
- $\mathbf{S} = \lambda x p \cdot \mathbf{pair} \ (succ(fst p)) \ (h(fst p) (snd p) x)$
- $\mathbf{f} = \lambda yx \cdot \mathbf{snd} (y (\mathbf{S} x) (\mathbf{A} x))$

Encoding primitive recursion

- $f = \lambda yx \cdot \text{snd } (y (\mathbf{S} x) (\mathbf{A} x))$
- $f \ll m \gg \ll n \gg \xrightarrow{*}_{\beta} \text{snd } (\ll m \gg (\mathbf{S} \ll n \gg) (\mathbf{A} \ll n \gg)) \xrightarrow{*}_{\beta} \text{snd } ((\mathbf{S} \ll n \gg)^m (\mathbf{A} \ll n \gg))$
- Check that $\mathbf{S} \ll n \gg (\text{pair } \ll i \gg \ll f(i, n) \gg) \xrightarrow{*}_{\beta} \text{pair } \ll i + 1 \gg \ll f(i + 1, n) \gg$

Encoding primitive recursion

- Check that $S \llbracket n \rrbracket (\text{pair } \llbracket i \rrbracket \llbracket f(i, n) \rrbracket) \xrightarrow{*} \beta \text{pair } \llbracket i + 1 \rrbracket \llbracket f(i + 1, n) \rrbracket$

- $S \llbracket n \rrbracket (\text{pair } \llbracket i \rrbracket \llbracket f(i, n) \rrbracket)$

$$\begin{aligned} &\xrightarrow{*} \beta \quad \text{pair } (\text{succ } (\text{fst } (\text{pair } \llbracket i \rrbracket \llbracket f(i, n) \rrbracket)))) \\ &\quad (\text{h } (\text{fst } (\text{pair } \llbracket i \rrbracket \llbracket f(i, n) \rrbracket))) \\ &\quad (\text{snd } (\text{pair } \llbracket i \rrbracket \llbracket f(i, n) \rrbracket))) \\ &\quad \llbracket n \rrbracket) \end{aligned}$$

$$\xrightarrow{*} \beta \quad \text{pair } (\text{succ } \llbracket i \rrbracket) (\text{h } \llbracket i \rrbracket \llbracket f(i, n) \rrbracket \llbracket n \rrbracket)$$

$$\xrightarrow{*} \beta \quad \text{pair } \llbracket i + 1 \rrbracket \llbracket h(i, f(i, n), n) \rrbracket$$

$$= \quad \text{pair } \llbracket i + 1 \rrbracket \llbracket f(i + 1, n) \rrbracket$$

Encoding primitive recursion

- Check that $(S \langle n \rangle)^i (A \langle n \rangle) \xrightarrow{\beta}^* \text{pair } \langle i \rangle \langle f(i, n) \rangle$
- $(S \langle n \rangle)^0 (A \langle n \rangle) \xrightarrow{\beta}^* A \langle n \rangle = \text{pair } \langle 0 \rangle (g \langle n \rangle) = \text{pair } \langle 0 \rangle \langle f(0, n) \rangle$
- $(S \langle n \rangle)^{i+1} (A \langle n \rangle) = S \langle n \rangle ((S \langle n \rangle)^i (A \langle n \rangle))$
 $\xrightarrow{\beta}^* S \langle n \rangle (\text{pair } \langle i \rangle \langle f(i, n) \rangle) \quad (\text{ind. hyp.})$
 $\xrightarrow{\beta}^* \text{pair } \langle i + 1 \rangle \langle f(i + 1, n) \rangle \quad (\text{previous slide})$

Encoding primitive recursion

- $f = \lambda yx \cdot \text{snd } (y (\text{S } x) (\text{A } x))$
- $f \langle m \rangle \langle n \rangle \xrightarrow{*}_{\beta} \text{snd } (\langle m \rangle (\text{S } \langle n \rangle) (\text{A } \langle n \rangle)) \xrightarrow{*}_{\beta} \text{snd}((\text{S } \langle n \rangle)^m (\text{A } \langle n \rangle))$
- $(\text{S } \langle n \rangle)^m (\text{A } \langle n \rangle) \xrightarrow{*}_{\beta} \text{pair } \langle m \rangle \langle f(m, n) \rangle$
- So $f \langle m \rangle \langle n \rangle \xrightarrow{*}_{\beta} \text{snd } (\text{pair } \langle m \rangle \langle f(m, n) \rangle) \xrightarrow{*}_{\beta} \langle f(m, n) \rangle$
- The expression **PR** encodes the schema of primitive recursion

$$\begin{aligned} \text{PR} = \lambda hgyx \cdot \text{snd } (y & \\ & ((\lambda x p \cdot \text{pair } (\text{succ } (\text{fst } p)) (h (\text{fst } p) (\text{snd } p) x)) \ x) \\ & ((\lambda x \cdot \text{pair } \langle 0 \rangle (g x)) \ x) \end{aligned}$$

