

# Database Management Systems

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- Set of attributes that one needs to keep track of

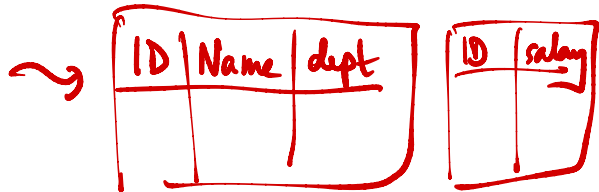
# Relational database design

- Set of attributes that one needs to keep track of
- Why not combine into a single table?

# Relational database design

<i>ID</i>	<i>name</i>	<i>dept_name</i>	<i>salary</i>
10101	Srinivasan	Comp. Sci.	65000
12121	Wu	Finance	90000
15151	Mozart	Music	40000
22222	Einstein	Physics	95000
32343	El Said	History	60000
33456	Gold	Physics	87000
45565	Katz	Comp. Sci.	75000
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76766	Crick	Biology	72000
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98345	Kim	Elec. Eng.	80000

<i>dept_name</i>	<i>building</i>	<i>budget</i>
Biology	Watson	90000
Comp. Sci.	Taylor	100000
Elec. Eng.	Taylor	85000
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# Relational database design

- Redundant storage

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# Relational database design

- Redundant storage
- Maintaining consistency
  - Updates
  - Inserts and deletes

Add a Biotech dept  
Yet to recruit faculty

Add a row with null values for faculty data

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# Decomposition and information

- `(customer_name,regd_phone,regd_email)`

# Decomposition and information

- $(customer\_name, regd\_phone, regd\_email)$
- Decompose as  $(customer\_name, regd\_phone)$  and  $(customer\_name, regd\_email)$

Natural join

Name is not unique

Ajay	N <sub>1</sub>	E <sub>1</sub>
Ajay	N <sub>2</sub>	E <sub>2</sub>

Ajay	N <sub>1</sub>
Ajay	N <sub>2</sub>

Ajay	E <sub>1</sub>
Ajay	E <sub>2</sub>

	N <sub>1</sub>	E <sub>2</sub>
	N <sub>2</sub>	E <sub>1</sub>

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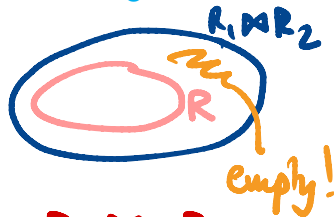
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- Decompose as  $(\text{customer\_name}, \text{regd\_phone})$  and  $(\text{customer\_name}, \text{regd\_email})$
- Name is not unique — loss of **information**
- Recombining decomposed relation should not add tuples
- **Lossless decomposition**
  - Decompose  $R$  as  $R_1$  and  $R_2$
  - Want  $R = R_1 \bowtie R_2$



Clearly  $R \subseteq R_1 \bowtie R_2$

Problem is if  $R_1 \bowtie R_2$  has  
rows not in  $R$

# Functional dependencies

- $A_1, A_2, \dots, A_k \rightarrow B_1, B_2, \dots, B_m$ 
  - LHS attributes uniquely fix RHS attributes
  - Must hold for **every instance**  
— semantic property of attributes

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  - $dept\_name \rightarrow building$
  - $dept\_name \rightarrow budget$

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$\Rightarrow dept\_name \rightarrow building, budget$

# Functional dependencies

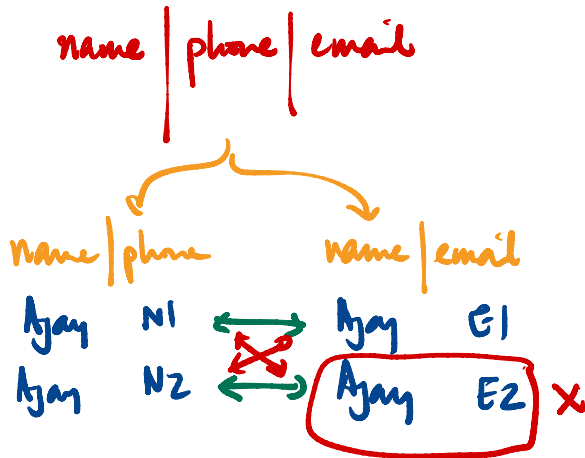
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  - $dept\_name \rightarrow building$
  - $dept\_name \rightarrow budget$
- Use to identify sources of redundancy, guide decomposition

