

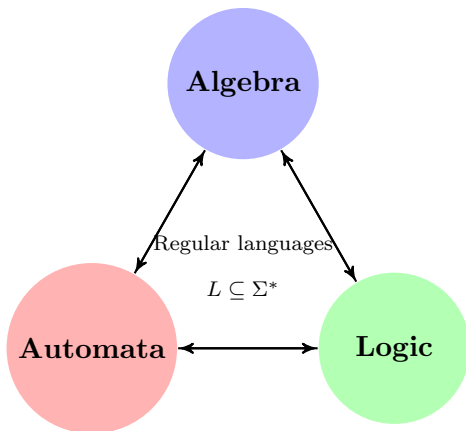
# Automata, Logic and Algebra for (Finite) Word Transductions

Emmanuel Filiot

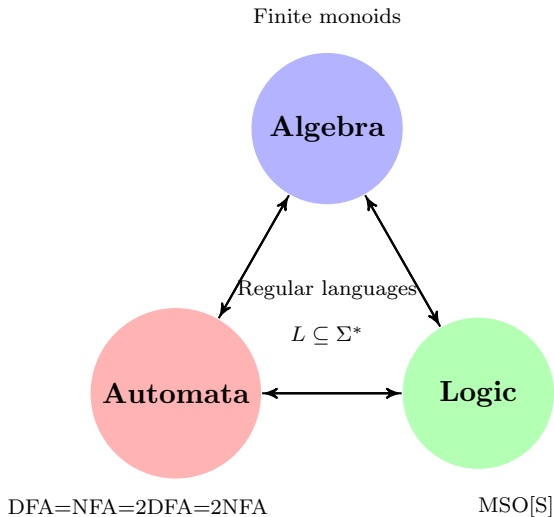
Université libre de Bruxelles & FNRS

ACTS 2017, Chennai

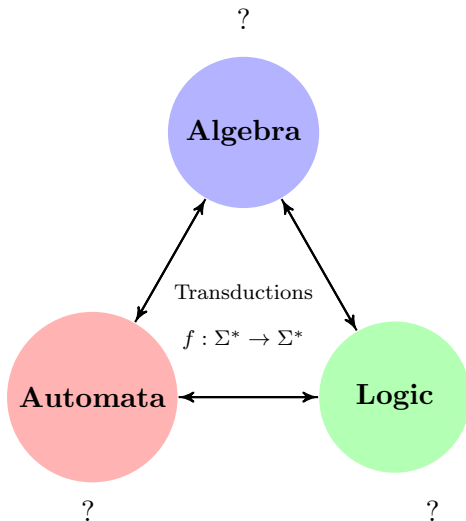
# Trinity for Regular Languages



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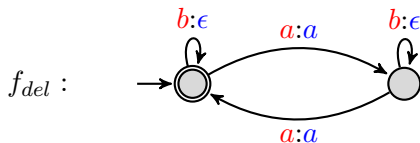


# Objective of the talk

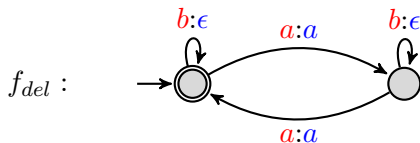


# Automata models for transductions

# Automata for transductions: transducers

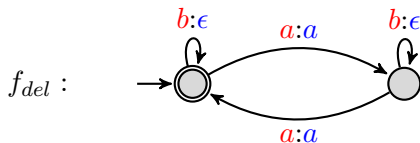


# Automata for transductions: transducers



$aabaa \mapsto aaaa$

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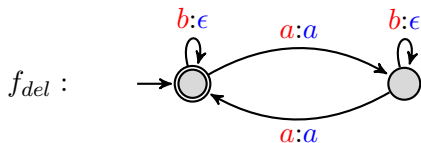


$aabaa \mapsto aaaa$

$aaba \mapsto \text{undefined}$



# Automata for transductions: transducers



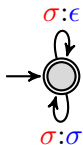
$aabaa \mapsto aaaa$

$aaba \mapsto \text{undefined}$

$\text{dom}(f_{del}) = \text{'even number of } a \text{'}$

# Non-determinism

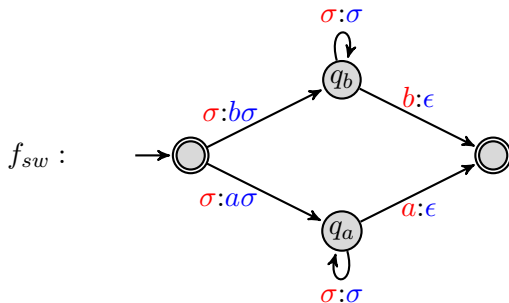
In general, transducers define binary *relations* in  $\Sigma^* \times \Sigma^*$



realizes  $\{(u, v) \mid v \text{ is a subword of } u\}$

# Sequential vs Non-deterministic functional

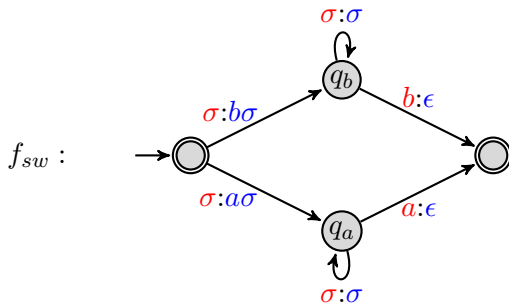
Non-deterministic transducers may define functions:



for all  $\sigma \in \Sigma$

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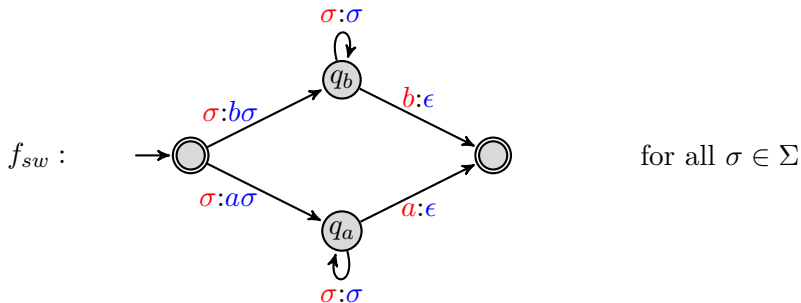


for all  $\sigma \in \Sigma$

$babaa \mapsto ababa$

# Sequential vs Non-deterministic functional

Non-deterministic transducers may define functions:



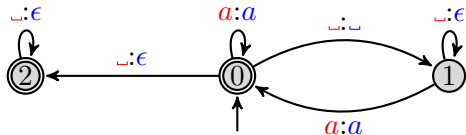
$$babaa \mapsto ababa$$

$$u\sigma \mapsto \sigma u \quad |u| \geq 1$$

input-determinism (aka sequential) < non-determinism  $\cap$  functions

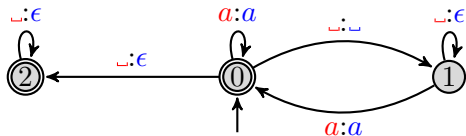
# Determinizability

$\sqcup$  = white space



# Determinizability

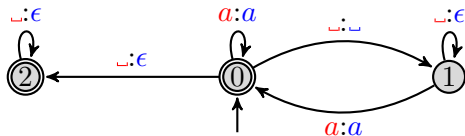
$\sqcup$  = white space



$\sqcup a a \sqcup \sqcup a \sqcup \sqcup \mapsto \sqcup a a \sqcup a$

# Determinizability

$\sqcup$  = white space



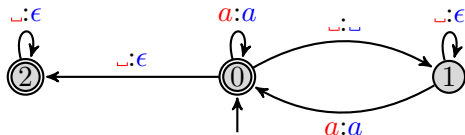
$\sqcup a a \sqcup \sqcup a \sqcup \sqcup \mapsto \sqcup a a \sqcup a$

Is non-determinism needed ?

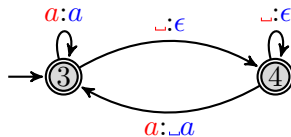


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$\sqcup$  = white space



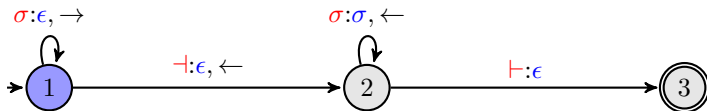
$\sqcup a a \sqcup \sqcup a \sqcup \sqcup \mapsto \sqcup a a \sqcup a$



Is non-determinism needed ? No.

# Two-way transducers

input  $\vdash$  *s t r e s s e d*  $\dashv$



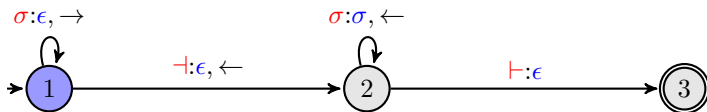
output



# Two-way transducers

input  $\vdash$   $s$   $t$   $r$   $e$   $s$   $s$   $e$   $d$   $\vdash$

▲



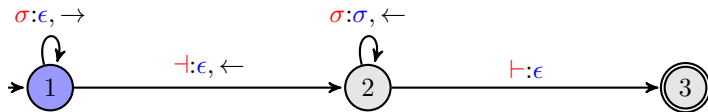
output

▲

# Two-way transducers

input  $\vdash$  *s* *t* *r* *e* *s* *s* *e* *d*  $\vdash$

▲

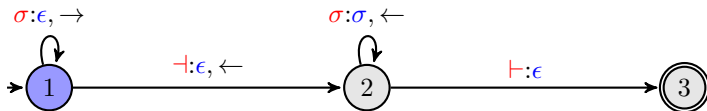


output

▲

# Two-way transducers

input  $\vdash \textit{ s t r e s s e d } \vdash$

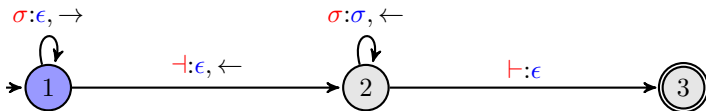


output



# Two-way transducers

input  $\vdash$  *s* *t* *r* *e* *s* *s* *e* *d*  $\vdash$

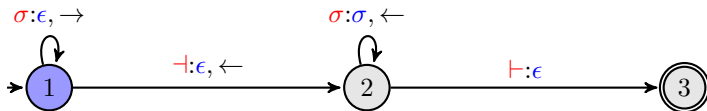


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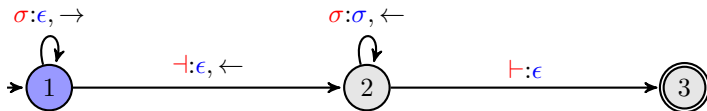


output



# Two-way transducers

input  $\vdash s t r e s s e d \vdash$



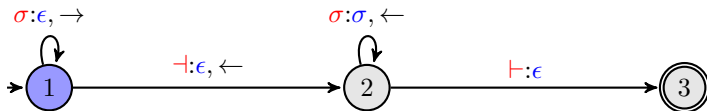
output





# Two-way transducers

input  $\vdash$   $s$   $t$   $r$   $e$   $s$   $s$   $e$   $d$   $\vdash$

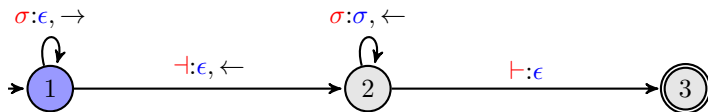


output



# Two-way transducers

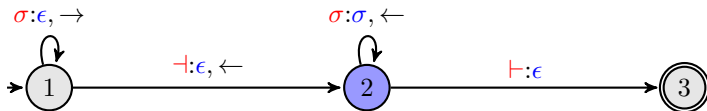
input  $\vdash$  *s t r e s s e d*  $\vdash$   
▲



output  
▲

# Two-way transducers

input  $\vdash \textcolor{red}{s} \textcolor{red}{t} \textcolor{red}{r} \textcolor{red}{e} \textcolor{red}{s} \textcolor{red}{s} \textcolor{red}{e} \textcolor{red}{d} \vdash$

