

# Database Management Systems

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# Query processing

- Translate the query from SQL into relational algebra
- Evaluate the relational algebra expression
- Challenges
  - Many equivalent relational algebra expressions  
 $\sigma_{salary < 75000}(\pi_{salary}(instructor))$  vs  $\pi_{salary}(\sigma_{salary < 75000}(instructor))$
  - Many ways to evaluate a given expression
- Query plan
  - Annotate the expression with a detailed evaluation strategy key values
    - Use index on *salary* to find instructors with *salary* < 75000
    - Or, scan entire relation, discard rows with *salary*  $\geq$  75000

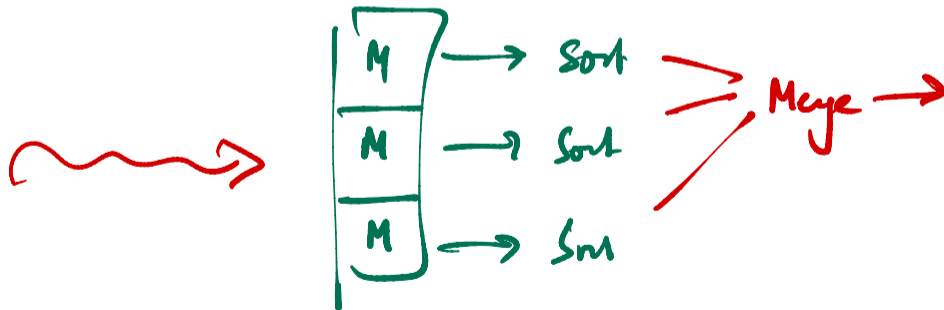
# Query processing — Selection

- (A1) Linear search
- (A2) Clustering index, equality on key — index height  $h_i$
- (A3) Clustering index, equality on nonkey
- (A4) Secondary index (key, non-key)
- (A5) Clustering index, comparison — sorted on  $A$
- (A6) Clustering index, comparison — not sorted on  $A$
- (A7) Conjunctive selection using one index
- (A8) Conjunctive selection using composite index
- (A9) Conjunctive selection using intersection of pointers
- (A10) Disjunctive selection by union of pointers
- (Neg) Negation

$$\sigma_{\theta}(r)$$

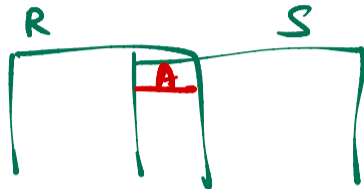
# External merge sort

- $N$  records,  $b_r$  blocks,  $M$  blocks in memory
- Compute sorted runs of size  $M$
- Merge sorted runs, 1 block per run vs  $b_b$  blocks per run



# Computing joins

- Nested-loop join
- Block nested-loop join
- Indexed nested-loop join
- Merge join *~ after sorted*
- Hash join



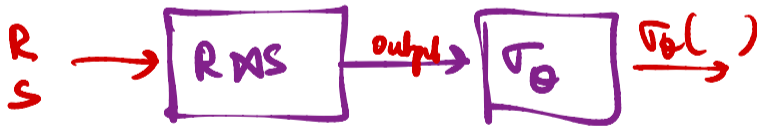


# Materialization vs pipelining

↓  
Store output  
of a  
stage to  
disk

$\sigma_{\theta}$  (RMS)

Is output of inner  
operation stored?



## Pipelining

Next operation should be able to work incrementally

- Linear selection ✓
- Join ?

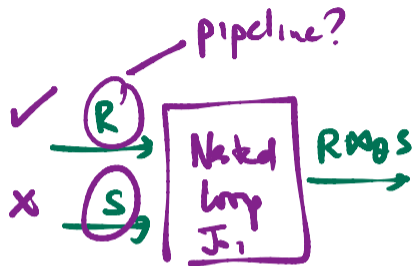


Nested loop join

for each  $r$  in  $R$

for each  $s$  in  $S$

check if  $r$  matches  $s$

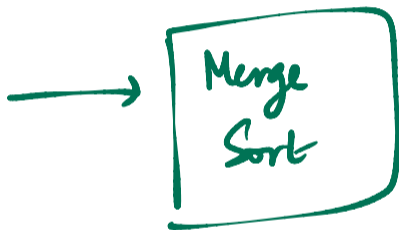


Indexed nested loop

for each  $r$  in  $R$  pipeline ✓

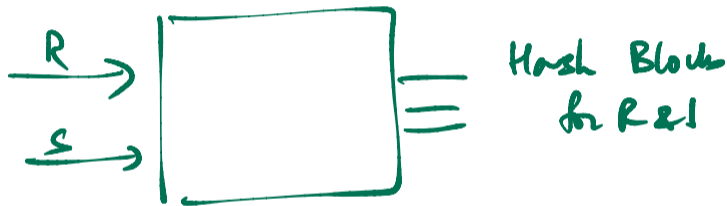
probe index for common attribute in  $S$   
no pipeline