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# Lossless decomposition and functional dependencies

- Decompose  $R$  as  $R_1$  and  $R_2$



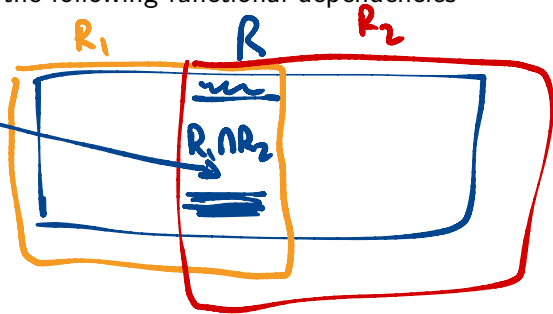
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- $R_1 \cap R_2 \rightarrow R_1$

- $R_1 \cap R_2 \rightarrow R_2$

↑  
property of data  
as a whole



# Lossless decomposition and functional dependencies

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  - $R_1 \cap R_2 \rightarrow R_1$
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- Decompose **Instructor-Department** as **Instructor** and **Department**
  - **Instructor**  $\cap$  **Department** is **dept\_name**
  - **dept\_name** is primary key for **Department**

ID Name dept Salary  $\times R_1 \cap R_2 \rightarrow R_1$   
Dept Bldg Budget ✓  
 $R_1 \cap R_2 \rightarrow R_2$

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- In general need to compute all implied dependencies
  - From  $A \rightarrow B$  and  $B \rightarrow C$ , conclude that  $A \rightarrow C$
- **Closure** of a set of dependencies  $F$  — denoted  $F^+$

# Computing the closure of a set of attributes

- Given  $A_1, A_2, \dots, A_k$  and  $B$ , does  $A_1, A_2, \dots, A_k \rightarrow B$ ?

Does  $R_1 \cap R_2 \rightarrow R_1$ ?

$F^+$

$A_1 \dots A_k \rightarrow B_1 \dots B_m$

suff to show

$A_1 \dots A_k \rightarrow B_1$

$A_1 \dots A_k \rightarrow B_2$

$A_1 \dots A_k \rightarrow B_m$

# Computing the closure of a set of attributes

- Given  $A_1, A_2, \dots, A_k$  and  $B$ , does  $A_1, A_2, \dots, A_k \rightarrow B$ ?
- Iterative algorithm

Compute  $\mathcal{L}$ , the set of attributes "fixed" by  $A_1, \dots, A_k$   
Check if  $B$  is in  $\mathcal{L}$

$A_1, \dots, A_k$  fix  $A_1, \dots, A_k$       Start with  $\mathcal{L} = \{A_1, \dots, A_k\}$

Check a rule  $D_1, \dots, D_m \rightarrow E_1, \dots, E_n$  s.t.  $D_1, \dots, D_m \subseteq \mathcal{L}$

$\Rightarrow$  Add  $E_1, \dots, E_n$  to  $\mathcal{L}$

Stop when nothing new is  $\in$

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- **Normalization** — decompose tables till they achieve a normal form
- Guided by functional dependencies

# Boyce-Codd Normal Form (BCNF)

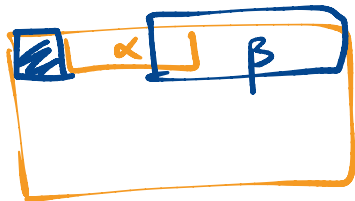
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- $R$  is in BCNF if, for every  $\alpha \rightarrow \beta \in F^+$ , one of the following holds
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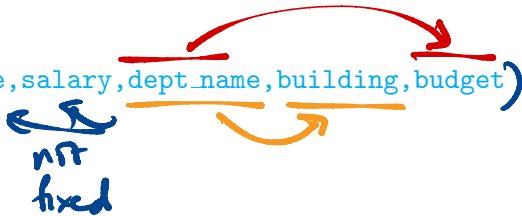


$\alpha, \beta$  are sets of attributes in  $R$

$A_1, A_2, \dots, A_k \rightarrow A_i$   
always

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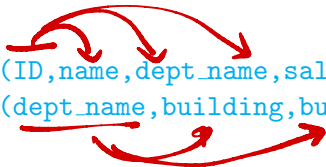
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- `InstructorDepartment (ID, name, salary, dept_name, building, budget)` not in BCNF