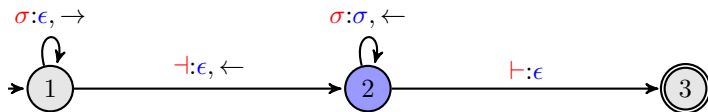


output



# Two-way transducers

input  $\vdash \textcolor{red}{s} \textcolor{red}{t} \textcolor{red}{r} \textcolor{red}{e} \textcolor{red}{s} \textcolor{red}{s} \textcolor{red}{e} \textcolor{red}{d} \vdash$   
 $\blacktriangle$

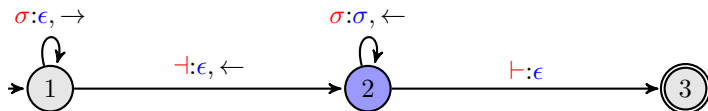


output  $\textcolor{blue}{d}$   
 $\blacktriangle$

# Two-way transducers

input  $\vdash \textcolor{red}{s} \textcolor{red}{t} \textcolor{red}{r} \textcolor{red}{e} \textcolor{red}{s} \textcolor{red}{s} \textcolor{red}{e} \textcolor{red}{d} \vdash$

▲



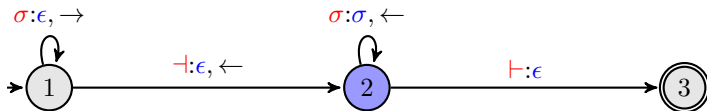
output  $\textcolor{blue}{d} \textcolor{blue}{e}$

▲

# Two-way transducers

input  $\vdash \textcolor{red}{s} \textcolor{red}{t} \textcolor{red}{r} \textcolor{red}{e} \textcolor{red}{s} \textcolor{red}{s} \textcolor{red}{e} \textcolor{red}{d} \vdash$

▲

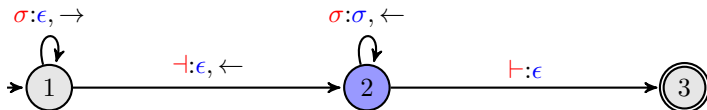


output  $\textcolor{blue}{d} \textcolor{blue}{e} \textcolor{blue}{s}$

▲

# Two-way transducers

input  $\vdash \textcolor{red}{s} \textcolor{red}{t} \textcolor{red}{r} \textcolor{red}{e} \textcolor{red}{s} \textcolor{red}{s} \textcolor{red}{e} \textcolor{red}{d} \vdash$



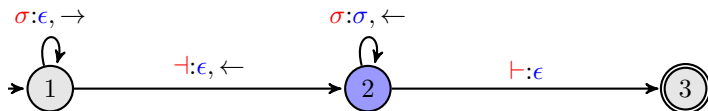
output  $\textcolor{blue}{d} \textcolor{blue}{e} \textcolor{blue}{s} \textcolor{blue}{s}$



# Two-way transducers

input  $\vdash \textcolor{red}{s} \textcolor{red}{t} \textcolor{red}{r} \textcolor{red}{e} \textcolor{red}{s} \textcolor{red}{s} \textcolor{red}{e} \textcolor{red}{d} \vdash$

▲



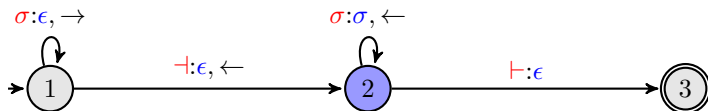
output  $\textcolor{blue}{d} \textcolor{blue}{e} \textcolor{blue}{s} \textcolor{blue}{s} \textcolor{blue}{e}$

▲



# Two-way transducers

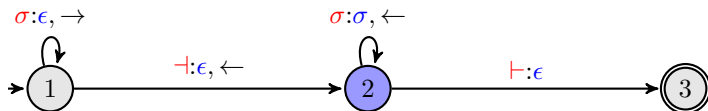
input  $\vdash \textcolor{red}{s} \textcolor{red}{t} \textcolor{red}{r} \textcolor{red}{e} \textcolor{red}{s} \textcolor{red}{s} \textcolor{red}{e} \textcolor{red}{d} \vdash$   
 $\blacktriangle$



output  $\textcolor{blue}{d} \textcolor{blue}{e} \textcolor{blue}{s} \textcolor{blue}{s} \textcolor{blue}{e} \textcolor{blue}{r}$   
 $\blacktriangle$

# Two-way transducers

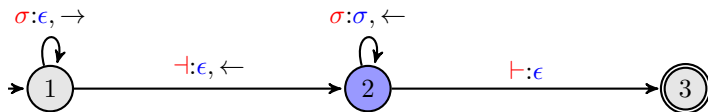
input  $\vdash$   $s$   $t$   $r$   $e$   $s$   $s$   $e$   $d$   $\vdash$   
 $\blacktriangle$



output  $d$   $e$   $s$   $s$   $e$   $r$   $t$   
 $\blacktriangle$

# Two-way transducers

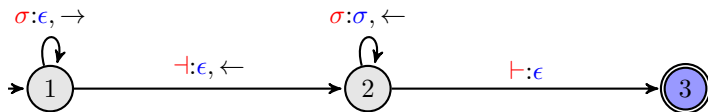
input  $\vdash \quad s \quad t \quad r \quad e \quad s \quad s \quad e \quad d \quad \vdash$   
 $\blacktriangle$



output  $d \quad e \quad s \quad s \quad e \quad r \quad t \quad s$   
 $\blacktriangle$

