

# Applications of learning theory in verification

Madhavan Mukund

Chennai Mathematical Institute  
<http://www.cmi.ac.in/~madhavan>

Formal Methods Update 2007, IIT Kanpur  
14 April 2007

(Adapted from material contributed by P Madhusudan)

# Motivation

- ▶ Abstraction is an important tool in verification
  - ▶ Build a coarse model  $M$  from a system description  $S$
  - ▶ Every run of  $S$  is also a run of  $M$
  - ▶ If  $M$  satisfies a safety property, so does  $S$

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- ▶ Can we use **learning** to discover the abstraction?
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  - ▶ ... but abstraction  $M$  may be “small”
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  - ▶ Circumvent complexity of verifying  $S$  directly
- ▶ Other problems in verification can also benefit from this approach

# Outline

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  - ▶ Compositional verification of  $P \parallel Q$
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  - ▶ A tutorial introduction to the learning algorithm

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  - ▶ Deriving interface specification for a module
- ▶ Learning regular languages
  - ▶ Active learner model [Angluin'86]
  - ▶ A tutorial introduction to the learning algorithm
- ▶ How to apply learning for the two problems above
- ▶ Some pointers to other applications

# Compositional verification

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# Compositional verification

- ▶ Parallel composition  $P \parallel Q$  of two **modules**
- ▶ Does  $P \parallel Q$  satisfy a safety specification  $\varphi$ ?

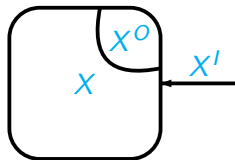
# Compositional verification

- ▶ Parallel composition  $P \parallel Q$  of two **modules**
- ▶ Does  $P \parallel Q$  satisfy a safety specification  $\varphi$ ?
- ▶ **Assume guarantee reasoning**
  - ▶ Find  $R$  such that:
    - ▶  $P \parallel R \models \varphi$
    - ▶ Behaviours of  $Q$  are included in behaviours of  $R$
  - ▶  $R$  may be small compared to  $P$  and  $Q$ .

# Compositional verification ...

## Module $P$

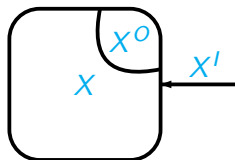
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- ▶ output variables  $X^O \subseteq X$ ,  
disjoint set of input variables  $X^I$



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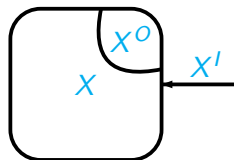


# Compositional verification ...

## Module $P$

State variables  $X$

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- ▶ Assume we are working with boolean abstraction

▶ State :  $s : (X \uplus X^I) \rightarrow \{0, 1\}$

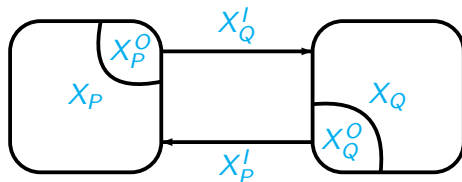
Transition :  $T \subseteq (S \setminus S^I) \times S^I \times (S \setminus S^I)$

Behaviour :  $s_1 s_2 \dots$

Visible Behaviour :  $s_1^{I \cup O} s_2^{I \cup O} \dots$

# Module composition

$P \parallel Q$  : Outputs of  $P$  are inputs to  $Q$  and vice versa



►  $VisBeh(P \parallel Q) = VisBeh(P) \cap VisBeh(Q)$

# Compositional verification of modules

Safety property  $\varphi$ : boolean formula over  $X^I \cup X^O$

- ▶  $s_1 s_2 \dots \models \varphi$  if for each  $i$ ,  $s_i^{I \cup O} \models \varphi$

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When does  $P \parallel Q \models \varphi$ ?

- ▶ For each  $\sigma \in \text{VisBeh}(P \parallel Q)$ ,  $\sigma \models \varphi$



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Assume guarantee reasoning

- ▶ Find  $R$  such that:
  - ▶  $P \parallel R \models \varphi$
  - ▶  $\text{VisBeh}(Q) \subseteq \text{VisBeh}(R)$
- ▶ Learn a regular language  $R$  with small DFA?

# Interface synthesis

- ▶ A class  $C$  with variables  $V = \{v_1, v_2, \dots\}$  and methods  $M = \{m_1, m_2, \dots\}$

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- ▶ A run is safe if for each  $i$ ,  $s_R^i \models \varphi$
- ▶ Want to restrict runs of the class to permit only safe runs

# Interface

An **interface** is a function  $I : (M \times V_R)^* \rightarrow 2^M$

- ▶ After a run  $\sigma = (m_1, s_R^1), (m_2, s_R^2), \dots$ ,  $I(\sigma)$  specifies which methods can be invoked

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Can we learn a maximal interface?

