


Towards an algebraic classification of recognizable sets of lambda-terms¹

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Automata, Concurrency and Timed Systems (ACTS) III

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Outline

Recognizable sets of λ -terms

Recognizability and congruence

Eilenberg theorem: towards an algebraic classification of classes of C-recognizable languages

Varieties of locally finite CCCs

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λ -calculus: syntax

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A higher order signature (HOS) is a tuple $\Sigma = (\mathcal{A}, \mathcal{C}, \tau)$ where:

- ▶ \mathcal{A} is a finite set of atomic types,
- ▶ \mathcal{C} is a finite set of constants,
- ▶ τ is a function from \mathcal{C} to $\mathcal{T}_{\mathcal{A}}$.

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λ -terms built on Σ are defined as:

- ▶ for $\alpha \in \mathcal{T}_{\mathcal{A}}$, $x^\alpha \in \Lambda_{\Sigma}^{\alpha}$,
- ▶ $c \in \Lambda_{\Sigma}^{\tau(c)}$,
- ▶ if $M_1 \in \Lambda_{\Sigma}^{\alpha_2 \rightarrow \alpha_1}$, $M_2 \in \Lambda_{\Sigma}^{\alpha_2}$, then $(M_1 M_2) \in \Lambda_{\Sigma}^{\alpha_1}$,
- ▶ if $M \in \Lambda_{\Sigma}^{\alpha_1}$, then $\lambda x^{\alpha_2}. M \in \Lambda_{\Sigma}^{\alpha_2 \rightarrow \alpha_1}$.

λ -calculus: operational semantics

λ -calculus is a theory of function and computation.

Computation is done with the relation of $\beta\eta$ -contraction ($\rightarrow_{\beta\eta}$):

$$\begin{array}{c} \frac{(\lambda x.M)N}{(\lambda x.M)N \rightarrow_{\beta\eta} M[x := N]} \quad \frac{\lambda x.Mx \quad x \notin FV(M)}{\lambda x.Mx \rightarrow_{\beta\eta} M} \quad \frac{M_1 \rightarrow_{\beta\eta} M_2}{(MM_1) \rightarrow_{\beta\eta} (MM_2)} \\ \frac{M_1 \rightarrow_{\beta\eta} M_2}{(M_1M) \rightarrow_{\beta\eta} (M_2M)} \quad \frac{M_1 \rightarrow_{\beta\eta} M_2}{(\lambda x.M_1) \rightarrow_{\beta\eta} (\lambda x.M_2)} \end{array}$$

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$\beta\eta$ -conversion: symmetric closure of $\beta\eta$ -reduction

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Theorem (Strong Normalisation)

Given M in $\Lambda_{\Sigma}^{\alpha}$, there is no infinite sequence of $\beta\eta$ -contraction starting in M .

Simply typed λ -calculus generalizes trees

The ranked alphabet $\{e; g; f\}$ where $\text{rank}(e) = 0$, $\text{rank}(g) = 1$, $\text{rank}(f) = 2$ can be represented by the following second order constants:

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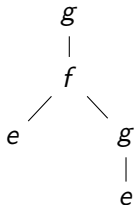
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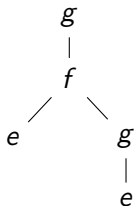


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A λ -term whose normal form represent a tree is a λ -tree.

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Strings are represented by terms of type $o \rightarrow o$:

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Finite models for recognizability in the simply typed λ -calculus

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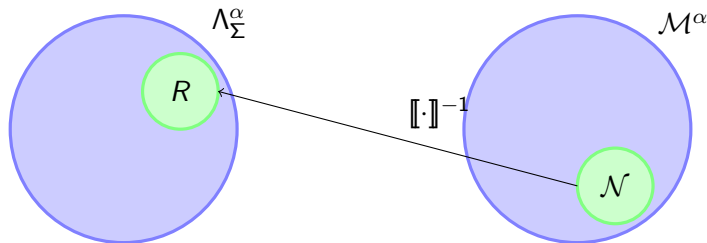
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- ▶ $\llbracket \lambda x^\alpha. M \rrbracket_\chi^{\mathbb{M}}(a) = \llbracket M \rrbracket_{\chi \leftarrow [x^\alpha := a]}^{\mathbb{M}}$ with $a \in \mathcal{M}^\alpha$.