Encoding μ -recursion

- Suppose $f: \mathbb{N} \rightarrow \mathbb{N}$ is obtained from $g: \mathbb{N}^2 \rightarrow \mathbb{N}$ by μ -recursion

$$f(n) = \begin{cases} i & \text{if } g(i, n) = 0 \text{ and } \forall j < i : g(j, n) > 0 \\ \text{undefined} & \text{otherwise} \end{cases}$$

- f can be expressed as the following (potentially unbounded) **while** loop:

```
i = 0;
while (g(i, n) > 0) {i = i + 1;}
return i;
```

Encoding μ -recursion

- f can be expressed as the following (potentially unbounded) **while** loop:

```
i = 0;
while (g(i, n) > 0) {i = i + 1;}
return i;
```

- Implement the **while** loop using recursion:

```
int search(i, n) {
    if (iszero(g(i, n))) return i;
    else return search(i+1, n);
}
f(n) = search(0, n);
```

Encoding booleans

- Need ways to encode booleans, if-then-else, test for zero and recursive definitions in λ -calculus
- **true** = $\lambda xy \cdot x$
- **false** = $\lambda xy \cdot y$
- **if-then-else** = $\lambda bxy \cdot bxy$
- **Syntactic sugar:** **if-then-else** bfg is written as **if** b **then** f **else** g

$$\begin{aligned} \text{if true then } f \text{ else } g &= (\lambda bxy \cdot bxy)(\lambda xy \cdot x)fg \longrightarrow_{\beta} (\lambda xy \cdot (\lambda xy \cdot x)xy)fg \\ &\longrightarrow_{\beta} (\lambda y \cdot (\lambda xy \cdot x)fy)g \longrightarrow_{\beta} (\lambda xy \cdot x)fg \\ &\longrightarrow_{\beta} (\lambda y \cdot f)g \longrightarrow_{\beta} f \\ \text{if false then } f \text{ else } g &= (\lambda bxy \cdot bxy)(\lambda xy \cdot y)fg \xrightarrow{*}_{\beta} (\lambda xy \cdot y)fg \\ &\longrightarrow_{\beta} (\lambda y \cdot y)g \longrightarrow_{\beta} g \end{aligned}$$

Encoding test for zero

- $\text{iszero} = \lambda x \cdot x(\lambda z \cdot \text{false})\text{true}$
- $\text{iszero} \ll n \gg \longrightarrow_{\beta} \ll n \gg (\lambda z \cdot \text{false})\text{true} \xrightarrow{*}_{\beta} (\lambda z \cdot \text{false})^n \text{true}$
- $(\lambda z \cdot \text{false})^0 \text{true} = \text{true}$
- For $n > 0$, $(\lambda z \cdot \text{false})^n \text{true} = (\lambda z \cdot \text{false})((\lambda z \cdot \text{false})^{n-1} \text{true}) \longrightarrow_{\beta} \text{false}$
- Thus
 - $\text{iszero} \ll 0 \gg \xrightarrow{*}_{\beta} \text{true}$
 - $\text{iszero} \ll n \gg \xrightarrow{*}_{\beta} \text{false}$ for $n > 0$

Recursive definitions

- $f(n) = \mu i : g(i, n)$ is expressed as follows:

```
int search(i, n) {  
    if (iszero(g(i, n))) return i;  
    else return search(i+1, n);  
}  
f(n) = search(0, n);
```

- The λ -expression \mathbf{C} encoding `search` satisfies the following property:

$$\mathbf{C}\langle\langle m \rangle\rangle\langle\langle n \rangle\rangle \xrightarrow{\beta^*} \text{if (iszero(g } \langle\langle m \rangle\rangle \langle\langle n \rangle\rangle))$$

then $\langle\langle m \rangle\rangle$

$$\text{else (C } \langle\langle m + 1 \rangle\rangle \langle\langle n \rangle\rangle)$$