# Learning Regular Languages

Fix a finite alphabet  $\Sigma$ .

- ▶ There is a learner and a teacher
- ▶ Teacher knows a regular language  $T$
- ▶ **Objective of the learner:** To learn  $T$  by constructing an automaton for  $T$ .

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Complexity will be measured on the complexity of the language: the minimum number of states needed to capture  $T$ .



# Active learning [Angluin'86]

- ▶ Learner asks questions:
- ▶ Membership: Is  $w \in T$ ?
  - ▶ Yes or No
- ▶ Equivalence question: Is  $T = L(C)$ ?
  - ▶ Yes or No+counterexample
  - ▶ Counterexample is in  $(T \setminus L(C)) \cup (L(C) \setminus T)$ .

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## Theorem (Angluin, Rivest-Schapire, Kearns-Vazirani)

*Regular languages can be learnt using at most  $O(kn^2 + n \log m)$  membership and  $O(n)$  equivalence queries.*

- ▶  $n$  — size of the minimal DFA accepting target language  $T$
- ▶  $m$  — size of the largest counterexample
- ▶  $k$  — size of the alphabet.

*Also, in time polynomial in  $O(kn^2 + n \log m)$ .*

# How do we learn $T$ ?

## Key points

- ▶ How many states are there?
- ▶ How do we reach these states from the initial state?
- ▶ How do we build the transitions correctly?

# When are states different?

## Simple observation:

Let  $u$  and  $v$  be two strings.

*If  $\exists w$  such that  $uw \in T \iff vw \notin T$ ,  
then  $u$  and  $v$  must lead to different states.*

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If we find  $n$  strings  $s_1, \dots, s_n$ , that are pairwise distinguishable, we know that automaton for  $T$  has (at least)  $n$  states.

# Access strings

## Access string to a state $q$

- ▶ Some string that gets you from  $q_0$  to  $q$ .

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# An observation pack

Access strings	$s_1$	$s_2$	...	...	$s_k$
Experiments	$E_{s_1}$	$E_{s_2}$	...	...	$E_{s_k}$

An **observation pack** for  $T$  has  $n$  access strings  $S = \{s_1, \dots, s_n\}$ , and each  $s \in S$  is associated with a set of experiments  $E_s$  such that:

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- ▶ Each  $E_{s_j}$  consists of a set of pairs of the form  $(u, +)$  or  $(u, -)$ :
  - ▶  $(u, +) \in E_{s_j}$  implies  $s_j.u_j \in T$
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i.e., there is some  $u$  that figures in  $E_{s_i}$  and  $E_{s_j}$  with opposite polarity.
- ▶  $\varepsilon \in S$ , and  $\varepsilon \in E_{s_i}$  for each  $i$ .

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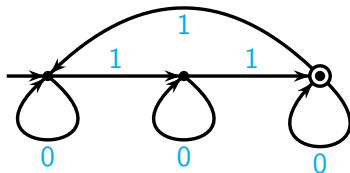
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- ▶  $\varepsilon \in S$ , and  $\varepsilon \in E_{s_i}$  for each  $i$ .

**Note:** If an observation pack with  $n$  access strings exists, then minimal automaton for  $T$  has *at least*  $n$  states.

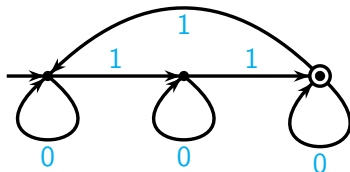
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Target language  $T$ : strings over  $\{0, 1\}$  where  $\#1's = 2 \pmod 3$



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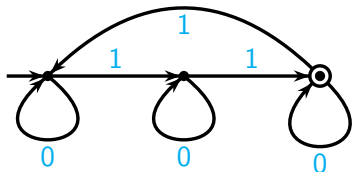


An observation pack:

Access strings	$\epsilon$	010
Experiments	$(\epsilon, -)$ $(10, -)$	$(\epsilon, -)$ $(10, +)$

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An observation pack:

Access strings	$\epsilon$	010
Experiments	$(\epsilon, -)$ $(10, -)$	$(\epsilon, -)$ $(10, +)$

$\epsilon.\epsilon \notin T$ ;  $010.\epsilon \notin T$

$\epsilon.10 \notin T$ ;  $010.10 \in T$



# Likeness and escape

Let  $O$  be an observation pack.