# Two-way transducers

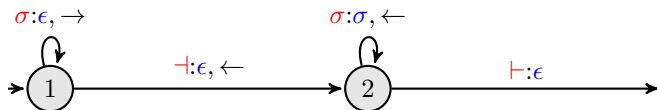
input  $\vdash \quad s \quad t \quad r \quad e \quad s \quad s \quad e \quad d \quad \vdash$   
 $\blacktriangle$



output  $d \quad e \quad s \quad s \quad e \quad r \quad t \quad s$   
 $\blacktriangle$

# Two-way transducers

input s t r e s s e d ⊢

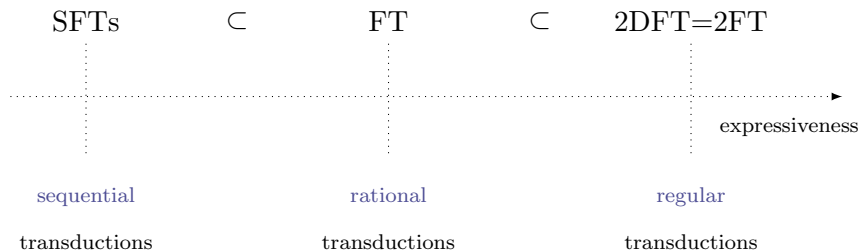


output d e s s e r t s  
▲

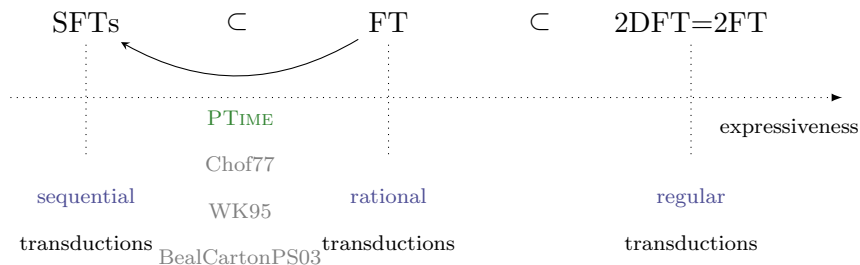
one-way < two-way

- ☺ decidable equivalence problem (Culik, Karhumaki, 87).
- ☺ closed under composition  $\circ$  (Chytil, Jakl, 77)

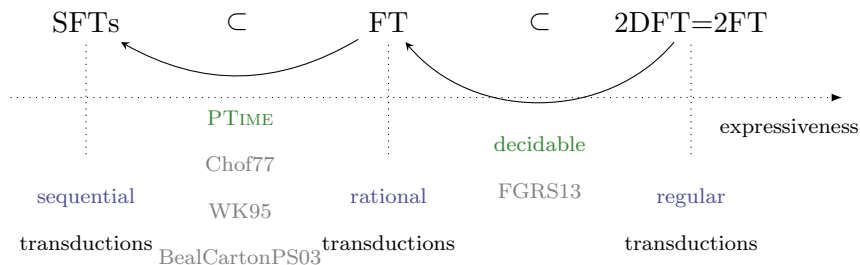
# Landscape of Transducer Classes



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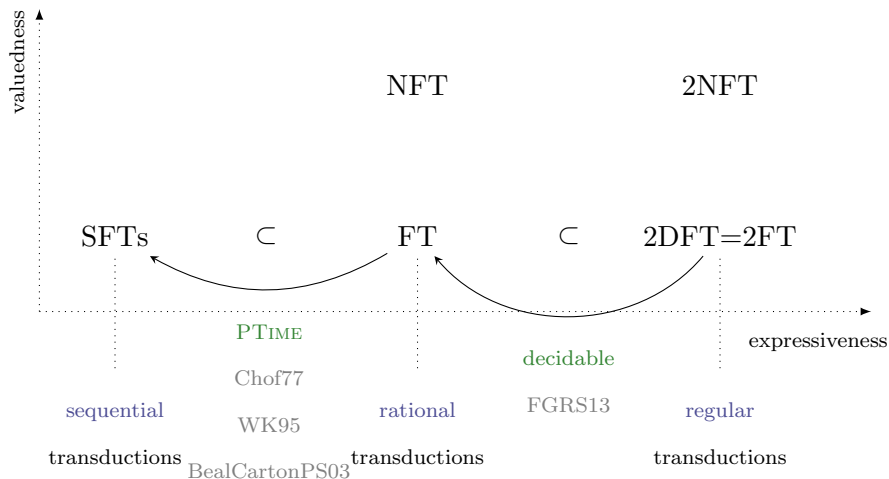


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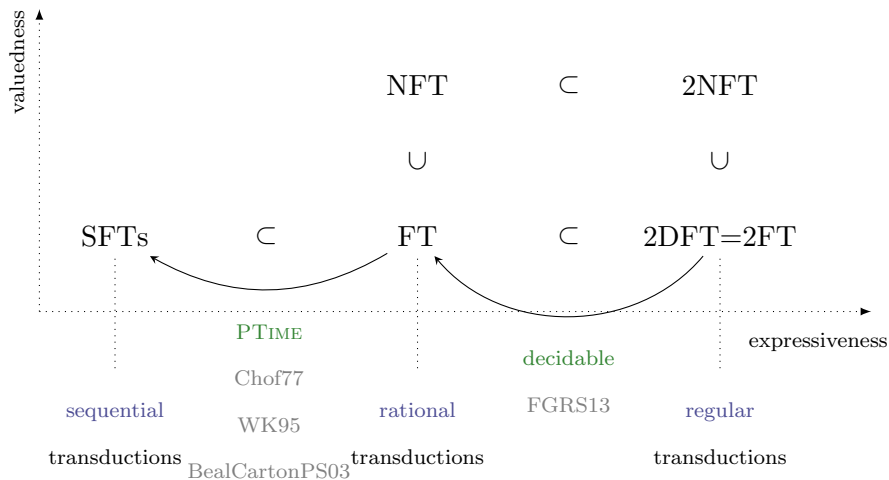