Sorting



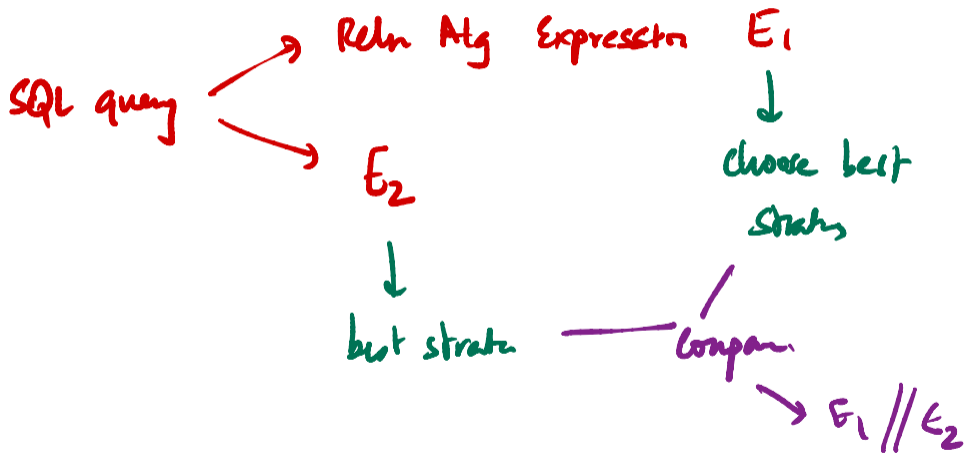
1. Create Sorted runs  
Can be done in batches  
Pipelined input ✓
2. Merge Sorted runs  
Writing to disk  
Accounted

Hash join — “blocks” the pipeline



# Query optimization

- Choose plan with lowest cost



# Query optimization

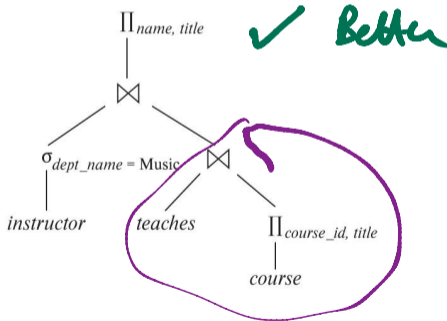
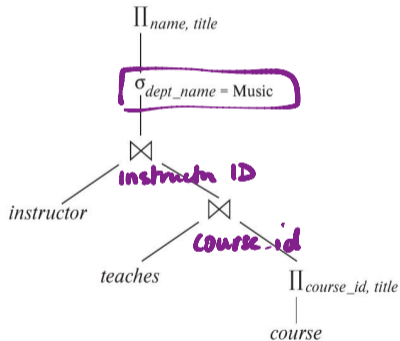
- Choose plan with lowest cost
- *Find names and course titles of courses taught by instructors from Music Dept*

# Query optimization

- Choose plan with lowest cost

SQL  $\rightarrow$  RAig  $\rightarrow$  Manipulate RA exp

- Find names and course titles of courses taught by instructors from Music Dept



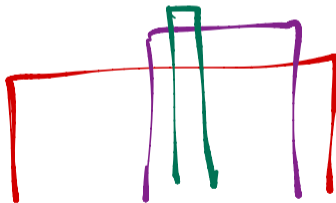
# Transforming expressions

Selection

$$\sigma_{\theta_1 \wedge \theta_2}(r) \approx \sigma_{\theta_1}(\sigma_{\theta_2}(r))$$
$$\hat{=} \sigma_{\theta_2 \wedge \theta_1}(r)$$