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- `InstructorDepartment` (`ID, name, salary, dept_name, building, budget`) not in BCNF

- `Instructor` (`ID, name, dept_name, salary`) and `Department` (`dept_name, building, budget`) are in BCNF



$R_1 \cap R_2 = \text{dept\_name}$

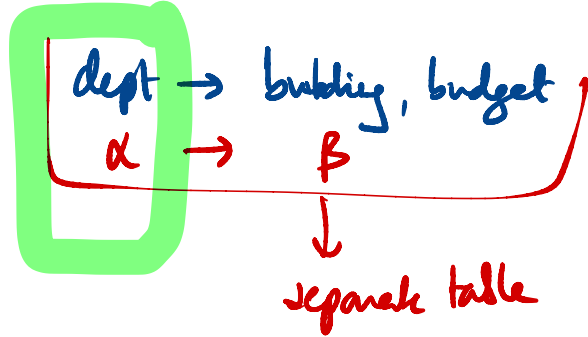
# Achieving BCNF

- $\alpha \rightarrow \beta \in F^+$  is a BCNF violation for  $R$  if neither of the following holds
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- To fix this, decompose  $R$  as
  - $\alpha \cup \beta$
  - $R \setminus (\beta \setminus \alpha)$

Essentially  $R \setminus \beta$



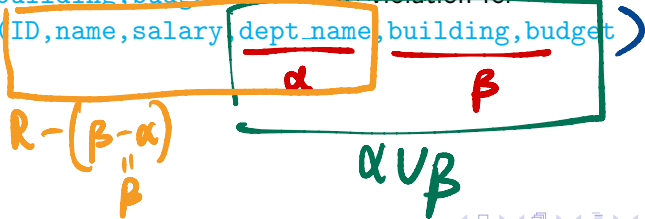


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$R_1$  ■  $\alpha \cup \beta \rightarrow$  guarantees  $R_1 \cap R_2 \rightarrow R_1$  — lossless  
 $R_2$  ■  $R \setminus (\beta \setminus \alpha)$

- Example:  $\text{dept\_name} \rightarrow \text{building, budget}$  is a BCNF violation for  
InstructorDepartment (ID, name, salary, dept\_name, building, budget)



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- Decompose as
  - $\text{Department}(\overset{\alpha}{\text{dept\_name}}, \overset{\beta}{\text{building}}, \text{budget})$
  - $\text{Instructor}(\text{ID}, \text{name}, \text{dept\_name}, \text{salary})$

$R - \beta$

# Dependency preservation

- `Advisor(student_id, faculty_id, dept_name)`
- Each faculty member is in only one department
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R-β

F: `fac-id → dept`  
`st-id, dept → fac-id`  
violation, `fac-id` is not a key  
αUP

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- Functional dependencies
  - `faculty_id → dept_name`
  - `student_id, dept_name → faculty_id`

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  - `faculty_id → dept_name`
  - `student_id, dept_name → faculty_id`
- Need join to check second dependency

# Third normal form (3NF)

■  $R$  is in 3NF if, for every  $\alpha \rightarrow \beta \in F^+$ , one of the following holds

BCNF ✓

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■  $\alpha$  is a superkey for  $R$

■ Each attribute  $A$  in  $\beta \setminus \alpha$  is contained in some candidate key for  $R$

Mysterious!

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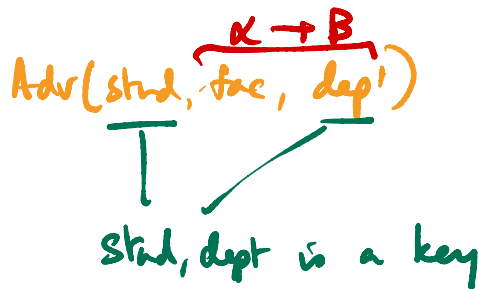
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  - Each attribute  $A$  in  $\beta \setminus \alpha$  is contained in some candidate key for  $R$
- BCNF is a stricter condition than 3NF
- Priorities
  - Lossless decomposition *Not negotiable*
  - BCNF
  - Dependency preservation