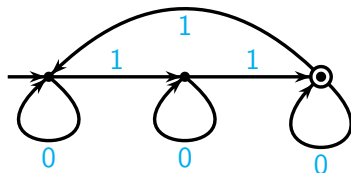
A word  $w$  is **like** an access string  $s$  in  $O$ , if  $w$  agrees with  $s$  on all the experiments in  $E_s$ .

i.e. ,  $\forall u \in E_s, wu \in T$  iff  $su \in T$ .

**Note:** No two access strings are alike  $\Rightarrow w$  can be like *at most one* access string in  $O$ , since

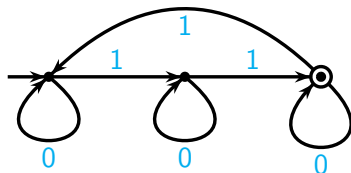
If  $w$  is *not* like any access string, we say it **escapes** the pack.

# Example



Access strings	$\varepsilon$	110
Experiments	$(\varepsilon, -)$ $(10, -)$	$(\varepsilon, -)$ $(10, +)$

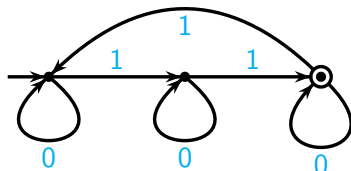
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The word 001 is like 010 (since  $001.\varepsilon \notin T$ ,  $001.10 \in T$ ).

# Example



Access strings	$\varepsilon$	110
Experiments	$(\varepsilon, -)$ $(10, -)$	$(\varepsilon, -)$ $(10, +)$

The word **001** is like **010** (since  $001.\varepsilon \notin T$ ,  $001.10 \in T$ ).

The word **11** is not like any access string in  $O$  (since  $11.\varepsilon \in T$ ).  
So **11 escapes**.

# Expanding a pack

If  $O$  is an observation pack, and  $w$  escapes  $O$ , then we can expand  $O$  to include  $w$ :

- ▶ Add  $w$  as a new access string
- ▶ For every access  $s$  string in  $O$ , there is some  $u$  in  $E_s$  that distinguishes  $w$  and  $s$ .
- ▶ Add this string to  $E_w$

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The new pack is a proper observation pack ...

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If  $O$  is an observation pack, and  $w$  escapes  $O$ , then we can expand  $O$  to include  $w$ :

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- ▶ For every access  $s$  string in  $O$ , there is some  $u$  in  $E_s$  that distinguishes  $w$  and  $s$ .
- ▶ Add this string to  $E_w$

The new pack is a proper observation pack ...

... and has one more access string.

# Closure

An observation pack  $O$  is said to be **closed** if

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- ▶ Mark a state  $s$  final iff  $(\varepsilon, +) \in E_s$ .

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## Theorem

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So, the whole problem reduces to finding an observation pack with  $n$  access strings!!

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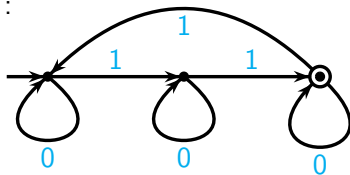
- ▶ Then automaton constructed has too few states.
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Equivalence query:

- ▶ Build conjecture automaton  $C$ .
- ▶ Ask teacher " $L(C) = T?$ "
- ▶ Use counterexample given by teacher to generate new access string.

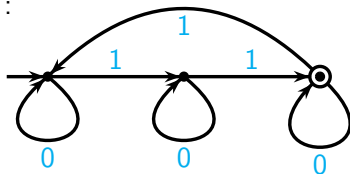
# A learning example. . .

Target language  $T$ :



# A learning example...

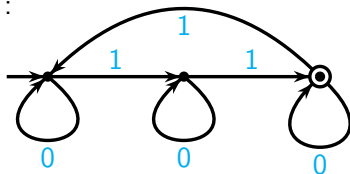
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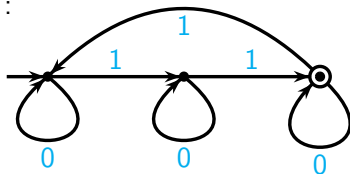
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Counter-example:  $101 \in T \setminus L(C)$

Run of 101 on  $C$ :  $s_0 \xrightarrow{1} s_0 \xrightarrow{0} s_0 \xrightarrow{1} s_0$

►  $s_0 = \varepsilon$



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- ▶ So we cannot go on 1 to  $s_0$ !  
(since 01 distinguishes 1 and  $s_0$ )

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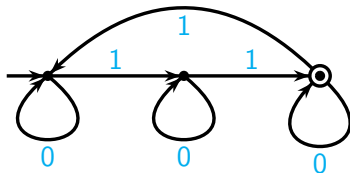
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- ▶  $s_0.01 \notin T$ .
- ▶ So we cannot go on 1 to  $s_0$ !  
(since 01 distinguishes 1 and  $s_0$ )
- ▶ So let's add 01 as experiment string for  $s_0$ .