Projections

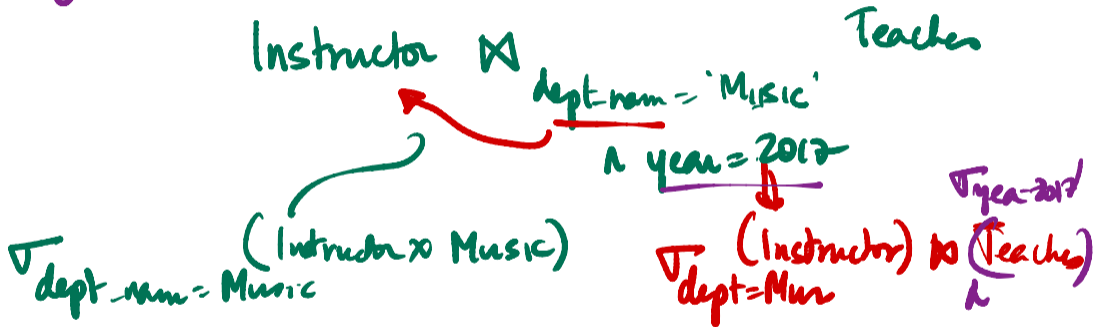
Collapse a  
segment





# Transforming expressions

$R_1 \bowtie S$



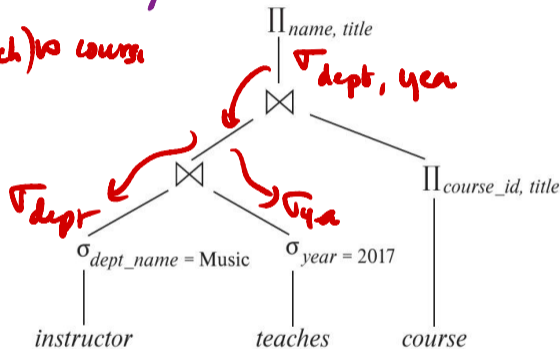
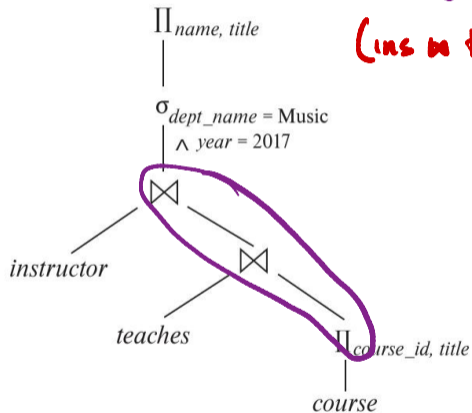
## Join

$$R_1 \bowtie R_2 = R_2 \bowtie R_1 = \text{"Which is the outer relation?"}$$

$$(R_1 \bowtie R_2) \bowtie R_3 = R_1 \bowtie (R_2 \bowtie R_3) \quad \text{Associativity}$$

# Transforming expressions

ins to (teach to course)  
(ins to teach) to course



# Maintaining a database catalogue

- $n_r$  — number of tuples in  $r$
- $b_r$  — number of blocks used by  $r$
- $l_r$  — size of a tuple in  $r$
- $f_r$  — blocking factor of  $r$ , how many tuples fit in a block
- $V(A, r)$  — number of distinct values of attribute  $A$  in  $r$  — if numeric, range
  - Store distribution of values as histogram

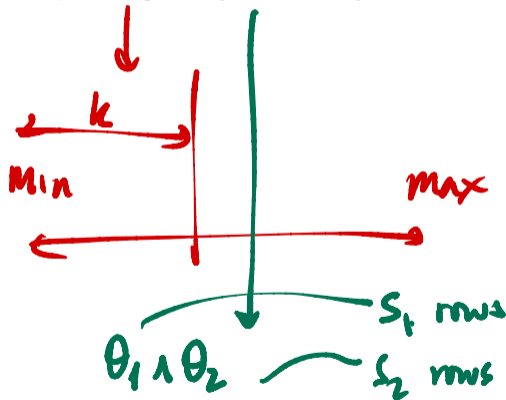
Maintaining catalogue?

Periodically recompute (when load is low)

# Estimating output of an operation

- Selection

- Simple, range, conjunction, disjunction



$$\nabla_{A=r} (r) \text{ Histogram} = \frac{n_r}{V(A,r)}$$

$$\frac{k}{\text{Max-Min}} \times n_r$$
$$\frac{S_1}{n_r} \times \frac{S_2}{n_r}$$

# Estimating output of an operation

- Selection
  - Simple, range, conjunction, disjunction

$$a \vee b = \neg(\neg a \wedge \neg b)$$

Disjunction

$$\sigma_{\theta_1 \vee \theta_2}(r)$$

$$\downarrow \quad \downarrow$$
$$\frac{s_1}{n_r} \quad \frac{s_2}{n_r}$$

not (not  $\theta_1 \wedge$  not  $\theta_2$ )

$$1 - \left( 1 - \frac{s_1}{n_r} \times 1 - \frac{s_2}{n_r} \right)$$

# Estimating output of an operation

- Selection
  - Simple, range, conjunction, disjunction
- Join
  - Keys and non-keys

e

$r \times s$

$n_r \times n_s$

Join on A

Each row in  $r$  matches  $V(A, s)$  rows in  $s$

If  $A$  is a key in  $s$ ,  $V(A, s) = 1$

$n_r \cdot V(A, s)$

$n_s \cdot V(A, r)$

# Estimating output of an operation

- Selection
  - Simple, range, conjunction, disjunction
- Join
  - Keys and non-keys
- Projection