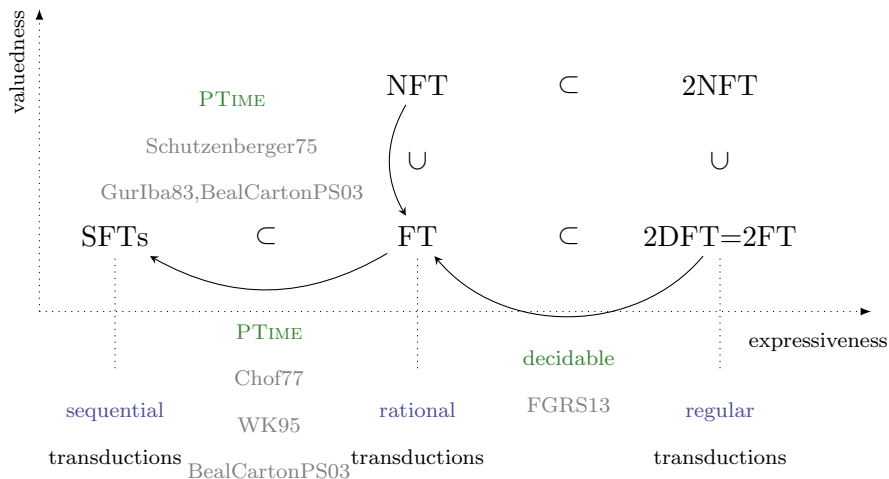
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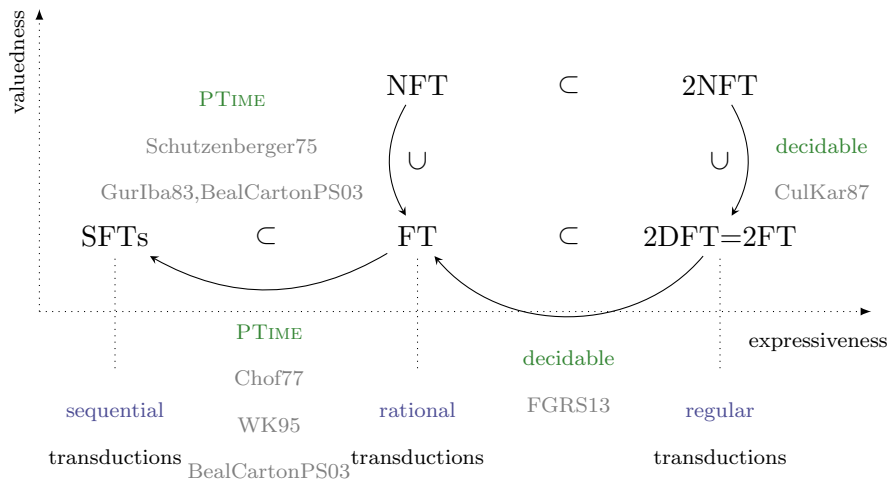
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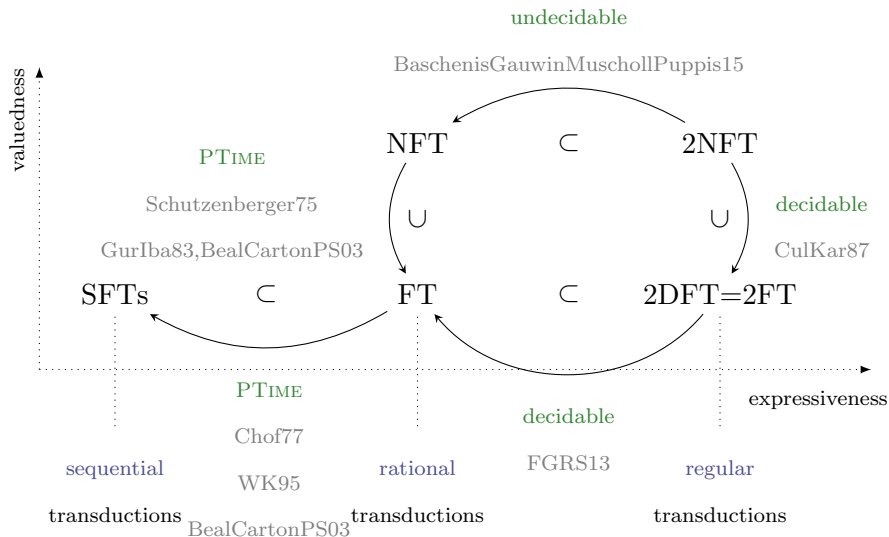
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# Other recent results

## Transducers with registers

- ▶ deterministic one-way
- ▶ equivalent to 2DFT if copyless updates (Alur, Cerny, 10)
- ▶ decidable equivalence problem (F., Reynier)  $\sim$  HDT0L

 $\sigma \mid X := \sigma X$ 


*mirror*

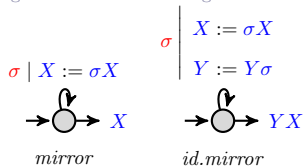
 $\sigma$ 
 $X := \sigma X$ 
 $Y := Y\sigma$ 


*id.mirror*

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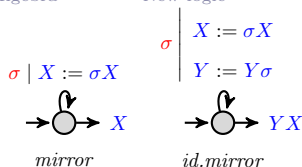
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- ▶ register minimization for a subclass (Baschenis, Gauwin, Muscholl, Puppis, 16)



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## Two-way to one-way transducers

- ▶ decidable, but non-elementary complexity in (FGRS13)
- ▶ elementary complexity first obtained for subclasses (sweeping) by (BGMP15)
- ▶ recently for the full class (BGMP17)



# Logic for transductions

## (Courcelle) MSO Transformations

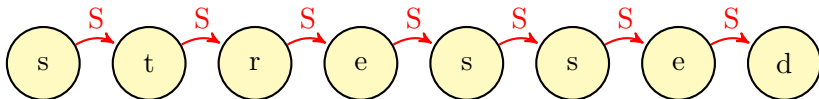
*“interpreting the output structure in the input structure”*

- ▶ output predicates defined by  $\text{MSO}[S]$  formulas interpreted over the input structure