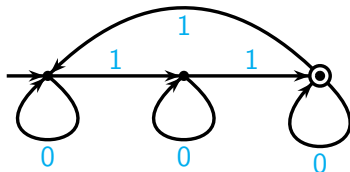
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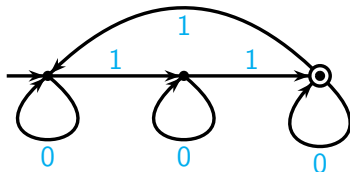
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Access strings	$s_0 = \varepsilon$	$s_1 = 1$
Experiments	$(\varepsilon, -)$ $(01, -)$	$(\varepsilon, -)$ $(01, +)$

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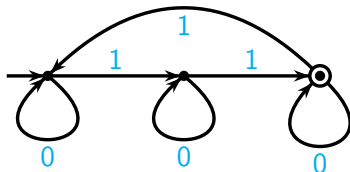
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$10$  is like  $1$  (since  $10 \notin T$  and  $10.01 \in T$ )

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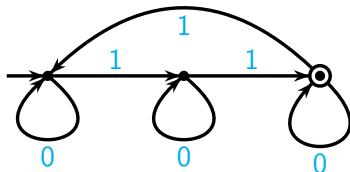
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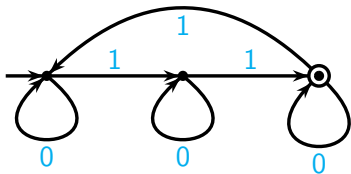
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So  $11$  escapes and forms a new access string.

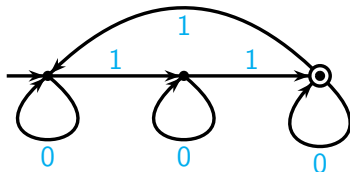
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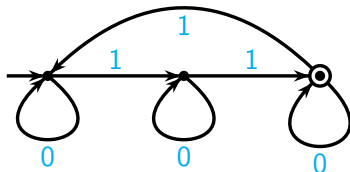
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Access strings	$s_0 = \varepsilon$	$s_1 = 1$	$s_2 = 11$
Experiments	$(\varepsilon, -)$ $(01, -)$	$(\varepsilon, -)$ $(01, +)$	$(\varepsilon, +)$

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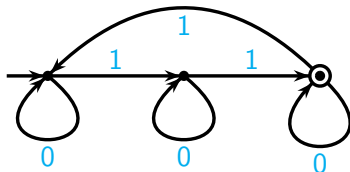
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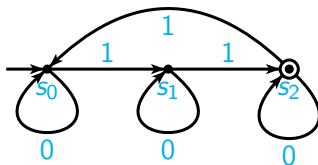
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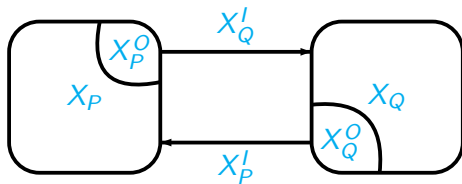
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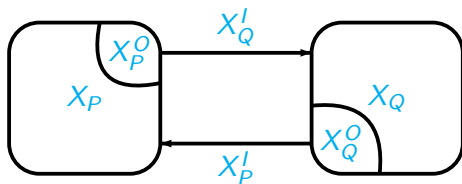
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# Compositional verification of modules



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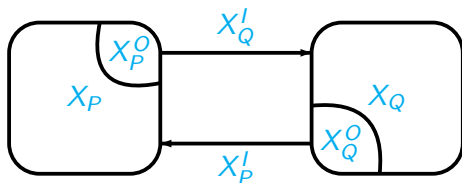


Safety property  $\varphi$ : boolean formula over  $X^I \cup X^O$

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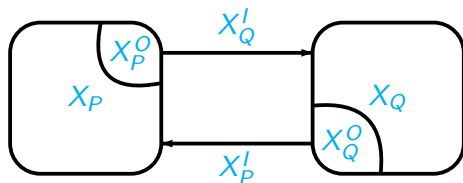
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Assume guarantee reasoning

- ▶ Find  $R$  such that:
  - ▶  $P \parallel R \models \varphi$
  - ▶  $\text{VisBeh}(Q) \subseteq \text{VisBeh}(R)$
- ▶ Learn a regular language  $R$  with small DFA?

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Practical note: Use BDDs to deal with large alphabet  $X^1 \cup X^0$

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