*All rows, but fewer columns - use schema*



# Estimating output of an operation

- Selection
  - Simple, range, conjunction, disjunction
- Join
  - Keys and non-keys
- Projection
- Aggregation

*- # of values for aggregate attributes*

# Estimating output of an operation

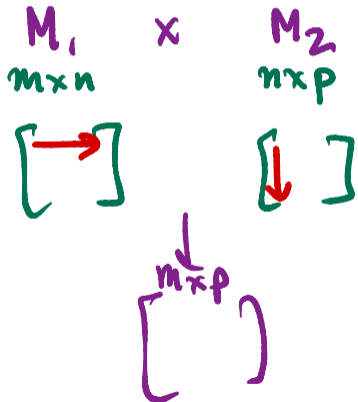
- Selection
  - Simple, range, conjunction, disjunction
- Join
  - Keys and non-keys
- Projection
- Aggregation
- Set operations

# Estimating output of an operation

- Selection
  - Simple, range, conjunction, disjunction
- Join
  - Keys and non-keys
- Projection
- Aggregation
- Set operations
- Outer joins

- Extra cost from non matching rows  
- one per row

## Matrix multiplication

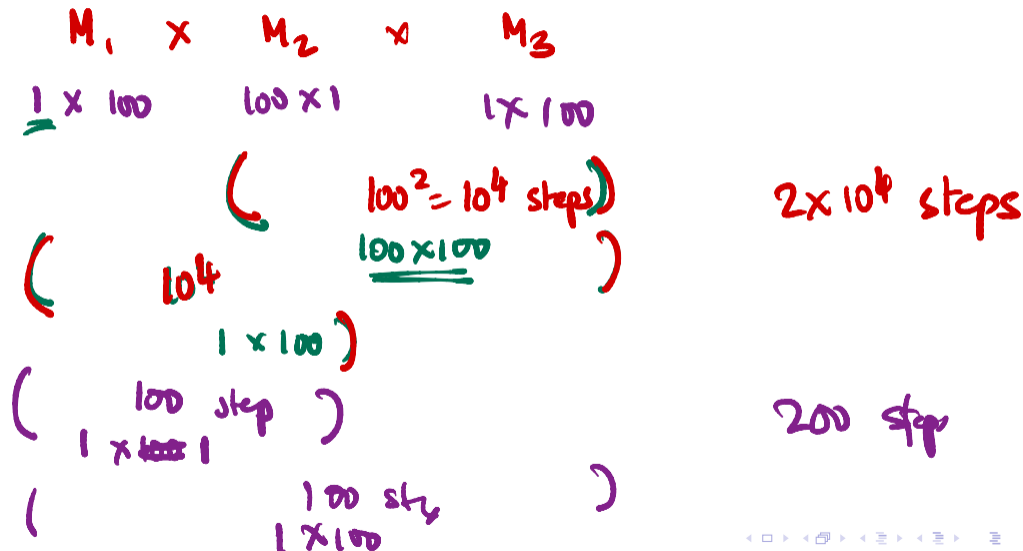


Cost is  $m \cdot n \cdot p$

Output is  $m \times p$

Each output entry  
requires  $n$  ops

# Join ordering



Similarity joins

$$(r_1 \bowtie_{C_{12}} r_2) \bowtie_{C_{123}} r_3 \quad \text{vs}$$

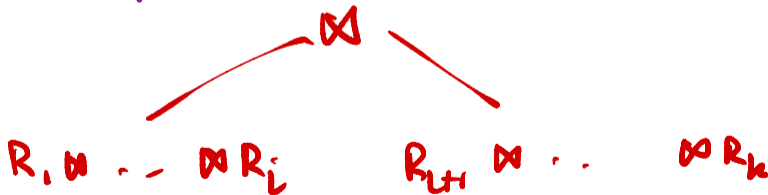
$$r_1 \bowtie_{C_{1(23)}} (r_2 \bowtie_{C_{23}} r_3)$$

$R_1 \bowtie R_2 \bowtie \dots$

$\bowtie R_k$

$M_1 \mid M_2 \mid M_3$

Use dynamic programming



- Perform selection early



# Heuristics

- Perform selection early
- Perform projection early

# Heuristics

- Perform selection early
- Perform projection early
- Perform most restrictive selection/join first