Recursive definitions

- Suppose C satisfies the following property:

$$C \xrightarrow{\beta}^* (\lambda cyx. \text{if (iszero(g y x))} \\ \text{then } y \text{ else } (c(\text{succ } y) x)) C$$

- Then it satisfies the following:

$$C \ll m \gg \ll n \gg \xrightarrow{\beta}^* \text{if (iszero(g } \ll m \gg \ll n \gg)) \\ \text{then } \ll m \gg \\ \text{else } (C \ll m + 1 \gg \ll n \gg)$$

- So letting F be

$$\lambda cyx. \text{if (iszero(g y x))} \\ \text{then } y \text{ else } (c(\text{succ } y) x)$$

we want $C \xrightarrow{\beta}^* F C$

Recursive definitions and fixed points

- Given a λ -expression F , find an expression \mathbf{C} such that

$$\mathbf{C} \xrightarrow{*}_{\beta} F \mathbf{C}$$

- Taking $\mathbf{C} = (\lambda x \cdot F(xx))(\lambda x \cdot F(xx))$, we have

$$\mathbf{C} \xrightarrow{*}_{\beta} F \mathbf{C}$$

- Such a \mathbf{C} is a **fixed point** of F
- Recall:** x is a fixed point of a function f if $f(x) = x$
- Can we abstract the process of finding a fixed point?
- Enter **fixed-point combinators!**

Recursive definitions and the Y combinator

- Define $\mathbf{Y} = \lambda f. (\lambda x. f(xx))(\lambda x. f(xx))$
- $\mathbf{Y} F \longrightarrow_{\beta} (\lambda x. F(xx))(\lambda x. F(xx)) \longrightarrow_{\beta} F(\lambda x. F(xx))(\lambda x. F(xx))$
- $F(\mathbf{Y} F) \longrightarrow_{\beta} F(\lambda x. F(xx))(\lambda x. F(xx))$
- So there is a G such that $\mathbf{Y} F \xrightarrow{*}_{\beta} G$ and $F(\mathbf{Y} F) \xrightarrow{*}_{\beta} G$
- We say that $\mathbf{Y} F =_{\beta} F(\mathbf{Y} F)$
- For any F , $\mathbf{Y} F$ is a \mathbf{C} such that $\mathbf{C} =_{\beta} F \mathbf{C}$

Recursive definitions and the Θ combinator

- Given a λ -expression F , find an expression \mathbf{C} such that

$$\mathbf{C} \xrightarrow{*}_{\beta} F \mathbf{C}$$

- Define $\Theta = (\lambda xy \cdot y(xxy))(\lambda xy \cdot y(xxy))$
- $\Theta F = (\lambda xy \cdot y(xxy))(\lambda xy \cdot y(xxy)) F \xrightarrow{\beta} (\lambda y \cdot y((\lambda xy \cdot y(xxy)) (\lambda xy \cdot y(xxy)) y)) F \xrightarrow{\beta} F((\lambda xy \cdot y(xxy)) (\lambda xy \cdot y(xxy)) F) = F(\Theta F)$
- Thus $\Theta F \xrightarrow{*}_{\beta} F(\Theta F)$
- For any F , ΘF is a \mathbf{C} such that $\mathbf{C} \xrightarrow{*}_{\beta} F \mathbf{C}$

Back to μ -recursion

- $f(n) = \mu i : g(i, n)$ is encoded as follows:
 - $F = \lambda cyx \cdot \text{if } (\text{iszero}(\mathbf{g}yx)) \text{ then } y \text{ else } (c(\text{succ}y)x)$
 - $\mathbf{W} = \Theta F$, and so $\mathbf{W} \xrightarrow{*} \beta F \mathbf{W}$
 - $\mathbf{f} = \mathbf{W} \langle\langle 0 \rangle\rangle$
- $\mathbf{f} \langle\langle n \rangle\rangle = \mathbf{W} \langle\langle 0 \rangle\rangle \langle\langle n \rangle\rangle$

Encoding μ -recursion

- Suppose $g(i, n) = 0$

- Then $g \langle i \rangle \langle n \rangle \xrightarrow{\beta}^* \langle 0 \rangle$

- So $\text{iszero}(g \langle i \rangle \langle n \rangle) \xrightarrow{\beta}^* \text{iszero} \langle 0 \rangle \xrightarrow{\beta}^* \text{true}$