Finite models for recognizability in the simply typed λ -calculus

Definition:

A set of λ -terms $R \subseteq \Lambda_{\Sigma}^{\alpha}$ is **recognizable** iff there is a finite full model $\mathbb{M} = ((\mathcal{M}^{\alpha})_{\alpha \in \mathcal{T}(\Sigma)}, \iota)$, $\mathcal{N} \subseteq \mathcal{M}^{\alpha}$:

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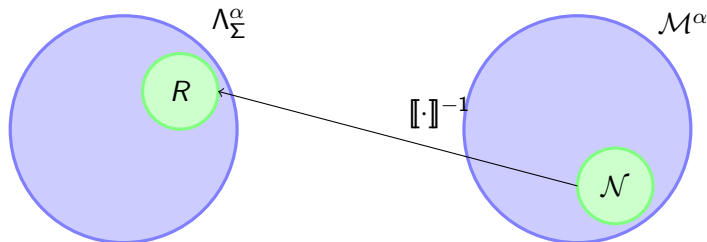


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Note:

- ▶ recognizable sets are closed under $=_{\beta\eta}$
- ▶ the emptiness of recognizable sets subsumes λ -definability which is undecidable (Loader 1993).

Properties of recognizable sets of λ -terms

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- ▶ There is a mechanical (equivalent) characterization of recognizability in terms of intersection types.
- ▶ An approach based on finite standard model gives a simple proof of the decidability of the acceptance by a Büchi tree automaton of the infinite tree generated by a higher-order programming scheme (S., Srivathsan, Walukiewicz).

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A CCC-functor is a morphism of CCC, *i.e.* it commutes with products and exponentials.

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We write F_\equiv for the surjective functor from Λ_Σ to Λ_Σ / \equiv .

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Given a CCC \mathcal{C} and $A \subseteq \text{Hom}(\beta, \alpha)$ and f_1, f_2 in $\text{Hom}(\theta, \delta)$, we have:

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- ▶ the syntactic CCC of a recognizable set of λ -terms is locally finite (*i.e.* for every α, β , $\text{Hom}_{\Lambda_\Sigma / \sim_R}(\alpha, \beta)$ is finite),

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- ▶ the syntactic CCC of a recognizable set of λ -terms is locally finite (*i.e.* for every α, β , $\text{Hom}_{\Lambda_\Sigma / \sim_R}(\alpha, \beta)$ is finite),
- ▶ **conjecture:** every language of λ -terms that has a locally finite syntactic CCC is recognizable.

Syntactic CCC of a language

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For the moment we call C-recognizable a language whose syntactic CCC is locally finite.

Embedding of syntactic monoid within syntactic CCC

Given R a recognizable set of strings, and R' be the recognizable set of λ -terms representing the elements of R :

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Remark: similar results hold for recognizable sets of trees seen as recognizable sets of λ -terms.

Outline

Recognizable sets of λ -terms

Recognizability and congruence

Eilenberg theorem: towards an algebraic classification of classes of C-recognizable languages

Varieties of locally finite CCCs

Classification of recognizable sets of λ -terms

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- ▶ we try to extend classification tools used for recognizable string languages:
 - ▶ we define varieties of locally finite CCCs,
 - ▶ and varieties of languages of λ -terms.

Varieties of finite monoids

A variety of finite monoids \mathbf{V} is a class of finite monoids with the following closure properties:

- ▶ If M_1 and M_2 are \mathbf{V} , then $M_1 \times M_2$ is also in \mathbf{V} ,
- ▶ If M_1 is a submonoid of M_2 and M_2 is in \mathbf{V} , then M_1 is also in \mathbf{V}
- ▶ If M is in \mathbf{V} and \equiv is a congruence on M , then M/\equiv is in \mathbf{V}

Varieties of languages

A variety of recognizable languages \mathcal{V} is a class of recognizable languages with the following closure properties ($\Sigma\mathcal{V}$ is the class of languages in \mathcal{V} on alphabet Σ):

- ▶ $\Sigma\mathcal{V}$ is closed under Boolean operations,
- ▶ If R is in $\Sigma\mathcal{V}$, then $a^{-1}R$ and Ra^{-1} are in $\Sigma\mathcal{V}$ for every a in Σ .
- ▶ If $f : \Gamma^* \rightarrow \Sigma^*$ is a morphism of monoid, then $R \in \Sigma\mathcal{V}$ implies $f^{-1}(A) \in \Gamma\mathcal{V}$.

Eilenberg theorem

Given a recognizable language of strings R , we let M_R be its syntactic monoid.

Given \mathcal{V} a variety of languages and \mathbf{V} a variety of finite monoids we let:

- ▶ $\overline{\mathcal{V}}$ be the variety of finite monoids generated by $\{M_R \mid R \in \Sigma\mathcal{V} \text{ for some } \Sigma\}$,

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An application of Eilenberg Theorem

If we let:

- ▶ \mathcal{SF} = the variety of star-free languages = first-order definable languages
- ▶ \mathcal{AP} = the variety of aperiodic monoids

We obtain Schützenberger-McNaughton-Papert's result:

$$\overline{\mathcal{SF}} = \mathcal{AP}$$

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Varieties of locally finite CCCs

Finitely generated CCCs

A CCC \mathcal{C} is finitely generated if there is HOS Σ and a surjective CCC-functor $F : \Lambda_\Sigma \rightarrow \mathcal{C}$. F is called a *finite presentation* of \mathcal{C} .