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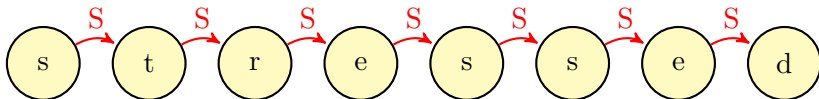
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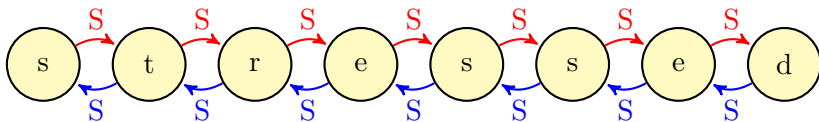
$$\phi_{\textcolor{blue}{S}}(x, y) \equiv \textcolor{red}{S}(y, x)$$

$$\phi_{\textcolor{blue}{\sigma}}(x) \equiv \textcolor{red}{\sigma}(x)$$

# (Courcelle) MSO Transformations

*“interpreting the output structure in the input structure”*

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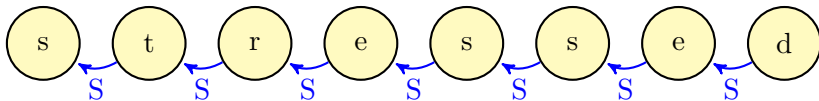
$$\phi_S(x, y) \equiv S(y, x)$$

$$\phi_\sigma(x) \equiv \sigma(x)$$

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*“interpreting the output structure in the input structure”*

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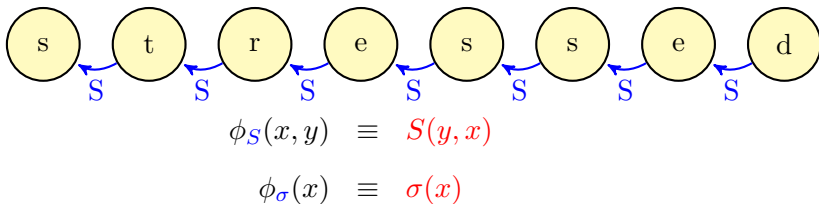
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# (Courcelle) MSO Transformations

*“interpreting the output structure in the input structure”*

- ▶ output predicates defined by MSO[S] formulas interpreted over the input structure



- ▶ input structure can be copied a fixed number of times:  
 $u \mapsto uu$ , or  $u \mapsto u.\text{mirror}(u)$ .

# Büchi Theorems for Word Transductions

Let  $f : \Sigma^* \rightarrow \Sigma^*$ .

Theorem (Engelfriet, Hoogeboom, 01)

*$f$  is 2FT-definable iff  $f$  is MSO-definable.*



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**Consequence** Equivalence is decidable for MSO-transducers.

**Theorem** (Bojanczyk 14, F. 15)

*$f$  is (1)FT-definable iff  $f$  is order-preserving MSO-definable.*

Order-preserving MSO:  $\phi_S^{i,j}(x, y) \models x \preceq y$ .

# First-order transductions

Replace MSO by FO formulas.

## Results

- ▶ equivalent to aperiodic transducers with registers (F., Trivedi, Krishna S., 14)
- ▶ and to aperiodic 2DFT (Carton, Dartois, 15) (Dartois, Jecker, Reynier, 16)

# Algebraic characterizations of transductions

# Myhill-Nerode congruence for

- ▶  $u \sim_L v$  if: for all  $w \in \Sigma^*$ ,  $uw \in L$  iff  $vw \in L$
- ▶  $u$  and  $v$  have the same “effect” on continuations  $w$
- ▶ **Myhill-Nerode’s Thm:**  $L$  is regular iff  $\Sigma^*/\sim_L$  is finite
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- ▶ canonical (and minimal) deterministic automaton for  $L$ ,  $\Sigma^*/\sim_L$  as set of states

## Goal

Extend Myhill-Nerode’s theorem to classes of transductions

# Sequential transductions (Choffrut)

Refinement of the MN congruence.

Two ideas

1. produce asap:  $F(\textcolor{red}{u}) = LCP\{\textcolor{blue}{f(uw)} \mid uw \in \text{dom}(f)\}$

# Sequential transductions (Choffrut)

Refinement of the MN congruence.

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2.2  $F(u)^{-1}f(uw) = F(v)^{-1}f(vw) \quad \forall w \in u^{-1}\text{dom}(f)$

“ $u$  and  $v$  have the same effect on continuations  $w$  w.r.t. domain membership and produced outputs”



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“ $\textcolor{red}{u}$  and  $\textcolor{red}{v}$  have the same effect on continuations  $\textcolor{red}{w}$  w.r.t. domain membership and produced outputs”

Theorem (Choffrut)

*$f$  is sequential iff  $\sim_f$  has finite index*

$\sim_f$  is a right congruence  $\rightsquigarrow$  canonical and minimal transducer !

Transitions:  $[u] \xrightarrow{\textcolor{red}{\sigma} | F(\textcolor{red}{u})^{-1}F(\textcolor{red}{u}\sigma)} [\textcolor{red}{u}\sigma]$

# Rational transductions are almost sequential

- ▶  $f_{sw} : u\sigma \mapsto \sigma u$  is not sequential
- ▶ but sequential modulo *look-ahead information*  $\mathcal{I} = \{a, b, \epsilon\}$ .