- So

$$\begin{array}{l} W \langle i \rangle \langle n \rangle \xrightarrow{\beta}^* FW \langle i \rangle \langle n \rangle \\ \xrightarrow{\beta}^* \text{if (iszero}(g \langle i \rangle \langle n \rangle)) \\ \text{then } \langle i \rangle \\ \text{else (W(succ } \langle i \rangle) \langle n \rangle) \\ \xrightarrow{\beta}^* \text{if true then } \langle i \rangle \text{ else (W (succ } \langle i \rangle) \langle n \rangle) \\ \xrightarrow{\beta}^* \langle i \rangle \end{array}$$

Encoding μ -recursion

- Suppose $g(i, n) = k > 0$
 - Then $\mathbf{g} \langle i \rangle \langle n \rangle \xrightarrow{\beta^*} \langle k \rangle$
 - So $\mathbf{iszero}(\mathbf{g} \langle i \rangle \langle n \rangle) \xrightarrow{\beta^*} \mathbf{iszero} \langle k \rangle \xrightarrow{\beta^*} \mathbf{false}$
 - So

$$\begin{array}{l} \mathbf{W} \langle i \rangle \langle n \rangle \xrightarrow{\beta^*} \mathbf{FW} \langle i \rangle \langle n \rangle \\ \xrightarrow{\beta^*} \mathbf{if} (\mathbf{iszero}(\mathbf{g} \langle i \rangle \langle n \rangle)) \\ \mathbf{then} \langle i \rangle \\ \mathbf{else} (\mathbf{W}(\mathbf{succ} \langle i \rangle) \langle n \rangle) \\ \xrightarrow{\beta^*} (\mathbf{if} \mathbf{false} \mathbf{then} \langle i \rangle \mathbf{else} (\mathbf{W} (\mathbf{succ} \langle i \rangle) \langle n \rangle)) \\ \xrightarrow{\beta^*} \mathbf{W} (\mathbf{succ} \langle i \rangle) \langle n \rangle \\ \xrightarrow{\beta^*} \mathbf{W} \langle i + 1 \rangle \langle n \rangle \end{array}$$

Encoding μ -recursion

- If $g(i, n) = 0$ then $\mathbf{W} \langle i \rangle \langle n \rangle \xrightarrow{\beta^*} \langle i \rangle$
- If $g(i, n) > 0$ then $\mathbf{W} \langle i \rangle \langle n \rangle \xrightarrow{\beta^*} \mathbf{W} \langle i + 1 \rangle \langle n \rangle$
- Suppose now that $g(b, n) = 0$ and $g(a, n) > 0$ for all $a < b$
- $\mathbf{W} \langle 0 \rangle \langle n \rangle \xrightarrow{\beta^*} \mathbf{W} \langle 1 \rangle \langle n \rangle \xrightarrow{\beta^*} \mathbf{W} \langle 2 \rangle \langle n \rangle \xrightarrow{\beta^*} \dots \xrightarrow{\beta^*} \mathbf{W} \langle b \rangle \langle n \rangle \xrightarrow{\beta^*} \langle b \rangle$
- Thus $\mathbf{f} \langle n \rangle \xrightarrow{\beta^*} \mathbf{W} \langle 0 \rangle \langle n \rangle \xrightarrow{\beta^*} \langle b \rangle$ where $b = \mu i : g(i, \vec{n})$
- The expression $\mathbf{Mu} = \lambda g \cdot \Theta G \langle 0 \rangle$ encodes the schema of μ -recursion where

$$G = \lambda cyx \cdot \mathbf{if} (\mathbf{iszero} (gyx)) \mathbf{then} y \mathbf{else} (c(\mathbf{succ})x)$$

Encoding μ -recursion

- Suppose $g(a, n)$ is defined and > 0 for all a
- $W \langle 0 \rangle \langle n \rangle \xrightarrow{*}_{\beta} W \langle 1 \rangle \langle n \rangle \xrightarrow{*}_{\beta} W \langle 2 \rangle \langle n \rangle \xrightarrow{*}_{\beta} W \langle 3 \rangle \langle n \rangle \dots$
- Thus no reduction sequence starting from $f \langle n \rangle$ terminates
- Suppose $g(b, n)$ is undefined for some b , and $g(a, n) \neq 0$ for all $a < b$
- Thus $g \langle b \rangle \langle n \rangle$ has no terminating reduction sequence, and similarly for $f \langle n \rangle$