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- ▶ A locally finite CCC may not be finitely generated (ex: Heyting algebra with infinitely many generators).
- ▶ To obtain an extension of Eilenberg Theorem we need to impose that we only consider finitely generated CCCs.

Product of CCCs

Given \mathcal{C}_1 and \mathcal{C}_2 two locally finite and finitely generated CCCs, that have the same objects, a simple idea to generalize the direct product of monoids is to take $\mathcal{C}_1 \times \mathcal{C}_2$ with:

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- ▶ $\mathcal{C}_1 \times \mathcal{C}_2$, is a locally finite CCC,
- ▶ but $\mathcal{C}_1 \times \mathcal{C}_2$ may not be finitely generated. . .

Thus given two finite presentation F_1 and F_2 of \mathcal{C}_1 and \mathcal{C}_2 , we define $\mathcal{C}_1 \times_{F_1, F_2} \mathcal{C}_2$ to be the sub-CCC of $\mathcal{C}_1 \times \mathcal{C}_2$ generated by the arrows:

$$\bigcup_{c \in \Sigma_1} \{F_1(c)\} \times \text{Hom}_{\mathcal{C}_2}(1, \tau_1(c)) \cup \bigcup_{c \in \Sigma_2} \text{Hom}_{\mathcal{C}_1}(1, \tau_2(c)) \times \{F_2(c)\}$$

Direct product of monoids and product of CCCs

Given \mathcal{C}_1 and \mathcal{C}_2 two locally finite and finitely generated CCCs, that have the same objects and which are generated only by string signatures:

- ▶ for every presentation F_1, G_1 and F_2, G_2 of respectively \mathcal{C}_1 and \mathcal{C}_2 we have

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- ▶ a question is whether for every locally finite and finitely generated CCC, \mathcal{C}_1 and \mathcal{C}_2 we can find a canonical sub-CCC of $\mathcal{C}_1 \times \mathcal{C}_2$ that is finitely generated.

α -syntactic and α -separated CCC

A CCC \mathcal{C} is said α -syntactic if there is a subset A of $\text{Hom}(1, \alpha)$ such that for every f_1, f_2 in $\text{Hom}(\theta, \delta)$:

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We then have:

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- ▶ it can be the case that a locally finite finitely generated CCC \mathcal{C} can not be constructed from α -syntactic CCCs using product, sub-CCC and quotient.
- ▶ but this is the case when \mathcal{C} is α -separated:
 - ▶ for every f_1, f_2 in $\text{Hom}(\theta, \delta)$, $f_1 \neq f_2$ iff there is $C[]$ such that $C[f_1], C[f_2]$ are in $\text{Hom}(1, \alpha)$ and $C[f_1] \neq C[f_2]$.

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we write $(\mathcal{C}_1, \beta) \prec (\mathcal{C}_2, \alpha)$ when \mathcal{C}_1 is an β -separated CCC obtained by taking and quotienting a sub-CCC of \mathcal{C}_2 .

Towards varieties of languages

Given \mathcal{C} a locally finite, finitely generated and α -separated CCC, A and A' included in $\text{Hom}(1, \alpha)$ we have:

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- ▶ Given $C[]$ such that for every $f \in \text{Hom}(1, \beta)$, $C[f]$ is in $\text{Hom}(1, \alpha)$, if $C^{-1}[A] = \{f \in \text{Hom}(1, \beta) \mid C[f] \in A\}$ then $\mathcal{C}/\sim_{C^{-1}[A]}$ is a quotient CCC of \mathcal{C}/\sim_A

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Given a CCC-functor $F : \mathcal{D} \rightarrow \mathcal{C}$ and β such that $F(\beta) = \alpha$, and $B = F^{-1}(A) \cap \text{Hom}_{\mathcal{D}}(1, \beta)$ then $(\mathcal{D}/\sim_B, \beta) \prec (\mathcal{C}/\sim_A, \alpha)$.

Varieties of λ -languages

A variety of C-recognizable sets of λ -terms \mathcal{V} is a class of C-recognizable languages with the following closure properties (($(\Sigma, \alpha)\mathcal{V}$ is the class of languages in \mathcal{V} on a HOS Σ whose elements have type α):

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- ▶ Given $F : \Lambda_{\Sigma_1} \rightarrow \Lambda_{\Sigma_2}$ a CCC-functor, if $R \in (\Sigma_2, \alpha)\mathcal{V}$ and $F(\beta) = \alpha$, then $F^{-1}(R) \cap \Lambda_{\Sigma_1}^{\beta} \in (\Sigma_1, \beta)\mathcal{V}$.

The correspondence

Given a C-recognizable set of λ -terms R , we let \mathcal{C}_R be its syntactic CCC.

Given \mathcal{V} a variety of λ -languages and \mathbf{V} a variety of locally finite CCCs we let:

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- ▶ Applications of this work to languages of λ -terms that are neither λ -strings nor λ -trees rely on the conjecture recognizable = C-recognizable.