λ Calculus: Lecture 1

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λ-calculus

- A notation for computable functions
  - Alonzo Church
- How do we describe a function?
  - By its graph — a binary relation between domain and codomain
  - Single-valued
  - Extensional — graph completely defines the function
- An extensional definition is not suitable for computation
  - All sorting functions are the same!
- Need an intensional definition
  - How are outputs computed from inputs?

λ-calculus: syntax

- Assume a set Var of variables
- Set Λ of lambda expressions is given by

$$\Lambda = x \mid \lambda x.M \mid MM'$$

  where $x \in Var$, $M, M' \in \Lambda$.
- $\lambda x.M$: Abstraction
  - A function of x with computation rule M.
  - "Abstracts" the computation rule M over arbitrary input values x
  - Like writing $f(x) = e$ without assigning a name f
- MM' : Application
  - Apply the function M to the argument M'

λ-calculus: syntax ...

- Can write expressions such as xx — no types!
- What can we do without types?
  - Set theory as a basis for mathematics
  - Bit strings in memory
- In an untyped world, some data is meaningful
- Functions manipulate meaningful data to yield meaningful data
- Can also apply functions to non-meaningful data, but the result has no significance

The computation rule β

- Basic rule for computing (rewriting) is called β

$$(\lambda x.M)M' \rightarrow_\beta M\{x \leftarrow M'\}$$

  - $M\{x \leftarrow M'\}$: substitute free occurrences of x in M by M'
  - This is the normal rule we use for functions:
    $f(x) = 2x^2 + 3x + 4$
    $f(7) = 2 \cdot 7^2 + 3 \cdot 7 + 4 = (2x^2 + 3x + 4)\{x \leftarrow 7\}$.

- β is the only rule we need!
- MM' is meaningful only if M is of the form $\lambda x.M''$
  - Cannot do anything with expressions like xx

Variable capture

- Consider $(\lambda x.(\lambda y.xy))y$
- β yields $\lambda y.yy$
  - The y substituted for inner x has been "confused" with the y bound by λy
- Rename bound variables to avoid capture

$$(\lambda x.(\lambda y.xy))y = (\lambda x.(\lambda z.xz))y \rightarrow_\beta \lambda z.yz$$

- Renaming bound variables does not change the function
  - $f(x) = 2x + 5$ vs $f(z) = 2z + 5$

Variable capture

Formally, bound and free variables are defined as

- $FV(x) = \{x\}$, for any variable x
- $FV(\lambda x.M) = FV(M) - \{x\}$
- $FV(MM') = FV(M) \cup FV(M')$

- $BV(x) = \emptyset$, for any variable x
- $BV(\lambda x.M) = BV(M) \cup \{x\}$
- $BV(MM') = BV(M) \cup BV(M')$

When we apply β to MM', assume that we always rename the bound variables in M to avoid "capturing" free variables from M'.

Encoding arithmetic

In set theory, use nesting depth to encode numbers

- Encoding of n: $\langle n \rangle$
- $\langle n \rangle = \{\langle 0 \rangle, \langle 1 \rangle, \ldots, \langle n{-}1 \rangle\}$

Thus

$$\begin{array}{lcl} 0 & = & \emptyset \\ 1 & = & \{\emptyset\} \\ 2 & = & \{\emptyset, \{\emptyset\}\} \\ 3 & = & \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\} \\ \ldots & & \end{array}$$

In λ-calculus, encode n by number of times we apply a function

Encoding arithmetic ...

Church numerals

$$\begin{array}{rcl} \langle 0 \rangle & = & \lambda fx.x \\ \langle n+1 \rangle & = & \lambda fx.f(\langle n \rangle fx) \end{array}$$

For instance

$$\langle 1 \rangle = \lambda fx.f(\langle 0 \rangle fx) = \lambda fx.(f((\lambda fx.x)fx))$$

Note that $\langle 0 \rangle gy \to_\beta (\lambda x.x)y \to_\beta y$.
Hence

$$\langle 1 \rangle = \ldots = \lambda fx.(f(\underbrace{(\lambda fx.x)fx}_{\text{apply } \beta})) \to_\beta \lambda fx.(fx)$$

So $\langle 1 \rangle gy \to_\beta (\lambda x.(gx))y \to_\beta gy$

Church numerals ...

$$\langle 2\rangle = \lambda fx.f(\langle 1\rangle fx) = \lambda fx.(f(\underbrace{\lambda fx.(fx)fx}_{\text{apply } \beta})) \to_\beta \lambda fx.(f(fx))$$

so,

$$\langle 2\rangle gy \to_\beta \lambda x.(g(gx))y = g(gy)$$

- Let $g^k y$ denote $g(g(\ldots(gy)))$ with k applications of g to y
- Show by induction that

$$\langle n\rangle = \lambda fx.f(\langle n{-}1\rangle fx) \to_\beta \ldots \to_\beta \lambda fx.(f^n x)$$

Encoding arithmetic functions ...

Successor

- $succ(n) = n + 1$
- Define as $\lambda pfx.f(pfx)$

$$(\lambda pfx.f(pfx))\langle n\rangle \to_\beta \lambda fx.f(\langle n\rangle fx) \to_\beta \lambda fx.f(f^n x) = \lambda fx.f^{n+1}x$$
$$= \langle n{+}1\rangle$$