*abb a a a b b b b a b*

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$a b b a a a b b b b a b^{\epsilon}$

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$a b b a a a b b b b \overset{b}{a} \overset{\epsilon}{b}$



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 $\quad \quad \quad b b b \epsilon$

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$a b b a a a \overset{b b b b \epsilon}{b b b b} a b$

# Rational transductions are almost sequential

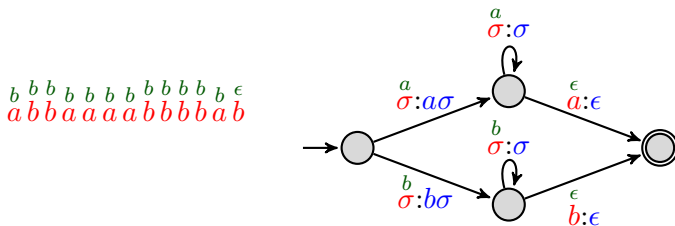
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$\begin{array}{cccccccccccc}
b & b & b & b & b & b & b & b & b & b & b & \epsilon \\
a & b & b & a & a & a & a & b & b & b & a & b
\end{array}$



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# Results

## Theorem (Elgot, Mezei, 65)

*$f$  is rational iff  $f[\mathcal{L}]$  is sequential, for some finite look-ahead information  $\mathcal{L}$  computable by a right sequential transducer.*

Original statement:  $RAT = SEQ \circ RightSEQ$ .

# Results

## Theorem (Elgot, Mezei, 65)

*$f$  is rational iff  $f[\mathcal{L}]$  is sequential, for some finite look-ahead information  $\mathcal{L}$  computable by a right sequential transducer.*

Original statement:  $RAT = SEQ \circ RightSEQ$ .

## Reutenauer, Schützenberger, 91

- ▶ *canonical look-ahead* given by a congruence  $\equiv_f$
- ▶ identify suffixes with a 'bounded' effect on the transduction of prefixes
- ▶ characterization of rational transductions
  - ▶  $f$  is rational
  - ▶  $\equiv_f$  has finite index and  $f[\equiv_f]$  is sequential
  - ▶  $\equiv_f$  and  $\sim_{f[\equiv_f]}$  have finite index.

# Definability problems

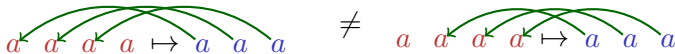
## Rational Transductions

Given  $f$  defined by  $T$ , is it definable by some  $\mathcal{C}$ -transducer ?

- ▶ sufficient conditions on  $\mathcal{C}$  to get decidability (F., Gauwin, Lhote, LICS'16)
- ▶ includes aperiodic congruences: decidable FO-definability
- ▶ even PSPACE-C (F., Gauwin, Lhote, FSTTCS'16)

## Regular Transductions

- ▶ existence of a canonical transducer if *origin* is taken into account (Bojanczyk, ICALP'14)



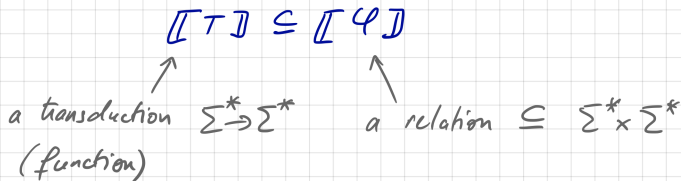
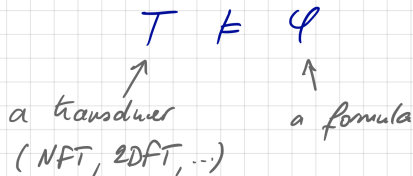
- ▶ decidable FO-definability *with* origin, open without

# A new logic for transductions

joint with Luc Dartois and Nathan Lhote

# MOTIVATIONS

- specify properties of transductions in a high-level formalism: a logic
- decidable model-checking



## EXAMPLES

• "there exists at least one 'a' in the output"

$$\{ (u, v_1 a v_2) \mid u, v_1, v_2 \in \Sigma^* \}$$

• "every request is processed exactly once"

$$\{ (r_1 \dots r_k, g_{\pi(i_1)} \dots g_{\pi(i_k)}) \mid \pi \text{ permutation} \}$$

• more generally : shuffle

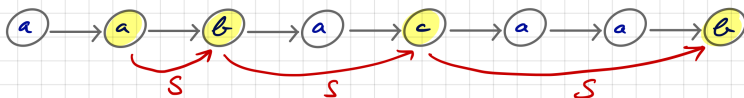
$$\{ (\sigma_1 \dots \sigma_n, \sigma_{\pi(1)} \dots \sigma_{\pi(n)}) \mid \pi \text{ permutation} \}$$



# NON-DETERMINISTIC MSOT (NMSOT) COURCELLE

use second-order parameters  $X_1, \dots, X_n$

$\{ (u, v) \mid v \text{ is a subword of } u, |v| \text{ even} \}$



$$\varphi_{\text{dom}}(X) \equiv \text{even}(X)$$

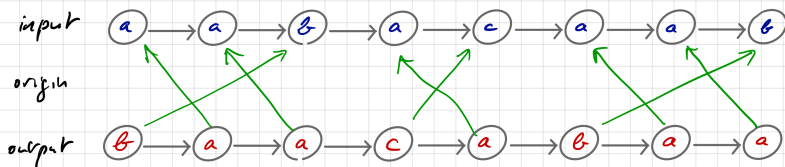
$$\varphi_S(x, y, X) \equiv x, y \in X \wedge x < y \\ \wedge \neg (\exists z \in X. x < z < y)$$

## FROM NMSOT to NEW LOGIC

NMSOT is not satisfactory as a specification language

- \* too "operational"
- \* the previous examples are not NMSOT

IDEA: SEE TRANSDUCTIONS AS SINGLE STRUCTURES WITH ORIGIN



Predicates:  $\leq_{in}$ ,  $\leq_{out}$ ,  $\sigma$

## EXAMPLES

- "there exists at least one 'a' in the output"

$$\exists^{out} x . a(x)$$

- shuffle

$$BIT(\sigma) \wedge \underbrace{\bigwedge_{\sigma \in \Sigma} \forall^{in} x \forall^{out} y . \frac{\sigma(x,y) \wedge}{\sigma(x)} \rightarrow \sigma(y)}_{LABPRES(\sigma)}$$

- identity

$$BIT(\sigma) \wedge LABPRES(\sigma) \wedge ORDERPRES(\sigma)$$

where  $ORDERPRES(\sigma) \equiv \forall^{in} x, x' \forall^{out} y, y' . \frac{\sigma(x,y) \wedge \sigma(x',y')}{\sigma(x',y)} \rightarrow y \leq_{out} y'$   
 $x \leq_{in} x'$

## RESULTS



$FO[\leq_{in}, \leq_{out}, \theta]$  is **undecidable**



$FO_2[\leq_{out}, \theta, MSO_{bin}[\leq_{in}]]$  is **decidable**

- capture MSOT (for functions)
- all previous examples are definable
- $T \neq \emptyset$  decidable for  $T$ : 2DFT



Reduction to a data word logic

$FO_2[\leq, MSO_{bin}[\leq]]$

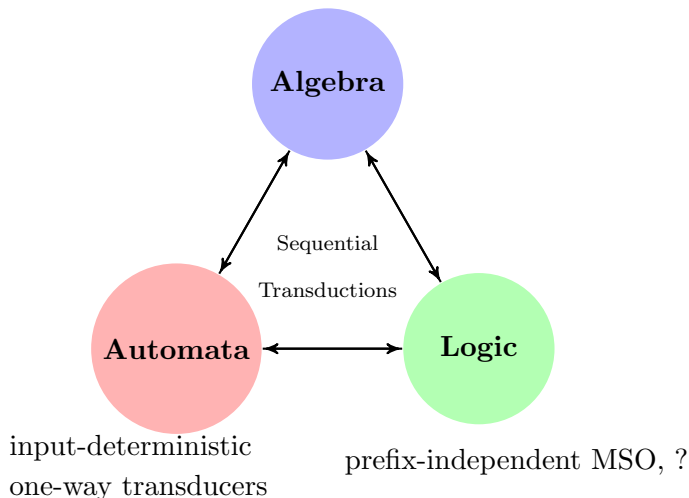
↑  
linear-order

↑  
total pre-order  
(data comparison)

"origin = data"

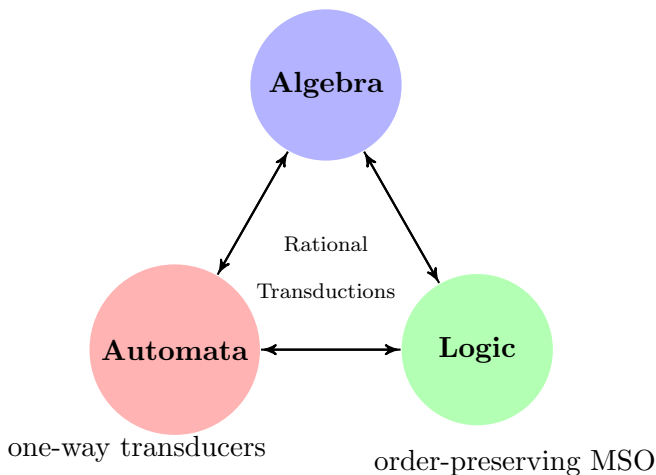
# Summary: sequential transductions

finiteness of  $\sim_f$  (Choffrut)

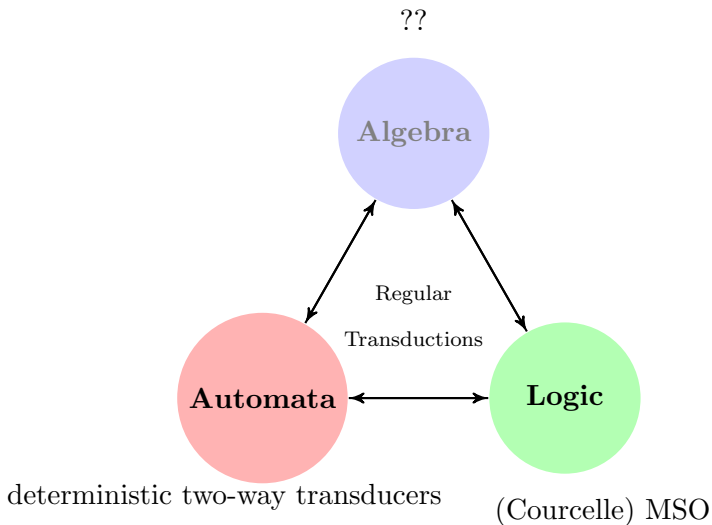


## Summary: rational transductions

finiteness of  $\equiv_f$  and  $\sim_{f[\equiv_f]}$  (Reutenauer, Schützenberger)



# Summary: regular transductions



## Other works

- ▶  $AC^0$  transductions (Cadilhac, Krebs, Ludwig, Paperman, 15)
- ▶ variants of two-way transducers (Guillon, Choffrut, 14, 15, 16), (Carton, 12) (McKenzie, Schwentick, Thérien, Vollmer, 06)
- ▶ model-checking and synthesis problems for rational transductions with “similar origins” (F., Jecker, Löding, Winter, 16)
- ▶ non-determinism
- ▶ infinite words, nested words, trees



# SIGLOG News 9th



## Transducers, Logic and Algebra for Functions of Finite Words

Emmanuel Filiot, Université Libre de Bruxelles  
and Pierre-Alain Reynier, Aix-Marseille Université

The robust theory of regular languages is based on three important pillars: computation (automata) and algebra. In this paper, we survey old and recent results on extensions of these pillars to functions of finite words. We consider two important classes of word functions, the rational and regular functions, respectively defined by one-way and two-way automata with output words, called transducers.

ON the relation between computation, mathematical logic, and algebra of finite words. The class of regular languages is the class of languages accepted by finite automata, to the class of languages accepted by transducers [Büchi 1960; Elgot 1961]. While automata theory is a well-established field, the theory of transducers is less developed. While automata theory is a well-established field, the theory of transducers is less developed.

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Thank You.

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ON the relation between computation, mathematical logic, and algebra of finite words. The class of regular languages is captured by finite automata, to the class of languages accepted by pushdown automata, to the class of languages accepted by Turing machines [Büchi 1960; Elgot 1968]. While automata theory has been a central pillar of computer science, the theory of regular languages has been a central pillar of logic and algebra.